92_SB0624ham001

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AMENDMENT TO SENATE BILL 624 1 AMENDMENT NO. ____. Amend Senate Bill 624 by replacing 2 3 the title with the following: "AN ACT in relation to health care."; and 4 5 by replacing everything after the enacting clause with the following: 6 "Section 5. The Mental Health and Developmental 7 8 Disabilities Administrative Act is amended by changing 9 Sections 4, 7, and 15 as follows: (20 ILCS 1705/4) (from Ch. 91 1/2, par. 100-4) 10 Sec. 4. Supervision of facilities and services; 11 quarterly reports. 12 13 (a) To exercise executive and administrative supervision over all facilities, divisions, programs and services now 14 existing or hereafter acquired or created under the 15 jurisdiction of the Department, including, but not limited 16 17 to, the following: 18 The Alton Mental Health Center, at Alton The Clyde L. Choate Mental Health and Developmental 19 20 Center, at Anna 21 The Chester Mental Health Center, at Chester

1 The Chicago-Read Mental Health Center, at Chicago 2 The Elgin Mental Health Center, at Elgin The Metropolitan Children and Adolescents Center, at 3 4 Chicago 5 The Jacksonville Developmental Center, at Jacksonville 6 The Governor Samuel H. Shapiro Developmental Center, 7 8 at Kankakee 9 The Tinley Park Mental Health Center, at Tinley Park 10 The Warren G. Murray Developmental Center, at 11 Centralia The Jack Mabley Developmental Center, at Dixon 12 The Lincoln Developmental Center, at Lincoln 13 The Douglas Singer 14 н. Mental Health and 15 Developmental Center, at Rockford 16 The John J. Madden Mental Health Center, at Chicago The George A. Zeller Mental Health Center, at Peoria 17 The Andrew McFarland Mental Health Center, at 18 Springfield 19 The Adolf Meyer Mental Health Center, at Decatur 20 21 The William W. Fox Developmental Center, at Dwight 22 The Elisabeth Ludeman Developmental Center, at Park 23 Forest The William A. Howe Developmental Center, at Tinley 24 25 Park The Ann M. Kiley Developmental Center, at Waukegan. 26 27 (b) Beginning not later than July 1, 1977, the Department shall cause each of the facilities under its 28 29 jurisdiction which provide in-patient care to comply with 30 standards, rules and regulations of the Department of Public Health prescribed under Section 6.05 of the Hospital 31 32 Licensing Act. (c) The Department shall issue quarterly reports on 33

34 admissions, deflections, discharges, bed closures,

staff-resident ratios, census, and average length of stay, and any adverse federal certification or accreditation findings, if any, for each State-operated facility for the mentally ill and developmentally disabled.

5 (Source: P.A. 91-357, eff. 7-29-99; 91-652, eff. 12-1-99.)

(20 ILCS 1705/7) (from Ch. 91 1/2, par. 100-7)

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7 Sec. 7. To receive and provide the highest possible quality of humane and rehabilitative care and treatment to 8 all persons admitted or committed or 9 transferred in 10 accordance with law to the facilities, divisions, programs, and services under the jurisdiction of the Department. No 11 resident of another state shall be received or retained to 12 the exclusion of any resident of this State. No resident of 13 another state shall be received or retained to the exclusion 14 15 of any resident of this State. All recipients of 17 years of age and under in residence in a Department facility other 16 17 than a facility for the care of the mentally retarded shall be housed in quarters separated from older recipients except 18 for: (a) recipients who are placed in medical-surgical units 19 20 because of physical illness; and (b) recipients between 13 21 and 18 years of age who need temporary security measures.

All recipients in a Department facility shall be given a dental examination by a licensed dentist or registered dental hygienist at least once every 18 months and shall be assigned to a dentist for such dental care and treatment as is necessary.

All medications administered to recipients shall 27 be 28 administered only by those persons who are legally qualified to do so by the laws of the State of Illinois. Medication 29 not be prescribed until a physical and mental 30 shall examination of the recipient has been completed. If, in the 31 32 clinical judgment of a physician, it is necessary to administer medication to a recipient before the completion of 33

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the physical and mental examination, he may prescribe such medication but he must file a report with the facility director setting forth the reasons for prescribing such medication within 24 hours of the prescription. A copy of the report shall be part of the recipient's record.

6 <u>No later than January 1, 2002, the Department shall adopt</u> 7 <u>a model protocol and forms for recording all patient</u> 8 <u>diagnosis, care, and treatment at every facility under the</u> 9 <u>jurisdiction of the Department. The model protocol and forms</u> 10 <u>shall be used by each facility unless the Department</u> 11 <u>determines that equivalent alternatives justify an exemption.</u>

Every facility under the jurisdiction of the Department shall maintain a copy of each report of suspected abuse or neglect of the patient. Copies of those reports shall be made available to the State Auditor General in connection with his biennial program audit of the facility as required by Section 3-2 of the Illinois State Auditing Act.

No later than January 1, 2002, every facility under the 18 19 jurisdiction of the Department and all services provided in those facilities shall comply with all of the applicable 20 21 standards adopted by the Social Security Administration under Subchapter XVIII (Medicare) of the Social Security Act (42 22 23 U.S.C. 1395 - 1395ccc), if the facility and services may be eligible for federal financial participation under that 24 <u>federal law.</u> 25

26 (Source: P.A. 86-922; 86-1013; 86-1475.)

