

96TH GENERAL ASSEMBLY State of Illinois 2009 and 2010 HB2340

Introduced 2/19/2009, by Rep. Angelo Saviano

SYNOPSIS AS INTRODUCED:

See Index

Amends the Structural Engineering Practice Act of 1989. Replaces "Director" with "Secretary". Replaces "Department of Professional Regulation" with "Department of Financial and Professional Regulation". Provides that whenever the Secretary is not satisfied that substantial justice has been done in an examination, the Secretary may order a reexamination by the same or other examiners. In a provision concerning the Board, changes total number of members to 7 (now, 6), requires that 6 members be Illinois licensed structural engineers (now, 5), limits term of service to 10 years in a lifetime (now, 14 consecutive years), and provides that members may receive compensation as determined by the Secretary. Provides that a structural engineer applicant must pass an examination authorized by the Department as determined by rule to receive a license as a structural engineer (now, examination is conducted by the Department). Provides that the Department may take disciplinary action against any person that commits certain tax violations. Provides that the Department shall deny a license or renewal to a person that has defaulted on an education loan or scholarship provided or guaranteed by the State. Provides that the Department or Board, upon a showing of possible violation of the Act, may order a licensee or applicant to submit to a mental or physical examination, or both, at the expense of the Department. Provides that refusal by the licensee or applicant to submit to the examination when directed, without reasonable cause as defined by rule, shall be grounds for the immediate suspension of the license or denial of the application. Provides that any licensee suspended by the Department as a result of such mental or physical examination shall be entitled to a hearing within 15 days after the suspension. Provides that the Department and Board shall have the authority to review the licensee's record of treatment and counseling regarding the relevant impairment or impairments to the maximum extent permitted by applicable federal statutes and regulations safeguarding the confidentiality of medical records. Defines "address of record". Makes other changes. Effective immediately.

LRB096 08534 ASK 18655 b

1 AN ACT concerning professional regulation.

Be it enacted by the People of the State of Illinois, represented in the General Assembly:

- 4 Section 5. The Structural Engineering Practice Act of 1989
- 5 is amended by changing Sections 4, 5, 6, 7, 8, 9, 10, 11, 14,
- 6 18, 19, 20, 20.5, 21, 22, 23, 24, 26, 27, 28 and 31 as follows:
- 7 (225 ILCS 340/4) (from Ch. 111, par. 6604)
- 8 (Section scheduled to be repealed on January 1, 2010)
- 9 Sec. 4. In this Act:
- 10 <u>(a)</u> "Address of record" means the designated address
- 11 recorded by the Department in the applicant's or licensee's
- application file or license file maintained by the Department's
- licensure maintenance unit. It is the duty of the applicant or
- licensee to inform the Department of any change of address, and
- 15 <u>such changes must be made either through the Department's</u>
- 16 website or by directly contacting the Department.
- 17 <u>(b)</u> "Department" means the Department of <u>Financial and</u>
- 18 Professional Regulation.
- 19 <u>(c)</u> <u>"Secretary"</u> <u>"Director"</u> means the <u>Secretary</u>
- 20 Director of the Department of Financial and Professional
- 21 Regulation.
- 22 (d) (e) "Board" means the Structural Engineering Board
- 23 appointed by the Secretary Director.

- 1 (e) (d) "Negligence in the practice of structural engineering" means the failure to exercise that degree of reasonable professional skill, judgment and diligence normally rendered by structural engineers in the practice of structural engineering.
- 6 <u>(f)</u> (e) "Structural engineer intern" means a person who is 7 a candidate for licensure as a structural engineer and who has 8 been enrolled as a structural engineer intern.
- 9 <u>(g) (f) "Structural engineer" means a person licensed under</u>
 10 the laws of the State of Illinois to practice structural
 11 engineering.
- 12 (Source: P.A. 91-91, eff. 1-1-00.)
- 13 (225 ILCS 340/5) (from Ch. 111, par. 6605)
- 14 (Section scheduled to be repealed on January 1, 2010)
- 15 Sec. 5. A person shall be regarded as practicing structural 16 engineering within the meaning of this Act who is engaged in the design, analysis, or supervision designing or supervising 17 18 of the construction, enlargement or alteration of structures, or any part thereof, for others, to be constructed by persons 19 20 other than himself. Structures within the meaning of this Act 21 are all structures having as essential features foundations, 22 columns, girders, trusses, arches or and beams, with or without other parts, and in which safe design and construction require 23 24 that loads and stresses must be computed and the size and 25 strength of parts determined by mathematical calculations

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based upon scientific principles and engineering data. A person shall also be regarded as practicing structural engineering within the meaning of this Act who is engaged as a principal in the design, analysis, or supervision designing and supervision of the construction of structures or of the structural part of edifices designed solely for the generation of electricity; or for the hoisting, cleaning, sizing or storing of coal, cement, gravel or similar materials; elevators; sand, grain, manufacturing plants; docks; bridges; blast furnaces; rolling mills: gas producers and reservoirs; smelters: dams: reservoirs; waterworks; sanitary works as applied to the purification of water; plants for waste and sewage disposal; round houses for locomotives; railroad shops; pumping or power stations for drainage districts; or power houses, even though such structures may come within the definition of "buildings" as defined in any Act in force in this State relating to the regulation of the practice of architecture.

