

93RD GENERAL ASSEMBLY State of Illinois 2003 and 2004

Introduced 02/04/04, by Jay C. Hoffman

SYNOPSIS AS INTRODUCED:

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20 ILCS 2705/2705-20 new
30 ILCS 105/5.625 new
35 ILCS 505/8
                                       from Ch. 120, par. 424
220 ILCS 5/1-102
                                       from Ch. 111 2/3, par. 1-102
220 ILCS 5/7-102
                                       from Ch. 111 2/3, par. 7-102
                                       from Ch. 95 1/2, par. 18c-1104
625 ILCS 5/18c-1104
625 ILCS 5/Chapter 18C, Sub-chapter 1, Article II heading
625 ILCS 5/18c-1201
                                       from Ch. 95 1/2, par. 18c-1201
625 ILCS 5/18c-1201.1 new
625 ILCS 5/18c-1202
                                       from Ch. 95 1/2, par. 18c-1202
625 ILCS 5/18c-1204
                                       from Ch. 95 1/2, par. 18c-1204
625 ILCS 5/18c-1502
                                       from Ch. 95 1/2, par. 18c-1502
625 ILCS 5/18c-1502.05
625 ILCS 5/18c-1502.10
625 ILCS 5/18c-1505
                                       from Ch. 95 1/2, par. 18c-1505
                                       from Ch. 95 1/2, par. 18c-1601
625 ILCS 5/18c-1601
625 ILCS 5/18c-3304
                                      from Ch. 95 1/2, par. 18c-3304
625 ILCS 5/18c-7101
                                      from Ch. 95 1/2, par. 18c-7101
625 ILCS 5/18c-7201
625 ILCS 5/18c-7401
                                       from Ch. 95 1/2, par. 18c-7201
                                       from Ch. 95 1/2, par. 18c-7401
625 ILCS 5/18c-7402
                                       from Ch. 95 1/2, par. 18c-7402
625 ILCS 5/18c-7402.1
625 ILCS 5/18c-7403
                                       from Ch. 95 1/2, par. 18c-7403
625 ILCS 5/18c-7404
                                       from Ch. 95 1/2, par. 18c-7404
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Amends the Department of Transportation Law of the Civil Administrative Code of Illinois, the Motor Fuel Tax Law, the Public Utilities Act, and the Illinois Vehicle Code. Transfers from the Illinois Commerce Commission to the Department of Transportation all the Commission's functions, powers, and duties related to railroads. Provides for procedures and the exercise of authority necessary to effectuate the transfer. Provides that the Commission and the Secretary of Transportation shall adopt rules for implementing the transfer. Provides that the Secretary of Transportation shall adopt rules governing the maintenance of safe walkways for railroad workers. Deletes language requiring any freight train operating outside a railroad yard to be equipped with a radio communication system. Provides that, in accordance with federal railroad safety laws, the Department has authority to adopt rules and issue orders covering every area of railroad safety. Makes other changes. Effective July 1, 2004.

LRB093 20917 DRH 46890 b

FISCAL NOTE ACT MAY APPLY

1 AN ACT in relation to transportation.

Be it enacted by the People of the State of Illinois, represented in the General Assembly:

- 4 Section 5. The Department of Transportation Law of the
- 5 Civil Administrative Code of Illinois is amended by adding
- 6 Section 2705-20 as follows:
- 7 (20 ILCS 2705/2705-20 new)
- 8 Sec. 2705-20. Railroads.
- 9 (a) The Department shall exercise the functions, powers,
- 10 and duties relating to railroads set forth in Chapter 18C of
- 11 the Illinois Vehicle Code.
- 12 (b) Any reference to the Illinois Commerce Commission in
- any law of this State relating to railroad regulation shall be
- deemed to be a reference to the Department.
- 15 <u>(c) The Secretary of Transportation shall adopt rules</u>
- 16 governing the maintenance of safe walkways for railroad workers
- 17 <u>at locations where work is regularly performed on the ground.</u>
- 18 These rules shall include, at a minimum, a requirement that the
- 19 walkways have a reasonably uniform surface, be maintained in a
- safe condition, and be reasonably free of obstacles, debris,
- 21 <u>and other hazards.</u>
- 22 Section 10. The State Finance Act is amended by adding
- 23 Section 5.625 as follows:
- 24 (30 ILCS 105/5.625 new)
- 25 <u>Sec. 5.625. The Department of Transportation Railroad</u>
- 26 Regulatory Fund.
- 27 Section 15. The Motor Fuel Tax Law is amended by changing
- 28 Section 8 as follows:

- 1 (35 ILCS 505/8) (from Ch. 120, par. 424)
- 2 Sec. 8. Except as provided in Section 8a, subdivision
- 3 (h)(1) of Section 12a, Section 13a.6, and items 13, 14, 15, and
- 4 16 of Section 15, all money received by the Department under
- 5 this Act, including payments made to the Department by member
- 6 jurisdictions participating in the International Fuel Tax
- 7 Agreement, shall be deposited in a special fund in the State
- 8 treasury, to be known as the "Motor Fuel Tax Fund", and shall
- 9 be used as follows:
- 10 (a) 2 1/2 cents per gallon of the tax collected on special
- 11 fuel under paragraph (b) of Section 2 and Section 13a of this
- 12 Act shall be transferred to the State Construction Account Fund
- in the State Treasury;
- 14 (b) \$420,000 shall be transferred each month to the State
- 15 Boating Act Fund to be used by the Department of Natural
- Resources for the purposes specified in Article X of the Boat
- 17 Registration and Safety Act;
- 18 (c) \$2,250,000 shall be transferred each month to the Grade
- 19 Crossing Protection Fund to be used as follows: not less than
- \$6,000,000 each fiscal year shall be used for the construction
- or reconstruction of rail highway grade separation structures;
- \$2,250,000 in fiscal year 2004 and each fiscal year thereafter
- 23 shall be transferred to the Transportation Regulatory Fund and
- shall be accounted for as part of the rail carrier portion of
- such funds and shall be used to pay the cost of administration
- of the <u>Department of Transportation's</u> Illinois Commerce
- duties under subsection (3) of Section 18c-7401 of the Illinois
- 29 Vehicle Code, with the remainder to be used by the Department
- 30 of Transportation upon order of the <u>Department</u> Illinois
- 31 Commerce Commission, to pay that part of the cost apportioned
- 32 by the Department such Commission to the State to cover the
- interest of the public in the use of highways, roads, streets,
- or pedestrian walkways in the county highway system, township
- 35 and district road system, or municipal street system as defined
- in the Illinois Highway Code, as the same may from time to time

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be amended, for separation of grades, for installation, construction or reconstruction of crossing protection or reconstruction, alteration, relocation including construction or improvement of any existing highway necessary for access to property or improvement of any grade crossing including the necessary highway approaches thereto of any railroad across the highway or public road, or for the installation, construction, reconstruction, or maintenance of a pedestrian walkway over or under a railroad right-of-way, as provided for in and in accordance with Section 18c-7401 of the Illinois Vehicle Code. The <u>Department</u> Commission shall not order more than \$2,000,000 in Grade Crossing Protection Fund moneys for per year pedestrian walkways. In entering orders for projects for which payments from the Grade Crossing Protection Fund will be made, the Department Commission shall account for expenditures authorized by the orders on a cash rather than an accrual basis. For purposes of this requirement an "accrual basis" assumes that the total cost of the project is expended in the fiscal year in which the order is entered, while a "cash basis" allocates the cost of the project among fiscal years as expenditures are actually made. To meet the requirements of this subsection, the Department Illinois Commerce Commission shall develop annual and 5-year project plans of rail crossing capital improvements that will be paid for with moneys from the Grade Crossing Protection Fund. The annual project plan shall identify projects for the succeeding fiscal year and the 5-year project plan shall identify projects for the 5 directly succeeding fiscal years. The <u>Department</u> Commission shall submit the annual and 5-year project plans for this Fund to the Governor, the President of the Senate, the Senate Minority Leader, the Speaker of the House of Representatives, and the Minority Leader of the House of Representatives on the first Wednesday in April of each year;