27 (20 ILCS 1705/15) (from Ch. 91 1/2, par. 100-15)

Sec. 15. Before any person is released from a facility operated by the State pursuant to an absolute discharge or a conditional discharge from hospitalization under this Act, the facility director of the facility in which such person is hospitalized shall determine that such person is not currently in need of hospitalization and:

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1 2 (a) is able to live independently in the community;or

3 (b) requires further oversight and supervisory care 4 for which arrangements have been made with responsible 5 relatives or supervised residential program approved by 6 the Department; or

7 (c) requires further personal care or general
8 oversight as defined by the Nursing Home Care Act, for
9 which placement arrangements have been made with a
10 suitable family home or other licensed facility approved
11 by the Department under this Section; or

12 (d) requires community mental health services for 13 which arrangements have been made with a suitable 14 community mental health provider in accordance with 15 criteria, standards, and procedures promulgated by rule. 16 The suitable community mental health provider shall be 17 selected from among the Department's contractual 18 designees.

Such determination shall be made in writing and shall 19 become a part of the facility record of such absolutely or 20 21 conditionally discharged person. When the determination 22 indicates that the condition of the person to be granted an 23 absolute discharge or a conditional discharge is described under subparagraph (c) or (d) of this Section, the name and 24 25 address of the continuing care facility or home to which such person is to be released shall be entered in the facility 26 Where a discharge from a mental health facility is 27 record. made under subparagraph (c), the Department shall assign the 28 discharged to an existing community based 29 person so 30 not-for-profit agency for participation in day activities suitable to the person's needs, such as but not limited to 31 social and vocational rehabilitation, and other recreational, 32 educational and financial activities unless the community 33 34 based not-for-profit agency is unable unqualified to accept

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1 such assignment. Where the clientele of any not-for-profit 2 agency increases as a result of assignments under this amendatory Act of 2001 1977-by-more-than-3%--over--the--prior 3 4 year, the Department shall fully reimburse such agency for 5 the increased costs of providing services to such persons in 6 excess-of-such-3%-increase. The Department shall keep written 7 records detailing how many persons have been assigned to a 8 community based not-for-profit agency and how many persons 9 were not so assigned because the community based agency was unable to accept the assignments, in accordance with 10 11 criteria, standards, and procedures promulgated by rule. 12 Whenever a community based agency is found to be unable to 13 accept the assignments, the name of the agency and the reason for the finding shall be included in the report. 14

Insofar as desirable in the interests of the former 15 16 recipient, the facility, program or home in which the discharged person is to be placed shall be located in or near 17 18 the community in which the person resided prior to hospitalization or in the community in which the person's 19 family or nearest next of kin presently reside. Placement of 20 21 the discharged person in facilities, programs or homes located outside of this State shall not be made by the 22 23 Department unless there are no appropriate facilities, programs or homes available within this State. Out-of-state 24 25 placements shall be subject to return of recipients so placed upon the availability of facilities, programs or homes within 26 27 this State to accommodate these recipients, except where placement in a contiguous state results in locating a 28 29 recipient in a facility or program closer to the recipient's 30 home or family. If an appropriate facility or program becomes available equal to or closer to the recipient's home 31 32 or family, the recipient shall be returned to and placed at the appropriate facility or program within this State. 33

34 To place any person who is under a program of the

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1 Department at board in a suitable family home or in such 2 other facility or program as the Department may consider desirable. The Department may place in licensed nursing 3 4 sheltered care homes, or homes for the aged those homes. 5 persons whose behavioral manifestations and medical and 6 nursing care needs are such as to be substantially 7 indistinguishable persons already living from in such 8 facilities. Prior to any placement by the Department under 9 Section, a determination shall be made by the personnel this of the Department, as to the capability and suitability of 10 11 such facility to adequately meet the needs of the person to be discharged. When specialized programs are necessary in 12 order to enable persons in need of supervised living to 13 develop and improve in the community, the Department shall 14 15 place such persons only in specialized residential care 16 facilities which shall meet Department standards including restricted admission policy, special staffing and programming 17 18 for social and vocational rehabilitation, in addition to the 19 requirements of the appropriate State licensing agency. The 20 Department shall not place any new person in a facility the 21 license of which has been revoked or not renewed on grounds 22 of inadequate programming, staffing, or medical or adjunctive 23 services, regardless of the pendency of an action for administrative review regarding such revocation or failure to 24 25 renew. Before the Department may transfer any person to a licensed nursing home, sheltered care home or home for the 26 place any person in a specialized residential care 27 aged or facility the Department shall notify the person to 28 be transferred, or a responsible relative of such person, 29 in 30 writing, at least 30 days before the proposed transfer, with respect to all the relevant facts concerning such transfer, 31 32 except in cases of emergency when such notice is not 33 required. If either the person to be transferred or a 34 responsible relative of such person objects to such transfer,

