

1 AMENDMENT TO SENATE BILL 1873

2 AMENDMENT NO. _____. Amend Senate Bill 1873 on page 1, by
3 replacing lines 4 through 6 with the following:

4 "Section 5. The Illinois Governmental Ethics Act is
5 amended by changing Sections 1-112, 4A-102, 4A-103, 4A-104,
6 and 4A-106 and by adding Sections 1-105.5 and 4A-102.5 as
7 follows:

8 (5 ILCS 420/1-105.5 new)

9 Sec. 1-105.5. A "familial relationship" means a
10 relationship between a person required to file and a family
11 member. For the purposes of this Section, family member shall
12 include: father, mother, son, daughter, brother, sister,
13 uncle, aunt, first cousin, nephew, niece, husband, wife,
14 grandson, granddaughter, father-in-law, mother-in-law,
15 son-in-law, daughter-in-law, brother-in-law, sister-in-law,
16 stepfather, stepmother, stepson, stepdaughter, stepbrother,
17 stepsister, half brother, and half sister.

18 (5 ILCS 420/1-112) (from Ch. 127, par. 601-112)

19 Sec. 1-112. "Person with whom the person required to file
20 a statement of economic interest legislator maintains a close
21 economic relationship association" means a person associated

1 with the person required to file a statement of economic
 2 interest legislator in a partnership, association or
 3 professional service corporation, whether as partner,
 4 officer, employee, associate, or otherwise.

5 (Source: Laws 1967, p. 3401.)

6 (5 ILCS 420/4A-102) (from Ch. 127, par. 604A-102)

7 Sec. 4A-102. The statement of economic interests
 8 required by this Article shall include the economic interests
 9 of the person making the statement as provided in this
 10 Section. The interest ~~(if-constructively-controlled-by-the~~
 11 ~~person--making--the--statement)~~ of a spouse, other than a
 12 legally separated spouse, or of a dependant, if the dependant
 13 is under 21 years of age and resides in the person's
 14 household, ~~or-any-other-party,~~ shall be considered to be the
 15 same as the interest of the person making the statement.
 16 Campaign receipts shall not be included in this statement.

17 (a) The following interests shall be listed by all
 18 persons required to file:

19 (1) The name, address and type of practice of any
 20 professional entity organization or individual
 21 professional practice in which the person making the
 22 statement was an officer, director, associate, partner or
 23 proprietor, or served in any advisory capacity, from
 24 which income in excess of \$1200 was derived during the
 25 preceding calendar year;

26 (2) The individual clients of the professional
 27 entity or individual professional practice nature-of
 28 ~~professional-services~~ (other than ~~services--rendered--to~~
 29 the unit or units of government in relation to which the
 30 person is required to file) ~~and-the-nature-of-the--entity~~
 31 ~~to--which--they--were--rendered~~ if income fees exceeding
 32 \$5,000 was were received during the preceding calendar
 33 year ~~from--the-entity~~ for professional services rendered

1 by the person making the statement. If the disclosure of
 2 professional services rendered is protected by a
 3 privilege of confidentiality, then the person required to
 4 file shall seek the consent of the client before
 5 reporting his or her identity on the statement. If a
 6 client does not provide consent for the reporting of his
 7 or her identity on the statement, then the person
 8 required to file shall not report the client's identity
 9 on the statement of economic interest.

10 (3) The identity (including the address or legal
 11 description of real estate) of any capital asset from
 12 which a capital gain of \$5,000 or more was realized in
 13 the preceding calendar year.

14 (4) The name of each unit of government that has
 15 employed the person in the preceding calendar year.

16 (5) The name of each unit of government in which
 17 the person making the statement held elective or
 18 non-salaried appointed office in the preceding calendar
 19 year. The--name--of--any--unit--of--government--which--has
 20 employed--the--person--making--the--statement--during--the
 21 preceding--calendar--year--other--than--the--unit--or--units--of
 22 government--in--relation--to--which--the--person--is--required--to
 23 file.

24 (6) (5) The name of any entity from which a gift or
 25 gifts, or honorarium or honoraria, valued singly or in
 26 the aggregate in excess of \$500, was received during the
 27 preceding calendar year.

