

96TH GENERAL ASSEMBLY State of Illinois 2009 and 2010 HB2399

Introduced 2/19/2009, by Rep. Angelo Saviano - Robert Rita

SYNOPSIS AS INTRODUCED:

See Index

Amends the Illinois Architecture Practice Act of 1989. Changes various references from the Director of Professional Regulation to the Secretary of the Department of Financial and Professional Regulation. Changes provisions concerning the regulation of certain acts in performing architecture under a contract. Deletes a provision requiring the Illinois Architecture Licensing Board to submit a written report concerning the issuance or denial of licenses. In provisions concerning the examination of an applicant for a license, provides that if an applicant fails to apply within one year, the applicant shall be required to again take and pass the examination unless the Department upon recommendation of the Board determine that there is sufficient cause for the delay that is not due to the fault or the applicant. Contains provisions for the restoration of licensure for retired architects and authorizes the use of the title "Architect, Retired". Provides that the roster of licensees and registrants roster shall be organized by discipline and available by discipline upon request (instead of written request) and payment of the required fee. Changes provisions concerning the required notice to the Department for the termination of a managing agent. Changes provisions concerning the refusal, suspension, and revocation of licenses by the Secretary. Changes the fine imposed for unlicensed practice from \$5,000 to \$10,000. Adds provisions concerning the administration of oaths by the Secretary. Contains provisions concerning the restoration of a revoked or suspended license. Repeals a provision concerning the issuance of a license. Effective immediately.

LRB096 08465 ASK 18584 b

FISCAL NOTE ACT MAY APPLY

1 AN ACT concerning professional regulation.

Be it enacted by the People of the State of Illinois, represented in the General Assembly:

- Section 5. The Illinois Architecture Practice Act of 1989 is amended by changing Sections 3, 4, 5, 8, 9, 10, 12, 19, 20, 21, 22, 23, 23.5, 24, 25, 26, 28, 29, 30, 31, 33, and 36 and by adding Section 17.5 as follows:
- 8 (225 ILCS 305/3) (from Ch. 111, par. 1303)
- 9 (Section scheduled to be repealed on January 1, 2010)
- Sec. 3. Application of Act. Nothing in this Act shall be 10 deemed or construed to prevent the practice of structural 11 engineering as defined in the Structural Engineering Practice 12 Act of 1989, the practice of professional engineering as 13 14 defined in the Professional Engineering Practice Act of 1989, or the preparation of documents used to prescribe work to be 15 16 done inside buildings for non-loadbearing interior 17 construction, furnishings, fixtures and equipment, or the offering or preparation of environmental analysis, feasibility 18 19 studies, programming or construction management services by persons other than those licensed in accordance with this Act, 20 the Structural Engineering Practice Act of 1989 or the 21 Professional Engineering Practice Act of 1989. 22
- Nothing contained in this Act shall prevent the draftsmen,

students, project representatives and other employees of those lawfully practicing as licensed architects under the provisions of this Act, from acting under the responsible direct supervision and control of their employers, or to prevent the employment of project representatives for enlargement or alteration of buildings or any parts thereof, or prevent such project representatives from acting under the responsible direct supervision and control of the licensed architect by whom the construction documents including drawings and specifications of any such building, enlargement or alteration were prepared.

Nothing in this Act or any other Act shall prevent a licensed architect from practicing interior design services. Nothing in this Act shall be construed as requiring the services of an interior designer for the interior designing of a single family residence.

The involvement of a licensed architect is not required for the following:

- (A) The building, remodeling or repairing of any building or other structure outside of the corporate limits of any city or village, where such building or structure is to be, or is used for farm purposes, or for the purposes of outbuildings or auxiliary buildings in connection with such farm premises.
- (B) The construction, remodeling or repairing of a detached single family residence on a single lot.

- 1 (C) The construction, remodeling or repairing of a 2 two-family residence of wood frame construction on a single 3 lot, not more than two stories and basement in height.
 - (D) Interior design services for buildings which do not involve life safety or structural changes.

However, when an ordinance of a unit of local government requires the involvement of a licensed architect for any buildings included in the preceding paragraphs (A) through (D), the requirements of this Act shall apply. All buildings not included in the preceding paragraphs (A) through (D), including multi-family buildings and buildings previously exempt from the involvement of a licensed architect under those paragraphs but subsequently non-exempt due to a change in occupancy or use, are subject to the requirements of this Act. Interior alterations which result in life safety or structural changes of the building are subject to the requirements of this Act.

17 (Source: P.A. 92-16, eff. 6-28-01; 93-1009, eff. 1-1-05.)

18 (225 ILCS 305/4) (from Ch. 111, par. 1304)

19 (Section scheduled to be repealed on January 1, 2010)

Sec. 4. Definitions. In this Act:

"Address of record" means the designated address recorded by the Department in the applicant's or licensee's application file or license file maintained by the Department's licensure maintenance unit. It is the duty of the applicant or licensee to inform the Department of any change of address, and such

- changes must be made either through the Department's website.
- 2 (a) "Department" means the Department of Financial and
- 3 Professional Regulation.
- 4 (b) "Director" means the Director of Professional
- 5 Regulation.
- 6 (c) "Board" means the Illinois Architecture Licensing
- Board appointed by the <u>Secretary</u> Director.
- 8 (d) "Public health" as related to the practice of
- 9 architecture means the state of the well-being of the body or
- 10 mind of the building user.
- 11 (e) "Public safety" as related to the practice of
- 12 architecture means the state of being reasonably free from risk
- of danger, damage, or injury.
- 14 (f) "Public welfare" as related to the practice of
- 15 architecture means the well-being of the building user
- 16 resulting from the state of a physical environment that
- 17 accommodates human activity.
- "Secretary" means the Secretary of the Department of
- 19 Financial and Professional Regulation.
- 20 (Source: P.A. 93-1009, eff. 1-1-05.)
- 21 (225 ILCS 305/5) (from Ch. 111, par. 1305)
- 22 (Section scheduled to be repealed on January 1, 2010)
- 23 Sec. 5. Architect defined; Acts constituting practice.
- 24 (a) An architect is a person who is qualified by education,
- 25 training, experience, and examination, and who is licensed