(d) of the amount remaining after allocations provided for in subsections (a), (b) and (c), a sufficient amount shall be reserved to pay all of the following:

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- (1) the costs of the Department of Revenue in administering this Act;
 - (2) the costs of the Department of Transportation in performing its duties imposed by the Illinois Highway Code for supervising the use of motor fuel tax funds apportioned to municipalities, counties and road districts;
 - (3) refunds provided for in Section 13 of this Act and under the terms of the International Fuel Tax Agreement referenced in Section 14a;
 - (4) from October 1, 1985 until June 30, 1994, administration of the Vehicle Emissions Inspection Law, which amount shall be certified monthly by Environmental Protection Agency to the State Comptroller and shall promptly be transferred by the State Comptroller and Treasurer from the Motor Fuel Tax Fund to the Vehicle Inspection Fund, and for the period July 1, 1994 through June 30, 2000, one-twelfth of \$25,000,000 each month, for the period July 1, 2000 through June 30, 2003, one-twelfth of \$30,000,000 each month, and \$15,000,000 on July 1, 2003, and \$15,000,000 on January 1 and \$15,000,000 on July 1 of each calendar year for the period January 1, 2004 through June 30, 2006, for the administration of the Vehicle Emissions Inspection Law of 1995, to be transferred by the State Comptroller and Treasurer from the Motor Fuel Tax Fund into the Vehicle Inspection Fund;
 - (5) amounts ordered paid by the Court of Claims; and
 - (6) payment of motor fuel use taxes due to member jurisdictions under the terms of the International Fuel Tax Agreement. The Department shall certify these amounts to the Comptroller by the 15th day of each month; the Comptroller shall cause orders to be drawn for such amounts, and the Treasurer shall administer those amounts on or before the last day of each month;
- (e) after allocations for the purposes set forth in subsections (a), (b), (c) and (d), the remaining amount shall be apportioned as follows:

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1		(1)	Until	January	1 1,	2000,	58.	4%,	and	beginning	January
2	1,	2000,	45.6%	shall	be	deposit	ted	as	follo	WS:	

- 3 (A) 37% into the State Construction Account Fund, 4 and
 - (B) 63% into the Road Fund, \$1,250,000 of which shall be reserved each month for the Department of Transportation to be used in accordance with the provisions of Sections 6-901 through 6-906 of the Illinois Highway Code;
 - (2) Until January 1, 2000, 41.6%, and beginning January 1, 2000, 54.4% shall be transferred to the Department of Transportation to be distributed as follows:
 - (A) 49.10% to the municipalities of the State,
 - (B) 16.74% to the counties of the State having 1,000,000 or more inhabitants,
 - (C) 18.27% to the counties of the State having less than 1,000,000 inhabitants,
 - (D) 15.89% to the road districts of the State.

As soon as may be after the first day of each month the Department of Transportation shall allot to each municipality the amount apportioned to share of the municipalities which shall be in proportion to the population of such municipalities as determined by the last preceding municipal census if conducted by the Federal Government or Federal census. If territory is annexed to any municipality subsequent to the time of the last preceding census the corporate authorities of such municipality may cause a census to be taken of such annexed territory and the population so ascertained for such territory shall be added to the population of the municipality as determined by the last preceding census for the purpose of determining the allotment for that municipality. If the population of any municipality was not determined by the last Federal census preceding apportionment, the apportionment to such municipality shall be in accordance with any census taken by such municipality. Any municipal census used in accordance with this Section shall be

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certified to the Department of Transportation by the clerk of such municipality, and the accuracy thereof shall be subject to approval of the Department which may make such corrections as it ascertains to be necessary.