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1 in writing to the Department, at any time after receipt of 2 notice and before the transfer, the facility director of the facility in which the person was 3 a recipient shall 4 immediately schedule a hearing at the facility with the 5 presence of the facility director, the person who objected to 6 such proposed transfer, and a psychiatrist who is familiar 7 with the record of the person to be transferred. Such person 8 to be transferred or a responsible relative may be 9 represented by such counsel or interested party as he may appoint, who may present such testimony with respect to 10 the 11 proposed transfer. Testimony presented at such hearing shall the facility record 12 become of of а part the person-to-be-transferred. The record of testimony shall be 13 held in the person-to-be-transferred's record in the central 14 15 files of the facility. If such hearing is held a transfer may 16 only be implemented, if at all, in accordance with the results of such hearing. Within 15 days after such hearing 17 the facility director shall deliver his findings based on the 18 record of the case and the testimony presented at the 19 hearing, by registered or certified mail, to the parties to 20 21 such hearing. The findings of the facility director shall be deemed a final administrative decision of the Department. For 22 23 purposes of this Section, "case of emergency" means those instances in which the health of the person to be transferred 24 25 is imperiled and the most appropriate mental health care or medical care is available at a licensed nursing home, 26 sheltered care home or home for the aged or a specialized 27 residential care facility. 28

29 Prior to placement of any person in a facility under this 30 Section the Department shall ensure that an appropriate 31 training plan for staff is provided by the facility. Said 32 training may include instruction and demonstration by 33 Department personnel qualified in the area of mental illness 34 or mental retardation, as applicable to the person to be

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1 placed. Training may be given both at the facility from 2 which the recipient is transferred and at the facility receiving the recipient, and may be available on a continuing 3 4 basis subsequent to placement. In a facility providing 5 services to former Department recipients, training shall be б available as necessary for facility staff. Such training 7 will be on a continuing basis as the needs of the facility 8 and recipients change and further training is required.

9 The Department shall not place any person in a facility which does not have appropriately trained staff in sufficient 10 11 numbers to accommodate the recipient population already at the facility. As a condition of further or future placements 12 of persons, the Department shall require the employment of 13 additional trained staff members at the facility where said 14 15 persons are to be placed. The Secretary, or his or her 16 designate, shall establish written guidelines for placement of persons in facilities under this Act. The Department shall 17 keep written records detailing which facilities have been 18 19 determined to have appropriately trained staff, which 20 facilities have been determined not to have such staff, and 21 all training which it has provided or required under this 22 Section.

Bills for the support for a person boarded out shall 23 be payable monthly out of the proper maintenance funds and shall 24 25 be audited as any other accounts of the Department. If a person is placed in a facility or program outside the 26 27 Department, the Department may pay the actual costs of residence, treatment or maintenance in such facility and may 28 29 collect such actual costs or a portion thereof from the 30 recipient or the estate of a person placed in accordance with this Section. 31

32 Other than those placed in a family home the Department 33 shall cause all persons who are placed in a facility, as 34 defined by the Nursing Home Care Act, or in designated

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1 community living situations or programs, to be visited at 2 least once during the first month following placement, and once every month thereafter for the first year following 3 4 placement when indicated, but at least quarterly. After the first year, visits shall be made at least once per year for 5 as long as the placement continues. If a long term care 6 7 facility has periodic care plan conferences, the visitor may 8 participate in those conferences. Visits shall be made by 9 qualified and trained Department personnel, or their 10 designee, in the area of mental health or developmental 11 disabilities applicable to the person visited, and shall be 12 made on a more frequent basis when indicated. The Department 13 may not use as designee any personnel connected with or responsible to the representatives of any facility in which 14 15 persons who have been transferred under this Section are 16 placed. In the course of such visit there shall be of the following areas, but not limited 17 consideration thereto: effects of transfer on physical and mental health 18 of the person, sufficiency of nursing care and medical 19 coverage required by the person, sufficiency of 20 staff 21 personnel and ability to provide basic care for the person, 22 social, recreational and programmatic activities available 23 for the person, and other appropriate aspects of the person's 24 environment.

25 A report containing the above observations shall be made to the Department and to any other appropriate agency 26 27 subsequent to each visitation. The report shall contain a detailed assessment of whether the recipient is receiving 28 29 necessary services in the least restrictive environment. If 30 the recipient is not receiving those services, the Department 31 shall either require that the facility modify the treatment plan to ensure that those services are provided or make 32 arrangements necessary to provide those services elsewhere. 33 34 At--the--conclusion--of--one--year--following---absolute---or

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1 conditional-discharge,-or-a-longer-period-of-time-if-required 2 by---the---Department,---the--Department--may--terminate--the 3 visitation-requirements-of-this-Section-as-to-a-person-placed 4 in--accordance--with--this--Section,--by--filing--a---written 5 statement---of---termination---setting---forth---reasons---to 6 substantiate--the--termination-of-visitations-in-the-person's 7 file,-and-sending-a-copy-thereof-to-the-person,--and--to--his 8 guardian-or-next-of-kin-

9 Upon the complaint of any person placed in accordance 10 with this Section or any responsible citizen or upon 11 discovery that such person has been abused, neglected, or 12 improperly cared for, or that the placement does not provide 13 the type of care required by the recipient's current condition, the Department immediately shall investigate, 14 and 15 determine if the well-being, health, care, or safety of any 16 person is affected by any of the above occurrences, and if any one of the above occurrences is verified, the Department 17 shall remove such person at once to a facility of 18 the 19 Department or to another facility outside the Department, 20 provided such person's needs can be met at said facility. 21 The Department may also provide any person placed in accordance with this Section who is without available funds, 22 23 and who is permitted to engage in employment outside the 24 facility, such sums for the transportation, and other 25 expenses as may be needed by him until he receives his wages 26 for such employment.