- 18 (Source: P.A. 86-711.)
- 19 (225 ILCS 340/6) (from Ch. 111, par. 6606)
- 20 (Section scheduled to be repealed on January 1, 2010)
- Sec. 6. The Department of <u>Financial and</u> Professional Regulation shall exercise the following functions, powers and duties subject to the provisions of this Act:
- 24 (1) <u>To conduct</u> <u>Conduct</u> examinations to ascertain the 25 qualifications and fitness of applicants for licensure as

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- licensed structural engineers, and pass upon the qualifications and fitness of applicants for licensure by endorsement.
 - (2) <u>To prescribe</u> Prescribe rules for a method of examination of candidates.
 - (3) To prescribe rules to establish what constitutes an engineering or related science curriculum, to determine if a specific curriculum qualifies as an engineering or related science curriculum, and to terminate the Department's approval of any curriculum as an engineering or related science curriculum for non-compliance with such rules. Prescribe rules defining what shall constitute a college or university or department university, or other institution, reputable and in good standing, and to determine the reputability and good standing of a school, college or other institution reputable and in good standing by reference to a compliance with such rules; provided that no school, college university, or department of a university or other institution that refuses admittance to applicants, solely on account of race, color, creed, sex, religion, physical or mental handicap unrelated to ability, or national origin shall be considered reputable and in good standing.
 - (3.5) <u>To register Register</u> corporations, partnerships, professional service corporations, limited liability companies, and sole proprietorships for the practice of

structural engineering and issue a license to those who qualify.

- (4) To investigate Investigate complaints, to conduct oral interviews, disciplinary conferences, and formal evidentiary hearings on proceedings to refuse to issue, renew or restore, or to suspend or revoke a license, or to place on probation or reprimand a licensee for reasons set forth in Section 20 of this Act.
- (5) <u>To formulate</u> Formulate rules necessary to carry out the provisions of this Act.
- organization that provides an acceptable structural engineering examination and participate in activities of the organization by designation of individuals for the various classifications of membership and the appointment of delegates for attendance at regional and national meetings of the organization. All costs associated with membership and attendance of such delegates to any national meetings may be funded from the Design Professionals Administration and Investigation Fund.

Prior to issuance of any final decision or order that deviates from any report or recommendation of the Board relating to the qualification of applicants, discipline of licensees or registrants, or promulgation of rules, the Director shall notify the Board and the Secretary of State in writing with an explanation of any such deviation and provide a

- 1 reasonable time for the Board to submit written comments to the
- 2 Director regarding the proposed action. In the event that the
- 3 Board fails or declines to submit such written comments within
- 4 30 days of said notification, the Director may issue a final
- 5 decision or order consistent with the Director's original
- 6 decision.
- 7 None of these functions, powers or duties shall be
- 8 exercised by the Department of Professional Regulation except
- 9 upon the action and report in writing of the Board.
- 10 Whenever the Secretary is not satisfied that substantial
- justice has been done in an examination, the Secretary may
- order a reexamination by the same or other examiners.
- 13 (Source: P.A. 91-91, eff. 1-1-00.)
- 14 (225 ILCS 340/7) (from Ch. 111, par. 6607)
- 15 (Section scheduled to be repealed on January 1, 2010)
- Sec. 7. The Secretary Director shall appoint a Structural
- 17 Engineering Board, which shall consist of 7 6 members. Six Five
- 18 members shall be Illinois licensed structural engineers, who
- 19 have been engaged in the practice of structural engineering for
- a minimum of 10 years, and one shall be a public member. The
- 21 public member shall be a voting member and shall not hold a
- 22 license as an architect, professional engineer, structural
- engineer or land surveyor.
- Members shall serve 5 year terms and until their successors
- are appointed and qualified.

In making the designation of persons to act, the Director shall give due consideration to recommendations by members of the profession and by organizations of the structural engineering profession.

The membership of the Board should reasonably reflect representation from the geographic areas in this State.

No member shall be reappointed to the Board for a term which would cause his or her continuous service on the Board to be longer than 10 14 successive years in a lifetime. Service prior to the effective date of this Act shall not be considered in calculating length of service.

Appointments to fill vacancies shall be made in the same manner as original appointments, for the unexpired portion of the vacated term. Initial terms under this Act shall begin upon the expiration of the terms of Committee members appointed under The Illinois Structural Engineering Act.

Persons holding office as members of the Board under this Act on the effective date of this Act shall serve as members of the Board under this Act until the expiration of the term for which they were appointed and until their successors are appointed and qualified under this Act.

A quorum of the Board shall consist of a majority of Board members appointed. A majority of the quorum is required for Board decisions.

The <u>Secretary Director</u> may terminate the appointment of any member for cause which in the opinion of the <u>Secretary Director</u>

- 1 reasonably justifies such termination, which may include, but
- 2 is not limited to, a Board member who does not attend 2
- 3 consecutive meetings.
- 4 Notice of proposed rulemaking shall be transmitted to the
- 5 Board and the Department shall review the response of the Board
- and any recommendations made therein. The Department may, at
- 7 any time, seek the expert advice and knowledge of the Board on
- 8 any matter relating to the administration or enforcement of
- 9 this Act.
- 10 Members of the Board shall be immune from suit in any
- 11 action based upon any disciplinary proceedings or other
- 12 activities performed in good faith as members of the Board.
- Each member of the Board may receive compensation as
- 14 determined by the Secretary Whenever the Director is not
- 15 satisfied that substantial justice has been done in an
- 16 examination, the Director may order a reexamination by the same
- 17 or other examiners.
- 18 (Source: P.A. 91-91, eff. 1-1-00; 92-237, eff. 8-3-01.)
- 19 (225 ILCS 340/8) (from Ch. 111, par. 6608)
- 20 (Section scheduled to be repealed on January 1, 2010)
- 21 Sec. 8. The Board has the following powers and duties:
- 22 (a) The Board shall hold at least 3 regular meetings each
- 23 year;
- 24 (b) The Board shall annually elect a Chairperson and a Vice
- 25 Chairperson, both of whom shall be Illinois licensed structural

