

## 97TH GENERAL ASSEMBLY State of Illinois 2011 and 2012 SB1602

Introduced 2/9/2011, by Sen. Michael W. Frerichs

## SYNOPSIS AS INTRODUCED:

225	ILCS	25/4	from	Ch.	111,	par.	2304
225	ILCS	25/5.1 new					
225	ILCS	25/9	from	Ch.	111,	par.	2309
225	ILCS	25/16	from	Ch.	111,	par.	2316
225	ILCS	25/16.1	from	Ch.	111,	par.	2316.1
225	ILCS	25/17	from	Ch.	111,	par.	2317

Amends the Illinois Dental Practice Act. Provides a definition for "mobile dental van or portable dental unit". Creates a provision that requires the Department of Financial and Professional Regulation and Department of Healthcare and Family Services to establish rules for the regulation and registration of all entities or individuals who own or operate a mobile dental van or portable dental unit that provides restorative, screening, or preventative care to patients in schools and other Illinois settings. Provides that the State Board may approve other state or regional board exams if other state or regional board exams are deemed to meet the minimal requirements for licensure in Illinois. Permits the Department to accept up to 4 hours of continuing education credit hours per license renewal period for volunteers who provide clinical services at or sponsored by, a nonprofit community clinic, local or state health departments, or at a charity event sponsored by an approved dental organization. Makes other changes. Effective January 1, 2012.

LRB097 02876 CEL 42900 b

1 AN ACT concerning regulation.

## Be it enacted by the People of the State of Illinois,

## **represented in the General Assembly:**

- 4 Section 5. The Illinois Dental Practice Act is amended by
- 5 changing Sections 4, 9, 16, 16.1, and 17 and by adding Section
- 6 5.1 as follows:
- 7 (225 ILCS 25/4) (from Ch. 111, par. 2304)
- 8 (Section scheduled to be repealed on January 1, 2016)
- 9 Sec. 4. Definitions. As used in this Act:
- 10 (a) "Department" means the Illinois Department of
- 11 Professional Regulation.
- 12 (b) "Director" means the Director of Professional
- 13 Regulation.
- 14 (c) "Board" means the Board of Dentistry established by
- 15 Section 6 of this Act.
- 16 (d) "Dentist" means a person who has received a general
- 17 license pursuant to paragraph (a) of Section 11 of this Act and
- 18 who may perform any intraoral and extraoral procedure required
- in the practice of dentistry and to whom is reserved the
- 20 responsibilities specified in Section 17.
- (e) "Dental hygienist" means a person who holds a license
- 22 under this Act to perform dental services as authorized by
- 23 Section 18.

- 1 (f) "Dental assistant" means an appropriately trained 2 person who, under the supervision of a dentist, provides dental 3 services as authorized by Section 17.
- 4 (g) "Dental laboratory" means a person, firm or corporation
  5 which:
  - (i) engages in making, providing, repairing or altering dental prosthetic appliances and other artificial materials and devices which are returned to a dentist for insertion into the human oral cavity or which come in contact with its adjacent structures and tissues; and
  - (ii) utilizes or employs a dental technician to provide such services; and
  - (iii) performs such functions only for a dentist or dentists.
    - (h) "Supervision" means supervision of a dental hygienist or a dental assistant requiring that a dentist authorize the procedure, remain in the dental facility while the procedure is performed, and approve the work performed by the dental hygienist or dental assistant before dismissal of the patient, but does not mean that the dentist must be present at all times in the treatment room.
    - (i) "General supervision" means supervision of a dental hygienist requiring that the patient be a patient of record, that the dentist examine the patient in accordance with Section 18 prior to treatment by the dental hygienist, and that the dentist authorize the procedures which are being carried out by

- a notation in the patient's record, but not requiring that a
  dentist be present when the authorized procedures are being
  performed. The issuance of a prescription to a dental
  laboratory by a dentist does not constitute general
  supervision.
  - (j) "Public member" means a person who is not a health professional. For purposes of board membership, any person with a significant financial interest in a health service or profession is not a public member.
  - (k) "Dentistry" means the healing art which is concerned with the examination, diagnosis, treatment planning and care of conditions within the human oral cavity and its adjacent tissues and structures, as further specified in Section 17.
  - (1) "Branches of dentistry" means the various specialties of dentistry which, for purposes of this Act, shall be limited to the following: endodontics, oral and maxillofacial surgery, orthodontics and dentofacial orthopedics, pediatric dentistry, periodontics, prosthodontics, and oral and maxillofacial radiology.
  - (m) "Specialist" means a dentist who has received a specialty license pursuant to Section 11(b).
    - (n) "Dental technician" means a person who owns, operates or is employed by a dental laboratory and engages in making, providing, repairing or altering dental prosthetic appliances and other artificial materials and devices which are returned to a dentist for insertion into the human oral cavity or which