- 1 under the laws of this State, to practice architecture.
- 2 (b) The practice of architecture within the meaning and
- 3 intent of this Act includes the offering or furnishing of
- 4 professional services, such as consultation, environmental
- 5 analysis, feasibility studies, programming, planning,
- 6 aesthetic and structural design, technical submissions
- 7 consisting of drawings and specifications and other documents
- 8 required in the construction process, administration of
- 9 construction contracts, project representation, and
- 10 construction management, in connection with the construction
- of any private or public building, building structure, building
- project, or addition to or alteration or restoration thereof.
- 13 (c) Nothing contained in this Section imposes upon a person
- 14 licensed under this Act the responsibility for the performance
- of any of the activities set forth in subjection (b) of this
- 16 Section, unless such person specifically contracts to provide
- 17 the function.
- 18 (Source: P.A. 92-360, eff. 1-1-02.)
- 19 (225 ILCS 305/8) (from Ch. 111, par. 1308)
- 20 (Section scheduled to be repealed on January 1, 2010)
- 21 Sec. 8. Powers and duties of the Department.
- 22 (1) Subject to the provisions of this Act, the Department
- 23 shall exercise the following functions, powers, and duties:
- 24 (a) conduct examinations to ascertain the
- 25 qualifications and fitness of applicants for licensure as

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licensed architects, and pass upon the qualifications and fitness of applicants for licensure by endorsement;

- (b) prescribe rules for a method of examination of candidates;
- (C) prescribe rules defining what constitutes college or university, or department of university, or other institution, reputable and in good standing, to determine whether or not a school, college or university, or department of a university, or other institution is reputable and in good standing by reference to compliance with such rules, and to terminate the approval of such school, college or university or department of a university or other institution that refuses admittance to applicants solely on the basis of race, color, creed, sex or national origin. The Department adopt, as its own rules relating to education requirements, those quidelines published from time to time by the National Architectural Accrediting Board;
- (d) prescribe rules for diversified professional
 training;
- (e) conduct oral interviews, disciplinary conferences and formal evidentiary hearings on proceedings to impose fines or to suspend, revoke, place on probationary status, reprimand, and refuse to issue or restore any license issued under the provisions of this Act for the reasons set forth in Section 22 of this Act;

- (f) issue licenses to those who meet the requirements of this Act;
 - (g) formulate and publish rules necessary or appropriate to carrying out the provisions of this Act; and
 - (h) maintain membership in the National Council of Architectural Registration Boards and participate in activities of the Council by designation of individuals for the various classifications of membership and the appointment of delegates for attendance at regional and national meetings of the Council. All costs associated with membership and attendance of such delegates to any national meetings may be funded from the Design Professionals Administration and Investigation Fund.
- (2) Prior to issuance of any final decision or order that deviates from any report or recommendation of the Board relating to the qualification of applicants, discipline of licensees or registrants, or promulgation of rules, the Director shall notify the Board in writing with an explanation of the deviation and provide a reasonable time for the Board to submit written comments to the Director regarding the proposed action. In the event that the Board fails or declines to submit written comments within 30 days of the notification, the Director may issue a final decision or order consistent with the Director's original decision. The Department may at any time seek the expert advice and knowledge of the Board on any matter relating to the enforcement of this Act.

- 1 (Source: P.A. 91-133, eff. 1-1-00; 92-16, eff. 6-28-01.)
- 2 (225 ILCS 305/9) (from Ch. 111, par. 1309)
- 3 (Section scheduled to be repealed on January 1, 2010)
- 4 Sec. 9. Creation of the Board. The Secretary Director shall
- 5 appoint an Architecture Licensing Board which will consist of 6
- 6 members. Five members shall be licensed architects, one of whom
- 7 shall be a tenured member of the architectural faculty of the
- 8 University of Illinois. The other 4 shall be licensed
- 9 architects, residing in this State, who have been engaged in
- 10 the practice of architecture at least 10 years. In addition to
- 11 the 5 licensed architects, there shall be one public member.
- The public member shall be a voting member and shall not hold a
- 13 license as an architect, professional engineer, structural
- 14 engineer or land surveyor.
- Board members shall serve 5 year terms and until their
- 16 successors are appointed and qualified. In making the
- designation of persons to the Board, the Secretary Director
- shall give due consideration to recommendations by members and
- 19 organizations of the profession.
- The membership of the Board should reasonably reflect
- 21 representation from the geographic areas in this State.
- No member shall be reappointed to the Board for a term
- 23 which would cause his or her continuous service on the Board to
- 24 be longer than 10 successive years. Service prior to the
- 25 effective date of this Act shall not be considered.

Appointments to fill vacancies shall be made in the same manner as original appointments, for the unexpired portion of the vacated term. Initial terms shall begin upon the effective date of this Act and Board members in office on that date under the predecessor Act may be appointed to specific terms as indicated in this Section.

Persons holding office as members of the Board under the Illinois Architecture Act immediately prior to the effective date of this Act shall continue as members of the Board under this Act until the expiration of the term for which they were appointed and until their successors are appointed and qualified.

A quorum of the Board shall consist of a majority of Board members currently appointed. A majority vote of the quorum is required for Board decisions.

The <u>Secretary Director</u> may remove any member of the Board for misconduct, incompetence, neglect of duty, or for reasons prescribed by law for removal of State officials.

The <u>Secretary</u> Director may remove a member of the Board who does not attend 2 consecutive meetings.

Notice of proposed rulemaking shall be transmitted to the Board and the Department shall review the response of the Board and any recommendations made therein. The Department may, at any time, seek the expert advice and knowledge of the Board on any matter relating to the administration or enforcement of this Act.