- 1 engineers;
- 2 (c) The Board, upon request by the Department, may make a
- 3 curriculum evaluation to determine if courses conform to
- 4 requirements of approved engineering programs;
- 5 (d) The Department may at any time seek the expert advice
- 6 and knowledge of the Board on any matter relating to the
- 7 enforcement of this Act;
- 8 (e) The Board may appoint a subcommittee to serve as a
- 9 Complaint Committee to recommend the disposition of case files
- 10 according to procedures established by rule;
- 11 (f) The Board shall assist the Department in conducting
- 12 oral interviews, disciplinary conferences, <u>informal</u>
- 13 conferences, and formal evidentiary hearings;
- 14 (g) Upon request of the Department, the The Board shall
- 15 review applicant qualifications to sit for the examination or
- for licensure and shall make recommendations to the Department,
- and the Department shall review the Board's recommendations on
- 18 applicant qualifications; and
- 19 (h) The Board shall submit written comments to the
- 20 Secretary Director within 30 days from notification of any
- 21 final decision or order from the Secretary Director that
- 22 deviates from any report or recommendation of the Board
- 23 relating to the qualification of applicants, discipline of
- licensees or registrants, or promulgation of rules.
- 25 (Source: P.A. 91-91, eff. 1-1-00.)

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- 1 (225 ILCS 340/9) (from Ch. 111, par. 6609)
- 2 (Section scheduled to be repealed on January 1, 2010)
- Sec. 9. Applications for original licenses shall be made to 3 the Department in writing on forms prescribed by the Department 4 5 and shall be accompanied by the required fee, which is not 6 refundable. The application shall require such information as in the judgment of the Department will enable the Department to 7 8 pass on the qualifications of the applicant for a license. The 9 Department may require an applicant, at the applicant's 10 expense, to have an evaluation of the applicant's education in 11 a foreign county by a nationally recognized evaluation service educational body approved by the Department Board in accordance 12
 - An applicant who graduated from a structural engineering program outside the United States or its territories and whose first language is not English shall submit certification of passage of the Test of English as a Foreign Language (TOEFL) and a test of spoken English the Test of Spoken English (TSE) as defined by rule.
- 20 (Source: P.A. 91-91, eff. 1-1-00.)
- 21 (225 ILCS 340/10) (from Ch. 111, par. 6610)

with rules prescribed by the Department.

- 22 (Section scheduled to be repealed on January 1, 2010)
- Sec. 10. The Department shall authorize examinations of applicants as structural engineers at such times and places as it may determine. The examination of applicants shall be of a

character to give a fair test of the qualifications of the applicant to practice structural engineering.

Applicants for examination as structural engineers are required to pay, either to the Department or the designated testing service, a fee covering the cost of providing the examination. Failure to appear for the examination on the scheduled date, at the time and place specified, after the applicant's application for examination has been received and acknowledged by the Department or the designated testing service, shall result in the forfeiture of the examination fee.

or refuses to take the next available examination offered for licensure under this Act, the fee paid by the applicant shall be forfeited to the Department and the application denied. If an applicant fails to pass an examination for a licensure under this Act within 3 years after filing the application, the application shall be denied. However, such applicant may thereafter make a new application for examination accompanied by the required fee, and must furnish proof of meeting the qualifications for examination in effect at the time of new application.

- 22 (Source: P.A. 91-91, eff. 1-1-00.)
- 23 (225 ILCS 340/11) (from Ch. 111, par. 6611)
- 24 (Section scheduled to be repealed on January 1, 2010)
- 25 Sec. 11. A person is qualified for enrollment as a

- structural engineer intern or licensure as a structural engineer if that person has applied in writing in form and substance satisfactory to the Department and:
 - (a) The applicant is of good moral character. In determining moral character under this Section, the Department may take into consideration whether the applicant has engaged in conduct or actions that would constitute grounds for discipline under this Act.
 - (a-5) The applicant, if a structural engineer intern applicant, has met the minimum standards for enrollment as a structural engineer intern, which are as follows:
 - (1) is a graduate of an approved engineering curriculum of at least 4 years meeting the requirements as set forth by rule and passes a nominal 8-hour written examination in the fundamentals of engineering; or
 - (2) is a graduate of a related science curriculum of at least 4 years meeting the requirements as set forth by rule and passes a nominal 8-hour written examination in the fundamentals of engineering.
 - (b) The applicant, if a structural engineer applicant, has met the minimum standards for licensure as a structural engineer, which are as follows:
 - (1) is a graduate of an approved engineering curriculum of at least 4 years meeting the requirements as set forth by rule and submits evidence acceptable to the Department of an additional 4 years or more of experience in

structural engineering work of a grade and character which indicates that the individual may be competent to practice structural engineering as set forth by rule; or

- (2) is a graduate of an approved related science curriculum of at least 4 years meeting the requirements as set forth by rule who submits evidence acceptable to the Department of an additional 8 years or more of progressive experience in structural engineering work of a grade and character which indicates that the individual may be competent to practice structural engineering as set forth by rule.
- (c) The applicant, if a structural engineer applicant, has passed an examination <u>authorized conducted</u> by the Department <u>as determined by rule</u> to determine his or her fitness to receive a license as a structural <u>engineer Structural Engineer</u>.
- 16 (Source: P.A. 91-91, eff. 1-1-00.)