- 1 come in contact with its adjacent structures and tissues.
  - (o) "Impaired dentist" or "impaired dental hygienist" means a dentist or dental hygienist who is unable to practice with reasonable skill and safety because of a physical or mental disability as evidenced by a written determination or written consent based on clinical evidence, including deterioration through the aging process, loss of motor skills, abuse of drugs or alcohol, or a psychiatric disorder, of sufficient degree to diminish the person's ability to deliver competent patient care.
    - (p) "Nurse" means a registered professional nurse, a certified registered nurse anesthetist licensed as an advanced practice nurse, or a licensed practical nurse licensed under the Nurse Practice Act.
    - (q) "Patient of record" means a patient for whom the patient's most recent dentist has obtained a relevant medical and dental history and on whom the dentist has performed an examination and evaluated the condition to be treated.
    - (r) "Dental emergency responder" means a dentist or dental hygienist who is appropriately certified in emergency medical response, as defined by the Department of Public Health.
    - (s) "Mobile dental van or portable dental unit" means any self-contained or portable dental unit in which dentistry is practiced that can be moved, towed, or transported from one location to another in order to establish a location where dental services can be provided.

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1 (Source: P.A. 94-409, eff. 12-31-05; 95-639, eff. 10-5-07.)

2 (225 ILCS 25/5.1 new)

- Sec. 5.1. Mobile dental van or portable dental unit; regulations. The Department, in conjunction with the Department of Healthcare and Family Services, shall establish by rule a program for the regulation and registration of all entities or individuals owning or operating a mobile dental van or portable dental unit that provides restorative, screening, and preventative care to patients in schools and other settings in Illinois. These rules shall include license information and address and follow up contact information of the entity owning or otherwise using a mobile dental van or portable dental unit. The Department shall establish rules on record retention and access by patients treated by a mobile dental van or portable dental unit.
- 16 (225 ILCS 25/9) (from Ch. 111, par. 2309)
- 17 (Section scheduled to be repealed on January 1, 2016)
- 18 Sec. 9. Qualifications of Applicants for Dental Licenses.
- 19 The Department shall require that each applicant for a license
- 20 to practice dentistry shall:
- 21 (a) (Blank).
- (b) Be at least 21 years of age and of good moral
- character.
- 24 (c) (1) Present satisfactory evidence of completion of

dental education by graduation from a dental college or school in the United States or Canada approved by the Department. The Department shall not approve any dental college or school which does not require at least (A) 60 semester hours of collegiate credit or the equivalent in acceptable subjects from a college or university before admission, and (B) completion of at least 4 academic years of instruction or the equivalent in an approved dental college or school that is accredited by the Commission on Dental Accreditation of the American Dental Association; or

- (2) Present satisfactory evidence of completion of dental education by graduation from a dental college or school outside the United States or Canada and provide satisfactory evidence that:
  - (A) (blank);
  - (B) the applicant has completed a minimum of 2 academic years of general dental clinical training at a dental college or school in the United States or Canada approved by the Department, however, an accredited advanced dental education program approved by the Department of no less than 2 years may be substituted for the 2 academic years of general dental clinical training and an applicant who was enrolled for not less than one year in an approved clinical program prior to January 1, 1993 at an Illinois dental college or school

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shall be required to complete only that program; and

(C) the applicant has received certification from the dean of an approved dental college or school in the United States or Canada or the program director of an approved advanced dental education program stating that the applicant has achieved the same level of scientific knowledge and clinical competence as required of all graduates of the college, school, or advanced dental education program.

Nothing in this Act shall be construed to prevent either the Department or any dental college or school from establishing higher standards than specified in this Act.

- (d) (Blank).
- (e) Present satisfactory evidence that the applicant passed both parts of the National Board Dental Examination administered by the Joint Commission on National Dental Examinations and has successfully completed an examination conducted by one of the following regional testing services: the Central Regional Dental Testing Service, Inc. (CRDTS), the Southern Regional Testing Agency, Inc. (SRTA), the Western Regional Examining Board (WREB), or the North East Regional Board (NERB). In addition, the State Board may approve other state or regional board exams if other state or regional board exams are deemed to meet the minimal requirements for licensure in Illinois. For purposes of this Section,

successful completion shall mean that the applicant has achieved a minimum passing score as determined by the applicable regional testing service. The Secretary of the Department may suspend a regional testing service under this subsection (e) if, after proper notice and hearing, it is established that (i) the integrity of the examination has been breached so as to make future test results unreliable or (ii) the test is fundamentally deficient in testing clinical competency.