- 1 Members of the Board are immune from suit in any action
- 2 based upon any disciplinary proceedings or other activities
- 3 performed in good faith as members of the Board.
- 4 (Source: P.A. 91-133, eff. 1-1-00.)
- 5 (225 ILCS 305/10) (from Ch. 111, par. 1310)
- 6 (Section scheduled to be repealed on January 1, 2010)
- 7 Sec. 10. Powers and duties of the Board.
- 8 (a) The Board shall hold at least 3 regular meetings each
- 9 year.
- 10 (b) The Board shall annually elect a Chairperson and a Vice
- 11 Chairperson who shall be licensed architects.
- 12 (c) The Board, upon request by the Department, may make a
- 13 curriculum evaluation to determine if courses conform to the
- requirements of approved architectural programs.
- 15 (d) The Board shall assist the Department in conducting
- 16 oral interviews, disciplinary conferences and formal
- 17 evidentiary hearings.
- 18 (e) The Department may, at any time, seek the expert advice
- 19 and knowledge of the Board on any matter relating to the
- 20 enforcement of this Act.
- 21 (f) The Board may appoint a subcommittee to serve as a
- 22 Complaint Committee to recommend the disposition of case files
- according to procedures established by rule.
- 24 (g) The Board shall review applicant qualifications to sit
- 25 for the examination or for licensure and shall make

- recommendations to the Department. The Department shall review 1 2 the Board's recommendations on applicant qualifications. The 3 Secretary Director shall notify the Board in writing with an explanation of any deviation from the Board's recommendation on 4 5 applicant qualifications. After review of the Secretary's 6 Director's written explanation of his or her reasons for 7 deviation, the Board shall have the opportunity to comment upon the Secretary's Director's decision. 8
- 9 (h) The Board shall submit written comments to the
 10 <u>Secretary</u> Director within 30 days from notification of any
 11 final decision or order from the <u>Secretary</u> Director that
 12 deviates from any report or recommendation of the Board
 13 relating to the qualifications of applicants, discipline of
 14 licensees or registrants, or promulgation of rules.
- 15 (Source: P.A. 91-133, eff. 1-1-00.)
- 16 (225 ILCS 305/12) (from Ch. 111, par. 1312)
- 17 (Section scheduled to be repealed on January 1, 2010)
- Sec. 12. Examinations; subjects; failure or refusal to take examination. The Department shall authorize examination of applicants as architects at such times and places as it may determine. The examination shall be in English and shall be written or written and graphic. It shall include at a minimum the following subjects:
- 24 (a) pre-design (environmental analysis, architectural 25 programming, and application of principles of project

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- (b) site planning (site analysis, design and development, parking, and application of zoning requirements);
- (c) building planning (conceptual planning of functional and space relationships, building design, interior space layout, barrier-free design, and the application of the life safety code requirements and principles of energy efficient design);
- (d) building technology (application of structural systems, building components, and mechanical and electrical systems);
- (e) general structures (identification, resolution, and incorporation of structural systems and the long span design on the technical aspects of the design of buildings and the process and construction);
- (f) lateral forces (identification and resolution of the effects of lateral forces on the technical aspects of the design of buildings and the process of construction);
- (g) mechanical and electrical systems (as applied to the design of buildings, including plumbing and acoustical systems);
- (h) materials and methods (as related to the design of buildings and the technical aspects of construction); and
- (i) construction documents and services (conduct of architectural practice as it relates to construction

documents, bidding, and construction administration and contractual documents from beginning to end of a building project).

It shall be the responsibility of the applicant to be familiar with this Act and its rules.

Examination subject matter headings and bases on which examinations are graded shall be indicated in rules pertaining to this Act. The Department may adopt the examinations and grading procedures of the National Council of Architectural Registration Boards. Content of any particular examination shall not be considered public record under the Freedom of Information Act.

If an applicant neglects without an approved excuse or refuses to take the next available examination offered for licensure under this Act, the fee paid by the applicant shall be forfeited. If an applicant fails to pass an examination for licensure under this Act within 3 years after filing an application, the application shall be denied. The applicant may, however, make a new application for examination accompanied by the required fee and must furnish proof of meeting the qualifications for examination in effect at the time of the new application.

The Department may by rule prescribe additional subjects for examination.

An applicant has one year from the date of notification of successful completion of all the examination requirements to

- apply to the Department for a license. If an applicant fails to
- 2 apply within one year, the applicant shall be required to again
- 3 take and pass the examination <u>unless</u> the <u>Department upon</u>
- 4 recommendation of the Board determine that there is sufficient
- 5 cause for the delay that is not due to the fault or the
- 6 applicant.
- 7 (Source: P.A. 91-133, eff. 1-1-00; 92-16, eff. 6-28-01.)
- 8 (225 ILCS 305/17.5 new)
- 9 Sec. 17.5. Architect; retired. Pursuant to Section 2105-15
- of the Department of Professional Regulation Law of the Civil
- 11 Administration Code of Illinois, the Department may grant the
- 12 title "Architect, Retired" to any person who has been duly
- 13 licensed as an architect by the Department and who chooses to
- 14 relinquish or not renew his or her license. Those persons
- 15 granted the title "Architect, Retired" may request restoration
- to active status under the applicable provisions of this Act.
- 17 The use of the title "Architect, Retired" shall not
- 18 constitute representation of current licensure. Any person
- 19 without an active license shall not practice architecture as
- defined in this Act.
- Nothing in this Section shall be construed to require the
- Department to issue any certificate, credential, or other
- 23 official document indicating that a person has been granted the
- 24 title "Architect, Retired".

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- 1 (225 ILCS 305/19) (from Ch. 111, par. 1319)
- 2 (Section scheduled to be repealed on January 1, 2010)
- 3 Sec. 19. Fees.
- 4 (a) The Department shall provide by rule for a schedule of fees to be paid for licenses by all applicants. All fees are not refundable.
- 7 (b) The fees for the administration and enforcement of this 8 Act, including but not limited to original licensure, renewal, 9 and restoration, shall be set by rule by the Department.
 - All of the fees and fines collected pursuant to this Section shall be deposited in the Design Professionals Administration and Investigation Fund. Of the moneys deposited into the Design Professionals Administration and Investigation Fund, the Department may use such funds as necessary and available to produce and distribute newsletters to persons licensed under this Act.

