## 96TH GENERAL ASSEMBLY

# State of Illinois

# 2009 and 2010

#### HB3967

Introduced 2/26/2009, by Rep. Elizabeth Hernandez

### SYNOPSIS AS INTRODUCED:

320 ILCS 20	0/2	from	Ch.	23,	par.	6602
320 ILCS 20	0/3	from	Ch.	23,	par.	6603
320 ILCS 20	D/4	from	Ch.	23,	par.	6604
320 ILCS 20	0/8	from	Ch.	23,	par.	6608
320 ILCS 20	0/9	from	Ch.	23,	par.	6609
320 ILCS 20	0/13					

Amends the Elder Abuse and Neglect Act. Eliminates a reference to a repealed Act. Provides that upon sufficient appropriations to implement a statewide program, the Department on Aging shall implement a program, based on the recommendations of the Elder Self-Neglect Steering Committee, in connection with reports of possible self-neglect; eliminates a provision requiring the Department to take certain actions by January 1, 2008. Adds the following as persons entitled to access to records: (1) an executor or administrator of the estate of an eligible adult who is deceased; and (2) a coroner or medical examiner having proper jurisdiction, pursuant to a written agreement between a provider agency and the coroner or medical examiner a list of all eligible adults who may be at imminent risk of death as a result of abuse, neglect, financial exploitation, or self-neglect. Provides for a consent to services by an agent having authority under a power of attorney. Makes other changes.

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FISCAL NOTE ACT MAY APPLY

A BILL FOR

1 AN ACT concerning aging.

# 2 Be it enacted by the People of the State of Illinois, 3 represented in the General Assembly:

4 Section 5. The Elder Abuse and Neglect Act is amended by 5 changing Sections 2, 3, 4, 8, 9, and 13 as follows:

6 (320 ILCS 20/2) (from Ch. 23, par. 6602)

Sec. 2. Definitions. As used in this Act, unless the
context requires otherwise:

9 (a) "Abuse" means causing any physical, mental or sexual 10 injury to an eligible adult, including exploitation of such 11 adult's financial resources.

Nothing in this Act shall be construed to mean that an eligible adult is a victim of abuse, neglect, or self-neglect for the sole reason that he or she is being furnished with or relies upon treatment by spiritual means through prayer alone, in accordance with the tenets and practices of a recognized church or religious denomination.

Nothing in this Act shall be construed to mean that an eligible adult is a victim of abuse because of health care services provided or not provided by licensed health care professionals.

(a-5) "Abuser" means a person who abuses, neglects, orfinancially exploits an eligible adult.

1 (a-7) "Caregiver" means a person who either as a result of 2 a family relationship, voluntarily, or in exchange for 3 compensation has assumed responsibility for all or a portion of 4 the care of an eligible adult who needs assistance with 5 activities of daily living.

6 (b) "Department" means the Department on Aging of the State 7 of Illinois.

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(c) "Director" means the Director of the Department.

9 (d) "Domestic living situation" means a residence where the 10 eligible adult lives alone or with his or her family or a 11 caregiver, or others, or a board and care home or other 12 community-based unlicensed facility, but is not:

13 (1) A licensed facility as defined in Section 1-113 of
14 the Nursing Home Care Act;

15 (2) A "life care facility" as defined in the Life Care
16 Facilities Act;

17 (3) A home, institution, or other place operated by the
18 federal government or agency thereof or by the State of
19 Illinois;

(4) A hospital, sanitarium, or other institution, the
principal activity or business of which is the diagnosis,
care, and treatment of human illness through the
maintenance and operation of organized facilities
therefor, which is required to be licensed under the
Hospital Licensing Act;

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(5) A "community living facility" as defined in the

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Community Living Facilities Licensing Act;

2 (6) (Blank) A "community residential alternative" as
3 defined in the Community Residential Alternatives
4 Licensing Act;

5 (7) A "community-integrated living arrangement" as 6 defined in the Community-Integrated Living Arrangements 7 Licensure and Certification Act;

8 (8) An assisted living or shared housing establishment 9 as defined in the Assisted Living and Shared Housing Act; 10 or

(9) A supportive living facility as described in
 Section 5-5.01a of the Illinois Public Aid Code.

