

## **Human Services Committee**

# Filed: 3/3/2010

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## FISCAL NOTE ACT MAY APPLY

09600HB5350ham001 LRB096 14617 KTG 37158 a 1 AMENDMENT TO HOUSE BILL 5350 2 AMENDMENT NO. . Amend House Bill 5350 by replacing everything after the enacting clause with the following: 3 "Section 5. 4 The Mental Health and Developmental Disabilities Code is amended by changing Sections 1-119, 3-600, 5 6 3-601, 3-602, 3-603, 3-606, 3-607, 3-610, 3-700, 3-701, 3-702, 7 3-703, 3-704, 3-801, 3-801.5, 3-802, 3-805, 3-807, 3-808, 3-809, 3-810, 3-811, 3-812, 3-813, 3-900, 3-901, and 3-902, by 8 changing the heading of Article VII of Chapter III, by adding 9 Section 1-119.1, and by adding Article VII-A to Chapter III as 10 11 follows: 12 (405 ILCS 5/1-119) (from Ch. 91 1/2, par. 1-119) 13 Sec. 1-119. "Person subject to involuntary admission on an inpatient basis means: 14 15 (1) A person with mental illness and who because of his or her illness is reasonably expected to inflict serious

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- (2) A person with mental illness and who because of his or her illness is unable to provide for his or her basic physical needs so as to guard himself or herself from serious harm unless treated on an inpatient basis without the assistance of family or outside help. \* or
- of the nature of his or her illness, is unable to understand his or her need for treatment and who, if not treated, is reasonably expected to suffer or continue to suffer mental deterioration or emotional deterioration, or both, to the point that the person is reasonably expected to engage in dangerous conduct.

In determining whether a person meets the criteria specified in paragraph (1) or, (2), or (3), the court may consider evidence of the person's repeated past pattern of specific behavior and actions related to the person's illness. Nothing in this Section shall be interpreted as requiring a determination that the respondent has inflicted or threatened serious physical harm on himself or another in the past, so long as it is determined that the respondent is reasonably expected to inflict harm in the future as provided in paragraph

1	(1). A person shall not be considered able to provide for his
2	or her basic physical needs as specified in paragraph (2) if he
3	or she is unable to provide for those needs without the
4	assistance of family or others and said family or others are
5	not able or willing to provide for those needs. Nothing in this
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	Section shall be interpreted to require any family member or
7	other person to provide assistance to a person with a mental
8	illness.

- 9 (Source: P.A. 95-602, eff. 6-1-08.)
- 10 (405 ILCS 5/1-119.1 new)

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- 11 <u>Sec. 1-119.1. "Person subject to involuntary admission on</u> 12 an outpatient basis" means:
  - (1) A person who would meet the criteria for admission on an inpatient basis as specified in Section 1-119 in the absence of treatment on an outpatient basis and for whom treatment on an outpatient basis can only be reasonably ensured by a court order mandating such treatment; or
  - (2) A person with a mental illness which, if left untreated, is reasonably expected to result in an increase in the symptoms caused by the illness to the point that the person would meet the criteria for commitment under Section 1-119, and whose mental illness has, on more than one occasion in the past, caused that person to refuse needed and appropriate mental health services in the community.

- 1 (405 ILCS 5/3-600) (from Ch. 91 1/2, par. 3-600)
- Sec. 3-600. A person 18 years of age or older who is
- 3 subject to involuntary admission on an inpatient basis and in
- 4 need of immediate hospitalization may be admitted to a mental
- 5 health facility pursuant to this Article.
- 6 (Source: P.A. 80-1414.)
- 7 (405 ILCS 5/3-601) (from Ch. 91 1/2, par. 3-601)
- 8 Sec. 3-601. Involuntary admission; petition.
- 9 (a) When a person is asserted to be subject to involuntary
- 10 admission on an inpatient basis and in such a condition that
- 11 immediate hospitalization is necessary for the protection of
- such person or others from physical harm, any person 18 years
- of age or older may present a petition to the facility director
- of a mental health facility in the county where the respondent
- resides or is present. The petition may be prepared by the
- 16 facility director of the facility.
- 17 (b) The petition shall include all of the following:
- 1. A detailed statement of the reason for the assertion
- that the respondent is subject to involuntary admission on
- an inpatient basis, including the signs and symptoms of a
- 21 mental illness and a description of any acts, threats, or
- 22 other behavior or pattern of behavior supporting the
- assertion and the time and place of their occurrence.
- 24 2. The name and address of the spouse, parent,
- guardian, substitute decision maker, if any, and close

relative, or if none, the name and address of any known friend of the respondent whom the petitioner has reason to believe may know or have any of the other names and addresses. If the petitioner is unable to supply any such names and addresses, the petitioner shall state that diligent inquiry was made to learn this information and specify the steps taken.

- 3. The petitioner's relationship to the respondent and a statement as to whether the petitioner has legal or financial interest in the matter or is involved in litigation with the respondent. If the petitioner has a legal or financial interest in the matter or is involved in litigation with the respondent, a statement of why the petitioner believes it would not be practicable or possible for someone else to be the petitioner.
- 4. The names, addresses and phone numbers of the witnesses by which the facts asserted may be proved.
- (c) Knowingly making a material false statement in the petition is a Class A misdemeanor.
- 20 (Source: P.A. 91-726, eff. 6-2-00; 92-651, eff. 7-11-02.)
- 21 (405 ILCS 5/3-602) (from Ch. 91 1/2, par. 3-602)
- Sec. 3-602. The petition shall be accompanied by a certificate executed by a physician, qualified examiner, psychiatrist, or clinical psychologist which states that the respondent is subject to involuntary admission on an inpatient

- 1 basis and requires immediate hospitalization. The certificate
- 2 shall indicate that the physician, qualified examiner,
- 3 psychiatrist, or clinical psychologist personally examined the
- respondent not more than 72 hours prior to admission. It shall 4
- 5 physician's, qualified examiner's, contain the
- 6 clinical psychologist's psychiatrist's, or clinical
- observations, other factual information relied 7 upon in
- reaching a diagnosis, and a statement as to whether the 8
- 9 respondent was advised of his rights under Section 3-208.
- 10 (Source: P.A. 80-1414.)
- (405 ILCS 5/3-603) (from Ch. 91 1/2, par. 3-603) 11
- Sec. 3-603. (a) If no physician, qualified examiner, 12
- 13 psychiatrist, or clinical psychologist is immediately
- 14 available or it is not possible after a diligent effort to
- 15 obtain the certificate provided for in Section 3-602, the
- respondent may be detained for examination in a mental health 16
- facility upon presentation of the petition alone pending the 17
- obtaining of such a certificate. 18
- 19 (b) In such instance the petition shall conform to the
- 20 requirements of Section 3-601 and further specify that:
- 21 1. the petitioner believes, as a result of his personal
- 22 observation, that the respondent is subject to involuntary
- 23 admission on an inpatient basis;
- 24 2. a diligent effort was made to obtain a certificate;
- 25 3. no physician, qualified examiner, psychiatrist, or

clinical psychologist could be found who has examined or could examine the respondent; and

4. a diligent effort has been made to convince the respondent to appear voluntarily for examination by a physician, qualified examiner, <u>psychiatrist</u>, or clinical psychologist, unless the petitioner reasonably believes that effort would impose a risk of harm to the respondent or others.