28 (b) The following interests shall also be listed by
 29 persons listed in items (a) through (f) of Section 4A-101:

30 (1) The name and instrument of ownership in any
 31 entity doing business in the State of Illinois, in which
 32 an ownership interest held by the person at the date of
 33 filing is in excess of \$5,000 fair market value or from
 34 which dividends of in excess of \$1,200 were derived

1 during the preceding calendar year. (In the case of real
 2 estate, location thereof shall be listed by street
 3 address, or if none, then by legal description). This
 4 item does not include mutual funds, debt instruments, or
 5 deposits in a personal checking or savings account,
 6 including certificates of deposit and any other form of
 7 deposit in a bank, savings and loan association, credit
 8 union, or similar financial institution. No--time--or
 9 demand--deposit--in-a-finaneial-institution,-nor-any-debt
 10 instrument-need-be-listed;

11 (2) The identity of the entity and all positions
 12 held as employee or consultant of any corporation,
 13 company, firm, partnership, other business enterprise, or
 14 non-profit entity if the person received income in excess
 15 of \$1,200 during the preceding calendar year. This item
 16 does not include professional service entities or
 17 positions held therein. Except-for-professional-service
 18 entities,-the-name-of-any-entity-and--any--position--held
 19 therein--from--which--income--of--in-excess-of-\$1,200-was
 20 derived-during-the-preceding-calendar-year,-if-the-entity
 21 does-business-in-the-State-of-Illinois.-No-time-or-demand
 22 deposit--in--a--finaneial--institution,-nor---any---debt
 23 instrument-need-be-listed.

24 (3) The identity of any compensated lobbyist with
 25 whom the person making the statement maintains a close
 26 economic or familial relationship asseeiation, including
 27 the name of the lobbyist and specifying the legislative
 28 matter or matters which are the object of the lobbying
 29 activity, and the name describing--the--general--type--of
 30 eeonomie--activity of the client or principal on whose
 31 behalf that person is lobbying.

32 (c) The following interests shall also be listed by
 33 persons listed in items (g), (h), (i), and (l) of Section
 34 4A-101:

1 (1) The name and instrument of ownership in any
2 entity doing business with a unit of local government in
3 relation to which the person is required to file if the
4 ownership interest of the person filing is greater than
5 \$5,000 fair market value as of the date of filing or if
6 dividends in excess of \$1,200 were received from the
7 entity during the preceding calendar year. (In the case
8 of real estate, location thereof shall be listed by
9 street address, or if none, then by legal description).
10 This item does not include mutual funds, debt
11 instruments, or deposits in a personal checking or
12 savings account, including certificates of deposit and
13 any other form of deposit in a bank, savings and loan
14 association, credit union, or similar financial
15 institution. No-time-or-demand--deposit--in--a--financial
16 institution, nor any debt instrument need be listed.

17 (2) Except for professional service entities, the
18 name of any entity and any position held therein from
19 which income in excess of \$1,200 was derived during the
20 preceding calendar year if the entity does business with
21 a unit of local government in relation to which the
22 person is required to file. No time or demand deposit in
23 a financial institution, nor any debt instrument need be
24 listed.

25 (3) The name of any entity and the nature of the
26 governmental action requested by any entity which has
27 applied to a unit of local government in relation to
28 which the person must file for any license, franchise or
29 permit for annexation, zoning or rezoning of real estate
30 during the preceding calendar year if the ownership
31 interest of the person filing is in excess of \$5,000 fair
32 market value at the time of filing or if income or
33 dividends in excess of \$1,200 were received by the person
34 filing from the entity during the preceding calendar

1 year.

2 (Source: P.A. 92-101, eff. 1-1-02.)"; and

3 on page 1, by inserting after line 12 the following:

4 "(5 ILCS 420/4A-103) (from Ch. 127, par. 604A-103)
5 Sec. 4A-103. The statement of economic interests required
6 by this Article to be filed with the Secretary of State shall
7 be filled in by typewriting or hand printing, shall be
8 verified, dated, and signed by the person making the
9 statement and shall contain substantially the following:

10 STATEMENT OF ECONOMIC INTEREST

11 (TYPE OR HAND PRINT)

12
13 (name)
14
15 (each office or position of employment for which this
16 statement is filed)
17
18 (full post office address to which notification of an
19 examination of this statement should be sent)

20 GENERAL DIRECTIONS:

21 The interest ~~{if-constructively-controlled-by-the--person~~
22 ~~making--the--statement}~~ of a spouse, other than a legally
23 separated spouse, or of a dependant, if the dependant is
24 under 21 years of age and resides in the person's household,
25 ~~or-any-other-party,~~ shall be considered to be the same as the
26 interest of the person making the statement.

