92_HB3051 LRB9208266LBcd

- 1 AN ACT in relation to medical practice.
- 2 Be it enacted by the People of the State of Illinois,
- 3 represented in the General Assembly:
- 4 Section 5. The Medical Practice Act of 1987 is amended
- 5 by changing Section 23 and adding Section 23.1 as follows:
- 6 (225 ILCS 60/23) (from Ch. 111, par. 4400-23)
- 7 Sec. 23. Reports relating to professional conduct and
- 8 capacity.
- 9 (A) Entities required to report.
- 10 (1) Health care institutions. The chief administrator or executive officer of any health care 11 institution licensed by the Illinois Department of Public 12 13 Health shall report to the Disciplinary Board when any person's clinical privileges are terminated or restricted 14 15 based on a final determination, in accordance with that institution's by-laws or rules and regulations, that a 16 person has either committed an act or acts which may 17 18 directly threaten patient care, and not of an 19 administrative nature, or that a person may be mentally 20 or physically disabled in such a manner as to endanger patients under that person's care. Such officer also 21 22 shall report if a person accepts voluntary termination or restriction of clinical privileges in lieu of formal 23 action based upon conduct related directly to patient 24 care and not of an administrative nature, or in lieu of 25 26 formal action seeking to determine whether a person may 27 be mentally or physically disabled in such a manner as to endanger patients under that person's care. The Medical 28 29 Disciplinary Board shall, by rule, provide for the reporting to the Board of all instances in which a 30 31 person, licensed under this Act, who is impaired by

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reason of age, drug or alcohol abuse, physical or mental impairment, is under supervision and, where appropriate, is in a program of rehabilitation. Such reports shall be strictly confidential and may be reviewed and considered only by the members of the Disciplinary Board, or by authorized staff as provided by rules of the Disciplinary Board. Provisions shall be made for the periodic report the status of any such person not less than twice annually in order that the Disciplinary Board shall have current information upon which to determine the status of any such person. Such initial and periodic reports of impaired physicians shall not be considered records within the meaning of The State Records Act and shall be of, following determination disposed a the Disciplinary Board that such reports are no required, in а manner and at such time as Disciplinary Board shall determine by rule. The filing of such reports shall be construed as the filing of a report for purposes of subsection (C) of this Section.

- (2) Professional associations. The President or chief executive officer of any association or society, of persons licensed under this Act, operating within this State shall report to the Disciplinary Board when the association or society renders a final determination that a person has committed unprofessional conduct related directly to patient care or that a person may be mentally or physically disabled in such a manner as to endanger patients under that person's care.
- (3) Professional liability insurers. Every insurance company which offers policies of professional liability insurance to persons licensed under this Act, or any other entity which seeks to indemnify the professional liability of a person licensed under this Act, shall report to the Disciplinary Board the

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settlement of any claim or cause of action, or final judgment rendered in any cause of action, which alleged negligence in the furnishing of medical care by such licensed person when such settlement or final judgment is in favor of the plaintiff.

- each county shall report to the Disciplinary Board all instances in which a person licensed under this Act is convicted or otherwise found guilty of the commission of any felony. The State's Attorney of each county may report to the Disciplinary Board through a verified complaint any instance in which the State's Attorney believes that a physician has willfully violated the notice requirements of the Parental Notice of Abortion Act of 1995.
- (5) State agencies. All agencies, commissions, departments, or other instrumentalities of government of the State of Illinois shall report to the Disciplinary Board any instance arising in connection with the operations of such agency, including the administration of any law by such agency, in which a person licensed under this Act has either committed an act or acts which may be a violation of this Act or which may constitute unprofessional conduct related directly to patient care or which indicates that a person licensed under this Act may be mentally or physically disabled in such a manner as to endanger patients under that person's care.
- (B) Mandatory reporting. All reports required by items (34), (35), and (36) of subsection (A) of Section 22 and by Section 23 shall be submitted to the Disciplinary Board in a timely fashion. The reports shall be filed in writing within 60 days after a determination that a report is required under this Act. All reports shall contain the following

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- 2 (1) The name, address, and telephone number of the person making the report.
 - (2) The name, address, and telephone number of the person who is the subject of the report.
 - (3) The name or other means of identification of any patient or patients whose treatment is a subject of the report, provided, however, no medical records may be revealed without the written consent of the patient or patients.
 - (4) A brief description of the facts which gave rise to the issuance of the report, including the dates of any occurrences deemed to necessitate the filing of the report.
 - (5) If court action is involved, the identity of the court in which the action is filed, along with the docket number and date of filing of the action.
 - (6) Any further pertinent information which the reporting party deems to be an aid in the evaluation of the report.
- 2.1 The Department shall have the right to inform patients of 22 the right to provide written consent for the Department to 23 obtain copies of hospital and medical records. Disciplinary Board or Department may exercise the power under 24 25 Section 38 of this Act to subpoena copies of hospital or medical records in mandatory report cases alleging death or 26 permanent bodily injury when consent to obtain records is not 27 provided by a patient or legal representative. 28 Appropriate rules shall be adopted by the Department with the approval of 29 30 the Disciplinary Board.
- When the Department has received written reports concerning incidents required to be reported in items (34), (35), and (36) of subsection (A) of Section 22, the
- 34 licensee's failure to report the incident to the Department

1 under those items shall not be the sole grounds for 2 disciplinary action.