17 (225 ILCS 340/14) (from Ch. 111, par. 6614)

(Section scheduled to be repealed on January 1, 2010)

Sec. 14. The expiration date and renewal period for each license issued under this Act shall be set by rule. The holder of a license may renew the license during the month preceding its expiration date by paying the required fee. Beginning January 1, 1996, the holder of a license may renew the license during the month preceding its expiration by paying the required fee and submitting satisfactory evidence of knowledge

in seismic design.

A licensed structural engineer who has permitted his license to expire or who placed his license on inactive status may have his license restored by making application to the Department and filing proof acceptable to the Department of fitness to have the license restored, including sworn evidence certifying to active practice in another jurisdiction satisfactory to the Department and by submitting evidence of knowledge in seismic design and by paying the required restoration fee.

If the licensed structural engineer has not maintained an active practice in another jurisdiction satisfactory to the Department, the Board shall determine, by an evaluation program established by rule, that person's fitness to resume active status and may require the licensed structural engineer to complete an examination.

Any licensed structural engineer whose license has been expired for more than 5 years may have his license restored by making application to the Department and filing proof acceptable to the Department of fitness to have the license restored, including sworn evidence certifying to active practice in another jurisdiction and by paying the required restoration fee.

However, any licensed structural engineer whose license has expired while such engineer was engaged (1) in federal service on active duty with the Army of the United States, the

United States Navy, the Marine Corps, the Air Force, the Coast 1 2 Guard, or the State Militia called into the service or training 3 of the United States of America, or (2) in training or United education under the supervision of the 5 preliminary to induction into the military service, may have 6 his license restored or reinstated without paying any lapsed 7 renewal fees, reinstatement fee or restoration fee or passing 8 any examination, if within 2 years after termination of such 9 service, training or education other than by dishonorable 10 discharge such person furnishes the Department with 11 affidavit to the effect that he has been so engaged and that 12 the service, training or education has been so terminated.

(Source: P.A. 86-711; 87-1237.)

15 (Section scheduled to be repealed on January 1, 2010)

(225 ILCS 340/18) (from Ch. 111, par. 6618)

- Sec. 18. A roster showing the names and addresses of all structural engineers licensed under this Act shall be prepared by the Department each year. This roster shall be available
- 19 upon written request and payment of the required fee.
- 20 (Source: P.A. 86-711.)
- 21 (225 ILCS 340/19) (from Ch. 111, par. 6619)
- 22 (Section scheduled to be repealed on January 1, 2010)
- 23 Sec. 19. Professional design firm registration;
- 24 conditions.

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(a) Nothing in this Act prohibits the formation, under the provisions of the Professional Service Corporation Act, as amended, of a corporation to practice structural engineering.

Any business, including a Professional Service Corporation, that includes within its stated purposes, practices, or holds itself out as available to practice, structural engineering, shall be registered with the Department pursuant to the provisions of this Section.

Any sole proprietorship not owned and operated by an Illinois licensed design professional licensed under this Act shall be prohibited from offering structural engineering services public. "Illinois licensed to the desian professional" means a person who holds an active license as a structural engineer under this Act, as an architect under the Illinois Architecture Practice Act of 1989, professional engineer under the Professional Engineering Practice Act of 1989. Any sole proprietorship owned and operated by a structural engineer with an active license issued under this Act and conducting or transacting such business under an assumed name in accordance with the provisions of the Assumed Business Name Act shall comply with the registration requirements of а professional design firm. Anv proprietorship owned and operated by a structural engineer with an active license issued under this Act and conducting or transacting such business under the real name of the sole proprietor is exempt from the registration requirements of a

- 1 professional design firm.
- 2 Any partnership which includes within its purpose,
- 3 practices, or holds itself out as available to practice
- 4 structural engineering, shall register with the Department
- 5 pursuant to the provisions set forth in this Section.
- 6 (b) Any professional design firm seeking to be registered
- 7 under the provisions of this Section shall not be registered
- 8 unless at least one $\frac{1}{2}$ managing agent in charge of structural
- 9 engineering activities in this State is designated by the
- 10 professional design firm. A designated managing agent must at
- 11 all times maintain a valid, active license to practice
- 12 structural engineering in Illinois.
- No individual whose license to practice structural
- 14 engineering in this State is currently in a suspended or
- revoked status shall act as a managing agent for a professional
- design firm.
- 17 (c) No business shall practice or hold itself out as
- 18 available to practice structural engineering until it is
- 19 registered with the Department.
- 20 (d) Any business seeking to be registered under this
- 21 Section shall apply for a certificate of registration on a form
- 22 provided by the Department and shall provide such information
- as requested by the Department, which shall include but shall
- 24 not be limited to:
- 25 (1) the name and license number of the person
- designated as the managing agent in responsible charge of

the practice of structural engineering in Illinois. In the case of a corporation, the corporation shall also submit a certified copy of the resolution by the board of directors designating the managing agent. In the case of a limited liability company, the company shall submit a certified copy of either its articles of organization or operating agreement designating the managing agent;

- (2) the names and license numbers of the directors, in the case of a corporation, the members, in the case of a limited liability company, or general partners, in the case of a partnership;
- (3) a list of all locations at which the professional design firm provides structural engineering services to the public; and
- (4) A list of all assumed names of the business. Nothing in this Section shall be construed to exempt a professional design firm, sole proprietorship, or professional service corporation from compliance with the requirements of the Assumed Business Name Act.