As soon as may be after the first day of each month the Department of Transportation shall allot to each county its share of the amount apportioned to the several counties of the State as herein provided. Each allotment to the several counties having less than 1,000,000 inhabitants shall be in proportion to the amount of motor vehicle license fees received from the residents of such counties, respectively, during the preceding calendar year. The Secretary of State shall, on or before April 15 of each year, transmit to the Department of Transportation a full and complete report showing the amount of motor vehicle license fees received from the residents of each county, respectively, during the preceding calendar year. The Department of Transportation shall, each month, for allotment purposes the last such report received from the Secretary of State.

As soon as may be after the first day of each month, the Department of Transportation shall allot to the several counties their share of the amount apportioned for the use of road districts. The allotment shall be apportioned among the several counties in the State in the proportion which the total mileage of township or district roads in the respective counties bears to the total mileage of all township and district roads in the State. Funds allotted to the respective counties for the use of road districts therein shall be allocated to the several road districts in the county in the proportion which the total mileage of such township or district roads in the respective road districts bears to the total mileage of all such township or district roads in the county. After July 1 of any year, no allocation shall be made for any road district unless it levied a tax for road and bridge purposes in an amount which will require the extension of such tax against the taxable property in any such road district at a

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rate of not less than either .08% of the value thereof, based upon the assessment for the year immediately prior to the year in which such tax was levied and as equalized by the Department of Revenue or, in DuPage County, an amount equal to or greater than \$12,000 per mile of road under the jurisdiction of the road district, whichever is less. If any road district has levied a special tax for road purposes pursuant to Sections 6-601, 6-602 and 6-603 of the Illinois Highway Code, and such tax was levied in an amount which would require extension at a rate of not less than .08% of the value of the taxable property thereof, as equalized or assessed by the Department of Revenue, or, in DuPage County, an amount equal to or greater than \$12,000 per mile of road under the jurisdiction of the road district, whichever is less, such levy shall, however, be deemed a proper compliance with this Section and shall qualify such road district for an allotment under this Section. If a township has transferred to the road and bridge fund money which, when added to the amount of any tax levy of the road district would be the equivalent of a tax levy requiring extension at a rate of at least .08%, or, in DuPage County, an amount equal to or greater than \$12,000 per mile of road under the jurisdiction of the road district, whichever is less, such transfer, together with any such tax levy, shall be deemed a proper compliance with this Section and shall qualify the road district for an allotment under this Section.

In counties in which a property tax extension limitation is imposed under the Property Tax Extension Limitation Law, road districts may retain their entitlement to a motor fuel tax allotment if, at the time the property tax extension limitation was imposed, the road district was levying a road and bridge tax at a rate sufficient to entitle it to a motor fuel tax allotment and continues to levy the maximum allowable amount after the imposition of the property tax extension limitation. Any road district may in all circumstances retain its entitlement to a motor fuel tax allotment if it levied a road and bridge tax in an amount that will require the extension of

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the tax against the taxable property in the road district at a rate of not less than 0.08% of the assessed value of the property, based upon the assessment for the year immediately preceding the year in which the tax was levied and as equalized by the Department of Revenue or, in DuPage County, an amount equal to or greater than \$12,000 per mile of road under the jurisdiction of the road district, whichever is less.

As used in this Section the term "road district" means any road district, including a county unit road district, provided for by the Illinois Highway Code; and the term "township or district road" means any road in the township and district road system as defined in the Illinois Highway Code. For the purposes of this Section, "road district" also includes park districts, forest preserve districts and conservation districts organized under Illinois law and "township or district road" also includes such roads as are maintained by park districts, forest preserve districts and conservation districts. The Department of Transportation shall determine the mileage of all township and district roads for the purposes of making allotments and allocations of motor fuel tax funds for use in road districts.

Payment of motor fuel tax moneys to municipalities and counties shall be made as soon as possible after the allotment is made. The treasurer of the municipality or county may invest these funds until their use is required and the interest earned by these investments shall be limited to the same uses as the principal funds.