(e) "Eligible adult" means a person 60 years of age or older who resides in a domestic living situation and is, or is alleged to be, abused, neglected, or financially exploited by another individual or who neglects himself or herself.

(f) "Emergency" means a situation in which an eligible adult is living in conditions presenting a risk of death or physical, mental or sexual injury and the provider agency has reason to believe the eligible adult is unable to consent to services which would alleviate that risk.

(f-5) "Mandated reporter" means any of the following persons while engaged in carrying out their professional duties:

(1) a professional or professional's delegate while
 engaged in: (i) social services, (ii) law enforcement,

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(iii) education, (iv) the care of an eligible adult or 1 2 eligible adults, or (v) any of the occupations required to 3 be licensed under the Clinical Psychologist Licensing Act, the Clinical Social Work and Social Work Practice Act, the 4 5 Illinois Dental Practice Act, the Dietetic and Nutrition Services Practice Act, the Marriage and Family Therapy 6 Licensing Act, the Medical Practice Act of 1987, the 7 8 Naprapathic Practice Act, the Nurse Practice Act, the 9 Nursing Home Administrators Licensing and Disciplinary 10 Act, the Illinois Occupational Therapy Practice Act, the 11 Illinois Optometric Practice Act of 1987, the Pharmacy 12 Practice Act, the Illinois Physical Therapy Act, the Physician Assistant Practice Act of 1987, the Podiatric 13 14 Medical Practice Act of 1987, the Respiratory Care Practice 15 Act, the Professional Counselor and Clinical Professional 16 Counselor Licensing Act, the Illinois Speech-Language 17 Pathology and Audiology Practice Act, the Veterinary Medicine and Surgery Practice Act of 2004, and the Illinois 18 19 Public Accounting Act;

20 (2) an employee of a vocational rehabilitation 21 facility prescribed or supervised by the Department of 22 Human Services;

(3) an administrator, employee, or person providing
services in or through an unlicensed community based
facility;

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(4) any religious practitioner who provides treatment

by prayer or spiritual means alone in accordance with the tenets and practices of a recognized church or religious denomination, except as to information received in any confession or sacred communication enjoined by the discipline of the religious denomination to be held confidential;

7 (5) field personnel of the Department of Healthcare and
8 Family Services, Department of Public Health, and
9 Department of Human Services, and any county or municipal
10 health department;

(6) personnel of the Department of Human Services, the Guardianship and Advocacy Commission, the State Fire Marshal, local fire departments, the Department on Aging and its subsidiary Area Agencies on Aging and provider agencies, and the Office of State Long Term Care Ombudsman;

16 (7) any employee of the State of Illinois not otherwise 17 specified herein who is involved in providing services to 18 eligible adults, including professionals providing medical 19 or rehabilitation services and all other persons having 20 direct contact with eligible adults;

21 (8) a person who performs the duties of a coroner or 22 medical examiner; or

(9) a person who performs the duties of a paramedic oran emergency medical technician.

25 (g) "Neglect" means another individual's failure to 26 provide an eligible adult with or willful withholding from an eligible adult the necessities of life including, but not limited to, food, clothing, shelter or health care. This subsection does not create any new affirmative duty to provide support to eligible adults. Nothing in this Act shall be construed to mean that an eligible adult is a victim of neglect because of health care services provided or not provided by licensed health care professionals.

8 (h) "Provider agency" means any public or nonprofit agency 9 in a planning and service area appointed by the regional 10 administrative agency with prior approval by the Department on 11 Aging to receive and assess reports of alleged or suspected 12 abuse, neglect, or financial exploitation.

(i) "Regional administrative agency" means any public or nonprofit agency in a planning and service area so designated by the Department, provided that the designated Area Agency on Aging shall be designated the regional administrative agency if it so requests. The Department shall assume the functions of the regional administrative agency for any planning and service area where another agency is not so designated.

20 (i-5) "Self-neglect" means a condition that is the result 21 of an eligible adult's inability, due to physical or mental 22 impairments, or both, or a diminished capacity, to perform 23 essential self-care tasks that substantially threaten his or 24 her own health, including: providing essential food, clothing, 25 shelter, and health care; and obtaining goods and services 26 necessary to maintain physical health, mental health,

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1 emotional well-being, and general safety.