17 Any person who delivers a check or other payment to the Department that is returned to the Department unpaid by the 18 19 financial institution upon which it is drawn shall pay to the 20 Department, in addition to the amount already owed to the Department, a fine of \$50. The fines imposed by this Section 21 22 are in addition to any other discipline provided under this Act 23 for unlicensed practice or practice on a nonrenewed license. The Department shall notify the person that payment of fees and 24 25 fines shall be paid to the Department by certified check or 26 money order within 30 calendar days of the notification. If,

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after the expiration of 30 days from the date of 1 2 notification, the person has failed to submit the necessary remittance, the Department shall automatically terminate the 3 or certificate or deny the application, without hearing. If, after termination or denial, the person seeks a 5 6 license or certificate, he or she shall apply to the Department for restoration or issuance of the license or certificate and 7 8 pay all fees and fines due to the Department. The Department 9 may establish a fee for the processing of an application for 10 restoration of a license or certificate to pay all expenses of 11 processing this application. The Secretary Director may waive 12 the fines due under this Section in individual cases where the Secretary Director finds that the fines would be unreasonable 13 14 or unnecessarily burdensome.

- 15 (Source: P.A. 91-133, eff. 1-1-00; 92-146, eff. 1-1-02.)
- 16 (225 ILCS 305/20) (from Ch. 111, par. 1320)
- 17 (Section scheduled to be repealed on January 1, 2010)

Sec. 20. Roster of licensees and registrants. A roster showing the names and addresses of all architects, architectural corporations and partnerships and professional design firms licensed or registered under this Act shall be prepared by the Department each year. This roster shall be organized by discipline and available by discipline upon written request and payment of the required fee.

25 (Source: P.A. 94-543, eff. 8-10-05.)

- 1 (225 ILCS 305/21) (from Ch. 111, par. 1321)
- 2 (Section scheduled to be repealed on January 1, 2010)
- 3 Sec. 21. Professional design firm registration;
- 4 conditions.
- 5 (a) Nothing in this Act shall prohibit the formation, under
- 6 the provisions of the Professional Service Corporation Act, of
- 7 a corporation to offer the practice of architecture.
- 8 Any business, including a Professional Service
- 9 Corporation, that includes the practice of architecture within
- 10 its stated purposes, practices architecture, or holds itself
- 11 out as available to practice architecture shall register with
- 12 the Department under this Section. Any professional service
- 13 corporation, sole proprietorship, or professional design firm
- offering architectural services must have a resident architect
- 15 overseeing the architectural practices in each location in
- which architectural services are provided.
- Any sole proprietorship not owned and operated by an
- 18 Illinois licensed design professional licensed under this Act
- 19 shall be prohibited from offering architectural services to the
- 20 public. "Illinois licensed design professional" means a person
- 21 who holds an active license as an architect under this Act, as
- 22 a structural engineer under the Structural Engineering
- 23 Practice Act of 1989, or as a professional engineer under the
- 24 Professional Engineering Practice Act of 1989. Any sole
- 25 proprietorship owned and operated by an architect with an

- active license issued under this Act and conducting or transacting such business under an assumed name in accordance with the provisions of the Assumed Business Name Act shall comply with the registration requirements of a professional design firm. Any sole proprietorship owned and operated by an architect with an active license issued under this Act and conducting or transacting such business under the real name of the sole proprietor is exempt from the registration requirements of a professional design firm.
- (b) Any corporation, including a Professional Service Corporation, partnership, limited liability company, or professional design firm seeking to be registered under this Section shall not be registered unless:
 - (1) two-thirds of the board of directors, in the case of a corporation, or two-thirds of the general partners, in the case of a partnership, or two-thirds of the members, in the case of a limited liability company, are licensed under the laws of any State to practice architecture, professional engineering, land surveying, or structural engineering; and
 - (2) the person having the architectural practice in this State in his charge is (A) a director in the case of a corporation, a general partner in the case of a partnership, or a member in the case of a limited liability company, and (B) holds a license under this Act.
- Any corporation, limited liability company, professional

- 1 service corporation, or partnership qualifying under this
- 2 Section and practicing in this State shall file with the
- 3 Department any information concerning its officers, directors,
- 4 members, managers, partners or beneficial owners as the
- 5 Department may, by rule, require.
- 6 (c) No business shall offer the practice or hold itself out
- 7 as available to offer the practice of architecture until it is
- 8 registered with the Department.
- 9 (d) Any business seeking to be registered under this
- 10 Section shall make application on a form provided by the
- 11 Department and shall provide any information requested by the
- Department, which shall include but shall not be limited to all
- of the following:
- 14 (1) The name and architect's license number of at least
- one person designated as the managing agent in responsible
- 16 charge of the practice of architecture in Illinois. In the
- case of a corporation, the corporation shall also submit a
- 18 certified copy of the resolution by the board of directors
- 19 designating at least one managing agent. If a limited
- 20 liability company, the company shall submit a certified
- 21 copy of either its articles of organization or operating
- agreement designating the managing agent.
- 23 (2) The names and architect's, professional
- engineer's, structural engineer's, or land surveyor's
- license numbers of the directors, in the case of a
- 26 corporation, the members, in the case of a limited

liability company, or general partners, in the case of a partnership.

- (3) A list of all locations at which the professional design firm provides architectural services.
- (4) A list of all assumed names of the business.

 Nothing in this Section shall be construed to exempt a business from compliance with the requirements of the Assumed Business Name Act.

It is the responsibility of the professional design firm to provide the Department notice, in writing, of any changes in the information requested on the application.

(e) In the event a managing agent is terminated or terminates his or her status as managing agent of the professional design firm, the managing agent and professional design firm shall notify the Department of this fact in writing, by certified mail, within 10 business days of termination.