9 (Source: P.A. 91-726, eff. 6-2-00; 91-837, eff. 6-16-00; 92-16, eff. 6-28-01.)

11 (405 ILCS 5/3-606) (from Ch. 91 1/2, par. 3-606)

Sec. 3-606. A peace officer may take a person into custody and transport him to a mental health facility when the peace officer has reasonable grounds to believe that the person is subject to involuntary admission on an inpatient basis and in need of immediate hospitalization to protect such person or others from physical harm. Upon arrival at the facility, the peace officer may complete the petition under Section 3-601. If the petition is not completed by the peace officer transporting the person, the transporting officer's name, badge number, and employer shall be included in the petition as a potential witness as provided in Section 3-601 of this Chapter.

23 (Source: P.A. 94-202, eff. 7-12-05.)

24 (405 ILCS 5/3-607) (from Ch. 91 1/2, par. 3-607)

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Sec. 3-607. Court ordered temporary detention and examination. When, as a result of personal observation and testimony in open court, any court has reasonable grounds to believe that a person appearing before it is subject to involuntary admission on an inpatient basis and in need of immediate hospitalization to protect such person or others from physical harm, the court may enter an order for the temporary detention and examination of such person. The order shall set forth in detail the facts which are the basis for its conclusion. The court may order a peace officer to take the person into custody and transport him to a mental health facility. The person may be detained for examination for no more than 24 hours to determine whether or not she or he is subject to involuntary admission and in need of immediate hospitalization. If a petition and certificate, as provided in this Article, are executed within the 24 hours, the person may be admitted provided that the certificate states that the person is both subject to involuntary admission and in need of immediate hospitalization. If the certificate states that the person is subject to involuntary admission but not in need of immediate hospitalization, the person may remain in his or her place of residence pending a hearing on the petition unless he or she voluntarily agrees to inpatient treatment. The and the provisions of this Article shall apply to all petitions and certificates executed pursuant to this Section. If no petition or certificate is executed, the person shall be released.

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(Source: P.A. 91-726, eff. 6-2-00.) 1

(405 ILCS 5/3-610) (from Ch. 91 1/2, par. 3-610) 2

Sec. 3-610. As soon as possible but not later than 24 hours, excluding Saturdays, Sundays and holidays, after admission of a respondent pursuant to this Article, the respondent shall be examined by a psychiatrist. The psychiatrist may be a member of the staff of the facility but shall not be the person who executed the first certificate. If a certificate has already been completed by a psychiatrist following the respondent's admission, the respondent shall be examined by another psychiatrist or by a physician, clinical psychologist, or qualified examiner. If, as a result of this second examination, a certificate is executed, the certificate shall be promptly filed with the court. If the certificate states that the respondent is subject to involuntary admission but not in need of immediate hospitalization, the respondent may remain in his or her place of residence pending a hearing on the petition unless he or she voluntarily agrees to inpatient treatment. If the respondent is not examined or if the psychiatrist, physician, clinical psychologist, or qualified examiner does not execute a certificate pursuant to Section 3-602, the respondent shall be released forthwith.

(Source: P.A. 80-1414.) 23

24 (405 ILCS 5/Ch. III Art. VII heading)

#### 1 ARTICLE VII. ADMISSION ON AN INPATIENT BASIS BY COURT ORDER

- (405 ILCS 5/3-700) (from Ch. 91 1/2, par. 3-700) 2
- 3 Sec. 3-700. A person 18 years of age or older who is
- 4 subject to involuntary admission on an inpatient basis may be
- 5 admitted to an inpatient a mental health facility upon court
- order pursuant to this Article. 6
- (Source: P.A. 80-1414.) 7
- 8 (405 ILCS 5/3-701) (from Ch. 91 1/2, par. 3-701)
- 9 Sec. 3-701. (a) Any person 18 years of age or older may
- execute a petition asserting that another person is subject to 10
- 11 involuntary admission on an inpatient basis. The petition shall
- 12 be prepared pursuant to paragraph (b) of Section 3-601 and
- 13 shall be filed with the court in the county where the
- 14 respondent resides or is present.
- (b) The court may inquire of the petitioner whether there 15
- 16 are reasonable grounds to believe that the facts stated in the
- petition are true and whether the respondent is subject to 17
- 18 involuntary admission. The inquiry may proceed without notice
- 19 to the respondent only if the petitioner alleges facts showing
- 20 that an emergency exists such that immediate hospitalization is
- 21 necessary and the petitioner testifies before the court as to
- 22 the factual basis for the allegations.
- 23 (c) A petition for involuntary admission on an inpatient
- basis may be combined with or accompanied by a petition for 24

- involuntary admission on an outpatient basis under Article 1
- 2 VII-A.
- (Source: P.A. 91-837, eff. 6-16-00.) 3
- 4 (405 ILCS 5/3-702) (from Ch. 91 1/2, par. 3-702)
- 5 Sec. 3-702. (a) The petition may be accompanied by the
- certificate of a physician, qualified examiner, psychiatrist, 6
- 7 or clinical psychologist which certifies that the respondent is
- subject to involuntary admission on an inpatient basis and 8
- 9 which contains the other information specified in Section
- 3-602. 10
- (b) Upon receipt of the petition either with or without a 11
- 12 certificate, if the court finds the documents are in order, it
- 13 may make such orders pursuant to Section 3-703 as are necessary
- 14 to provide for examination of the respondent. If the petition
- 15 is not accompanied by 2 certificates executed pursuant to
- Section 3-703, the court may order the respondent to present 16
- himself for examination at a time and place designated by the 17
- court. If the petition is accompanied by 2 certificates 18
- 19 executed pursuant to Section 3-703 and the court finds the
- 20 documents are in order, it shall set the matter for hearing.
- 21 (Source: P.A. 91-726, eff. 6-2-00.)
- 22 (405 ILCS 5/3-703) (from Ch. 91 1/2, par. 3-703)
- 23 Sec. 3-703. If no certificate was filed, the respondent
- 24 shall be examined separately by a physician, or clinical

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psychologist, or qualified examiner and by a psychiatrist. If a certificate executed by a psychiatrist was filed, respondent shall be examined by a physician, clinical psychologist, qualified examiner, or psychiatrist. If certificate executed by a qualified examiner, clinical psychologist, or a physician who is not a psychiatrist was filed, the respondent shall be examined by a psychiatrist. The examining physician, clinical psychologist, qualified examiner or psychiatrist may interview by telephone or in person any witnesses or other persons listed in the petition for involuntary admission. If, as a result of an examination, a certificate is executed, the certificate shall be promptly filed with the court. If a certificate is executed, the examining physician, clinical psychologist, qualified examiner or psychiatrist may also submit for filing with the court a report in which his findings are described in detail, and may rely upon such findings for his opinion that the respondent is subject to involuntary admission on an inpatient basis. Copies of the certificates shall be made available to the attorneys for the parties upon request prior to the hearing. A certificate prepared in compliance with this Article shall state whether or not the respondent is in need of immediate hospitalization. However, if both the certificates state that the respondent is not in need of immediate hospitalization, the respondent may remain in his or her place of residence pending a hearing on the petition unless he or she voluntarily agrees

#### 1 to inpatient treatment.

2 (Source: P.A. 85-558.)

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           (405 \text{ ILCS } 5/3-704) (from Ch. 91 1/2, par. 3-704)
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4 Sec. 3-704. Examination; detention.