27 The Department shall promulgate rules and regulations governing the purchase of care for persons who are wards of 28 29 or who are receiving services from the Department. Such 30 rules and regulations shall apply to all monies expended by any agency of the State of Illinois for services rendered by 31 32 any person, corporate entity, agency, governmental agency or political subdivision whether public or private outside of 33 34 the Department whether payment is made through a contractual,

per-diem or other arrangement. No funds shall be paid to any person, corporation, agency, governmental entity or political subdivision without compliance with such rules and regulations.

5 The rules and regulations governing purchase of care 6 shall describe categories and types of service deemed 7 appropriate for purchase by the Department.

8 Any provider of services under this Act may elect to 9 receive payment for those services, and the Department is authorized to arrange for that payment, by means of direct 10 11 deposit transmittals to the service provider's account maintained at a bank, savings and loan association, or other 12 financial institution. The financial institution shall be 13 approved by the Department, and the deposits shall be 14 in 15 accordance with rules and regulations adopted by the 16 Department.

17 (Source: P.A. 89-507, eff. 7-1-97; 90-423, eff. 8-15-97.)

18 Section 10. The Hospital Licensing Act is amended by 19 adding Section 6.19 as follows:

20 (210 ILCS 5/6.19 new)

21 Sec. 6.19. Use of restraints. Each hospital licensed 22 under this Act must have a written policy to address the use 23 of restraints and seclusion in the hospital. The Department 24 shall establish, by rule, the provisions that the policy must 25 include, which, to the extent practicable, should be 26 consistent with the requirements of the federal Medicare 27 program.

For freestanding psychiatric hospitals and psychiatric units in general hospitals, restraints or seclusion shall only be ordered by persons as authorized under the Mental Health and Developmental Disabilities Code.

32 For general hospitals, excluding freestanding psychiatric

1	hospitals and psychiatric units in general hospitals,
2	restraints or seclusion may only be employed upon the written
3	<u>order of:</u>
4	(1) a physician licensed to practice medicine in
5	<u>all its branches;</u>
6	(2) a physician assistant as authorized under the
7	Physician Assistant Practice Act of 1987 or an advanced
8	practice nurse as authorized under the Nursing and
9	Advanced Practice Nursing Act; or
10	(3) a registered nurse, provided that the medical
11	staff of the hospital has adopted a policy authorizing
12	such practice and specifying the requirements that a
13	registered nurse must satisfy to order the use of
14	restraints or seclusion.
15	Section 15. The Abused and Neglected Long Term Care
16	Facility Residents Reporting Act is amended by changing
17	Sections 6.2, 6.3, 6.4, 6.5, 6.6, 6.7, and 6.8 as follows:
18	(210 ILCS 30/6.2) (from Ch. 111 1/2, par. 4166.2)
19	(Section scheduled to be repealed on January 1, 2002)
20	Sec. 6.2. Inspector General.
21	(a) The Governor shall appoint, and the Senate shall
22	confirm, an Inspector General. The Inspector General shall
23	be appointed for a term of 4 years and who shall function
24	within the Department of Human Services and report to the
25	Secretary of Human Services and the Governor. <u>The Inspector</u>
26	General shall function independently within the Department of
27	Human Services with respect to the operations of the office,
28	including the performance of investigations and issuance of
29	findings and recommendations. The Inspector General shall
30	independently submit to the Governor any request for
31	appropriations necessary for the ordinary and contingent
32	expenses of the Office of Inspector General, and

1 appropriations for that office shall be separate from the 2 Department of Human Services. The Inspector General shall investigate reports of suspected abuse or neglect (as those 3 terms are defined in Section 3 of this Act) of patients or 4 5 residents in any mental health or developmental disabilities 6 facility operated by the Department of Human Services and 7 shall have authority to investigate and take immediate action 8 on reports of abuse or neglect of recipients, whether 9 patients or residents, in any mental health or developmental disabilities facility or program that is 10 licensed or 11 certified by the Department of Human Services (as successor to the Department of Mental Health 12 and Developmental Disabilities) or that is funded by the Department of Human 13 Services (as successor to the Department of Mental Health and 14 Developmental Disabilities) and is not licensed or certified 15 16 by any agency of the State. At the specific, written request of an agency of the State other than the Department of Human 17 Services (as successor to the Department of Mental Health and 18 19 Developmental Disabilities), the Inspector General may cooperate in investigating reports of abuse and neglect of 20 21 persons with mental illness or persons with developmental 22 disabilities. The Inspector General shall have no 23 supervision over or involvement in routine, programmatic, licensure, or certification operations of the Department of 24 25 Human Services or any of its funded agencies.

The Inspector General shall promulgate rules establishing 26 minimum requirements for reporting allegations of abuse and 27 initiating, 28 neglect and conducting, and completing 29 investigations. The promulgated rules shall clearly set 30 forth that in instances where 2 or more State agencies could investigate an allegation of abuse or neglect, the Inspector 31 32 General shall not conduct an investigation that is redundant to an investigation conducted by another State agency. 33 The 34 rules shall establish criteria for determining, based upon

1 the nature of the allegation, the appropriate method of 2 investigation, which may include, but need not be limited to, 3 site visits, telephone contacts, or requests for written 4 responses from agencies. The rules shall also clarify how 5 the Office of the Inspector General shall interact with the 6 licensing unit of the Department of Human Services in 7 investigations of allegations of abuse or neglect. Any 8 allegations or investigations of reports made pursuant to 9 this Act shall remain confidential until a final report is completed. The resident or patient who allegedly was abused 10 11 or neglected and his or her legal guardian shall be informed by the facility or agency of the report of alleged abuse or 12 neglect. Final reports regarding unsubstantiated or unfounded 13 allegations shall remain confidential, except that final 14 15 reports may be disclosed pursuant to Section 6 of this Act.