(j) "Substantiated case" means a reported case of alleged
or suspected abuse, neglect, financial exploitation, or
self-neglect in which a provider agency, after assessment,
determines that there is reason to believe abuse, neglect, or
financial exploitation has occurred.

7 (Source: P.A. 94-1064, eff. 1-1-07; 95-639, eff. 10-5-07; 8 95-689, eff. 10-29-07; 95-876, eff. 8-21-08.)

9 (320 ILCS 20/3) (from Ch. 23, par. 6603)

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Sec. 3. Responsibilities.

11 (a) The Department shall establish, design and manage a 12 program of response and services for persons 60 years of age and older who have been, or are alleged to be, victims of 13 14 abuse, neglect, financial exploitation, or self-neglect. The 15 Department shall contract with or fund or, contract with and 16 fund, regional administrative agencies, provider agencies, or both, for the provision of those functions, and, contingent on 17 adequate funding, with attorneys or legal services provider 18 19 agencies for the provision of legal assistance pursuant to this 20 Act.

(b) Each regional administrative agency shall designate provider agencies within its planning and service area with prior approval by the Department on Aging, monitor the use of services, provide technical assistance to the provider agencies and be involved in program development activities. - 8 - LRB096 08013 DRJ 18117 b

(c) Provider agencies shall assist, to the extent possible, 1 2 eligible adults who need agency services to allow them to continue to function independently. Such assistance shall 3 include but not be limited to receiving reports of alleged or 4 5 suspected abuse, neglect, financial exploitation, or 6 self-neglect, conducting face-to-face assessments of such 7 reported cases, determination of substantiated cases, referral 8 substantiated cases for necessary support services, of 9 referral of criminal conduct to law enforcement in accordance 10 with Department quidelines, and provision of case work and 11 follow-up services on substantiated cases. In the case of a 12 report of alleged or suspected abuse or neglect that places an 13 eligible adult at risk of injury or death, a provider agency 14 shall respond to the report on an emergency basis in accordance 15 with quidelines established by the Department by 16 administrative rule and shall ensure that it is capable of 17 responding to such a report 24 hours per day, 7 days per week. A provider agency may use an on-call system to respond to 18 19 reports of alleged or suspected abuse or neglect after hours and on weekends. 20

(d) <u>Upon sufficient appropriations to implement a</u>
statewide program, the Department shall implement a program,
based on the recommendations of the Elder Self-Neglect Steering
<u>Committee</u>, By January 1, 2008, the Department on Aging, in
cooperation with an Elder Self-Neglect Steering Committee,
shall by rule develop protocols, procedures, and policies for

1 responding to reports of possible self-neglect, (ii) (i) 2 protecting the autonomy, rights, privacy, and privileges of 3 adults during investigations of possible self-neglect and consequential judicial proceedings regarding competency, (iii) 4 5 collecting and sharing relevant information and data among the 6 Department, provider agencies, regional administrative 7 agencies, and relevant seniors, (iv) developing working agreements between provider agencies and law enforcement, 8 9 practicable, and (v) developing procedures where for 10 collecting data regarding incidents of self-neglect. The Elder Self-Neglect Steering Committee shall be comprised of one 11 12 person selected by the Elder Abuse Advisory Committee of the 13 Department on Aging; 3 persons selected, on the request of the Director of Aging, by State or regional organizations that 14 15 advocate for the rights of seniors, at least one of whom shall 16 be a legal assistance attorney who represents seniors in 17 competency proceedings; 2 persons selected, on the request of the Director of Aging, by statewide organizations 18 that 19 represent social workers and other persons who provide direct 20 intervention and care to housebound seniors who are likely to 21 neglect themselves; an expert on geropsychiatry, appointed by 22 the Secretary of Human Services; an expert on issues of 23 physical health associated with seniors, appointed by the Director of Public Health; one representative of a law 24 25 enforcement agency; one representative of the Chicago Department on Aging; and 3 other persons selected by the 26

Director of Aging, including an expert from an institution of higher education who is familiar with the relevant areas of data collection and study.

4 (Source: P.A. 94-1064, eff. 1-1-07; 95-76, eff. 6-1-08.)