Thereafter, the professional design firm, if it has so informed the Department, has 30 days in which to notify the Department of the name and architect's license number of the architect who is the newly designated managing agent. If a corporation, the corporation shall also submit a certified copy of a resolution by the board of directors designating the new managing agent. If a limited liability company, the company shall also submit a certified copy of either its articles of organization or operating agreement designating the new

managing agent. The Department may, upon good cause shown,
extend the original 30 day period.

If the professional design firm has not notified the Department in writing, by certified mail within the specified time, the registration shall be terminated without prior hearing. Notification of termination shall be sent by certified mail to the last known address of record the business. If the professional design firm continues to operate and offer architectural services after the termination, the Department may seek prosecution under Sections 22, 36, and 36a of this Act for the unlicensed practice of architecture.

- (f) No professional design firm shall be relieved of responsibility for the conduct or acts of its agents, employees, or officers by reason of its compliance with this Section, nor shall any individual practicing architecture be relieved of the responsibility for professional services performed by reason of the individual's employment or relationship with a professional design firm registered under this Section.
- (g) Disciplinary action against a professional design firm registered under this Section shall be administered in the same manner and on the same grounds as disciplinary action against a licensed architect. All disciplinary action taken or pending against a corporation or partnership before the effective date of this amendatory Act of 1993 shall be continued or remain in effect without the Department filing separate actions.

- 1 (Source: P.A. 91-91, eff. 1-1-00; 91-133, eff. 1-1-00.)
- 2 (225 ILCS 305/22) (from Ch. 111, par. 1322)
- 3 (Section scheduled to be repealed on January 1, 2010)
- Sec. 22. Refusal, suspension and revocation of licenses;
- 5 Causes.
- 6 (a) The Department may refuse to issue or renew, or may
- 7 revoke, suspend, place on probation, reprimand, or take other
- 8 <u>disciplinary action as the Department may deem appropriate</u>,
- 9 <u>including imposing fines not to exceed \$10,000 for each</u>
- 10 <u>violation</u> with regard to any license for one or any
- 11 combination, singularly or in combination, refuse to issue,
- 12 renew or restore, or may suspend or revoke any license or
- 13 registration, or may place on probation, reprimand, or fine,
- 14 with a civil penalty not to exceed \$10,000 for each violation,
- 15 any person, corporation, or partnership, or professional
- 16 design firm licensed or registered under this Act for any of
- 17 the following reasons:
- 18 (1) material misstatement in furnishing information to
- the Department;
- 20 (2) negligence, incompetence or misconduct in the
- 21 practice of architecture;
- 22 (3) failure to comply with any of the provisions of
- 23 this Act or any of the rules;
- 24 (4) making any misrepresentation for the purpose of
- 25 obtaining licensure;

(5) purposeful	ly making	false	statements	or	signing
false	statements,	certificat	tes or	affidavits	to	induce
paymen	t;					

- (6) gross malpractice conviction of any crime under the laws of the United States, or any state or territory thereof, which is a felony, whether related to the practice of architecture or not; or conviction of any crime, whether a felony, misdemeanor, or otherwise, an essential element of which is dishonesty, wanton disregard for the rights of others, or which is directly related to the practice of architecture;
- (7) aiding or assisting another person in violating any provision of this Act or its rules;
- (8) signing, affixing the licensed architect's seal or permitting the architect's seal to be affixed to any construction documents not prepared by the architect or under that architect's direct supervision and control;
- (9) engaging in dishonorable, unethical or unprofessional conduct of a character likely to deceive, defraud or harm the public;
- (10) <u>inability to practice with reasonable judgment,</u>
 skill, or safety as a result of habitual or excessive use
 or addiction to alcohol, narcotics, stimulants or any other
 chemical agent or drug habitual intoxication or addiction
 to the use of drugs;
 - (11) making a statement of compliance pursuant to the

Environmental Barriers Act that construction documents prepared by the Licensed Architect or prepared under the licensed architect's direct supervision and control for construction or alteration of an occupancy required to be in compliance with the Environmental Barriers Act are in compliance with the Environmental Barriers Act when such construction documents are not in compliance;

- (12) a finding by the <u>Department</u> Board that an applicant or registrant has failed to pay a fine imposed by the Department or a registrant, whose license has been placed on probationary status, has violated the terms of probation;
- (13) discipline by another state, territory, foreign country, the District of Columbia, the United States government, or any other governmental agency, if at least one of the grounds for discipline is the same or substantially equivalent to those set forth herein;
- (14) failure to provide information in response to a written request made by the Department within 30 days after the receipt of such written request;
- reasonable judgment, skill, or safety as a result of a physical illness, including, but not limited to, deterioration through the aging process or loss of motor skill, or a mental illness or disability; or physical illness, including, but not limited to, deterioration

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through the aging process or loss of motor skill in the inability to practice the profession with reasonable judgment, skill or safety.

- (16) failure to file a return, to pay the tax, penalty, or interest shown in a filed return, or to pay any final assessment of tax, penalty, or interest as required by any tax Act administered by the Department of Revenue, until such time as the requirements of the tax Act are satisfied in accordance with subsection (g) of Section 15 of the Department of Professional Regulation Law of the Civil Administrative Code of Illinois.
- (a-5) In enforcing this Section, the Department or Board, upon a showing of a possible violation, may order a licensee or applicant to submit to a mental or physical examination, or both, at the expense of the Department. The Department or Board may order the examining physician to present testimony concerning his or her examination of the licensee or applicant. No information shall be excluded by reason of any common law or statutory privilege relating to communications between the licensee or applicant and the examining physician. The examining physicians shall be specifically designated by the Board or Department. The licensee or applicant may have, at his or her own expense, another physician of his or her choice present during all aspects of the examination. Failure of a licensee or applicant to submit to any such examination when directed, without reasonable cause as defined by rule, shall be

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grounds for either the immediate suspension of his or her 1 2 license or immediate denial of his or her application.

If the Secretary immediately suspends the license of a licensee for his or her failure to submit to a mental or physical examination when directed, a hearing must be convened by the Department within 15 days after the suspension and completed without appreciable delay.