16 The--Inspector-General-shall-be-appointed-for-a-term-of-4 17 years.

The Inspector General shall within 24 hours after 18 (b) 19 receiving a report of suspected abuse or neglect determine whether the evidence indicates that any possible criminal act 20 21 has been committed. If he determines that a possible criminal 22 act has been committed, or that special expertise is required 23 in the investigation, he shall immediately notify the Department of State Police. The Department of State Police 24 25 shall investigate any report indicating a possible murder, rape, or other felony. All investigations conducted by the 26 Inspector General shall be conducted in a manner designed to 27 ensure the preservation of evidence for possible use in a 28 criminal prosecution. 29

30 (b-5) The Inspector General shall make a determination to accept or reject a preliminary report of the investigation 31 based 32 alleged abuse neglect on established of or 33 investigative procedures. The facility or agency may request 34 clarification or reconsideration based on additional

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1 information. For cases where the allegation of abuse or 2 neglect is substantiated, the Inspector General shall require the facility or agency to submit a written response. 3 The 4 written response from a facility or agency shall address in a 5 concise and reasoned manner the actions that the agency or 6 facility will take or has taken to protect the resident or 7 patient from abuse or neglect, prevent reoccurrences, and 8 eliminate problems identified and shall include 9 implementation and completion dates for all such action.

(c) The Inspector General shall, within 10 calendar days 10 11 after the transmittal date of a completed investigation where abuse or neglect is substantiated or administrative action is 12 13 recommended, provide a complete report on the case to the Secretary of Human Services and to the agency in which the 14 15 abuse or neglect is alleged to have happened. The complete 16 report shall include a written response from the agency or facility operated by the State to the Inspector General that 17 addresses in a concise and reasoned manner the actions that 18 19 the agency or facility will take or has taken to protect the resident or patient from abuse prevent 20 or neglect, 21 reoccurrences, and eliminate problems identified and shall 22 include implementation and completion dates for all such 23 The Secretary of Human Services shall accept or action. reject the response and establish how the Department will 24 25 determine whether the facility or program followed the 26 approved response. The Secretary may require Department personnel to visit the facility or agency for training, 27 assistance, programmatic, 28 technical licensure, or certification purposes. Administrative action, 29 including 30 sanctions, may be applied should the Secretary reject the response or should the facility or agency fail to follow the 31 32 approved response. Within 30 days after the Secretary has 33 approved a response, the facility or agency making the response shall provide an implementation report to the 34

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1 Inspector General on the status of the corrective action implemented. Within 60 days after receiving the 2 3 implementation report, the Inspector General shall conduct an 4 investigation, which may include, but need not be limited to, site visits, telephone contacts, or requests for written 5 documentation from the facility or agency, to determine 6 7 whether the facility or agency is in compliance with the 8 approved response. The facility or agency shall inform the 9 resident or patient and the legal guardian whether the reported allegation was substantiated, unsubstantiated, or 10 11 unfounded. There shall be an appeals process for any person or agency that is subject to any action based on a 12 recommendation or recommendations. 13

Inspector General may 14 (d) The recommend to the Departments of Public Health and Human Services sanctions to 15 16 be imposed against mental health and developmental disabilities facilities under the jurisdiction of 17 the Department of Human Services for the protection of residents, 18 19 including appointment of on-site monitors or receivers, transfer or relocation of residents, and closure of units. 20 21 The Inspector General may seek the assistance of the Attorney 22 General or any of the several State's attorneys in imposing 23 such sanctions. Whenever the Inspector General issues any 24 recommendations to the Secretary of Human Services, the 25 Secretary shall provide a written response.

(e) The Inspector General shall establish and conduct
 periodic training programs for Department <u>of Human Services</u>
 employees concerning the prevention and reporting of neglect
 and abuse.

30 (f) The Inspector General shall at all times be granted 31 access to any mental health or developmental disabilities 32 facility operated by the Department <u>of Human Services</u>, shall 33 establish and conduct unannounced site visits to those 34 facilities at least once annually, and shall be granted 1 access, for the purpose of investigating a report of abuse or 2 neglect, to the records of the Department of Human Services and to any facility or program funded by the Department of 3 4 Human Services that is subject under the provisions of this Section to investigation by the Inspector General for a 5 report of abuse or neglect. 6

7 (g) Nothing in this Section shall limit investigations 8 by the Department of Human Services that may otherwise be required by law or that may be necessary in that Department's 9 capacity as the central administrative authority responsible 10 11 for the operation of State mental health and developmental 12 disability facilities.