It shall be the responsibility of the professional design firm to provide the Department notice, in writing, of any changes in the information requested on the application.

(e) In the event a managing agent is terminated or terminates his status as managing agent of the professional design firm, such managing agent and professional design firm shall notify the Department of this fact in writing, by

certified mail, within 10 business days of such termination.

Thereafter, the professional design firm, if it has so informed the Department, shall have 30 days in which to notify the Department of the name and registration number of a newly designated managing agent. If a corporation, the corporation shall also submit a certified copy of a resolution by the board of directors designating the new managing agent. If a limited liability company, the company shall also submit a certified copy of either its articles of organization or operating agreement designating the new managing agent. The Department may, upon good cause shown, extend the original 30 day period.

If the professional design firm fails to notify the Department in writing by certified mail within the specified time, the registration shall be terminated without prior hearing. Notification of termination shall be sent to the address of record by certified mail to the last known address of the business. If the professional design firm continues to operate and offer structural engineering services after the termination, the Department may seek prosecution under Sections 20, 34, and 34a of this Act for the unlicensed practice of structural engineering.

(f) No professional design firm shall be relieved of responsibility for the conduct or acts of its agents, employees, members, managers, or officers by reason of its compliance with this Section, nor shall any individual practicing structural engineering be relieved of the

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- responsibility for professional services performed by reason of the individual's employment or relationship with a
- 3 professional design firm registered under this Section.
- q (g) Disciplinary action against a professional design firm registered under this Section shall be administered in the same manner and on the same grounds as disciplinary action against a licensed structural engineer. All disciplinary action taken or pending against a corporation or partnership before the effective date of this amendatory Act of 1993 shall be continued or remain in effect without the Department filing
 - It is unlawful for any person to practice, or to attempt to practice, structural engineering, without being licensed under this Act. It is unlawful for any business not subject to the sole proprietorship exemption to offer or provide structural engineering services without active registration issued by the Department as a professional design firm or professional service corporation.
- 19 (Source: P.A. 91-91, eff. 1-1-00.)

separate actions.

- 20 (225 ILCS 340/20) (from Ch. 111, par. 6620)
- 21 (Section scheduled to be repealed on January 1, 2010)
- Sec. 20. Refusal; revocation; suspension.
- 23 (a) The Department may refuse to issue or renew, or may
 24 revoke a license, or may suspend, place on probation, fine, or
 25 take any disciplinary or non-disciplinary action as the

Department may deem proper, including a fine not to exceed
\$10,000 for each violation, with regard to any licensee for any
one or combination of the following reasons: The Department
may, singularly or in combination, refuse to issue, renew, or
restore, or may suspend or revoke any license or certificate of
registration, or may place on probation, reprimand, or fine,
with a civil penalty not to exceed \$10,000 for each violation,
any person, corporation, partnership, or professional design
firm registered or licensed under this Act for any of the
following reasons:

- (1) Material misstatement in furnishing information to the Department;
- (2) Negligence, incompetence or misconduct in the practice of structural engineering;
- (3) Making any misrepresentation for the purpose of obtaining licensure;
- (4) The affixing of a licensed structural engineer's seal to any plans, specifications or drawings which have not been prepared by or under the immediate personal supervision of that licensed structural engineer or reviewed as provided in this Act;
- (5) Conviction of, or entry of a plea of guilty or nolo contendere to, any crime that is a felony under federal law or the law of any state or U.S. territory, or a misdemeanor of which an essential element is dishonesty, or which is directly related to the practice of the profession.

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- (6) Making a statement of compliance pursuant to the Environmental Barriers Act, as now or hereafter amended, that a plan for construction or alteration of a public facility or for construction of a multi-story housing unit is in compliance with the Environmental Barriers Act when such plan is not in compliance;
- (7) Failure to comply with any of the provisions of this Act or its rules;
- (8) Aiding or assisting another person in violating any provision of this Act or its rules;
- (9) Engaging in dishonorable, unethical or unprofessional conduct of a character likely to deceive, defraud or harm the public, as defined by rule;
- (10) <u>Habitual or excessive use or addiction to alcohol,</u>
 narcotics, stimulants, or any other chemical agent or drug
 that results in the inability to practice with reasonable
 judgment, skill, or safety <u>Habitual intoxication or</u>
 addiction to the use of drugs;
 - (11) Failure of A finding by the Board that an

1	applicant or licensee has failed to pay a fine imposed by
2	the Department or a licensee whose license has been placed
3	on probationary status has violated the terms of probation;

- (12) Discipline by another state, territory, foreign country, the District of Columbia, the United States government, or any other governmental agency, if at least one of the grounds for discipline is the same or substantially equivalent to those set forth in this Section:
- (13) Failure to provide information in response to a written request made by the Department within 30 days after the receipt of such written request;
- (14) Physical illness, which results in the inability to practice the profession of structural engineering with reasonable judgment, skill or safety; or
- (15) Failure to file a return, to pay the tax, penalty, or interest shown in a filed return, or to pay any final assessment of tax, penalty, or interest as required by any tax Act administered by the Department of Revenue, until such time as the requirements of the tax Act are satisfied in accordance with subsection (g) of Section 15 of the Department of Professional Regulation Law of the Civil Administrative Code of Illinois (20 ILCS 2105/2105-15).
- (a-5) <u>In enforcing this Section</u>, the Department or Board, upon a showing of a possible violation, may order a licensee or applicant to submit to a mental or physical examination, or

may order the examining physician to present testimony concerning his or her examination of the licensee or applicant.