5 (320 ILCS 20/4) (from Ch. 23, par. 6604)

6 Sec. 4. Reports of abuse or neglect.

7 (a) Any person who suspects the abuse, neglect, financial
8 exploitation, or self-neglect of an eligible adult may report
9 this suspicion to an agency designated to receive such reports
10 under this Act or to the Department.

11 (a-5) If any mandated reporter has reason to believe that 12 an eligible adult, who because of dysfunction is unable to seek assistance for himself or herself, has, within the previous 12 13 months, been subjected to abuse, neglect, or financial 14 exploitation, the mandated reporter shall, within 24 hours 15 after developing such belief, report this suspicion to an 16 agency designated to receive such reports under this Act or to 17 18 the Department. Whenever a mandated reporter is required to report under this Act in his or her capacity as a member of the 19 20 staff of a medical or other public or private institution, 21 facility, board and care home, or agency, he or she shall make 22 a report to an agency designated to receive such reports under this Act or to the Department in accordance with the provisions 23 24 of this Act and may also notify the person in charge of the 25 institution, facility, board and care home, or agency or his or

her designated agent that the report has been made. Under no 1 2 circumstances shall any person in charge of such institution, 3 facility, board and care home, or agency, or his or her designated agent to whom the notification has been made, 4 5 exercise any control, restraint, modification, or other change 6 in the report or the forwarding of the report to an agency 7 designated to receive such reports under this Act or to the Department. The privileged quality of communication between 8 9 any professional person required to report and his or her 10 patient or client shall not apply to situations involving 11 abused, neglected, or financially exploited eligible adults 12 and shall not constitute grounds for failure to report as 13 required by this Act.

(a-7) A person making a report under this Act in the belief 14 15 that it is in the alleged victim's best interest shall be 16 immune from criminal or civil liability or professional 17 disciplinary action on account of making the report, requirements 18 notwithstanding any concerning the 19 confidentiality of information with respect to such eligible 20 adult which might otherwise be applicable.

21 (a-9) Law enforcement officers shall continue to report 22 incidents of alleged abuse pursuant to the Illinois Domestic 23 Violence Act of 1986, notwithstanding any requirements under 24 this Act.

25 (b) Any person, institution or agency participating in the 26 making of a report, providing information or records related to

a report, assessment, or services, or participating in the 1 2 investigation of a report under this Act in good faith, or taking photographs or x-rays as a result of an authorized 3 assessment, shall have immunity from any civil, criminal or 4 5 other liability in any civil, criminal or other proceeding 6 brought in consequence of making such report or assessment or 7 account of submitting or otherwise disclosing such on 8 photographs or x-rays to any agency designated to receive 9 reports of alleged or suspected abuse or neglect. Any person, 10 institution or agency authorized by the Department to provide 11 assessment, intervention, or administrative services under 12 this Act shall, in the good faith performance of those 13 services, have immunity from any civil, criminal or other liability in any civil, criminal, or other proceeding brought 14 15 as a consequence of the performance of those services. For the purposes of any civil, criminal, or other proceeding, the good 16 17 faith of any person required to report, permitted to report, or participating in an investigation of a report of alleged or 18 suspected abuse, neglect, or financial exploitation, or 19 20 self-neglect shall be presumed.

(c) The identity of a person making a report of alleged or suspected abuse, or neglect, financial exploitation, or <u>self-neglect</u> under this Act may be disclosed by the Department or other agency provided for in this Act only with such person's written consent or by court order.

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(d) The Department shall by rule establish a system for

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1 filing and compiling reports made under this Act.

2 (e) Any physician who willfully fails to report as required by this Act shall be referred to the Illinois State Medical 3 Disciplinary Board for action in accordance with subdivision 4 5 (A) (22) of Section 22 of the Medical Practice Act of 1987. Any 6 dentist or dental hygienist who willfully fails to report as required by this Act shall be referred to the Department of 7 8 Professional Regulation for action in accordance with 9 paragraph 19 of Section 23 of the Illinois Dental Practice Act. 10 Any other mandated reporter required by this Act to report 11 suspected abuse, neglect, or financial exploitation who 12 willfully fails to report the same is guilty of a Class A 13 misdemeanor.