If the Secretary otherwise suspends a license pursuant to the results of the licensee's mental or physical examination, a hearing must be convened by the Department within 15 days after the suspension and completed without appreciable delay. The Department and Board shall have the authority to review the licensee's record of treatment and counseling regarding the relevant impairment or impairments to the extent permitted by applicable federal statutes and regulations safeguarding the confidentiality of medical records.

Any licensee suspended under this subsection (a-5) shall be afforded an opportunity to demonstrate to the Department or Board that he or she can resume practice in compliance with the acceptable and prevailing standards under the provisions of his or her license.

In enforcing this Section, the Board upon a showing of possible violation may request that the Department compel person licensed to practice under this Act, or who has applied for licensure or certification pursuant to this Act, to submit a mental or physical examination, or both, as required by

and at the expense of the Department. The examining physicians shall be those specifically designated by the Department. The Department may order the examining physician to present testimony concerning this mental or physical examination of the licensee or applicant. No information shall be excluded by reason of any common law or statutory privilege relating to communications between the licensee or applicant and the examining physician. The person to be examined may have, at his or her own expense, another physician of his or her choice present during all aspects of the examination. Failure of any person to submit to a mental or physical examination, when directed, shall be grounds for suspension of a license until the person submits to the examination if the Department finds, after notice and hearing, that the refusal to submit to the examination was without reasonable cause.

If the Board finds a person unable to practice because of the reasons set forth in this Section, the Board may recommend that the Department require that person to submit to care, counseling, or treatment by physicians approved or designated by the Department as a condition, term, or restriction for continued, reinstated, or renewed licensure to practice; or, in lieu of care, counseling, or treatment, the Board may recommend to the Department to file a complaint to immediately suspend, revoke or otherwise discipline the license of the person. Any person whose license was granted, continued, reinstated, renewed, disciplined, or supervised subject to such terms,

- conditions, or restrictions and who fails to comply with such terms, conditions, or restrictions shall be referred to the Director for a determination as to whether the person shall have his or her license suspended immediately, pending a hearing by the Board.
 - (b) The determination by a circuit court that a licensee is subject to involuntary admission or judicial admission, as provided in the Mental Health and Developmental Disabilities Code, operates as an automatic suspension. Such suspension will end only upon a finding by a court that the patient is no longer subject to involuntary admission or judicial admission, the issuance of an order so finding and discharging the patient, and the recommendation of the Board to the <u>Secretary Director</u> that the licensee be allowed to resume practice.
 - authorized by this Act to a person who has defaulted on an educational loan or scholarship provided or quaranteed by the Illinois Student Assistance Commission or any governmental agency of this State in accordance with item (5) of subsection (a) of Section 15 of the Department of Professional Regulation Law of the Civil Administrative Code of Illinois. In cases where the Department of Healthcare and Family Services has previously determined that a licensee or a potential licensee is more than 30 days delinquent in the payment of child support and has subsequently certified the delinquency to the Department, the Department may refuse to issue or renew or may

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revoke or suspend that person's license or may take other disciplinary action against that person based solely upon the certification of delinquency made by the Department of Healthcare and Family Services in accordance with item (5) of subsection (a) of Section 15 of the Department of Professional Regulation Law of the Civil Administrative Code of Illinois.

(d) In cases where the Department of Healthcare and Family Services has previously determined that a licensee or a potential licensee is more than 30 days delinquent in the payment of child support and has subsequently certified the delinquency to the Department may refuse to issue or renew or may revoke or suspend that person's license or may take other disciplinary action against that person based solely upon the certification of delinquency made by the Department of Healthcare and Family Services in accordance with item (5) of subsection (a) of Section 2105-15 of the Department of Professional Regulation Law of the Civil Administrative Code of Illinois. The Department may refuse to issue or may suspend the license of any person who fails to file a return, or to pay the tax, penalty or interest shown in a filed return, or to final assessment of tax, penalty or interest, as required by any tax Act administered by the Illinois Department of Revenue, until such time as the requirements of any such satisfied.

(e) Persons who assist the Department as consultants or expert witnesses in the investigation or prosecution of alleged

- 1 violations of the Act, licensure matters, restoration
- 2 proceedings, or criminal prosecutions, shall not be liable for
- damages in any civil action or proceeding as a result of such
- 4 assistance, except upon proof of actual malice. The attorney
- 5 general shall defend such persons in any such action or
- 6 proceeding.
- 7 (Source: P.A. 94-543, eff. 8-10-05.)
- 8 (225 ILCS 305/23) (from Ch. 111, par. 1323)
- 9 (Section scheduled to be repealed on January 1, 2010)
- 10 Sec. 23. Violations; Injunction; Cease and desist order.
- 11 (a) If any person or entity violates a provision of this
- 12 Act, the <u>Secretary</u> Director may, in the name of the People of
- 13 the State of Illinois, through the Attorney General of the
- 14 State of Illinois, petition for an order enjoining such
- violation or for an order enforcing compliance with this Act.
- 16 Upon the filing of a verified petition in such court, the court
- 17 may issue a temporary restraining order, without notice or
- 18 bond, and may preliminarily and permanently enjoin such
- 19 violation. If it is established that such person or entity has
- violated or is violating the injunction, the Court may punish
- 21 the offender for contempt of court. Proceedings under this
- 22 Section are in addition to, and not in lieu of, all other
- 23 remedies and penalties provided by this Act.
- 24 (b) If any person or entity practices as an architect or
- 25 holds himself out as an architect or professional design firm

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- without being licensed or registered under the provisions of 1 2 this Act, then any licensed architect, any interested party or 3 any person injured thereby may, in addition to the Secretary Director, petition for relief as provided in subsection (a) of 4 5 this Section.
 - (c) Whenever in the opinion of the Department any person or entity violates any provision of this Act, the Department may issue a rule to show cause why an order to cease and desist should not be entered against him. The rule shall clearly set forth the grounds relied upon by the Department and shall provide a period of 7 days from the date of the rule to file an answer to the satisfaction of the Department. Failure to answer to the satisfaction of the Department shall cause an order to cease and desist to be issued immediately.
- 15 (Source: P.A. 88-428.)
- 16 (225 ILCS 305/23.5)
- (Section scheduled to be repealed on January 1, 2010) 17
- 18 Sec. 23.5. Unlicensed practice; violation; civil penalty.
- (a) Any person who practices, offers to practice, attempts to practice, or holds oneself out to practice as an architect without being licensed under this Act shall, in addition to any other penalty provided by law, pay a civil penalty to the Department in an amount not to exceed \$10,000 \\$5,000 for each offense as determined by the Department. The civil penalty 25 shall be assessed by the Department after a hearing is held in

1 accordance with the provisions set forth in this Act regarding

the provision of a hearing for the discipline of a licensee.