28 (Source: P.A. 92-16, eff. 6-28-01; 92-30, eff. 7-1-01; 93-32,

29 eff. 6-20-03.)

30 Section 20. The Public Utilities Act is amended by changing 31 Sections 1-102 and 7-102 as follows:

32 (220 ILCS 5/1-102) (from Ch. 111 2/3, par. 1-102)

33 Sec. 1-102. Findings and Intent. The General Assembly 34 finds that the health, welfare and prosperity of all Illinois 9

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1	citizens require the provision of adequate, efficient,
2	reliable, environmentally safe and least-cost public utility
3	services at prices which accurately reflect the long-term cost
4	of such services and which are equitable to all citizens. It is
5	therefore declared to be the policy of the State that public
6	utilities shall continue to be regulated effectively and
7	comprehensively. It is further declared that the goals and
8	objectives of such regulation shall be to ensure

- (a) Efficiency: the provision of reliable energy services at the least possible cost to the citizens of the State; in such manner that:
 - (i) physical, human and financial resources are allocated efficiently;
 - (ii) all supply and demand options are considered and evaluated using comparable terms and methods in order to determine how utilities shall meet their customers' demands for public utility services at the least cost;
 - (iii) utilities are allowed a sufficient return on investment so as to enable them to attract capital in financial markets at competitive rates;
 - (iv) tariff rates for the sale of various public utility services are authorized such that they accurately reflect the cost of delivering those services and allow utilities to recover the total costs prudently and reasonably incurred;
 - (v) variation in costs by customer class and time of use is taken into consideration in authorizing rates for each class.
- (b) Environmental Quality: the protection of the environment from the adverse external costs of public utility services so that
 - (i) environmental costs of proposed actions having a significant impact on the environment and the environmental impact of the alternatives are identified, documented and considered in the

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regulatory	process;

- (ii) the prudently and reasonably incurred costs of environmental controls are recovered.
- (c) Reliability: the ability of utilities to provide consumers with public utility services under varying demand conditions in such manner that suppliers of public utility services are able to provide service at varying levels of economic reliability giving appropriate consideration to the costs likely to be incurred as a result of service interruptions, and to the costs of increasing or maintaining current levels of reliability consistent with commitments to consumers.
- (d) Equity: the fair treatment of consumers and investors in order that
 - (i) the public health, safety and welfare shall be protected;
 - (ii) the application of rates is based on public understandability and acceptance of the reasonableness of the rate structure and level;
 - (iii) the cost of supplying public utility services is allocated to those who cause the costs to be incurred;
 - (iv) if factors other than cost of service are considered in regulatory decisions, the rationale for these actions is set forth;
 - (v) regulation allows for orderly transition
 periods to accommodate changes in public utility
 service markets;
 - (vi) regulation does not result in undue or sustained adverse impact on utility earnings;
 - (vii) the impacts of regulatory actions on all sectors of the State are carefully weighed;
 - (viii) the rates for utility services are affordable and therefore preserve the availability of such services to all citizens.