In determining professional capacity under this Section, any individual who has not been actively engaged in the practice of dentistry, has not been a dental student, or has not been engaged in a formal program of dental education during the 5 years immediately preceding the filing of an application may be required to complete such additional testing, training, or remedial education as the Board may deem necessary in order to establish the applicant's present capacity to practice dentistry with reasonable judgment, skill, and safety.

(Source: P.A. 96-14, eff. 6-19-09; 96-1000, eff. 7-2-10;

21 (225 ILCS 25/16) (from Ch. 111, par. 2316)

96-1222, eff. 7-23-10.)

- 22 (Section scheduled to be repealed on January 1, 2016)
- Sec. 16. Expiration, renewal and restoration of licenses.
- 24 The expiration date and renewal date for each license issued
- 25 under this Act shall be set by rule. The renewal period for

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each license issued under this Act shall be 3 years. A dentist or dental hygienist may renew a license during the month preceding its expiration date by paying the required fee. A dentist shall certify to the Department at the time of renewal that he or she possesses current certification by an organization that has adopted the American Heart Association's guidelines on Basic Life Support (BLS) intended for healthcare providers. The Department shall provide exemptions from this requirement in the case of a physical disability that would preclude the dentist from performing BLS. A dental hygienist shall provide proof of current Basic Life Support cardiopulmonary resuscitation certification at the time of renewal. Basic Life Support Cardiopulmonary resuscitation certification training taken as a requirement of this Section shall be counted for no more than 4 hours during each licensure period towards the continuing education hours under Section 16.1 of this Act.

Any dentist or dental hygienist whose license has expired or whose license is on inactive status may have his license restored at any time within 5 years after the expiration thereof, upon payment of the required fee and a showing of proof of compliance with current continuing education requirements, as provided by rule.

Any person whose license has been expired for more than 5 years or who has had his license on inactive status for more than 5 years may have his license restored by making

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application to the Department and filing proof acceptable to the Department of taking continuing education and of his fitness to have the license restored, including sworn evidence certifying to active practice in another jurisdiction, and by paying the required restoration fee. A person practicing on an expired license is deemed to be practicing without a license. However, a holder of a license may renew the license within 90 days after its expiration by complying with the requirements for renewal and payment of an additional fee. A license renewal within 90 davs after expiration shall be effective retroactively to the expiration date.

If a person whose license has expired or who has had his license on inactive status for more than 5 years has not maintained an active practice satisfactory to the department, the Department shall determine, by an evaluation process established by rule, his or her fitness to resume active status and may require the person to complete a period of evaluated clinical experience and may require successful completion of a practical examination.

However, any person whose license has expired while he has been engaged (1) in federal or state service active duty, or (2) in training or education under the supervision of the United States preliminary to induction into the military service, may have his license restored without paying any lapsed renewal or restoration fee, if within 2 years after termination of such service, training or education other than

- 1 by dishonorable discharge, he furnishes the Department with
- 2 satisfactory proof that he has been so engaged and that his
- 3 service, training or education has been so terminated.
- 4 (Source: P.A. 96-617, eff. 8-24-09.)
- 5 (225 ILCS 25/16.1) (from Ch. 111, par. 2316.1)
- 6 (Section scheduled to be repealed on January 1, 2016)
- Sec. 16.1. Continuing education. The Department shall promulgate rules of continuing education for persons licensed under this Act. In establishing rules, the Department shall require a minimum of 48 hours of study in approved courses for dentists during each 3-year licensing period and a minimum of 36 hours of study in approved courses for dental hygienists
- during each 3-year licensing period.
- 14 The Department shall approve only courses that are relevant 15 to the treatment and care of patients, including, but not 16 limited to, clinical courses in dentistry and dental hygiene and nonclinical courses such as patient management, legal and 17 18 ethical responsibilities, and stress management. The Department shall allow up to 4 hours of continuing education 19 credit hours per license renewal period for volunteer hours 20 21 spent providing clinical services at, or sponsored by, a 22 nonprofit community clinic, local or state health department, 23 or a charity event sponsored by an approved dental 24 organization. Courses shall not be approved in such subjects as

estate and financial planning, investments, or personal

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health. Approved courses may include, but shall not be limited to, courses that are offered or sponsored by approved colleges, universities, and hospitals and by recognized national, State,

and local dental and dental hygiene organizations.