14 (Source: P.A. 93-300, eff. 1-1-04; 93-301, eff. 1-1-04; 15 94-1064, eff. 1-1-07.)

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(320 ILCS 20/8) (from Ch. 23, par. 6608)

17 Sec. 8. Access to records. All records concerning reports 18 of elder abuse, neglect, financial exploitation, or self-neglect and all records generated as a result of such 19 20 reports shall be confidential and shall not be disclosed except 21 as specifically authorized by this Act or other applicable law. 22 In accord with established law and Department protocols, procedures, and policies, access to such records, but not 23 24 access to the identity of the person or persons making a report 25 of alleged abuse, neglect, financial exploitation, or HB3967

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1 self-neglect as contained in such records, shall be provided,
2 upon request, to the following persons and for the following
3 persons:

(1) Department staff, provider agency staff, other 4 aging network staff, and regional administrative agency 5 staff, including staff of the Chicago Department on Aging 6 7 that agency is designated а while as regional 8 administrative agency, in the furtherance of their 9 responsibilities under this Act;

10 (2) A law enforcement agency investigating known or 11 suspected elder abuse, neglect, financial exploitation, or 12 self-neglect. Where a provider agency has reason to believe 13 that the death of an eligible adult may be the result of 14 abuse or neglect, the agency shall immediately provide the 15 appropriate law enforcement agency with all records 16 pertaining to the eligible adult;

(3) A physician who has before him or her or who is
involved in the treatment of an eligible adult whom he or
she reasonably suspects may be abused, neglected,
financially exploited, or self-neglected or who has been
referred to the Elder Abuse and Neglect Program;

(4) An eligible adult reported to be abused, neglected,
financially exploited, or self-neglected, or such adult's
<u>authorized</u> guardian <u>or agent</u>, unless such guardian <u>or agent</u>
is the abuser or the alleged abuser;

(4.5) An executor or administrator of the estate of an

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eligible adult who is deceased;

2 (5) In cases regarding elder abuse, neglect, or 3 financial exploitation, a court or a guardian ad litem, upon its or his or her finding that access to such records 4 5 may be necessary for the determination of an issue before the court. However, such access shall be limited to an in 6 7 inspection of the records, unless the court camera determines that disclosure of the information contained 8 9 therein is necessary for the resolution of an issue then 10 pending before it;

11 (5.5) In cases regarding self-neglect, a guardian ad 12 litem;

13 (6) A grand jury, upon its determination that access to
14 such records is necessary in the conduct of its official
15 business;

16 (7) Any person authorized by the Director, in writing,
17 for audit or bona fide research purposes;

(8) A coroner or medical examiner who has reason to
believe that an eligible adult has died as the result of
abuse, neglect, financial exploitation, or self-neglect.
The provider agency shall immediately provide the coroner
or medical examiner with all records pertaining to the
eligible adult; and

24 (8.5) A coroner or medical examiner having proper
 25 jurisdiction, pursuant to a written agreement between a
 26 provider agency and the coroner or medical examiner, under

1 which the provider agency may furnish to the office of the 2 coroner or medical examiner a list of all eligible adults 3 who may be at imminent risk of death as a result of abuse, 4 neglect, financial exploitation, or self-neglect; and

5 (9) Department of Professional Regulation staff and 6 members of the Social Work Examining and Disciplinary Board 7 in the course of investigating alleged violations of the 8 Clinical Social Work and Social Work Practice Act by 9 provider agency staff.

10 (Source: P.A. 94-1064, eff. 1-1-07.)

- 11 (320 ILCS 20/9) (from Ch. 23, par. 6609)
- 12 Sec. 9. Authority to consent to services.

(a) If an eligible adult consents to services being provided according to the <u>case</u> service care plan, such services shall be arranged to meet the adult's needs, based upon the availability of resources to provide such services. If an adult withdraws his or her consent or refuses to accept such services, the services shall not be provided.