27 Campaign receipts shall not be included in this
28 statement.

29 If additional space is needed, please attach supplemental
30 listing.

31 1. List the name and instrument of ownership in any
32 entity doing business in the State of Illinois, in which the
33 ownership interest held by the person at the date of filing

1 is in excess of \$5,000 fair market value or from which
 2 dividends in excess of \$1,200 were derived during the
 3 preceding calendar year. (In the case of real estate,
 4 location thereof shall be listed by street address, or if
 5 none, then by legal description.) This item does not include
 6 mutual funds, debt instruments, or deposits in a personal
 7 checking or savings account, including certificates of
 8 deposit and any other form of deposit in a bank, savings and
 9 loan association, credit union, or similar financial
 10 institution. ~~No--time--or--demand--deposit--in--a--financial~~
 11 ~~institution, nor any debt instrument need be listed.~~

12	Business Entity	Instrument of Ownership
13
14
15
16

17 2. List the name, address and type of practice of any
 18 professional entity or individual professional practice
 19 ~~organization~~ in which the person making the statement was an
 20 officer, director, associate, partner or proprietor or served
 21 in any advisory capacity, from which income in excess of
 22 \$1,200 was derived during the preceding calendar year.

23	Name	Address	Type of Practice
24
25
26

27 3. List the individual clients of the professional
 28 entity or individual professional practice ~~nature--of~~
 29 ~~professional--services--rendered~~ (other than to the State of
 30 Illinois) ~~to each entity~~ from which income exceeding \$5,000
 31 was received for professional services rendered during the
 32 preceding calendar year by the person making the statement.
 33 If the disclosure of professional services rendered is
 34 protected by a privilege of confidentiality, then the person

1 required to file shall seek the consent of the client before
2 reporting his or her identity on this form.

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4
5 4. List the identity (including the address or legal
6 description of real estate) of any capital asset from which a
7 capital gain of \$5,000 or more was realized during the
8 preceding calendar year.

9
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11 5. List the identity of any compensated lobbyist with
12 whom the person making the statement maintains a close
13 economic or familial relationship association, including the
14 name of the lobbyist and specifying the legislative matter or
15 matters which are the object of the lobbying activity, and
16 listing describing the name general-type-of-economic-activity
17 of the client or principal on whose behalf that person is
18 lobbying.

Lobbyist	Legislative Matter	Client or Principal
.....
.....

22 6. List the identity of the entity and all positions
23 held as employee or consultant of any corporation, company,
24 firm, partnership, other business enterprise, or non-profit
25 entity if the person received income in excess of \$1,200
26 during the preceding calendar year. This item does not
27 include professional service entities or positions held
28 therein. List--the--name--of--any--entity--doing--business--in--the
29 State--of--Illinois--from--which--income--in--excess--of--\$1,200--was
30 derived--during--the--preceding--calendar--year--other--than--for
31 professional--services--and--the--title--or--description--of--any
32 position--held--in--that--entity.--(In--the--case--of--real--estate,
33 location--thereof--shall--be--listed--by--street--address,
34 none,
then--by--legal--description).
No--time--or--demand--deposit

1 in-a-financial-institution-nor-any-debt--instrument--need--be
2 listed-

3 Entity	Position Held
4
5
6

7 7. List the name of each unit of government that has
8 employed the person in the preceding calendar year.

9

10

11 8. List the name of each unit of government in which the
12 person making the statement held elective or non-salaried
13 appointed office in the preceding calendar year. 7.--List the
14 name--of--any--unit--of--government-which-employed-the-person
15 making-the-statement-during-the-preceding-calendar-year-other
16 than-the-unit-or-units-of-government-in-relation-to-which-the
17 person-is-required-to-file-

18

19

20 9. 8. List the name of any entity from which a gift or
21 gifts, or honorarium or honoraria, valued singly or in the
22 aggregate in excess of \$500, was received during the
23 preceding calendar year.

24

25 VERIFICATION:

26 "I declare that this statement of economic interests
27 (including any accompanying schedules and statements) has
28 been examined by me and to the best of my knowledge and
29 belief is a true, correct and complete statement of my
30 economic interests as required by the Illinois Governmental
31 Ethics Act. I understand that the penalty for willfully
32 filing a false or incomplete statement shall be a fine not to
33 exceed \$1,000 or imprisonment in a penal institution other
34 than the penitentiary not to exceed one year, or both fine

1 and imprisonment."
2
3 (date of filing) (signature of person making the statement)
4 (Source: P.A. 92-101, eff. 1-1-02.)