Nothing contained in this Section shall act to 3 in any 4 way, waive or modify the confidentiality of medical reports and committee reports to the extent provided by law. 5 for information required for physician profiles under Section 6 7 23.1 of this Act, any information reported or disclosed shall be kept for the confidential use of the Disciplinary Board, 8 9 the Medical Coordinators, the Disciplinary Board's attorneys, the medical investigative staff, and authorized clerical 10 11 staff, as provided in this Act, and shall be afforded the same status as is provided information concerning medical 12 studies in Part 21 of Article VIII of the Code of Civil 13 Procedure. 14

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- (C) Immunity from prosecution. Any individual or organization acting in good faith, and not in a wilful and wanton manner, in complying with this Act by providing any report or other information to the Disciplinary Board, or assisting in the investigation or preparation of such information, or by participating in proceedings of the Disciplinary Board, or by serving as a member of the Disciplinary Board, shall not, as a result of such actions, be subject to criminal prosecution or civil damages.
- (D) Indemnification. Members of the Disciplinary Board, 24 25 the Medical Coordinators, the Disciplinary Board's attorneys, the medical investigative staff, physicians retained under 26 contract to assist and advise the medical coordinators in the 27 and authorized clerical staff shall be investigation, 28 29 indemnified by the State for any actions occurring within the 30 scope of services on the Disciplinary Board, done in good faith and not wilful and wanton in nature. The Attorney 31 32 General shall defend all such actions unless he or she determines either that there would be a conflict of interest 33 34 in such representation or that the actions complained of were

- 1 not in good faith or were wilful and wanton.
- 2 Should the Attorney General decline representation, the
- 3 member shall have the right to employ counsel of his or her
- 4 choice, whose fees shall be provided by the State, after
- 5 approval by the Attorney General, unless there is a
- 6 determination by a court that the member's actions were not
- 7 in good faith or were wilful and wanton.
- 8 The member must notify the Attorney General within 7 days
- 9 of receipt of notice of the initiation of any action
- 10 involving services of the Disciplinary Board. Failure to so
- 11 notify the Attorney General shall constitute an absolute
- waiver of the right to a defense and indemnification.
- 13 The Attorney General shall determine within 7 days after
- 14 receiving such notice, whether he or she will undertake to
- 15 represent the member.
- 16 (E) Deliberations of Disciplinary Board. Upon the
- 17 receipt of any report called for by this Act, other than
- 18 those reports of impaired persons licensed under this Act
- 19 required pursuant to the rules of the Disciplinary Board, the
- 20 Disciplinary Board shall notify in writing, by certified
- 21 mail, the person who is the subject of the report. Such
- 22 notification shall be made within 30 days of receipt by the
- 23 Disciplinary Board of the report.
- 24 The notification shall include a written notice setting
- forth the person's right to examine the report. Included in
- 26 such notification shall be the address at which the file is
- 27 maintained, the name of the custodian of the reports, and the
- 28 telephone number at which the custodian may be reached. The
- 29 person who is the subject of the report shall submit a
- 30 written statement responding, clarifying, adding to, or
- 31 proposing the amending of the report previously filed. The
- 32 statement shall become a permanent part of the file and must
- 33 be received by the Disciplinary Board no more than 60 days
- 34 after the date on which the person was notified by the

1 Disciplinary Board of the existence of the original report.

2 The Disciplinary Board shall review all reports received

3 by it, together with any supporting information and

responding statements submitted by persons who are the

subject of reports. The review by the Disciplinary Board

6 shall be in a timely manner but in no event, shall the

Disciplinary Board's initial review of the material contained

8 in each disciplinary file be less than 61 days nor more than

9 180 days after the receipt of the initial report by the

10 Disciplinary Board.

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11 When the Disciplinary Board makes its initial review of 12 the materials contained within its disciplinary files, the 13 Disciplinary Board shall, in writing, make a determination as 14 to whether there are sufficient facts to warrant further 15 investigation or action. Failure to make such determination 16 within the time provided shall be deemed to be a 17 determination that there are not sufficient facts to warrant

18 further investigation or action.