It is further declared to be the policy of the State that

- 1 this Act shall not apply in relation to motor carriers and rail
- 2 carriers as defined in the Illinois Commercial Transportation
- 3 Law, or to the Commission in the regulation of such carriers.
- 4 Nothing in this Act shall be construed to limit, restrict,
- or mitigate in any way the power and authority of the State's
- 6 Attorneys or the Attorney General under the Consumer Fraud and
- 7 Deceptive Business Practices Act.
- 8 (Source: P.A. 92-22, eff. 6-30-01.)
- 9 (220 ILCS 5/7-102) (from Ch. 111 2/3, par. 7-102)
- 10 Sec. 7-102. Transactions requiring Commission approval.
- 11 (A) Unless the consent and approval of the Commission is
- 12 first obtained or unless such approval is waived by the
- 13 Commission or is exempted in accordance with the provisions of
- this Section or of any other Section of this Act:
- 15 (a) No 2 or more public utilities may enter into
- 16 contracts with each other that will enable such public
- 17 utilities to operate their lines or plants in connection
- with each other.
- 19 (b) No public utility may purchase, lease, or in any
- other manner acquire control, direct or indirect, over the
- 21 franchises, licenses, permits, plants, equipment, business
- or other property of any other public utility.
- (c) No public utility may assign, transfer, lease,
- 24 mortgage, sell (by option or otherwise), or otherwise
- dispose of or encumber the whole or any part of its
- franchises, licenses, permits, plant, equipment, business,
- or other property, but the consent and approval of the
- Commission (or the Department of Transportation, as its
- 29 <u>successor in railroad regulation matters)</u> shall not be
- required for the sale, lease, assignment or transfer (1) by
- 31 any public utility of any tangible personal property which
- is not necessary or useful in the performance of its duties
- 33 to the public, or (2) by any railroad of any real or
- 34 tangible personal property.
- 35 (d) No public utility may by any means, direct or

indirect, merge or consolidate its franchises, licenses, permits, plants, equipment, business or other property with that of any other public utility.

- (e) No public utility may purchase, acquire, take or receive any stock, stock certificates, bonds, notes or other evidences of indebtedness of any other public utility.
- (f) No public utility may in any manner, directly or indirectly, guarantee the performance of any contract or other obligation of any other person, firm or corporation whatsoever.
- (g) No public utility may use, appropriate, or divert any of its moneys, property or other resources in or to any business or enterprise which is not, prior to such use, appropriation or diversion essentially and directly connected with or a proper and necessary department or division of the business of such public utility; provided that this subsection shall not be construed as modifying subsections (a) through (e) of this Section.
- (h) No public utility may, directly or indirectly, invest, loan or advance, or permit to be invested, loaned or advanced any of its moneys, property or other resources in, for, in behalf of or to any other person, firm, trust, group, association, company or corporation whatsoever, except that no consent or approval by the Commission is necessary for the purchase of stock in development credit corporations organized under the Illinois Development Credit Corporation Act, providing that no such purchase may be made hereunder if, as a result of such purchase, the cumulative purchase price of all such shares owned by the utility would exceed one-fiftieth of one per cent of the utility's gross operating revenue for the preceding calendar year.
- (B) Any public utility may present to the Commission for approval options or contracts to sell or lease real property, notwithstanding that the value of the property under option may

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have changed between the date of the option and the subsequent date of sale or lease. If the options or contracts are approved by the Commission, subsequent sales or leases in conformance with those options or contracts may be made by the public utility without any further action by the Commission. If approval of the options or contracts is denied by the options or contracts are Commission, the void and any consideration theretofore paid to the public utility must be refunded within 30 days following disapproval the application.

- (C) The proceedings for obtaining the approval of the Commission provided for in this Section shall be as follows: There shall be filed with the Commission a petition, joint or otherwise, as the case may be, signed and verified by the president, any vice president, secretary, treasurer, comptroller, general manager, or chief engineer of respective companies, or by the person or company, as the case may be, clearly setting forth the object and purposes desired, and setting forth the full and complete terms of the proposed assignment, transfer, lease, mortgage, purchase, sale, merger, consolidation, contract or other transaction, as the case may be. Upon the filing of such petition, the Commission shall, if it deems necessary, fix a time and place for the hearing thereon. After such hearing, or in case no hearing is required, if the Commission is satisfied that such petition should reasonably be granted, and that the public will be convenienced thereby, the Commission shall make such order in the premises as it may deem proper and as the circumstances may require, attaching such conditions as it may deem proper, and thereupon it shall be lawful to do the things provided for in such order. The Commission shall impose such conditions as will protect the interest of minority and preferred stockholders.
- (D) The Commission shall have power by general rules applicable alike to all public utilities, other than electric and gas public utilities, affected thereby to waive the filing and necessity for approval of the following: (a) sales of