(h)--This-Section-is-repealed-on-January-1,-2002. 13 (Source: P.A. 90-252, eff. 7-29-97; 90-512, eff. 8-22-97; 14 90-655, eff. 7-30-98; 91-169, eff. 7-16-99.) 15

(210 ILCS 30/6.3) (from Ch. 111 1/2, par. 4166.3) 16

17

(Section scheduled to be repealed on January 1, 2002) Sec. 6.3. Quality Care Board. There is created, within 18 the Department-of-Human-Services Office of the Inspector 19 20 General, a Quality Care Board to be composed of 7 members 21 appointed by the Governor with the advice and consent of the 22 One of the members shall be designated as chairman Senate. by the Governor. Of the initial appointments made by 23 the 24 Governor, 4 Board members shall each be appointed for a term of 4 years and 3 members shall each be appointed for a term 25 Upon the expiration of each member's term, a 26 of 2 years. successor shall be appointed for a term of 4 years. 27 In the 28 case of a vacancy in the office of any member, the Governor 29 shall appoint a successor for the remainder of the unexpired 30 term.

31 Members appointed by the Governor shall be qualified by 32 professional knowledge or experience in the area of law, 33 investigatory techniques, or in the area of care of the

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1 mentally ill or developmentally disabled. Two members 2 appointed by the Governor shall be persons with a disability 3 or a parent of a person with a disability. Members shall 4 serve without compensation, but shall be reimbursed for 5 expenses incurred in connection with the performance of their 6 duties as members.

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7 The Board shall meet quarterly, and may hold other 8 meetings on the call of the chairman. Four members shall 9 constitute a quorum. The Board may adopt rules and 10 regulations it deems necessary to govern its own procedures.

11 This-Section-is-repealed-on-January-1,-2002.

12 (Source: P.A. 91-169, eff. 7-16-99.)

14

13 (210 ILCS 30/6.4) (from Ch. 111 1/2, par. 4166.4)

(Section scheduled to be repealed on January 1, 2002)

Sec. 6.4. Scope and function of the Quality Care Board. The Board shall monitor and oversee the operations, policies, and procedures of the Inspector General to assure the prompt and thorough investigation of allegations of neglect and abuse. In fulfilling these responsibilities, the Board may do the following:

(1) Provide independent, expert consultation to the
 Inspector General on policies and protocols for
 investigations of alleged neglect and abuse.

24 (2) Review existing regulations relating to the
25 operation of facilities under the control of the
26 Department <u>of Human Services</u>.

27 (3) Advise the Inspector General as to the content
 28 of training activities authorized under Section 6.2.

(4) Recommend policies concerning methods for
improving the intergovernmental relationships between the
office of the Inspector General and other State or
federal agencies.

33 This-Section-is-repealed-on-January-1,-2002.

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1 (Source: P.A. 91-169, eff. 7-16-99.)

2 3 (210 ILCS 30/6.5) (from Ch. 111 1/2, par. 4166.5)

17

(Section scheduled to be repealed on January 1, 2002)

Within--60--days--after--the 4 Sec. 6.5. Investigators. 5 effective--date-of-this-amendatory-Act-of-1992, The Inspector General shall establish a comprehensive program to ensure 6 7 that every person employed or newly hired to conduct investigations shall receive training on an on-going basis 8 concerning investigative techniques, communication skills, 9 10 and the appropriate means of contact with persons admitted or committed to the mental health or developmental disabilities 11 facilities under the jurisdiction of the Department of Human 12 13 Services.

14 This-Section-is-repealed-on-January-1,-2002.
15 (Source: P.A. 91-169, eff. 7-16-99.)

16 (210 ILCS 30/6.6) (from Ch. 111 1/2, par. 4166.6)

(Section scheduled to be repealed on January 1, 2002)

Sec. 6.6. Subpoenas; testimony; penalty. The Inspector 18 19 General shall have the power to subpoena witnesses and compel 20 the production of books and papers pertinent to an 21 investigation authorized by this Act, provided that the power to subpoena or to compel the production of books and papers 22 23 shall not extend to the person or documents of a labor 24 organization or its representatives insofar as the person or 25 documents of a labor organization relate to the function of representing an employee subject to investigation under this 26 27 Act. Mental health records of patients shall be confidential 28 provided under the Mental Health and Developmental as 29 Disabilities Confidentiality Act. Any person who fails to 30 appear in response to a subpoena or to answer any question or 31 produce any books or papers pertinent to an investigation 32 under this Act, except as otherwise provided in this Section,

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or who knowingly gives false testimony in relation to an
 investigation under this Act is guilty of a Class A
 misdemeanor.

4 This-Section-is-repealed-on-January-1,-2002.
5 (Source: P.A. 91-169, eff. 7-16-99.)

(210 ILCS 30/6.7) (from Ch. 111 1/2, par. 4166.7) б (Section scheduled to be repealed on January 1, 2002) 7 8 Sec. 6.7. Annual report. The Inspector General shall provide to the General Assembly and the Governor, no later 9 10 than January 1 of each year, a summary of reports and investigations made under this Act for the prior fiscal year 11 with respect to residents of institutions 12 under the jurisdiction of the Department of Human Services. The report 13 shall detail the imposition of sanctions and the final 14 15 disposition of those recommendations. The summaries shall not contain any confidential or identifying information 16 17 concerning the subjects of the reports and investigations. 18 The report shall also include a trend analysis of the number of reported allegations and their disposition, for each 19 20 facility and Department-wide, for the most recent 3-year time 21 period and a statement, for each facility, of the 22 staffing-to-patient ratios. The ratios shall include only the number of direct care staff. 23 The report shall also 24 include detailed recommended administrative actions and matters for consideration by the General Assembly. 25