No information shall be excluded by reason of any common law or statutory privilege relating to communications between the licensee or applicant and the examining physician. The examining physicians shall be specifically designated by the Board or Department. The licensee or applicant may have, at his or her own expense, another physician of his or her choice present during all aspects of the examination. Failure of a licensee or applicant to submit to any such examination when directed, without reasonable cause as defined by rule, shall be grounds for either the immediate suspension of his or her license or immediate denial of his or her application.

If the Secretary immediately suspends the license of a licensee for his or her failure to submit to a mental or physical examination when directed, a hearing must be convened by the Department within 15 days after the suspension and completed without appreciable delay.

If the Secretary otherwise suspends a license pursuant to the results of the licensee's mental or physical examination, a hearing must be convened by the Department within 15 days after the suspension and completed without appreciable delay. The Department and Board shall have the authority to review the licensee's record of treatment and counseling regarding the relevant impairment or impairments to the extent permitted by

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applicable federal statutes and regulations safeguarding the confidentiality of medical records.

Any licensee suspended under this subsection (a-5) shall be afforded an opportunity to demonstrate to the Department or Board that he or she can resume practice in compliance with the acceptable and prevailing standards under the provisions of his or her license. In enforcing this Section, the Board upon a showing of a possible violation may compel a person licensed to practice under this Act, or who has applied for licensure or certification pursuant to this Act, to submit to a mental physical examination, or both, as required by and at the expense of the Department. The examining physicians shall be those specifically designated by the Board. The Board or Department may order the examining physician to present testimony concerning this mental or physical examination of the licensee or applicant. No information shall be excluded by reason of any common law or statutory privilege relating to communications between the licensee or applicant and the examining physician. The person to be examined may have, at his or her own expense, another physician of his or her choice present during all aspects of the examination. Failure of any person to submit to a mental or physical examination, when directed, shall be grounds for suspension of a license the person submits to the examination if the Board finds, after notice and hearing, that the refusal to submit to the examination was without reasonable cause.

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If the Board finds a person unable to practice because of the reasons set forth in this Section, the Board may require that person to submit to care, counseling, or treatment by physicians approved or designated by the Board as a condition, term, or restriction for continued, reinstated, licensure to practice; or, in lieu of care, counseling, or treatment, the Board may recommend to the Department to file a to immediately suspend, revoke, discipline the license of the person. Any person whose license was granted, continued, reinstated, renewed, disciplined, or supervised subject to such terms, conditions, or restrictions and who fails to comply with such terms, conditions, restrictions shall be referred to the Director determination as to whether the person shall have his or her license suspended immediately, pending a hearing by the Board.

(b) The determination by a circuit court that a licensee is subject to involuntary admission or judicial admission, as provided in the Mental Health and Developmental Disabilities Code, operates as an automatic suspension. Such suspension will end only upon a finding by a court that the patient is no longer subject to involuntary admission or judicial admission, the issuance of an order so finding and discharging the patient, and the recommendation of the Board to the Director that the licensee be allowed to resume practice.

(c) The Department shall deny a license or renewal authorized by this Act to a person who has defaulted on an

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satisfied.

Illinois Student Assistance Commission or any governmental agency of this State in accordance with subdivision (a) (5) of Section 15 of the Department of Professional Regulation Law of the Civil Administrative Code of Illinois (20 ILCS 2105/2105-15). In cases where the Department of Healthcare and Family Services (formerly the Department of Public Aid) has previously determined that a licensee or a potential licensee is more than 30 days delinquent in the payment of child support and has subsequently certified the delinquency to the Department, the Department may refuse to issue or renew or may revoke or suspend that person's license or may take other disciplinary action against that person based solely upon the certification of delinquency made by the Department of Healthcare and Family Services in accordance with subdivision (a) (5) of Section 15 of the Department of Professional Regulation Law of the Civil Administrative Code of Illinois (20 ILCS 2105/2105-15). The Department may refuse to issue, or may suspend, the license of any person who fails to file a return, or to pay the tax, penalty or interest shown in a filed return, or to pay final assessment of tax, penalty or interest, as required by

educational loan or scholarship provided or quaranteed by the

26 Persons who assist the Department as consultants or expert

any tax Act administered by the Illinois Department of Revenue,

until such time as the requirements of such tax Act are

- 1 witnesses in the investigation or prosecution of alleged
- 2 violations of the Act, licensure matters, restoration
- 3 proceedings, or criminal prosecutions, are not liable for
- 4 damages in any civil action or proceeding as a result of such
- 5 assistance, except upon proof of actual malice. The Attorney
- 6 General of the State of Illinois shall defend such persons in
- 7 any such action or proceeding.
- 8 (Source: P.A. 91-91, eff. 1-1-00.)
- 9 (225 ILCS 340/20.5)
- 10 (Section scheduled to be repealed on January 1, 2010)
- 11 Sec. 20.5. Unlicensed practice; violation; civil penalty.
- 12 (a) Any person who practices, offers to practice, attempts
- 13 to practice, or holds oneself out to practice structural
- 14 engineering without being licensed under this Act shall, in
- 15 addition to any other penalty provided by law, pay a civil
- penalty to the Department in an amount not to exceed \$10,000
- \$5,000 for each offense as determined by the Department. The
- 18 civil penalty shall be assessed by the Department after a
- 19 hearing is held in accordance with the provisions set forth in
- 20 this Act regarding the provision of a hearing for the
- 21 discipline of a licensee.
- 22 (b) The Department has the authority and power to
- investigate any and all unlicensed activity.
- 24 (c) The civil penalty shall be paid within 60 days after
- 25 the effective date of the order imposing the civil penalty. The