- 5 (a) The respondent shall be permitted to remain in his or her place of residence pending any examination. The respondent 6 may be accompanied by one or more of his or her relatives or 7 8 friends or by his or her attorney to the place of examination. 9 If, however, the court finds that it is necessary in order to 10 complete the examination the court may order that the person be admitted to a mental health facility pending examination and 11 12 may order a peace officer or other person to transport the person there. The examination shall be conducted at a local 13 14 mental health facility or hospital or, if possible, in the 15 respondent's own place of residence. No person may be detained for examination under this Section for more than 24 hours. The 16 person shall be released upon completion of the examination 17 18 unless the physician, qualified examiner or clinical 19 psychologist executes a certificate stating that the person is subject to involuntary admission on an inpatient basis and in 20 21 need of immediate hospitalization to protect such person or 22 others from physical harm. Upon admission under this Section 23 treatment may be given pursuant to Section 3-608.
- 24 (a-5) Whenever a respondent has been transported to a 25 mental health facility for an examination, the admitting

- 1 facility shall inquire, upon the respondent's arrival, whether
- 2 the respondent wishes any person or persons to be notified of
- his or her detention at that facility. If the respondent does 3
- 4 wish to have any person or persons notified of his or her
- 5 detention at the facility, the facility must promptly make all
- 6 reasonable attempts to locate the individual identified by the
- respondent, or at least 2 individuals identified by the 7
- 8 respondent if more than one has been identified, and notify
- them of the respondent's detention at the facility for a 9
- 10 mandatory examination pursuant to court order.
- 11 (b) Not later than 24 hours, excluding Saturdays, Sundays,
- and holidays, after admission under this Section, 12
- 13 respondent shall be asked if he desires the petition and the
- notice required under Section 3-206 sent to any other persons 14
- 15 and at least 2 such persons designated by the respondent shall
- 16 be sent the documents. At the time of his admission the
- respondent shall be allowed to complete not fewer than 2 17
- 18 telephone calls to such persons as he chooses.
- (Source: P.A. 91-726, eff. 6-2-00; 91-837, eff. 6-16-00; 92-16, 19
- 20 eff. 6-28-01.)
- 21 (405 ILCS 5/Ch. III Art. VII-A heading new)
- 22 ARTICLE VII-A. ADMISSION ON AN OUTPATIENT BASIS BY COURT ORDER
- 23 (405 ILCS 5/3-750 new)
- 24 Sec. 3-750. Involuntary admission on an outpatient basis. A

- 1 person 18 years of age or older who is subject to involuntary
- admission on an outpatient basis may receive alternative 2
- treatment in the community or may be placed in the care and 3
- 4 custody of a relative or other person upon court order pursuant
- 5 to this Article.
- 6 (405 ILCS 5/3-751 new)
- 7 Sec. 3-751. Involuntary admission; petition.
- 8 (a) Any person 18 years of age or older may execute a
- 9 petition asserting that another person is subject to
- involuntary admission on an outpatient basis. The petition 10
- shall be prepared pursuant to paragraph (b) of Section 3-601 11
- 12 and shall be filed with the court in the county where the
- 13 respondent resides or is present.
- 14 (b) The court may inquire of the petitioner whether there
- 15 are reasonable grounds to believe that the facts stated in the
- petition are true and whether the respondent is subject to 16
- involuntary admission on an outpatient basis. 17
- 18 (c) A petition for involuntary admission on an outpatient
- 19 basis may be combined with or accompanied by a petition for
- 20 involuntary admission on an inpatient basis under Article VII.
- 21 (405 ILCS 5/3-752 new)
- 22 Sec. 3-752. Certificate.
- 23 (a) The petition may be accompanied by the certificate of a
- physician, qualified examiner, psychiatrist, or clinical 24

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1 psychologist which certifies that the respondent is subject to involuntary admission on an outpatient <u>basis</u>. The certificate 2 shall indicate that the physician, qualified examiner, or 3 4 clinical psychologist personally examined the respondent not 5 more than 72 hours prior to the completion of the certificate. 6 It shall also contain the physician's, qualified examiner's, or clinical psychologist's clinical observations, other factual 7 information relied upon in reaching a diagnosis, and a 8 9 statement as to whether the respondent was advised of his or 10 her rights under Section 3-208.

(b) Upon receipt of the petition either with or without a certificate, if the court finds the documents are in order, it may make such orders pursuant to Section 3-753 as are necessary to provide for examination of the respondent. If the petition is not accompanied by 2 certificates executed pursuant to Section 3-753, the court may order the respondent to present himself or herself for examination at a time and place designated by the court. If the petition is accompanied by 2 certificates executed pursuant to Section 3-753 and the court finds the documents are in order, the court shall set the matter for hearing.

22 (405 ILCS 5/3-753 new)

> Sec. 3-753. Examination. If no certificate was filed, the respondent shall be examined separately by a physician, or clinical psychologist or qualified examiner and by a

1	psychiatrist. If a certificate executed by a psychiatrist was
2	filed, the respondent shall be examined by a physician,
3	clinical psychologist, qualified examiner, or psychiatrist. If
4	a certificate executed by a qualified examiner, clinical
5	psychologist, or a physician who is not a psychiatrist was
6	filed, the respondent shall be examined by a psychiatrist. The
7	examining physician, clinical psychologist, qualified examiner
8	or psychiatrist may interview by telephone or in person any
9	witnesses or other persons listed in the petition for
10	involuntary admission. If, as a result of an examination, a
11	certificate is executed, the certificate shall be promptly
12	filed with the court. If a certificate is executed, the
13	examining physician, clinical psychologist, qualified examiner
14	or psychiatrist may also submit for filing with the court a
15	report in which his or her findings are described in detail,
16	and may rely upon such findings for his opinion that the
17	respondent is subject to involuntary admission. Copies of the
18	certificates shall be made available to the attorneys for the
19	parties upon request prior to the hearing.

- 20 (405 ILCS 5/3-754 new)
- Sec. 3-754. Detention. 21
- (a) The respondent shall be permitted to remain in his or 22 23 her place of residence pending any examination. The respondent may be accompanied by one or more of his or her relatives or 24 25 friends or by his or her attorney to the place of examination.

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If, however, the respondent refuses to cooperate with an examination on an outpatient basis, the court may order that the person be admitted to a mental health facility solely for the purpose of such examination and may order a peace officer or other person to transport the person there. The examination shall be conducted at a local mental health facility or hospital or, if possible, in the respondent's own place of residence. No person may be detained for examination under this Section for more than 24 hours. The person shall be released upon completion of the examination unless the physician, qualified examiner or clinical psychologist executes a certificate stating that the person is subject to involuntary admission on an inpatient basis and in need of immediate hospitalization to protect such person or others from physical harm and a petition is filed pursuant to Section 3-701. Upon admission under this Section treatment may be given pursuant to Section 3-608. If the respondent is admitted on an inpatient basis, the facility shall proceed pursuant to Article VII. (b) Whenever a respondent has been transported to a mental health facility for an examination, the admitting facility shall inquire, upon the respondent's arrival, whether the respondent wishes any person or persons to be notified of his

to have any person or persons notified of his or her detention at the facility, the facility must promptly make all reasonable attempts to locate the individual identified by the respondent,

or her detention at that facility. If the respondent does wish

- or at least 2 individuals identified by the respondent if more 1
- than one has been identified, and notify them of the 2
- respondent's detention at the facility for a mandatory 3
- 4 examination pursuant to court order.
- 5 (405 ILCS 5/3-755 new)
- Sec. 3-755. Notice. At least 36 hours before the time of 6
- the examination fixed by the court, a copy of the petition, the 7
- 8 order for examination, and a statement of rights as provided in
- 9 Section 3-205 shall be personally delivered to the person and
- 10 shall be given personally or sent by mail to his or her
- attorney and guardian, if any. If the respondent is admitted to 11
- 12 a mental health facility for examination under Section 3-754,
- 13 such notices may be delivered at the time of service of the
- 14 order for admission.
- (405 ILCS 5/3-756 new)15
- Sec. 3-756. Court hearing. The court shall set a hearing to 16
- be held within 15 days, excluding Saturdays, Sundays, and 17
- holidays, after its receipt of the second certificate. The 18
- 19 court shall direct that notice of the time and place of hearing
- 20 be served upon the respondent, his or her attorney, and
- quardian, if any, and his or her responsible relatives. The 21
- 22 respondent may remain at his residence pending the hearing. If,
- 23 however, the court finds it necessary, it may order a peace
- 24 officer or another person to have the respondent before the

