



## 95TH GENERAL ASSEMBLY

### State of Illinois

2007 and 2008

**HB3487**

Introduced 2/28/2007, by Rep. Paul D. Froehlich

#### SYNOPSIS AS INTRODUCED:

5 ILCS 420/4A-103  
5 ILCS 420/4A-104

from Ch. 127, par. 604A-103  
from Ch. 127, par. 604A-104

Amends the Illinois Governmental Ethics Act. In the forms for the statements of economic interests to be filed with the Secretary of State or a county clerk, requires the person making the statement to supply a mailing address (now, a post office address to which notification of statement examination may be sent, which notification is no longer required).

LRB095 10263 JAM 30478 b

1 AN ACT concerning government.

2 **Be it enacted by the People of the State of Illinois,**  
3 **represented in the General Assembly:**

4 Section 5. The Illinois Governmental Ethics Act is amended  
5 by changing Sections 4A-103 and 4A-104 as follows:

6 (5 ILCS 420/4A-103) (from Ch. 127, par. 604A-103)

7 Sec. 4A-103. The statement of economic interests required  
8 by this Article to be filed with the Secretary of State shall  
9 be filled in by typewriting or hand printing, shall be  
10 verified, dated, and signed by the person making the statement  
11 and shall contain substantially the following:

12 STATEMENT OF ECONOMIC INTEREST

13 (TYPE OR HAND PRINT)

14 .....

15 (name)

16 .....

17 (each office or position of employment for which this statement  
18 is filed)

19 .....

20 (full mailing address ~~post office address to which notification~~  
21 ~~of an examination of this statement should be sent~~)

22 GENERAL DIRECTIONS:

23 The interest (if constructively controlled by the person

1 making the statement) of a spouse or any other party, shall be  
2 considered to be the same as the interest of the person making  
3 the statement.

4 Campaign receipts shall not be included in this statement.

5 If additional space is needed, please attach supplemental  
6 listing.

7 1. List the name and instrument of ownership in any entity  
8 doing business in the State of Illinois, in which the ownership  
9 interest held by the person at the date of filing is in excess  
10 of \$5,000 fair market value or from which dividends in excess  
11 of \$1,200 were derived during the preceding calendar year. (In  
12 the case of real estate, location thereof shall be listed by  
13 street address, or if none, then by legal description.) No time  
14 or demand deposit in a financial institution, nor any debt  
15 instrument need be listed.

16 Business Entity	Instrument of Ownership
17 .....	.....
18 .....	.....
19 .....	.....
20 .....	.....

21 2. List the name, address and type of practice of any  
22 professional organization in which the person making the  
23 statement was an officer, director, associate, partner or  
24 proprietor or served in any advisory capacity, from which  
25 income in excess of \$1,200 was derived during the preceding  
26 calendar year.

1	Name	Address	Type of Practice
2	.....	.....	.....
3	.....	.....	.....
4	.....	.....	.....

5           3. List the nature of professional services rendered (other  
6 than to the State of Illinois) to each entity from which income  
7 exceeding \$5,000 was received for professional services  
8 rendered during the preceding calendar year by the person  
9 making the statement.

10 .....  
11 .....

12           4. List the identity (including the address or legal  
13 description of real estate) of any capital asset from which a  
14 capital gain of \$5,000 or more was realized during the  
15 preceding calendar year.

16 .....  
17 .....

18           5. List the identity of any compensated lobbyist with whom  
19 the person making the statement maintains a close economic  
20 association, including the name of the lobbyist and specifying  
21 the legislative matter or matters which are the object of the  
22 lobbying activity, and describing the general type of economic  
23 activity of the client or principal on whose behalf that person  
24 is lobbying.

25	Lobbyist	Legislative Matter	Client or Principal
26	.....	.....	.....

1 .....

2 6. List the name of any entity doing business in the State  
3 of Illinois from which income in excess of \$1,200 was derived  
4 during the preceding calendar year other than for professional  
5 services and the title or description of any position held in  
6 that entity. (In the case of real estate, location thereof  
7 shall be listed by street address, or if none, then by legal  
8 description). No time or demand deposit in a financial  
9 institution nor any debt instrument need be listed.

10	Entity	Position Held
11	.....	.....
12	.....	.....
13	.....	.....

14 7. List the name of any unit of government which employed  
15 the person making the statement during the preceding calendar  
16 year other than the unit or units of government in relation to  
17 which the person is required to file.

18 .....  
19 .....

20 8. List the name of any entity from which a gift or gifts,  
21 or honorarium or honoraria, valued singly or in the aggregate  
22 in excess of \$500, was received during the preceding calendar  
23 year.

24 .....