26 This-Section-is-repealed-on-January-1,-2002.
27 (Source: P.A. 91-169, eff. 7-16-99.)

(210 ILCS 30/6.8) (from Ch. 111 1/2, par. 4166.8)
(Section scheduled to be repealed on January 1, 2002)
Sec. 6.8. Program audit. The Auditor General shall
conduct a biennial program audit of the office of the
Inspector General in relation to the Inspector General's

1 compliance with this Act. The audit shall specifically 2 include the Inspector General's effectiveness in reports of alleged neglect or abuse of 3 investigating 4 residents in any facility operated by the Department of Human Services and in making recommendations for sanctions to 5 the 6 Departments of Human Services and Public Health. The Auditor 7 General shall conduct the program audit according to the 8 provisions of the Illinois State Auditing Act and shall 9 report its findings to the General Assembly no later than January 1 of each odd-numbered year. 10

11 This-Section-is-repealed-on-January-1,-2002.
12 (Source: P.A. 91-169, eff. 7-16-99.)

Section 20. The Nursing Home Care Act is amended by changing Sections 2-106 and 2-106.1 as follows:

15 (210 ILCS 45/2-106) (from Ch. 111 1/2, par. 4152-106) 16 Sec. 2-106. (a) For purposes of this Act, (i) a physical 17 restraint is any manual method or physical or mechanical device, material, or equipment attached or adjacent to a 18 19 resident's body that the resident cannot remove easily and 20 restricts freedom of movement or normal access to one's body; 21 (ii) a chemical restraint is any drug used for discipline or convenience and not required to treat medical symptoms. The 22 23 Department shall by rule, designate certain devices as restraints, including at least all those devices which have 24 been determined to be restraints by the United States 25 Department of Health and Human Services in interpretive 26 guidelines issued for the purposes of administering Titles 18 27 28 and 19 of the Social Security Acts.

(b) Neither restraints nor confinements shall be
employed for the purpose of punishment or for the convenience
of any facility personnel. No restraints or confinements
shall be employed except as ordered by a physician who

1 documents the need for such restraints or confinements in the 2 resident's clinical record. Whenever a resident is restrained, a member of the facility staff shall remain with 3 4 the resident at all times unless the resident has been 5 confined. A resident who is restrained and confined shall be observed by a qualified person as often as is clinically 6 appropriate but in no event less often than once every 15 7 8 minutes.

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9 A restraint may be used only with the informed (C) consent of the resident, the resident's guardian, or other 10 11 authorized representative. A restraint may be used only for specific periods, if it is the least restrictive means 12 necessary to attain and maintain the resident's highest 13 practicable physical, mental or psychosocial well-being, 14 15 including brief periods of time to provide necessary 16 life-saving treatment. A restraint may be used only after consultation with appropriate health professionals, such as 17 occupational or physical therapists, and a trial of less 18 19 restrictive measures has led to the determination that the use of less restrictive measures would not attain or maintain 20 21 the resident's highest practicable physical, mental or psychosocial well-being. However, if the resident needs 22 23 emergency care, restraints may be used for brief periods to permit medical treatment to proceed unless the facility has 24 25 notice that the resident has previously made a valid refusal 26 of the treatment in question.

27 (d) A restraint may be applied only by a person trained28 in the application of the particular type of restraint.

29 (e) Whenever а period of use of a restraint is 30 initiated, the resident shall be advised of his or her right to have a person or organization of his or her choosing, 31 32 including the Guardianship and Advocacy Commission, notified of the use of the restraint. A recipient who is under 33 34 guardianship may request that a person or organization of his

1 or her choosing be notified of the restraint, whether or not 2 the guardian approves the notice. If the resident so chooses, the facility shall make the notification within 24 3 4 hours, including any information about the period of time 5 that the restraint is to be used. Whenever the Guardianship 6 and Advocacy Commission is notified that a resident has been restrained, it shall contact the resident to determine the 7 circumstances of the restraint and whether further action is 8 9 warranted.

10 (f) Whenever a restraint is used on a resident whose 11 primary mode of communication is sign language, the resident 12 shall be permitted to have his or her hands free from 13 restraint for brief periods each hour, except when this 14 freedom may result in physical harm to the resident or 15 others.

16 (g) The requirements of this Section are intended to 17 control in any conflict with the requirements of Sections 18 1-126 and 2-108 of the Mental Health and Developmental 19 Disabilities Code.

20 (Source: P.A. 88-413.)

21

(210 ILCS 45/2-106.1)

22 Sec. 2-106.1. Drug treatment.

(a) A resident shall not be given unnecessary drugs. 23 An 24 unnecessary drug is any drug used in an excessive dose, including in duplicative therapy; for excessive duration; 25 without adequate monitoring; without adequate indications for 26 its use; or in the presence of adverse consequences that 27 indicate the drugs should be reduced or discontinued. 28 The 29 Department shall adopt, by rule, the standards for unnecessary drugs contained in interpretive guidelines issued 30 31 by the United States Department of Health and Human Services for the purposes of administering titles 18 and 19 of the 32 33 Social Security Act.

1 (b) Psychotropic medication shall not be prescribed 2 without the informed consent of the resident, the resident's guardian, or other authorized representative. "Psychotropic 3 4 medication" means medication that is used for or listed as for antipsychotic, antidepressant, antimanic, or 5 used 6 antianxiety behavior modification or behavior management 7 purposes in the latest editions of the AMA Drug Evaluations or the Physician's Desk Reference. 8

9 (c) The requirements of this Section are intended to 10 control in a conflict with the requirements of Sections <u>2-102</u> 11 <u>1-102</u> and 2-107.2 of the Mental Health and Developmental 12 Disabilities Code with respect to the administration of 13 psychotropic medication.