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## court at the time and place set for hearing.

(405 ILCS 5/3-801) (from Ch. 91 1/2, par. 3-801) 2

Sec. 3-801. A respondent may request admission as informal or voluntary recipient at any time prior to an adjudication that he is subject to involuntary admission on an inpatient or outpatient basis. The facility director shall approve such a request unless the facility director determines that the respondent lacks the capacity to consent to informal or voluntary admission or that informal or voluntary admission is clinically inappropriate. The director shall not find that voluntary admission is clinically inappropriate in the absence of a documented history of the respondent's illness and treatment demonstrating that the respondent is unlikely to continue to receive needed treatment following release from informal or voluntary admission and that an order for involuntary admission on an outpatient basis alternative treatment or for care and custody is necessary in order to ensure continuity of treatment outside a mental health facility.

If the facility director approves such a request, the petitioner shall be notified of the request and of his or her right to object thereto, if the petitioner has requested such notification on that individual recipient. The court may dismiss the pending proceedings, but shall consider any objection made by either the petitioner or the State's Attorney

- 1 and may require proof that such dismissal is in the best
- interest of the respondent and of the public. If voluntary 2
- 3 admission is accepted and the petition is dismissed by the
- 4 court, notice shall be provided to the petitioner, orally and
- 5 in writing, of his or her right to receive notice of the
- recipient's discharge pursuant to Section 3-902(d). 6
- (Source: P.A. 96-570, eff. 1-1-10.) 7
- 8 (405 ILCS 5/3-801.5)

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- 9 Sec. 3-801.5. Agreed order for admission on an outpatient 10 basis alternative treatment or care and custody.
- 11 (a) At any time before the conclusion of the hearing and 12 the entry of the court's findings, a respondent may enter into 13 an agreement to be subject to an order for admission on an 14 outpatient basis alternative treatment or care and custody as provided for in Sections 3-811, 3-812, 3-813, and 3-815 of this 15 Code, provided that:
  - (1) The court and the parties have been presented with a written report pursuant to Section 3-810 of this Code containing a recommendation for court-ordered admission on an outpatient basis alternative treatment or care and custody and setting forth in detail the conditions for such an order, and the court is satisfied that the proposal for admission on an outpatient basis alternative treatment or care and custody is in the best interest of the respondent and of the public.

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- (2) The court advises the respondent of the conditions of the proposed order in open court and is satisfied that the respondent understands and agrees to the conditions of the proposed order for admission on an outpatient basis alternative treatment or care and custody.
- (3) The proposed custodian is advised of the recommendation for care and custody and agrees to abide by the terms of the proposed order.
- (4) No such order may require the respondent to be hospitalized except as provided in subsection (b) of this Section.
- (5) No order may include as one of its conditions the administration of psychotropic medication, unless the court determines, based on the documented history of the respondent's treatment and illness, that the respondent is unlikely to continue to receive needed psychotropic medication in the absence of such an order.
- (b) An agreed order of care and custody entered pursuant to this Section may grant the custodian the authority to admit a respondent to a hospital if the respondent fails to comply with the conditions of the agreed order. If necessary in order to obtain the hospitalization of the respondent, the custodian may apply to the court for an order authorizing an officer of the peace to take the respondent into custody and transport the respondent to the hospital specified in the agreed order. The provisions of Section 3-605 of this Code shall govern the

- 1 transportation of the respondent to a mental health facility,
- except to the extent that those provisions are inconsistent 2
- with this Section. However, a person admitted to a hospital 3
- 4 pursuant to powers granted under an agreed order for care and
- 5 custody shall be treated as a voluntary recipient pursuant to
- 6 Article IV of this Chapter and shall be advised immediately of
- his or her right to request a discharge pursuant to Section 7
- 3-403 of this Code. 8
- 9 (c) If the court has appointed counsel for the respondent
- 10 pursuant to Section 3-805 of this Code, that appointment shall
- 11 continue for the duration of any order entered under this
- Section, and the respondent shall be represented by counsel in 12
- 13 any proceeding held pursuant to this Section.
- An order entered under this Section shall not 14
- 15 constitute a finding that the respondent is subject to
- 16 involuntary admission on an inpatient or outpatient basis.
- (e) Nothing in this Section shall be deemed to create an 17
- 18 agency relationship between the respondent and any custodian
- 19 appointed pursuant to this Section.
- 20 (f) Notwithstanding any other provision of Illinois law, no
- 21 respondent may be cited for contempt for violating the terms
- 22 and conditions of his or her agreed order of care and custody.
- (Source: P.A. 94-521, eff. 1-1-06.) 23
- 24 (405 ILCS 5/3-802) (from Ch. 91 1/2, par. 3-802)
- 25 Sec. 3-802. The respondent is entitled to a jury on the

- 1 question of whether he is subject to involuntary admission on
- an inpatient or outpatient basis. The jury shall consist of 6 2
- 3 persons to be chosen in the same manner as are jurors in other
- 4 civil proceedings. A respondent is not entitled to a jury on
- 5 question of whether psychotropic medication
- electroconvulsive therapy may be administered under Section 6
- 2-107.1.7

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- (Source: P.A. 95-172, eff. 8-14-07.) 8
- 9 (405 ILCS 5/3-805) (from Ch. 91 1/2, par. 3-805)
- be represented by counsel. If the respondent is indigent or an 12 appearance has not been entered on his behalf at the time the 13 14 matter is set for hearing, the court shall appoint counsel for

Sec. 3-805. Every respondent alleged to be subject to

involuntary admission on an inpatient or outpatient basis shall

- 15 him. A hearing shall not proceed when a respondent is not
- represented by counsel unless, after conferring with counsel, 16
- 17 the respondent requests to represent himself and the court is
- 18 satisfied that the respondent has the capacity to make an
- 19 informed waiver of his right to counsel. Counsel shall be
- 2.0 allowed time for adequate preparation and shall not be
- prevented from conferring with the respondent at reasonable 21
- 22 times nor from making an investigation of the matters in issue
- 23 and presenting such relevant evidence as he believes is
- 24 necessary.
- 25 1. If the court determines that the respondent is unable to

- 1 obtain counsel, the court shall appoint as counsel an attorney
- 2 employed by or under contract with the Guardianship and Mental
- 3 Health Advocacy Commission, if available.
- 4 2. If an attorney from the Guardianship and Mental Health
- 5 Advocacy Commission is not available, the court shall appoint
- as counsel the public defender or, only if no public defender
- 7 is available, an attorney licensed to practice law in this
- 8 State.
- 9 3. Upon filing with the court of a verified statement of
- 10 legal services rendered by the private attorney appointed
- 11 pursuant to paragraph (2) of this Section, the court shall
- determine a reasonable fee for such services. If the respondent
- is unable to pay the fee, the court shall enter an order upon
- 14 the county to pay the entire fee or such amount as the
- 15 respondent is unable to pay.
- 16 (Source: P.A. 80-1414.)
- 17 (405 ILCS 5/3-807) (from Ch. 91 1/2, par. 3-807)
- 18 Sec. 3-807. No respondent may be found subject to
- 19 involuntary admission on an inpatient or outpatient basis
- 20 unless at least one psychiatrist, clinical social worker, or
- 21 clinical psychologist who has examined him testifies in person
- 22 at the hearing. The respondent may waive the requirement of the
- 23 testimony subject to the approval of the court.
- 24 (Source: P.A. 87-530.)