No license shall be renewed unless the renewal application is accompanied by an affidavit indicating that the applicant has completed the required minimum number of hours of continuing education in approved courses as required by this Section. The affidavit shall not require a listing of courses. The affidavit shall be a prima facie evidence that the applicant has obtained the minimum number of required continuing education hours in approved courses. The Department shall not be obligated to conduct random audits or otherwise independently verify that an applicant has met the continuing education requirement. The Department, however, conduct random audits of more than 10% of the licensed dentists and dental hygienists in any one licensing cycle to verify compliance with continuing education requirements. If Department, however, receives a complaint that a licensee has not completed the required continuing education or if the Department is investigating another alleged violation of this Act by a licensee, the Department may demand and shall be entitled to receive evidence from any licensee of completion of required continuing education courses for the most recently completed 3-year licensing period. Evidence of continuing education may include, but is not limited to, canceled checks,

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official verification forms of attendance, and continuing 1 2 education recording forms, that demonstrate a reasonable 3 record of attendance. The Illinois State Board of Dentistry shall determine, in accordance with rules adopted by the 5 Department, whether a licensee or applicant has met the 6 continuing education requirements. Any dentist who holds more than one license under this Act shall be required to complete 7 8 only the minimum number of hours of continuing education 9 required for renewal of a single license. The Department may 10 provide exemptions from continuing education requirements. The 11 exemptions shall include, but shall not be limited to, dentists 12 and dental hygienists who agree not to practice within the 13 State during the licensing period because they are retired from 14 practice.

- 15 (Source: P.A. 94-409, eff. 12-31-05.)
- 16 (225 ILCS 25/17) (from Ch. 111, par. 2317)
- 17 (Section scheduled to be repealed on January 1, 2016)
- Sec. 17. Acts Constituting the Practice of Dentistry. A person practices dentistry, within the meaning of this Act:
  - (1) Who represents himself as being able to diagnose or diagnoses, treats, prescribes, or operates for any disease, pain, deformity, deficiency, injury, or physical condition of the human tooth, teeth, alveolar process, gums or jaw; or
- 25 (2) Who is a manager, proprietor, operator or conductor

of a business where dental operations are performed; or
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- (3) Who performs dental operations of any kind; or
- (4) Who uses an X-Ray machine or X-Ray films for dental diagnostic purposes; or
- (5) Who extracts a human tooth or teeth, or corrects or attempts to correct malpositions of the human teeth or jaws; or
- (6) Who offers or undertakes, by any means or method, to diagnose, treat or remove stains, calculus, and bonding materials from human teeth or jaws; or
- (7) Who uses or administers local or general anesthetics in the treatment of dental or oral diseases or in any preparation incident to a dental operation of any kind or character; or
- (8) Who takes impressions of the human tooth, teeth, or jaws or performs any phase of any operation incident to the replacement of a part of a tooth, a tooth, teeth or associated tissues by means of a filling, crown, a bridge, a denture or other appliance; or
- (9) Who offers to furnish, supply, construct, reproduce or repair, or who furnishes, supplies, constructs, reproduces or repairs, prosthetic dentures, bridges or other substitutes for natural teeth, to the user or prospective user thereof; or
- (10) Who instructs students on clinical matters or performs any clinical operation included in the curricula

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of recognized dental schools and colleges; or

(11) Who takes impressions of human teeth or places his or her hands in the mouth of any person for the purpose of teeth whitening materials, or applying who impressions of human teeth or places his or her hands in the mouth of any person for the purpose of assisting in the application of teeth whitening materials. A person does not practice dentistry when he or she discloses to the consumer that he or she is not licensed as a dentist under this Act and (i) discusses the use of teeth whitening materials with consumer purchasing these materials; (ii) provides instruction on the use of teeth whitening materials with a consumer purchasing these materials; or (iii) provides appropriate equipment on-site to the consumer for the consumer to self-apply teeth whitening materials.

The fact that any person engages in or performs, or offers to engage in or perform, any of the practices, acts, or operations set forth in this Section, shall be prima facie evidence that such person is engaged in the practice of dentistry.