(b) If it reasonably appears to the Department or other agency designated under this Act that a person is an eligible adult and lacks the capacity to consent to necessary services, including an assessment, the Department or other agency may seek the appointment of a guardian as provided in Article XIa of the Probate Act of 1975 for the purpose of consenting to such services, together with an order for an evaluation of the 7 - 17 - LRB096 08013 DRJ 18117 b

# eligible adult's physical, psychological, and medical condition and decisional capacity.

(c) A guardian of the person of an eligible adult may 3 consent to services being provided according to the case 4 5 service care plan. If an eligible adult lacks capacity to consent to services, an agent having authority under a power of 6 attorney may consent to services. If the a guardian or agent 7 withdraws his or her consent or refuses to allow services to be 8 9 provided to the eligible adult, the Department, an agency 10 designated under this Act, or the office of the Attorney 11 General may request a court order seeking appropriate remedies, 12 and may in addition request removal of the guardian and 13 appointment of a successor guardian or request removal of the 14 agent and appointment of a guardian.

15 (d) If an emergency exists and the Department or other 16 agency designated under this Act reasonably believes that a 17 person is an eligible adult and lacks the capacity to consent to necessary services, the Department or other agency may 18 19 request an ex parte order from the circuit court of the county 20 in which the petitioner or respondent resides or in which the 21 alleged abuse, neglect, financial exploitation, or 22 self-neglect occurred, authorizing an assessment of a report of 23 alleged or suspected abuse, neglect, financial exploitation, or self-neglect or the provision of necessary services, or 24 25 both, including relief available under the Illinois Domestic Violence Act of 1986 in accord with established law and 26

Department protocols, procedures, and policies. Petitions
 filed under this subsection shall be treated as expedited
 proceedings.

4 (e) Within 15 days after the entry of the ex parte 5 emergency order, the order shall expire, or, if the need for 6 assessment or services continues, the provider agency shall 7 petition for the appointment of a guardian as provided in 8 Article XIa of the Probate Act of 1975 for the purpose of 9 consenting to such assessment or services or to protect the 10 eligible adult from further harm.

11 (f) If the court enters an ex parte order under subsection 12 (d) for an assessment of a report of alleged or suspected self-neglect, or for the provision of necessary services in 13 14 connection with alleged or suspected self-neglect, or for both, 15 the court, as soon as is practicable thereafter, shall appoint 16 a guardian ad litem for the eligible adult who is the subject 17 of the order, for the purpose of reviewing the reasonableness of the order. The quardian ad litem shall review the order and, 18 19 if the quardian ad litem reasonably believes that the order is 20 unreasonable, the quardian ad litem shall file a petition with 21 the court stating the guardian ad litem's belief and requesting that the order be vacated. 22

23 (Source: P.A. 94-1064, eff. 1-1-07.)

24 (320 ILCS 20/13)

25 Sec. 13. Access.

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accord with established 1 (a) Ιn law and Department 2 protocols, procedures, and policies, the designated provider agencies shall have access to eligible adults who have been 3 reported or found to be victims of abuse, neglect, financial 4 5 exploitation, or self-neglect in order to assess the validity 6 of the report, assess other needs of the eligible adult, and provide services in accordance with this Act. 7

8 (b) Where access to an eligible adult is denied, the Office 9 of the Attorney General, the Department, or the provider agency 10 may petition the court for an order to require appropriate 11 access where:

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(1) a caregiver or third party has interfered with the assessment or service plan, or

14 (2) the agency has reason to believe that the eligible 15 adult is denying access because of coercion, extortion, or 16 justifiable fear of future abuse, neglect, or financial 17 exploitation.

18 (c) The petition for an order requiring appropriate access19 shall be afforded an expedited hearing in the circuit court.

(d) If the elder abuse provider agency has substantiated financial exploitation against an eligible adult, and has documented a reasonable belief that the eligible adult will be irreparably harmed as a result of the financial exploitation, the Office of the Attorney General, the Department, or the provider agency may petition for an order freezing the assets of the eligible adult. The petition shall be filed in the

1 county or counties in which the assets are located. The court's 2 order shall prohibit the sale, gifting, transfer, or wasting of 3 the assets of the eligible adult, both real and personal, owned 4 by, or vested in, the eligible adult, without the express 5 permission of the court. The petition to freeze the assets of 6 the eligible adult shall be afforded an expedited hearing in 7 the circuit court.

8 (Source: P.A. 94-1064, eff. 1-1-07.)