- 1 (405 ILCS 5/3-808) (from Ch. 91 1/2, par. 3-808)
- Sec. 3-808. No respondent may be found subject to 2
- involuntary admission on an inpatient or outpatient basis 3
- 4 unless that finding has been established by clear
- 5 convincing evidence.
- (Source: P.A. 80-1414.) 6
- 7 (405 ILCS 5/3-809) (from Ch. 91 1/2, par. 3-809)
- 8 Sec. 3-809. If the respondent is not found subject to
- 9 involuntary admission on an inpatient or outpatient basis, the
- court shall dismiss the petition and order the respondent 10
- discharged. If the respondent is found subject to involuntary 11
- 12 admission on an inpatient or outpatient basis, the court shall
- 13 enter an order so specifying. If the court is not satisfied
- 14 with the verdict of the jury finding the respondent subject to
- 15 involuntary admission on an inpatient or outpatient basis, it
- may set aside such verdict and order the respondent discharged 16
- 17 or it may order another hearing.
- (Source: P.A. 80-1414.) 18
- (405 ILCS 5/3-810) (from Ch. 91 1/2, par. 3-810) 19
- 20 Sec. 3-810. Before disposition is determined, the facility
- 21 director or such other person as the court may direct shall
- 22 prepare a written report including information on the
- 23 appropriateness and availability of alternative treatment
- 24 settings, a social investigation of the respondent, a

- 1 preliminary treatment plan, and any other information which the
- 2 court may order. The treatment plan shall describe
- respondent's problems and needs, the treatment goals, 3
- 4 proposed treatment methods, and a projected timetable for their
- 5 attainment. If the respondent is found subject to involuntary
- 6 admission on an inpatient or outpatient basis, the court shall
- consider the report in determining an appropriate disposition. 7
- (Source: P.A. 91-726, eff. 6-2-00.) 8
- 9 (405 ILCS 5/3-811) (from Ch. 91 1/2, par. 3-811)
- Sec. 3-811. Involuntary admission; alternative mental 10
- health facilities. 11
- 12 (a) If any person is found subject to involuntary admission
- 13 on an inpatient basis, the court shall consider alternative
- 14 mental health facilities which are appropriate for and
- 15 available to the respondent, including but not limited to
- hospitalization. The court may order the respondent to undergo 16
- a program of hospitalization in a mental health facility 17
- designated by the Department, in a licensed private hospital or 18
- 19 private mental health facility if it agrees, or in a facility
- 20 of the United States Veterans Administration if it agrees. If
- 21 any person is found subject to involuntary admission on an
- 22 outpatient basis, + or the court may order the respondent to
- 23 undergo a program of alternative treatment; or the court may
- 24 place the respondent in the care and custody of a relative or
- 25 other person willing and able to properly care for him or her.

- 1 The court shall order the least restrictive alternative for
- 2 treatment which is appropriate.
- (b) Whenever a person is found subject to involuntary 3
- 4 admission on an inpatient or outpatient basis, notice shall be
- 5 provided to the petitioner, orally and in writing, of his or
- 6 her right to receive notice of the recipient's discharge
- pursuant to Section 3-902(d). 7
- (Source: P.A. 96-570, eff. 1-1-10.) 8
- 9 (405 ILCS 5/3-812) (from Ch. 91 1/2, par. 3-812)
- 10 Sec. 3-812. Court ordered admission on an outpatient basis
- alternative treatment; modification; revocation. 11
- 12 (a) If a respondent is found subject to involuntary
- 13 admission on an outpatient basis, the court may issue an order:
- 14 (i) placing the respondent in the care and custody of a
- 15 relative or other person willing and able to properly care for
- him or her; or (ii) committing the respondent to alternative 16
- treatment at a community mental health provider. 17
- 18 (b) An order placing the respondent in the care and custody
- 19 of a relative or other person shall specify the powers and
- duties of the custodian. An order of care and custody entered 20
- 21 pursuant to this Section may grant the custodian the authority
- to admit a respondent to a hospital if the respondent fails to 22
- 23 comply with the conditions of the order. If necessary in order
- 24 to obtain the hospitalization of the respondent, the custodian
- may apply to the court for an order authorizing an officer of 25

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the peace to take the respondent into custody and transport the respondent to the hospital specified in the agreed order. The provisions of Section 3-605 shall govern the transportation of the respondent to a mental health facility, except to the extent that those provisions are inconsistent with this Section. No person admitted to a hospital pursuant to this subsection shall be detained for longer than 24 hours, excluding Saturdays, Sundays, and holidays, unless, within that period, a petition for involuntary admission on an inpatient basis and a certificate supporting such petition have been filed as provided in Section 3-611.

(c) (a) Alternative treatment shall not be ordered unless the program being considered is capable of providing adequate and humane treatment in the least restrictive setting which is appropriate to the respondent's condition. The court shall have continuing authority to modify an order for alternative treatment if the recipient fails to comply with the order or is otherwise found unsuitable for alternative treatment. Prior to modifying such an order, the court shall receive a report from the facility director of the program specifying why the alternative treatment is unsuitable. The recipient shall be notified and given an opportunity to respond when modification of the order for alternative treatment is considered. If the court determines that the respondent has violated the order for alternative treatment in the community or that alternative treatment in the community will no longer provide adequate

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assurances for the safety of the respondent or others, the 1 court may revoke the order for alternative treatment in the community and may order a peace officer to take the recipient into custody and transport him to an inpatient mental health facility. The provisions of Section 3-605 shall govern the transportation of the respondent to a mental health facility, except to the extent that those provisions are inconsistent with this Section. No person admitted to a hospital pursuant to this subsection shall be detained for longer than 24 hours, excluding Saturdays, Sundays, and holidays, unless, within that period, a petition for involuntary admission on an inpatient basis and a certificate supporting such petition have been filed as provided in Section 3-611.

(b) If the court revokes an order for alternative treatment and orders a recipient hospitalized, it may order a peace officer to take the recipient into custody and transport him to the facility. The court may order the recipient to undergo program of hospitalization at a licensed private hospital private mental health facility, or a facility of the United States Veterans Administration, if such private or Veterans Administration facility agrees to such placement, or at a mental health facility designated by the Department.

(Source: P.A. 91-726, eff. 6-2-00.)