Should the Disciplinary Board find that there are not 19 sufficient facts to warrant further investigation, or action, 20 2.1 the report shall be accepted for filing and the matter shall 22 be deemed closed and so reported to the Director. The 23 Director shall then have 30 days to accept the Medical Disciplinary Board's decision 24 or request 25 investigation. The Director shall inform the Board in writing of the decision to request further investigation, 26 including the specific reasons for the decision. 27 individual or entity filing the original report or complaint 28 29 and the person who is the subject of the report or complaint 30 shall be notified in writing by the Director of any final action on their report or complaint. 31

32 (F) Summary reports. The Disciplinary Board shall 33 prepare, on a timely basis, but in no event less than one 34 every other month, a summary report of final actions taken

- 1 upon disciplinary files maintained by the Disciplinary Board.
- 2 The summary reports shall be sent by the Disciplinary Board
- 3 to every health care facility licensed by the Illinois
- 4 Department of Public Health, every professional association
- 5 and society of persons licensed under this Act functioning on
- 6 a statewide basis in this State, the American Medical
- 7 Association, the American Osteopathic Association, the
- 8 American Chiropractic Association, all insurers providing
- 9 professional liability insurance to persons licensed under
- 10 this Act in the State of Illinois, the Federation of State
- 11 Medical Licensing Boards, and the Illinois Pharmacists
- 12 Association.
- 13 (G) Any violation of this Section shall be a Class A
- 14 misdemeanor.
- 15 (H) If any such person violates the provisions of this
- 16 Section an action may be brought in the name of the People of
- 17 the State of Illinois, through the Attorney General of the
- 18 State of Illinois, for an order enjoining such violation or
- 19 for an order enforcing compliance with this Section. Upon
- 20 filing of a verified petition in such court, the court may
- 21 issue a temporary restraining order without notice or bond
- 22 and may preliminarily or permanently enjoin such violation,
- 23 and if it is established that such person has violated or is
- violating the injunction, the court may punish the offender
- 25 for contempt of court. Proceedings under this paragraph
- 26 shall be in addition to, and not in lieu of, all other
- 27 remedies and penalties provided for by this Section.
- 28 (Source: P.A. 89-18, eff. 6-1-95; 89-702, eff. 7-1-97;
- 29 90-699, eff. 1-1-99.)
- 30 (225 ILCS 60/23.1 new)
- 31 <u>Sec. 23.1. Reports by insurers; physician profiling.</u>
- 32 <u>(a) An insurer, a joint underwriting association, a</u>
- 33 <u>self-insurer, or any other type of business that provides</u>

1	medical malpractice insurance to a licensed physician shall
2	report to the Department any claim or action for damages for
3	personal injuries claimed to have been caused by error,
4	omission, or negligence in the performance of the physician's
5	professional services or based upon a claimed performance of
6	professional medical services without consent, if the claim
7	resulted in (i) a final judgment in any amount; (ii) a
8	settlement in any amount; or (iii) a final disposition not
9	resulting in payment on behalf of the insured.
10	(b) The report required by subsection (a) shall include
11	the following:
12	(1) the name, address, and specialty coverage of
13	the insured;
14	(2) the insured's policy number;
15	(3) the date of the occurrence for which the claim
16	was made;
17	(4) the date the claim was reported to the insurer
18	or self-insurer;
19	(5) the name and address of the injured person;
20	(6) the injured person's age and sex;
21	(7) the date of the lawsuit, if filed;
22	(8) the total number and names of all of the
23	defendants involved in the claim;
24	(9) the date and amount of judgment, if any,
25	including any itemized breakdown of the verdict;
26	(10) the date and amount of settlement and a copy
27	of the settlement;
28	(11) the date and reason for final disposition, if
29	any; and
30	(12) any other information that the Department may
31	require.
32	(c) The information given in paragraph (5) of subsection
33	(b) of this Section is confidential and shall only be used by
34	the Department for purposes of identifying multiple or

duplicate claims arising out of the same occurrence

- 2 (d) The insurer or self-insurer shall include, with the
- 3 report, a summary of the occurrence for which the claim was
- 4 <u>made</u>. The summary shall include the following:
- 5 (1) the name of the institution, if any, and the
- 6 <u>location</u> within the institution where the injury
- 7 <u>occurred;</u>
- 8 (2) the final diagnosis for which treatment was
- 9 sought or rendered, including the patient's actual
- 10 <u>condition;</u>
- 11 (3) a description of the misdiagnosis made, if any,
- of the patient's actual condition;
- 13 <u>(4) the operation, diagnosis, or treatment</u>
- 14 <u>procedure causing the injury; and</u>
- 15 (5) a description of the principal injury giving
- 16 <u>rise to the claim.</u>
- 17 <u>(e) The Department shall create individual profiles of</u>
- 18 <u>licensed physicians and shall make the profiles available to</u>
- 19 <u>the public through the Department's website.</u> The profiles
- 20 <u>shall</u> be <u>based</u> on the information that is furnished to the
- 21 <u>Department as provided for in this Act.</u>
- 22 <u>(f) The Department shall post a disclaimer on its</u>
- 23 <u>website containing the profile information, explaining the</u>
- 24 <u>nature and source of the information in the profile.</u>
- 25 (g) An insurer and its agents and employees and the
- 26 <u>Department and its employees are immune from any liability</u>
- 27 <u>arising out of reporting or publishing information as</u>
- 28 <u>required by this Section.</u>
- 29 Section 99. Effective date. This Act takes effect upon
- 30 becoming law.