25 VERIFICATION:

26 "I declare that this statement of economic interests

1 (including any accompanying schedules and statements) has been  
 2 examined by me and to the best of my knowledge and belief is a  
 3 true, correct and complete statement of my economic interests  
 4 as required by the Illinois Governmental Ethics Act. I  
 5 understand that the penalty for willfully filing a false or  
 6 incomplete statement shall be a fine not to exceed \$1,000 or  
 7 imprisonment in a penal institution other than the penitentiary  
 8 not to exceed one year, or both fine and imprisonment."

9 .....

10 (date of filing) (signature of person making the statement)

11 (Source: P.A. 92-101, eff. 1-1-02.)

12 (5 ILCS 420/4A-104) (from Ch. 127, par. 604A-104)

13 Sec. 4A-104. The statement of economic interests required  
 14 by this Article to be filed with the county clerk shall be  
 15 filled in by typewriting or hand printing, shall be verified,  
 16 dated, and signed by the person making the statement and shall  
 17 contain substantially the following:

18 STATEMENT OF ECONOMIC INTERESTS

19 (TYPE OR HAND PRINT)

20 .....

21 (Name)

22 .....

23 (each office or position of employment for which this statement  
 24 is filed) .....

25 (full mailing address ~~post office address to which notification~~

1 ~~of an examination of this statement should be sent)~~

2 GENERAL DIRECTIONS:

3 The interest (if constructively controlled by the person  
4 making the statement) of a spouse or any other party, shall be  
5 considered to be the same as the interest of the person making  
6 the statement.

7 Campaign receipts shall not be included in this statement.

8 If additional space is needed, please attach supplemental  
9 listing.

10 1. List the name and instrument of ownership in any entity  
11 doing business with a unit of local government in relation to  
12 which the person is required to file, in which the ownership  
13 interest held by the person at the date of filing is in excess  
14 of \$5,000 fair market value or from which dividends in excess  
15 of \$1,200 were received during the preceding calendar year. (In  
16 the case of real estate, location thereof shall be listed by  
17 street address, or if none, then by legal description.) No time  
18 or demand deposit in a financial institution, nor any debt  
19 instrument shall be listed.

20	Business	Instrument of	Position of
21	Entity	Ownership	Management
22	.....	.....	.....
23	.....	.....	.....
24	.....	.....	.....

25 2. List the name, address and type of practice of any  
26 professional organization in which the person making the

1 statement was an officer, director, associate, partner or  
 2 proprietor, or served in any advisory capacity, from which  
 3 income in excess of \$1,200 was derived during the preceding  
 4 calendar year.

5	Name	Address	Type of Practice
6	.....	.....	.....
7	.....	.....	.....
8	.....	.....	.....

9 3. List the nature of professional services rendered (other  
 10 than to the unit or units of local government in relation to  
 11 which the person is required to file) to each entity from which  
 12 income exceeding \$5,000 was received for professional services  
 13 rendered during the preceding calendar year by the person  
 14 making the statement.

15 .....

16 .....

17 4. List the identity (including the address or legal  
 18 description of real estate) of any capital asset from which a  
 19 capital gain of \$5,000 or more was realized during the  
 20 preceding calendar year.

21 .....

22 .....

23 .....

24 5. List the name of any entity and the nature of the  
 25 governmental action requested by any entity which has applied  
 26 to a unit of local government in relation to which the person



1 must file for any license, franchise or permit for annexation,  
 2 zoning or rezoning of real estate during the preceding calendar  
 3 year if the ownership interest of the person filing is in  
 4 excess of \$5,000 fair market value at the time of filing or if  
 5 income or dividends in excess of \$1200 were received by the  
 6 person filing from the entity during the preceding calendar  
 7 year.

8 .....  
 9 .....  
 10 .....

11 6. List the name of any entity doing business with a unit  
 12 of local government in relation to which the person is required  
 13 to file from which income in excess of \$1,200 was derived  
 14 during the preceding calendar year other than for professional  
 15 services and the title or description of any position held in  
 16 that entity. No time or demand deposit in a financial  
 17 institution nor any debt instrument need be listed.

18 .....  
 19 .....

20 7. List the name of any unit of government which employed  
 21 the person making the statement during the preceding calendar  
 22 year other than the unit or units of government in relation to  
 23 which the person is required to file.

24 .....  
 25 .....

26 8. List the name of any entity from which a gift or gifts,

1 or honorarium or honoraria, valued singly or in the aggregate  
2 in excess of \$500, was received during the preceding calendar  
3 year.

4 .....

5 VERIFICATION:

6 "I declare that this statement of economic interests  
7 (including any accompanying schedules and statements) has been  
8 examined by me and to the best of my knowledge and belief is a  
9 true, correct and complete statement of my economic interests  
10 as required by the Illinois Governmental Ethics Act. I  
11 understand that the penalty for willfully filing a false or  
12 incomplete statement shall be a fine not to exceed \$1,000 or  
13 imprisonment in a penal institution other than the penitentiary  
14 not to exceed one year, or both fine and imprisonment."

15 .....

16 (date of filing) (signature of person making the statement)

17 (Source: P.A. 92-101, eff. 1-1-02.)