5 (5 ILCS 420/4A-104) (from Ch. 127, par. 604A-104)
6 Sec. 4A-104. The statement of economic interests required
7 by this Article to be filed with the county clerk shall be
8 filled in by typewriting or hand printing, shall be verified,
9 dated, and signed by the person making the statement and
10 shall contain substantially the following:

11 STATEMENT OF ECONOMIC INTERESTS
12 (TYPE OR HAND PRINT)

13
14 (Name)
15
16 (each office or position of employment for which this
17 statement is filed)
18 (full post office address to which notification of an
19 examination of this statement should be sent)

20 GENERAL DIRECTIONS:

21 The interest ~~(if-constructively-controlled-by-the-person~~
22 ~~making-the-statement)~~ of a spouse, other than a legally
23 separated spouse, or of a dependant, if the dependant is
24 under 21 years of age and resides in the person's household,
25 ~~or-any-other-party,~~ shall be considered to be the same as the
26 interest of the person making the statement.

27 Campaign receipts shall not be included in this
28 statement.

29 If additional space is needed, please attach supplemental
30 listing.

31 1. List the name and instrument of ownership in any
32 entity doing business with a unit of local government in
33 relation to which the person is required to file, in which

1 the ownership interest held by the person at the date of
2 filing is in excess of \$5,000 fair market value or from which
3 dividends in excess of \$1,200 were received during the
4 preceding calendar year. (In the case of real estate,
5 location thereof shall be listed by street address, or if
6 none, then by legal description.) This item does not include
7 mutual funds, debt instruments, or deposits in a personal
8 checking or savings account, including certificates of
9 deposit and any other form of deposit in a bank, savings and
10 loan association, credit union, or similar financial
11 institution. No--time--or--demand--deposit--in--a--financial
12 institution, nor any debt instrument shall be listed.

13 Business	Instrument of	Position of
14 Entity	Ownership	Management
15
16
17

18 2. List the name, address and type of practice of any
19 professional entity or individual professional practice
20 organization in which the person making the statement was an
21 officer, director, associate, partner or proprietor, or
22 served in any advisory capacity, from which income in excess
23 of \$1,200 was derived during the preceding calendar year.

24 Name	Address	Type of Practice
25
26
27

28 3. List the individual clients of the professional
29 entity or individual professional practice nature---of
30 ~~professional--services--rendered~~ (other than to the unit or
31 units of local government in relation to which the person is
32 required to file) ~~to each entity~~ from which income exceeding
33 \$5,000 was received for professional services rendered during
34 the preceding calendar year by the person making the

1 statement. If the disclosure of professional services
 2 rendered is protected by a privilege of confidentiality, then
 3 the person required to file shall seek the consent of the
 4 client before reporting his or her identity on this form.

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 6

7 4. List the identity (including the address or legal
 8 description of real estate) of any capital asset from which a
 9 capital gain of \$5,000 or more was realized during the
 10 preceding calendar year.

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 12
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14 5. List the name of any entity and the nature of the
 15 governmental action requested by any entity which has applied
 16 to a unit of local government in relation to which the person
 17 must file for any license, franchise or permit for
 18 annexation, zoning or rezoning of real estate during the
 19 preceding calendar year if the ownership interest of the
 20 person filing is in excess of \$5,000 fair market value at the
 21 time of filing or if income or dividends in excess of \$1200
 22 were received by the person filing from the entity during the
 23 preceding calendar year.

24
 25
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27 6. List the name of any entity doing business with a
 28 unit of local government in relation to which the person is
 29 required to file from which income in excess of \$1,200 was
 30 derived during the preceding calendar year other than for
 31 professional services and the title or description of any
 32 position held in that entity. No time or demand deposit in a
 33 financial institution nor any debt instrument need be listed.

34

1

2 7. List the name of each unit of government that has
3 employed the person in the preceding calendar year.

4

5

6 8. List the name of each unit of government in which the
7 person making the statement held elective or non-salaried
8 appointed office in the preceding calendar year. List the

9 name of any unit of government which employed the person
10 making the statement during the preceding calendar year other
11 than the unit or units of government in relation to which the
12 person is required to file.

13

14

15 9. 8. List the name of any entity from which a gift or
16 gifts, or honorarium or honoraria, valued singly or in the
17 aggregate in excess of \$500, was received during the
18 preceding calendar year.

19

20 VERIFICATION:

21 "I declare that this statement of economic interests
22 (including any accompanying schedules and statements) has
23 been examined by me and to the best of my knowledge and
24 belief is a true, correct and complete statement of my
25 economic interests as required by the Illinois Governmental
26 Ethics Act. I understand that the penalty for willfully
27 filing a false or incomplete statement shall be a fine not to
28 exceed \$1,000 or imprisonment in a penal institution other
29 than the penitentiary not to exceed one year, or both fine
30 and imprisonment."

31

32 (date of filing) (signature of person making the statement)

33 (Source: P.A. 92-101, eff. 1-1-02.)".