The following practices, acts, and operations, however, are exempt from the operation of this Act:

(a) The rendering of dental relief in emergency cases in the practice of his or her profession by a physician or surgeon, licensed as such under the laws of this State, unless he undertakes to reproduce or reproduces lost parts

of the human teeth in the mouth or to restore or replace lost or missing teeth in the mouth; or

- (b) The practice of dentistry in the discharge of their official duties by dentists in any branch of the Armed Services of the United States, the United States Public Health Service, or the United States Veterans Administration; or
- (c) The practice of dentistry by students in their course of study in dental schools or colleges approved by the Department, when acting under the direction and supervision of dentists acting as instructors; or
- (d) The practice of dentistry by clinical instructors in the course of their teaching duties in dental schools or colleges approved by the Department:
  - (i) when acting under the direction and supervision of dentists, provided that such clinical instructors have instructed continuously in this State since January 1, 1986; or
  - (ii) when holding the rank of full professor at such approved dental school or college and possessing a current valid license or authorization to practice dentistry in another country; or
- (e) The practice of dentistry by licensed dentists of other states or countries at meetings of the Illinois State Dental Society or component parts thereof, alumni meetings of dental colleges, or any other like dental organizations,

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while	appearing	as	clinicians;	$\circ r$
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- (f) The use of X-Ray machines for exposing X-Ray films of dental or oral tissues by dental hygienists or dental assistants; or
- (g) The performance of any dental service by a dental assistant, if such service is performed under the supervision and full responsibility of a dentist.

For purposes of this paragraph (g), "dental service" is defined to mean any intraoral procedure or act which shall be prescribed by rule or regulation of the Department. Dental service, however, shall not include:

- (1) Any and all diagnosis of or prescription for treatment of disease, pain, deformity, deficiency, injury or physical condition of the human teeth or jaws, or adjacent structures.
- (2) Removal of, or restoration of, or addition to the hard or soft tissues of the oral cavity, except for the placing, carving, and finishing of amalgam restorations by dental assistants who have had additional formal education and certification as determined by the Department.
- (3) Any and all correction of malformation of teeth or of the jaws.
- (4) Administration of anesthetics, except for application of topical anesthetics and monitoring of nitrous oxide. Monitoring of nitrous oxide may be

performed after successful completion of a training
program approved by the Department.

- (5) Removal of calculus from human teeth.
- (6) Taking of impressions for the fabrication of prosthetic appliances, crowns, bridges, inlays, onlays, or other restorative or replacement dentistry.
- (7) The operative procedure of dental hygiene consisting of oral prophylactic procedures, except for coronal polishing, which may be performed by a dental assistant who has successfully completed a training program approved by the Department. Dental assistants may perform coronal polishing under the following circumstances: (i) the coronal polishing shall be limited to polishing the clinical crown of the tooth and existing restorations, supragingivally; (ii) the dental assistant performing the coronal polishing shall be limited to the use of rotary instruments using a rubber cup or brush polishing method (air polishing is not permitted); and (iii) the supervising dentist shall not supervise more than 4 dental assistants at any one time for the task of coronal polishing.
- (h) The practice of dentistry by an individual who:
- (i) has applied in writing to the Department, in form and substance satisfactory to the Department, for a general dental license and has complied with all provisions of Section 9 of this Act, except for the

passage	e of	the	exar	ninati	on	specified	in	subsection
(e), of	Sect	cion 9	, of	this	Act;	or		

- (ii) has applied in writing to the Department, in form and substance satisfactory to the Department, for a temporary dental license and has complied with all provisions of subsection (c), of Section 11, of this Act; and
- (iii) has been accepted or appointed for specialty or residency training by a hospital situated in this State; or
- (iv) has been accepted or appointed for specialty training in an approved dental program situated in this State; or
- (v) has been accepted or appointed for specialty training in a dental public health agency situated in this State.

The applicant shall be permitted to practice dentistry for a period of 3 months from the starting date of the program, unless authorized in writing by the Department to continue such practice for a period specified in writing by the Department.

The applicant shall only be entitled to perform such acts as may be prescribed by and incidental to their program of residency or specialty training and shall not otherwise engage in the practice of dentistry in this State.

1	The authority to practice shall terminate immediately
2	upon:
3	(1) the decision of the Department that the
4	applicant has failed the examination; or
5	(2) denial of licensure by the Department; or
6	(3) withdrawal of the application.
7	(Source: P.A. 96-617, eff. 8-24-09.)

8 Section 99. Effective date. This Act takes effect on 9 January 1, 2012.