- 3 (a-5) Any entity that advertises architecture services in a
- 4 telecommunications directory must include its architecture
- firm registration number or, in the case of a sole proprietor,
- 6 his or her individual license number. Nothing in this
- 7 subsection (a-5) requires the publisher of a
- 8 telecommunications directory to investigate or verify the
- 9 accuracy of the registration or license number provided by the
- 10 advertiser of architecture services.
- 11 (b) The Department has the authority and power to
- investigate any and all unlicensed activity.
- 13 (c) The civil penalty shall be paid within 60 days after
- 14 the effective date of the order imposing the civil penalty. The
- 15 order shall constitute a judgment and may be filed and
- 16 execution had thereon in the same manner as any judgment from
- any court of record.
- 18 (Source: P.A. 94-543, eff. 8-10-05.)
- 19 (225 ILCS 305/24) (from Ch. 111, par. 1324)
- 20 (Section scheduled to be repealed on January 1, 2010)
- 21 Sec. 24. Investigations; notice and hearing. The
- 22 Department may investigate the actions of any applicant or of
- any person or entity holding or claiming to hold a license or
- 24 registration. Before the initiation of an investigation, the
- 25 matter shall be reviewed by a subcommittee of the Board

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according to procedures established by rule for the Complaint Committee. The Department shall, before refusing to restore, issue or renew a license or registration, or discipline a licensee or registrant, at least 30 days prior to the date set for the hearing, notify in writing the applicant for, or holder of, a license or registrant of the nature of the charges and that a hearing will be held on the date designated, and direct the applicant or entity or licensee or registrant to file a written answer to the Board under oath within 20 days after the service of the notice and inform the applicant or entity or licensee or registrant that failure to file an answer will result in default being taken against the applicant or entity or licensee or registrant and that the license or certificate may be suspended, revoked, placed on probationary status, or other disciplinary action may be taken, including limiting the scope, nature or extent of practice, as the Secretary Director may deem proper. Written notice may be served by personal delivery or certified or registered mail to the respondent at the address of record with his last notification to the Department. In case the person or entity fails to file an answer after receiving notice, his or her license certificate may, in the discretion of the Department, be suspended, revoked, or placed on probationary status, or the Department may take whatever disciplinary action proper, including limiting the scope, nature, or extent of the person's practice or the imposition of a fine, without a 1 hearing, if the act or acts charged constitute sufficient

2 grounds for such action under this Act. At the time and place

fixed in the notice, the Board shall proceed to hear the

charges and the parties or their counsel shall be accorded

5 ample opportunity to present such statements, testimony,

6 evidence and argument as may be pertinent to the charges or to

their defense. The Board may continue the hearing from time to

8 time.

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- 9 (Source: P.A. 87-1031; 88-428.)
- 10 (225 ILCS 305/25) (from Ch. 111, par. 1325)
- 11 (Section scheduled to be repealed on January 1, 2010)
- 12 Sec. 25. Stenographer; transcript. The Department, at its

13 expense, shall preserve a record of all proceedings at the

14 formal hearing of any case involving the refusal to restore,

issue or renew a license, or the discipline of a licensee. The

notice of hearing, complaint and all other documents in the

nature of pleadings and written motions filed in the

proceedings, the transcript of testimony, the report of the

Board and the orders of the Department shall be the record of

the proceedings. The Department shall furnish a transcript of

21 the record to any person interested in the hearing upon payment

22 of the fee required by Section 2105-115 of the Department of

- 23 Professional Regulation Law (20 ILCS 2105/2105-115).
- 24 (Source: P.A. 91-239, eff. 1-1-00.)

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(225 ILCS 305/26) (from Ch. 111, par. 1326)
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          (Section scheduled to be repealed on January 1, 2010)
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          Sec. 26. Subpoenas; depositions; oaths of witnesses;
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      Oaths. The Department has power to subpoena documents, books,
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      records or other materials and to bring before it any person
      and to take testimony either orally or by deposition, or both,
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      with the same fees and mileage and in the same manner as is
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      prescribed in civil cases in the courts off this State.
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          The Secretary, the designated hearing officer, and every
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      member of the Board has the power to administer oaths to
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      witnesses at any hearing that the Department is authorized to
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      conduct, and any other oaths authorized in any Act administered
      by the Department. and bring before it any person in this State
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      and to take testimony either orally or by deposition, or both,
      with the same fees and mileage and in the same manner
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      prescribed by law in judicial proceedings in civil cases
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      circuit courts of this State.
          The Director, and every member of the Board each have power
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      to administer oaths to witnesses at any hearing which the
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      Department is authorized by law to conduct, and any other oaths
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      required or authorized in any Act administered by the
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      Department.
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      (Source: P.A. 86-702.)
          (225 ILCS 305/28) (from Ch. 111, par. 1328)
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(Section scheduled to be repealed on January 1, 2010)

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Sec. 28. Report of Board; Rehearing. After the hearing, the Board shall present to the Secretary Director its written report of its findings and recommendations. A copy of such report shall be served upon the accused person, either personally or by registered or certified mail as provided in this Act for the service of the notice. Within 20 days after such service, the accused person may present to the Department his motion in writing for a rehearing which shall specify the particular grounds for rehearing. If the accused person orders and pays for a transcript of the record as provided in this Section, the time elapsing before such transcript is ready for delivery to him shall not be counted as part of such 20 days.