24 (405 ILCS 5/3-813) (from Ch. 91 1/2, par. 3-813)

Sec. 3-813. (a) An initial order for commitment on an 25

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inpatient basis hospitalization shall be for a period not to exceed 90 days. Prior to the expiration of the initial order if the facility director believes that the recipient continues to be subject to involuntary admission on an inpatient or outpatient basis, a new petition and 2 new certificates may be filed with the court. If a petition is filed, the facility director shall file with the court a current treatment plan which includes an evaluation of the recipient's progress and the extent to which he is benefiting from treatment. If no petition is filed prior to the expiration of the initial order, the recipient shall be discharged. Following a hearing, the court may order a second period of commitment on an inpatient basis <del>hospitalization</del> not to exceed 90 days only if it finds that the recipient continues to be subject to involuntary admission on an inpatient basis. If, following a hearing, the court determines that the respondent is subject to involuntary admission on an outpatient basis as provided in Section 3-812, the court may order respondent committed on an outpatient basis for a period not to exceed 180 days.

(a-1) An initial order of commitment on an outpatient basis shall be for a period not to exceed 180 days. Prior to the expiration of the initial order, if the facility director or the custodian believes that the recipient continues to be subject to involuntary admission on an outpatient basis, a new petition and 2 new certificates may be filed with the court. If a petition is filed, the facility director or the custodian

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- 1 shall file with the court a current treatment plan which includes an evaluation of the recipient's progress and the 2 extent to which he or she is benefiting from treatment. If no 3 4 petition is filed prior to the expiration of the initial order, 5 the recipient shall be discharged. Following a hearing, the 6 court may order a second period of commitment on an outpatient basis not to exceed 180 days only if it finds that the 7 recipient continues to be subject to involuntary admission on 8 9 an outpatient basis.
  - (b) Additional 180 day periods of inpatient or outpatient commitment treatment may be sought pursuant to the procedures set out in this Section for so long as the recipient continues to meet the standard for such commitment be subject to involuntary admission. The provisions of this chapter which apply whenever an initial order is sought shall apply whenever an additional period of inpatient or outpatient commitment treatment is sought.
- (Source: P.A. 91-787, eff. 1-1-01.) 18
- 19 (405 ILCS 5/3-900) (from Ch. 91 1/2, par. 3-900)
- Sec. 3-900. (a) Any person committed on an inpatient or 20 outpatient basis hospitalized or admitted to alternative 21 22 treatment or care and custody as having mental illness on court order under this Chapter or under any prior statute or any 23 24 person on his behalf may file a petition for discharge at any 25 time in the court of the county where the recipient resides or

- 1 is found.
- 2 (b) The petition shall set forth: (1) the name of the
- 3 recipient; (2) the underlying circumstances and date of the
- order; (3) a request for discharge from the order; and (4) the
- 5 reasons for such request.
- 6 (Source: P.A. 88-380.)
- 7 (405 ILCS 5/3-901) (from Ch. 91 1/2, par. 3-901)
- 8 Sec. 3-901. (a) Upon the filing of a petition under Section
- 9 3-900 or Section 3-906, the court shall set the matter for
- 10 hearing to be held within 5 days, excluding Saturdays, Sundays,
- and holidays. The court shall direct that notice of the time
- 12 and place of the hearing be given to the recipient, his
- 13 attorney, his guardian, the facility director, the person
- 14 having care and custody of the recipient, and to at least 2
- persons whom the recipient may designate.
- 16 (b) Article VIII of this Chapter applies to hearings held
- 17 under this Section. The court shall determine whether the
- 18 recipient is: (i) subject to involuntary admission on an
- inpatient basis; (ii) subject to involuntary admission on an
- 20 outpatient basis; or (iii) not subject to involuntary admission
- on either an inpatient or outpatient basis. If the court finds
- 22 that the recipient is not subject to involuntary admission on
- 23 <u>an inpatient or outpatient basis</u>, the court shall enter an
- order so finding and discharging the recipient. If the court
- 25 orders the discharge of a recipient who was adjudicated as

- 1 having mental illness pursuant to any prior statute of this
- State or who was otherwise adjudicated to be under legal 2
- 3 disability, the court shall also enter an order restoring the
- 4 recipient to legal status without disability unless the court
- 5 finds that the recipient continues to be under
- 6 disability. A copy of any order discharging the recipient shall
- be given to the recipient and to the facility director. 7
- (b-1) If the court determines that the recipient is subject 8
- 9 to involuntary admission on an outpatient basis, the court
- 10 shall enter an appropriate order pursuant to Section 3-812.
- 11 (c) If the court determines that the recipient continues to
- be subject to involuntary admission on an inpatient basis, the 12
- court may continue or modify its original order in accordance 13
- with this Act. Thereafter, no new petition for discharge may be 14
- 15 filed without leave of court.
- (Source: P.A. 88-380.) 16
- 17 (405 ILCS 5/3-902) (from Ch. 91 1/2, par. 3-902)
- Sec. 3-902. Director initiated discharge. 18
- 19 (a) The facility director may at any time discharge an
- informal, voluntary, or minor recipient who is clinically 20
- 21 suitable for discharge.
- 22 The facility director shall discharge a recipient
- 23 admitted upon court order under this Chapter or any prior
- 24 statute where he is no longer subject to involuntary admission
- on an inpatient basis. If the facility director believes that 25

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- continuing treatment is advisable for such recipient, he shall inform the recipient of his right to remain as an informal or voluntary recipient. If the facility director determines that the recipient is subject to involuntary admission on an outpatient basis, he or she shall petition the court for such a commitment pursuant to this Chapter.
  - (c) When a facility director discharges or changes the status of a recipient pursuant to this Section he shall promptly notify the clerk of the court which entered the original order of the discharge or change in status. Upon receipt of such notice, the clerk of the court shall note the action taken in the court record. If the person being discharged is a person under legal disability, the facility director shall also submit a certificate regarding his legal status without disability pursuant to Section 3-907.
  - (d) When the facility director determines that discharge is appropriate for a recipient pursuant to this Section or Section 3-403 he or she shall notify the state's attorney of the county in which the recipient resided immediately prior to his admission to a mental health facility and the state's attorney of the county where the last petition for commitment was filed at least 48 hours prior to the discharge when either state's attorney has requested in writing such notification on that individual recipient or when the facility director regards a recipient as a continuing threat to the peace and safety of the community. Upon receipt of such notice, the state's attorney

- 1 may take any court action or notify such peace officers that he
- deems appropriate. When the facility director determines that
- 3 discharge is appropriate for a recipient pursuant to this
- 4 Section or Section 3-403, he or she shall notify the person
- 5 whose petition pursuant to Section 3-701 resulted in the
- 6 current hospitalization of the recipient's discharge at least
- 7 48 hours prior to the discharge, if the petitioner has
- 8 requested in writing such notification on that individual
- 9 recipient.
- 10 (e) The facility director may grant a temporary release to
- 11 a recipient whose condition is not considered appropriate for
- 12 discharge where such release is considered to be clinically
- appropriate, provided that the release does not endanger the
- 14 public safety.
- 15 (Source: P.A. 96-570, eff. 1-1-10.)
- 16 (405 ILCS 5/1-104.5 rep.)
- 17 (405 ILCS 5/3-704.1 rep.)
- 18 (405 ILCS 5/3-815 rep.)
- 19 Section 10. The Mental Health and Developmental
- 20 Disabilities Code is amended by repealing Sections 1-104.5,
- 3-704.1, and 3-815.
- 22 Section 15. The Mental Health and Developmental
- 23 Disabilities Confidentiality Act is amended by changing
- Sections 4, 9.2, and 10 as follows:

- 1 (740 ILCS 110/4) (from Ch. 91 1/2, par. 804)
- Sec. 4. (a) The following persons shall be entitled, upon request, to inspect and copy a recipient's record or any part thereof:
  - (1) the parent or guardian of a recipient who is under 12 years of age;
    - (2) the recipient if he is 12 years of age or older;
    - (3) the parent or guardian of a recipient who is at least 12 but under 18 years, if the recipient is informed and does not object or if the therapist does not find that there are compelling reasons for denying the access. The parent or guardian who is denied access by either the recipient or the therapist may petition a court for access to the record. Nothing in this paragraph is intended to prohibit the parent or guardian of a recipient who is at least 12 but under 18 years from requesting and receiving the following information: current physical and mental condition, diagnosis, treatment needs, services provided, and services needed, including medication, if any;
    - (4) the guardian of a recipient who is 18 years or older;
    - (5) an attorney or guardian ad litem who represents a minor 12 years of age or older in any judicial or administrative proceeding, provided that the court or administrative hearing officer has entered an order

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granting the attorney this right; or

- (6) an agent appointed under a recipient's power of attorney for health care or for property, when the power of attorney authorizes the access; -
- (7) an attorney-in-fact appointed under the Mental Health Treatment Preference Declaration Act; or
- (8) any person in whose care and custody the recipient has been placed pursuant to Section 3-811 of the Mental Health and Developmental Disabilities Code.
- (b) Assistance in interpreting the record may be provided without charge and shall be provided if the person inspecting the record is under 18 years of age. However, access may in no way be denied or limited if the person inspecting the record refuses the assistance. A reasonable fee may be charged for duplication of a record. However, when requested to do so in writing by any indigent recipient, the custodian of the records shall provide at no charge to the recipient, or to the Guardianship and Advocacy Commission, the agency designated by the Governor under Section 1 of the Protection and Advocacy for Developmentally Disabled Persons Act or any other to not-for-profit agency whose primary purpose is to provide free legal services or advocacy for the indigent and who has received written authorization from the recipient under Section 5 of this Act to receive his records, one copy of any records in its possession whose disclosure is authorized under this Act.

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- (c) Any person entitled to access to a record under this Section may submit a written statement concerning any disputed or new information, which statement shall be entered into the record. Whenever any disputed part of a record is disclosed, any submitted statement relating thereto shall accompany the disclosed part. Additionally, any person entitled to access may request modification of any part of the record which he believes is incorrect or misleading. If the request is refused, the person may seek a court order to compel modification.
- 10 (d) Whenever access or modification is requested, the 11 request and any action taken thereon shall be noted in the 12 recipient's record.
- 13 (Source: P.A. 88-484; 89-439, eff. 6-1-96.)
- 14 (740 ILCS 110/9.2)
- 15 Sec. 9.2. Interagency disclosure of recipient information. For the purposes of continuity of care, the Department of Human 16 17 Services (as successor to the Department of Mental Health and Developmental Disabilities), community agencies funded by the 18 19 Department of Human Services in that capacity, licensed private hospitals receiving payments from the Department of Human 20 21 Services or the Department of Healthcare and Family Services, 22 State correctional facilities prisons operated by the 23 Department of Corrections, mental health facilities operated 24 by a county, and jails operated by any county of this State may 25 disclose a recipient's record or communications, without

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consent, to each other, but only for the purpose of admission, discharge. Entities treatment, planning, or shall redisclose any personally identifiable information, unless necessary for admission, treatment, planning, or discharge of the identified recipient to another setting. No records or communications may be disclosed to a county jail or State correctional facility prison pursuant to this Section unless the Department has entered into a written agreement with the county jail or State correctional facility prison requiring that the county jail or State correctional facility prison adopt written policies and procedures designed to ensure that the records and communications are disclosed only to those persons employed by or under contract to the county jail or State correctional facility prison who are involved in the provision of mental health services to inmates and that the and communications are protected from records disclosure.

(Source: P.A. 94-182, eff. 7-12-05.) 18

19 (740 ILCS 110/10) (from Ch. 91 1/2, par. 810)

> Sec. 10. (a) Except as provided herein, in any civil, criminal, administrative, or legislative proceeding, or in any proceeding preliminary thereto, a recipient, and a therapist on behalf and in the interest of a recipient, has the privilege to refuse to disclose and to prevent the disclosure of the recipient's record or communications.

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(1) Records and communications may be disclosed in a civil, criminal or administrative proceeding in which the recipient introduces his mental condition or any aspect of his services received for such condition as an element of his claim or defense, if and only to the extent the court in which the proceedings have been brought, or, in the case of an administrative proceeding, the court to which an appeal or other action for review of an administrative determination may be taken, finds, after in camera examination of testimony or other evidence, that it is unduly prejudicial relevant, probative, not inflammatory, and otherwise clearly admissible; that other satisfactory evidence is demonstrably unsatisfactory as evidence of the facts sought to be established by such evidence; and that disclosure is more important to the interests of substantial justice than protection from injury to the therapist-recipient relationship or to the recipient or other whom disclosure is likely to harm. Except in a criminal proceeding in which the recipient, who is accused in that proceeding, raises the defense of insanity, no record or communication between a therapist and a recipient shall be deemed relevant for purposes of this subsection, except the fact of treatment, the cost of services and the ultimate diagnosis unless the party seekina disclosure of the communication establishes in the trial court a compelling need for its

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production. However, for purposes of this Act, in any action brought or defended under the Illinois Marriage and Dissolution of Marriage Act, or in any action in which pain and suffering is an element of the claim, mental condition shall not be deemed to be introduced merely by making such claim and shall be deemed to be introduced only if the recipient or a witness on his behalf first testifies concerning the record or communication.

- (2) Records or communications may be disclosed in a civil proceeding after the recipient's death when the recipient's physical or mental condition has been introduced as an element of a claim or defense by any party claiming or defending through or as a beneficiary of the recipient, provided the court finds, after in camera examination of the evidence, that it is relevant, probative, and otherwise clearly admissible; that other satisfactory evidence is not available regarding the facts sought to be established by such evidence; and that disclosure is more important to the interests substantial justice than protection from any injury which disclosure is likely to cause.
- (3) In the event of a claim made or an action filed by a recipient, or, following the recipient's death, by any party claiming as a beneficiary of the recipient for injury caused in the course of providing services to such recipient, the therapist and other persons whose actions

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are alleged to have been the cause of injury may disclose pertinent records and communications to an attorney or attorneys engaged to render advice about and to provide representation in connection with such matter and to persons working under the supervision of such attorney or attorneys, and may testify as to such records communication in any administrative, judicial or discovery proceeding for the purpose of preparing and presenting a defense against such claim or action.

- (4) Records and communications made to or by a therapist in the course of examination ordered by a court for good cause shown may, if otherwise relevant and admissible, be disclosed in a civil, criminal, administrative proceeding in which the recipient is a party or in appropriate pretrial proceedings, provided such court has found that the recipient has been as adequately and as effectively as possible informed before submitting to such examination that such records and communications would not be considered confidential or privileged. Such records and communications shall be admissible only as to issues involving the recipient's physical or mental condition and only to the extent that these are germane to such proceedings.
- (5) Records and communications may be disclosed in a proceeding under the Probate Act of 1975, to determine a recipient's competency or need for guardianship, provided

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that the disclosure is made only with respect to that 1 2 issue.