- order shall constitute a judgment and may be filed and
- 2 execution had thereon in the same manner as any judgment from
- 3 any court of record.
- 4 (Source: P.A. 89-474, eff. 6-18-96.)
- 5 (225 ILCS 340/21) (from Ch. 111, par. 6621)
- 6 (Section scheduled to be repealed on January 1, 2010)
- 7 Sec. 21. (a) If any person violates a provision of this
- 8 Act, the <u>Secretary</u> Director may, in the name of the People of
- 9 the State of Illinois, through the Attorney General of the
- 10 State of Illinois, petition for an order enjoining such
- 11 violation or for an order enforcing compliance with this Act.
- 12 Upon the filing of a verified petition in such court, the court
- 13 may issue a temporary restraining order, without notice or
- 14 bond, and may preliminarily and permanently enjoin such
- 15 violation. If it is established that such person has violated
- or is violating the injunction, the Court may punish the
- offender for contempt of court. Proceedings under this Section
- 18 are in addition to, and not in lieu of, all other remedies and
- 19 penalties provided by this Act.
- 20 (b) If any person practices as a licensed structural
- 21 engineer or holds himself out as a structural engineer without
- 22 being licensed under the provisions of this Act, then any
- 23 licensed structural engineer, any interested party or any
- 24 person injured thereby may, in addition to the Director,
- 25 petition for relief as provided in subsection (a) of this

Section.

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- violates any provision of this Act, the Department may issue a rule to show cause why an order to cease and desist should not be entered against that person. The rule shall clearly set forth the grounds relied upon by the Department and shall provide a period of 7 days from the date of the rule to file an answer to the satisfaction of the Department. Failure to answer to the satisfaction of the Department shall cause an order to cease and desist to be issued immediately.
- 11 (Source: P.A. 86-711.)
- 12 (225 ILCS 340/22) (from Ch. 111, par. 6622)
- 13 (Section scheduled to be repealed on January 1, 2010)
- 14 22. Investigation; notice. The Department 15 investigate the actions of any applicant or any person or 16 entity holding or claiming to hold a license or registration or any person or entity practicing, or offering to practice 17 18 structural engineering. Before the initiation ofan 19 investigation the matter shall be reviewed by a subcommittee of 20 the Board according to procedures established by rule for the 21 Complaint Committee. The Department shall, before refusing to 22 issue, restore or renew a license or registration, 23 discipline a licensee or registrant, at least 30 days prior to 24 the date set for the hearing, notify in writing the applicant 25 for, or holder of, a license or registration of the nature of

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the charges and that a hearing will be held on the date designated. The Department shall direct the applicant or licensee or registrant or entity to file a written answer to the Board under oath within 20 days after the service of the notice and inform the applicant or licensee or registrant or entity that failure to file an answer will result in default being taken against the applicant or entity or licensee or registrant and that the license or certificate may be suspended, revoked, placed on probationary status, or other disciplinary action may be taken, including limiting the scope, nature or extent of practice, as the Director may deem proper. Written notice may be served by personal delivery or certified or registered mail to the respondent at the address of record his last notification to the Department. In case the person or entity fails to file an answer after receiving notice, his or her license or certificate may, in the discretion of the Department, be suspended, revoked, or placed on probationary status, or the Department may take whatever disciplinary action deemed proper, including limiting the scope, nature, or extent of the practice or the imposition of a fine, without a hearing, if the act or acts charged constitute sufficient grounds for such action under this Act. At the time and place fixed in the notice, the Board shall proceed to hear the charges and the parties or their counsel shall be accorded ample opportunity to present such statements, testimony, evidence and argument as

may be pertinent to the charges or their defense. The Board may

- 1 continue a hearing from time to time.
- 2 (Source: P.A. 87-1031; 88-428.)
- 3 (225 ILCS 340/23) (from Ch. 111, par. 6623)
- 4 (Section scheduled to be repealed on January 1, 2010)
- 5 Sec. 23. Record; transcript. The Department, at its
- 6 expense, shall preserve a record of all proceedings at the
- 7 formal hearing of any case involving the refusal to issue,
- 8 restore or renew a license or the discipline of a licensee. The
- 9 notice of hearing, complaint and all other documents in the
- 10 nature of pleadings and written motions filed in the
- 11 proceedings, the transcript of testimony, the report of the
- Board and the orders of the Department shall be the record of
- 13 the proceedings. The Department shall furnish a transcript of
- the record to any person interested in the hearing upon payment
- of the fee required under Section 2105-115 of the Department of
- Professional Regulation Law (20 ILCS 2105/2105-115).
- 17 (Source: P.A. 91-239, eff. 1-1-00.)
- 18 (225 ILCS 340/24) (from Ch. 111, par. 6624)
- 19 (Section scheduled to be repealed on January 1, 2010)
- Sec. 24. Subpoenas; depositions; oaths. The Department has
- 21 the power to subpoena documents, books, records or other
- 22 materials and to bring before it any person and to take
- 23 testimony either orally or by deposition, or both, with the
- same fees and mileage and in the same manner as is prescribed

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in civil cases in the courts of this State. The Department has

2 power to subpoena and bring before it any person in this State

and to take testimony either orally or by deposition, or both,

with the same fees and mileage and in the same manner as

prescribed by law in judicial proceedings in civil cases in

circuit courts of this State.