Whenever the Secretary Director is not satisfied that substantial justice has been done, he may order a rehearing by the same or another special board. At the expiration of the time specified for filing a motion for a rehearing the Secretary Director has the right to take the action recommended by the Board.

(Source: P.A. 86-702.) 19

- 20 (225 ILCS 305/29) (from Ch. 111, par. 1329)
- 21 (Section scheduled to be repealed on January 1, 2010)
- 22 Sec. 29. Hearing officer. Notwithstanding the provisions 23 of Section 28 of this Act, the Secretary Director has the 24 authority to appoint any attorney duly licensed to practice law 25 in the State of Illinois to serve as the hearing officer in any

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action under Section 24. The Secretary Director shall notify the Board of any such appointment. The hearing officer has full authority to conduct the hearing. The Board has the right to have at least one member present at any hearing conducted by such hearing officer. The hearing officer shall report his findings of fact, conclusions of law and recommendations to the Board and the <u>Secretary</u> Director. The Board has 60 days from receipt of the report to review the report of the hearing officer and present its findings of fact, conclusions of law and recommendations to the Secretary Director. If the Board fails to present its report within the 60 day period, the Secretary Director shall issue an order based on the report of the hearing officer. If the Secretary Director disagrees in any regard with the report of the Board or hearing officer, he may issue an order in contravention thereof. The Secretary Director shall notify provide a written explanation to the Board on any such deviation, and shall specify with particularity the reasons for such action in the final order.

- 19 (Source: P.A. 86-702.)
- 20 (225 ILCS 305/30) (from Ch. 111, par. 1330)
- 21 (Section scheduled to be repealed on January 1, 2010)
- Sec. 30. Order to be prima facie proof. An order of revocation or suspension or a certified copy thereof, over the seal of the Department and purporting to be signed by the
- 25 Secretary Director, shall be prima facie proof that:

- (a) the signature is the genuine signature of the 1 2 Secretary Director; 3 (b) the Secretary Director is duly appointed and qualified; and 4 5 (c) the Board and the members thereof are qualified to 6 act. 7 Such proof may be rebutted. (Source: P.A. 91-357, eff. 7-29-99.) 8 9 (225 ILCS 305/31) (from Ch. 111, par. 1331) 10 (Section scheduled to be repealed on January 1, 2010) 11 Sec. 31. Restoration of suspended or revoked Issuance or 12 restoration of license. At any time after the successful 1.3 completion of a term of suspension or revocation of a license, the Department may restore it to the licensee, upon the written 14 15 recommendation of the Board, unless after an investigation and 16 a hearing the Board determines that restoration is not in the public interest. the refusal to issue, or after the suspension 17 18 or revocation of any license, the Department may issue or restore it to the applicant without examination, upon the 19 written recommendation of the Board. 20
- 22 (225 ILCS 305/33) (from Ch. 111, par. 1333)

(Source: P.A. 86-702.)

- 23 (Section scheduled to be repealed on January 1, 2010)
- 24 Sec. 33. Temporary suspension of a license. The Secretary

Director may temporarily suspend the license of an architect 1 2 without a hearing, simultaneously with the institution of 3 proceedings for a hearing provided for in Section 24 of this Act, if the Secretary Director finds that evidence in his 4 5 possession indicates that an architect's continuation in practice would constitute an imminent danger to the public. In 6 the event that the Secreta<u>ry</u> Director temporarily suspends the 7 8 license of an architect without a hearing, a hearing by the 9 Board must be held within 30 days after such suspension has

11 (Source: P.A. 86-702.)

occurred.

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- 12 (225 ILCS 305/36) (from Ch. 111, par. 1336)
- (Section scheduled to be repealed on January 1, 2010) 1.3
- 14 Sec. 36. Violations. Each of the following Acts constitutes 15 a Class A misdemeanor for the first offense and a Class 4 16 felony for a second or subsequent offense:
 - (a) the practice, attempt to practice or offer to practice architecture, or the advertising or putting out of any sign or card or other device which might indicate to the public that the person is entitled to practice architecture, without a license as a licensed architect, or registration as a professional design firm issued by the Department. Each day of practicing architecture attempting to practice architecture, and each instance of offering to practice architecture, without a license as a

licensed architect or registration as a professional design firm constitutes a separate offense;

- (b) the making of any wilfully false oath or affirmation in any matter or proceeding where an oath or affirmation is required by this Act;
- (c) the affixing of a licensed architect's seal to any construction documents which have not been prepared by that architect or under the architect's direct supervision and control:
- (d) the violation of any provision of this Act or its rules;
- (e) using or attempting to use an expired, inactive, suspended, or revoked license, or the certificate or seal of another, or impersonating another licensee;
- (f) obtaining or attempting to obtain a license or registration by fraud; or
- (g) If any person, sole proprietorship, professional service corporation, limited liability company, corporation or partnership, or other entity practices architecture or advertises or displays any sign or card or other device that might indicate to the public that the person or entity is entitled to practice as an architect or use the title "architect" or any of its derivations unless the person or other entity holds an active license as an architect or registration as a professional design firm in the State; then, in addition to any other penalty provided

- by law any person or other entity who violates this subsection (g) shall forfeit and pay to the Design Professionals Administration and Investigation Fund a civil penalty in an amount determined by the Department of
- not more than \$10,000 \$5,000 for each offense.
- An unlicensed person who has completed the education requirements, is actively participating in the diversified professional training, and maintains in good standing a training record as required for licensure by this Act may use
- 10 the title "architectural intern", but may not engage in the
- 11 practice of architecture.
- 12 (Source: P.A. 93-1009, eff. 1-1-05.)
- 13 (225 ILCS 305/15 rep.)
- 14 Section 10. The Illinois Architecture Practice Act of 1989
- is amended by repealing Section 15.
- 16 Section 99. Effective date. This Act takes effect upon
- 17 becoming law.

HB2399

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