- (6) Records and communications may be disclosed when such are made during treatment which the recipient is ordered to undergo to render him fit to stand trial on a criminal charge, provided that the disclosure is made only with respect to the issue of fitness to stand trial.
- (7) Records and communications of the recipient may be disclosed in any civil or administrative proceeding involving the validity of or benefits under a life, accident, health or disability insurance policy or certificate, or Health Care Service Plan Contract, insuring the recipient, but only if and to the extent that the recipient's mental condition, or treatment or services in connection therewith, is a material element of any claim or defense of any party, provided that information sought or disclosed shall not be redisclosed except in connection with the proceeding in which disclosure is made.
- (8) Records or communications may be disclosed when such are relevant to a matter in issue in any action brought under this Act and proceedings preliminary thereto, provided that any information so disclosed shall not be utilized for any other purpose nor be redisclosed except in connection with such action or preliminary proceedings.
  - (9) Records and communications of the recipient may be

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disclosed in investigations of and trials for homicide when the disclosure relates directly to the fact or immediate circumstances of the homicide.

- (10)Records and communications of deceased а recipient may be disclosed to a coroner conducting a preliminary investigation into the recipient's death under Section 3-3013 of the Counties Code. However, records and communications of the deceased recipient disclosed in an investigation shall be limited solely to the deceased recipient's records and communications relating to the factual circumstances of the incident being investigated in a mental health facility.
- (11) Records and communications of a recipient shall be disclosed in a proceeding where a petition or motion is filed under the Juvenile Court Act of 1987 and the recipient is named as a parent, guardian, or legal custodian of a minor who is the subject of a petition for wardship as described in Section 2-3 of that Act or a minor who is the subject of a petition for wardship as described in Section 2-4 of that Act alleging the minor is abused, neglected, or dependent or the recipient is named as a parent of a child who is the subject of a petition, supplemental petition, or motion to appoint a guardian with the power to consent to adoption under Section 2-29 of the Juvenile Court Act of 1987.
  - (12) Records and communications of a recipient may be

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disclosed when disclosure is necessary to collect sums or receive third party payment representing charges for mental health or developmental disabilities provided by a therapist or agency to a recipient; however, disclosure shall be limited to information needed to pursue collection, and the information so disclosed may not be used for any other purposes nor may it be redisclosed except in connection with collection activities. Whenever records are disclosed pursuant to this subdivision (12), the recipient of the records shall be advised in writing that any person who discloses mental health records and communications in violation of this Act may be subject to civil liability pursuant to Section 15 of this Act or to criminal penalties pursuant to Section 16 of this Act or both.

(b) Before a disclosure is made under subsection (a), any party to the proceeding or any other interested person may request an in camera review of the record or communications to be disclosed. The court or agency conducting the proceeding may hold an in camera review on its own motion. When, contrary to the express wish of the recipient, the therapist asserts a privilege on behalf and in the interest of a recipient, the court may require that the therapist, in an in camera hearing, establish that disclosure is not in the best interest of the recipient. The court or agency may prevent disclosure or limit disclosure to the extent that other admissible evidence is

sufficient to establish the facts in issue. The court or agency
may enter such orders as may be necessary in order to protect
the confidentiality, privacy, and safety of the recipient or of
other persons. Any order to disclose or to not disclose shall
be considered a final order for purposes of appeal and shall be
subject to interlocutory appeal.

(c) A recipient's records and communications may be disclosed to a duly authorized committee, commission or subcommittee of the General Assembly which possesses subpoena and hearing powers, upon a written request approved by a majority vote of the committee, commission or subcommittee members. The committee, commission or subcommittee may request records only for the purposes of investigating or studying possible violations of recipient rights. The request shall state the purpose for which disclosure is sought.

The facility shall notify the recipient, or his guardian, and therapist in writing of any disclosure request under this subsection within 5 business days after such request. Such notification shall also inform the recipient, or guardian, and therapist of their right to object to the disclosure within 10 business days after receipt of the notification and shall include the name, address and telephone number of the committee, commission or subcommittee member or staff person with whom an objection shall be filed. If no objection has been filed within 15 business days after the request for disclosure, the facility shall disclose the records and communications to

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1 the committee, commission or subcommittee. If an objection has been filed within 15 business days after the request for 2 disclosure, the facility shall disclose the records 3 and 4 communications only after the committee, commission or 5 subcommittee has permitted the recipient, quardian 6 therapist to present his objection in person before it and has renewed its request for disclosure by a majority vote of its 7 8 members.

Disclosure under this subsection shall not occur until all personally identifiable data of the recipient and provider are removed from the records and communications. Disclosure under this subsection shall not occur in any public proceeding.

(d) No party to any proceeding described under paragraphs (1), (2), (3), (4), (7), or (8) of subsection (a) of this Section, nor his or her attorney, shall serve a subpoena seeking to obtain access to records or communications under this Act unless the subpoena is accompanied by a written order issued by a judge, authorizing the disclosure of the records or the issuance of the subpoena. No such written order shall be issued without written notice of the motion to the recipient and the treatment provider. Prior to issuance of the order, each party or other person entitled to notice shall be permitted an opportunity to be heard pursuant to subsection (b) of this Section. No person shall comply with a subpoena for records or communications under this Act, unless the subpoena is accompanied by a written order authorizing the issuance of

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- 1 the subpoena or the disclosure of the records. Each subpoena duces tecum issued by a court or administrative agency or 2 served on any person pursuant to this subsection (d) shall 3 4 include the following language: "No person shall comply with a 5 subpoena for mental health records or communications pursuant 6 to Section 10 of the Mental Health and Developmental Disabilities Confidentiality Act, 740 ILCS 110/10, unless the 7 subpoena is accompanied by a written order that authorizes the 8 9 issuance of the subpoena and the disclosure of records or 10 communications."
  - (e) When a person has been transported by a peace officer to a mental health facility, then upon the request of a peace officer, if the person is allowed to leave the mental health facility within 48 hours of arrival, excluding Saturdays, Sundays, and holidays, the facility director shall notify the local law enforcement authority prior to the release of the person. The local law enforcement authority may re-disclose the information as necessary to alert the appropriate enforcement or prosecuting authority.
  - (f) A recipient's records and communications shall be disclosed to the Inspector General of the Department of Human Services within 10 business days of a request by the Inspector General (i) in the course of an investigation authorized by the Department of Human Services Act and applicable rule or (ii) during the course of an assessment authorized by the Abuse of Adults with Disabilities Intervention Act and applicable rule.

The request shall be in writing and signed by the Inspector 1 2 General or his or her designee. The request shall state the 3 purpose for which disclosure is sought. Any person who 4 knowingly and willfully refuses to comply with such a request 5 is quilty of a Class A misdemeanor. A recipient's records and 6 communications shall also be disclosed pursuant to subsection 7 (g-5) of Section 1-17 of the Department of Human Services Act in testimony at health care worker registry hearings or 8 9 preliminary proceedings when such are relevant to the matter in 10 issue, provided that any information so disclosed shall not be 11 utilized for any other purpose nor be redisclosed except in connection with such action or preliminary proceedings. 12

(Source: P.A. 96-406, eff. 8-13-09.) 13

14 Section 99. Effective date. This Act takes effect upon 15 becoming law.".