14 (Source: P.A. 88-413.)

Section 25. The Nursing and Advanced Practice Nursing
Act is amended by changing Section 5-10 as follows:

17 (225 ILCS 65/5-10)

Sec. 5-10. Definitions. Each of the following terms, when used in this Act, shall have the meaning ascribed to it in this Section, except where the context clearly indicates otherwise:

(a) "Department" means the Department of ProfessionalRegulation.

24 (b) "Director" means the Director of Professional25 Regulation.

26 (c) "Board" means the Board of Nursing appointed by the 27 Director.

(d) "Academic year" means the customary annual schedule of courses at a college, university, or approved school, customarily regarded as the school year as distinguished from the calendar year.

32 (e) "Approved program of professional nursing education"

and "approved program of practical nursing education" are
 programs of professional or practical nursing, respectively,
 approved by the Department under the provisions of this Act.

4 (f) "Nursing Act Coordinator" means a registered
5 professional nurse appointed by the Director to carry out the
6 administrative policies of the Department.

7 (g) "Assistant Nursing Act Coordinator" means a 8 registered professional nurse appointed by the Director to 9 assist in carrying out the administrative policies of the 10 Department.

11

(h) "Registered" is the equivalent of "licensed".

(i) "Practical nurse" or "licensed practical nurse" means a person who is licensed as a practical nurse under this Act and practices practical nursing as defined in paragraph (j) of this Section. Only a practical nurse licensed under this Act is entitled to use the title "licensed practical nurse" and the abbreviation "L.P.N.".

"Practical nursing" means the performance of nursing 18 (j) 19 acts requiring the basic nursing knowledge, judgement, and acquired by means of completion of an approved 20 skill 21 practical nursing education program. Practical nursing includes assisting in the nursing process as delegated by and 22 23 under the direction of a registered professional nurse. The practical nurse may work under the direction of a licensed 24 25 physician, dentist, podiatrist, or other health care professional determined by the Department. 26

"Registered 27 "Registered Nurse" or Professional (k) Nurse" means a person who is licensed as a professional nurse 28 29 under this Act and practices nursing as defined in paragraph 30 (1) of this Section. Only a registered nurse licensed under this Act is entitled to use the titles "registered nurse" and 31 32 "registered professional nurse" and the abbreviation, "R.N.". "Registered professional nursing practice" includes 33 (1)

34 all nursing specialities and means the performance of any

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1 nursing act based upon professional knowledge, judgment, and 2 skills acquired by means of completion of an approved registered professional nursing education program. 3 Α 4 registered professional nurse provides nursing care 5 emphasizing the importance of the whole and the 6 interdependence of its parts through the nursing process to 7 individuals, groups, families, or communities, that includes 8 but is not limited to: (1) the assessment of healthcare 9 needs, nursing diagnosis, planning, implementation, and 10 nursing evaluation; (2) the promotion, maintenance, and restoration of health; (3) counseling, patient education, 11 12 health education, and patient advocacy; (4) the administration of medications and treatments as prescribed by 13 a physician licensed to practice medicine in all of its 14 15 branches, a licensed dentist, a licensed podiatrist, or a 16 licensed optometrist or as prescribed by a physician assistant in accordance with written guidelines required 17 under the Physician Assistant Practice Act of 1987 or by an 18 19 advanced practice nurse in accordance with a written collaborative agreement required under the Nursing and 20 21 Advanced Practice Nursing Act; (5) the coordination and 22 management of the nursing plan of care; (6) the delegation to 23 and supervision of individuals who assist the registered professional nurse implementing the plan of care; and 24 (7) 25 teaching and supervision of nursing students; and (8) the 26 ordering of restraint or seclusion as authorized under the Hospital Licensing Act. The foregoing shall not be deemed to 27 include those acts of medical diagnosis or prescription of 28 29 therapeutic or corrective measures that are properly 30 performed only by physicians licensed in the State of Illinois. 31

32 (m) "Current nursing practice update course" means a 33 planned nursing education curriculum approved by the 34 Department consisting of activities that have educational

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objectives, instructional methods, content or subject matter, clinical practice, and evaluation methods, related to basic review and updating content and specifically planned for those nurses previously licensed in the United States or its territories and preparing for reentry into nursing practice.

6 (n) "Professional assistance program for nurses" means a 7 professional assistance program that meets criteria 8 established by the Board of Nursing and approved by the 9 Director, which provides a non-disciplinary treatment 10 approach for nurses licensed under this Act whose ability to 11 practice is compromised by alcohol or chemical substance 12 addiction.

13 (Source: P.A. 90-61, eff. 12-30-97; 90-248, eff. 1-1-98; 14 90-655, eff. 7-30-98; 90-742, eff. 8-13-98.)

15 Section 99. Effective date. This Section, Sections 10 16 and 25, the changes to Sections 6.2, 6.3, 6.4, 6.5, 6.6, 6.7, 17 and 6.8 of the Abused and Neglected Long Term Care Facility 18 Residents Reporting Act, and the changes to Section 3-203 of 19 the Nursing Home Care Act take effect upon becoming law.".

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