The <u>Secretary</u>, the <u>designated hearing officer</u> Director, and any member of the Board designated by the Director shall each have <u>the</u> power to administer oaths to witnesses at any hearing which the Department is authorized by law to conduct, and any other oaths required or authorized in any Act administered by the Department.

- 13 (Source: P.A. 86-711.)
- 14 (225 ILCS 340/26) (from Ch. 111, par. 6626)
- 15 (Section scheduled to be repealed on January 1, 2010)

Sec. 26. At the conclusion of the hearing, the The Board 16 shall present to the Secretary Director its written report of 17 18 its findings and recommendations. A copy of the report shall be served upon the accused person, either personally or to the 19 20 address of record by certified or registered mail. The Board 21 may take into consideration in making its recommendations for 22 discipline all facts and circumstances bearing upon the reasonableness of the conduct of the respondent and 23 potential for future harm to the public, including but not 24 25 limited to previous discipline by the Department, intent,

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degree of harm to the public and likelihood of harm in the future, any restitution made, and whether the incident or incidents complained of appear to be isolated or a pattern of conduct. In making its recommendations for discipline, the Board shall endeavor to ensure that the severity of the discipline recommended bears some reasonable relationship to the severity of the violation. Within 20 days after such service, the accused person may present to the Department a motion in writing for a rehearing, which shall specify the particular grounds for rehearing. If the accused person orders and pays for a transcript of the record as provided in this Section, the time elapsing after payment and before the transcript is ready for delivery shall not be counted as part of such 20 days. If no motion for rehearing is filed, then upon the expiration of the time specified for filing the motion, or if a motion for rehearing is denied, then upon such denial, the Secretary Director may enter an order in accordance with recommendations of the Board except as provided in Section 8 of this Act.

Whenever the Secretary Director is not satisfied that substantial justice has been done, he may order a rehearing by the same or another special board. At the expiration of the time specified for filing a motion for a rehearing, Secretary Director has the right to take the action recommended by the Board. Upon the suspension or revocation of his license, a licensee shall be required to surrender his license to the

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- 1 Department, and upon his failure or refusal to do so, the
- 2 Department shall have the right to seize the same.
- 3 (Source: P.A. 86-711.)
- 4 (225 ILCS 340/27) (from Ch. 111, par. 6627)
- 5 (Section scheduled to be repealed on January 1, 2010)

Sec. 27. Notwithstanding the provisions of Section 26 of this Act, the Secretary Director shall have the authority to appoint any attorney duly licensed to practice law in the State of Illinois to serve as the hearing officer in any action for discipline of a licensee. The Director shall notify the Board of any such appointment. The hearing officer has full authority to conduct the hearing. The Board has the right to have at least one member present at any hearing conducted by such hearing officer. The hearing officer shall report his findings of fact, conclusions of law and recommendations to the Board and the Secretary Director. The Board shall have 60 days from receipt of the report to review the report of the hearing officer and present their findings of fact, conclusions of law and recommendations to the Director. If the Board fails to present its report within the 60 day period, the Secretary Director shall issue an order based on the report of the hearing officer. If the Secretary Director disagrees in any regard with the report of the Board or hearing officer, he may issue an order in contravention thereof. The Secretary Director shall notify provide a written explanation to the Board on any

- 1 such deviation, and shall specify with particularity the
- 2 reasons for such action in the final order.
- 3 (Source: P.A. 86-711.)
- 4 (225 ILCS 340/28) (from Ch. 111, par. 6628)
- 5 (Section scheduled to be repealed on January 1, 2010)
- 6 Sec. 28. Order or certified copy; prima facie proof. An
- 7 order or a certified copy thereof, over the seal of the
- 8 Department and purporting to be signed by the <u>Secretary</u>
- 9 Director, shall be prima facie proof that:
- 10 1. the signature is the genuine signature of the
- 11 Director;
- 12 2. the Secretary Director is duly appointed and
- 13 qualified; and
- 14 3. the Board and the members thereof are qualified to
- 15 act.
- 16 Such proof may be rebutted.
- 17 (Source: P.A. 91-357, eff. 7-29-99.)
- 18 (225 ILCS 340/31) (from Ch. 111, par. 6631)
- 19 (Section scheduled to be repealed on January 1, 2010)
- Sec. 31. The Secretary Director may temporarily suspend the
- 21 license of a structural engineer without a hearing,
- 22 simultaneously with the institution of proceedings for a
- 23 hearing provided for in Section 22 of this Act, if the
- 24 Secretary Director finds that evidence in his possession

- 1 indicates that a structural engineer's continuation in
- 2 practice would constitute an imminent danger to the public. In
- 3 the event that the Secretary Director temporarily suspends the
- 4 license of a structural engineer without a hearing, a hearing
- 5 by the Board must be commenced within 30 days after such
- 6 suspension has occurred.
- 7 (Source: P.A. 86-711.)
- 8 (225 ILCS 340/13 rep.)
- 9 Section 10. The Structural Engineering Practice Act of 1989
- is amended by repealing Section 13.
- 11 Section 99. Effective date. This Act takes effect upon
- 12 becoming law.

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225 ILCS 340/27

225 ILCS 340/28

225 ILCS 340/13 rep.

225 ILCS 340/31

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