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property involving a consideration of not more than \$300,000 for utilities with gross revenues in excess of \$50,000,000 annually and a consideration of not more than \$100,000 for all other utilities; (b) leases, easements and licenses involving a consideration or rental of not more than \$30,000 per year for utilities with gross revenues in excess of \$50,000,000 annually and a consideration or rental of not more than \$10,000 per year for all other utilities; (c) leases of office building space not required by the public utility in rendering service to the public; (d) the temporary leasing, lending or interchanging of equipment in the ordinary course of business or in case of an emergency; and (e) purchase-money mortgages given by a public utility in connection with the purchase of tangible personal property where the total obligation to be secured shall be payable within a period not exceeding one year. However, if the Commission, after a hearing, finds that any public utility to which such rule is applicable is abusing or has abused such general rule and thereby is evading compliance with the standard established herein, the Commission shall have power to require such public utility to thereafter file and receive the Commission's approval upon all such transactions as described in this Section, but such general rule shall remain in full force and effect as to all other public utilities to which such rule is applicable.

(E) The filing of, and the consent and approval of the Commission for, any assignment, transfer, lease, mortgage, purchase, sale, merger, consolidation, contract or other transaction by an electric or gas public utility with gross revenues in all jurisdictions of \$250,000,000 or more annually involving a sale price or annual consideration in an amount of \$5,000,000 or less shall not be required. The Commission shall also have the authority, on petition by an electric or gas public utility with gross revenues in all jurisdictions of \$250,000,000 or more annually, to establish by order higher thresholds than the foregoing for the requirement of approval of transactions by the Commission pursuant to this Section for

1 the electric or gas public utility, but no greater than 1% of 2 the electric or gas public utility's average total gross 3 utility plant in service in the case of sale, assignment or 4 acquisition of property, or 2.5% of the electric or gas public 5 utility's total revenue in the case of other sales price or 6 annual consideration, in each case based on the preceding 7 calendar year, and subject to the power of the Commission, 8 after notice and hearing, to further revise those thresholds at 9 a later date. In addition to the foregoing, the Commission shall have power by general rules applicable alike to all 10 11 electric and gas public utilities affected thereby to waive the 12 filing and necessity for approval of the following: (a) sales 13 of property involving a consideration of \$100,000 or less for electric and gas utilities with gross revenues 14 in all 15 jurisdictions of less than \$250,000,000 annually; (b) leases, 16 easements and licenses involving a consideration or rental of not more than \$10,000 per year for electric and gas utilities 17 with gross revenues in all jurisdictions of 18 less than 19 \$250,000,000 annually; (c) leases of office building space not 20 required by the electric or gas public utility in rendering service to the public; (d) the temporary leasing, lending or 21 interchanging of equipment in the ordinary course of business 22 23 or in the case of an emergency; and (e) purchase-money mortgages given by an electric or gas public utility in 24 connection with the purchase of tangible personal property 25 26 where the total obligation to be secured shall be payable 27 within a period of one year or less. However, if the 28 Commission, after a hearing, finds that any electric or gas 29 public utility is abusing or has abused such general rule and 30 thereby is evading compliance with the standard established 31 herein, the Commission shall have power to require such 32 electric or gas public utility to thereafter file and receive the Commission's approval upon all such transactions 33 described in this Section and not exempted pursuant to the 34 35 first sentence of this paragraph or to subsection (g) of Section 16-111 of this Act, but such general rule shall remain 36

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in full force and effect as to all other electric and gas public utilities.

Every assignment, transfer, lease, mortgage, sale or other disposition or encumbrance of the whole or any part of the franchises, licenses, permits, plant, equipment, business or other property of any public utility, or any merger or consolidation thereof, and every contract, purchase of stock, or other transaction referred to in this Section and not exempted in accordance with the provisions of the immediately preceding paragraph of this Section, made otherwise than in accordance with an order of the Commission authorizing the same, except as provided in this Section, shall be void. The provisions of this Section shall not apply to any transactions by or with a political subdivision or municipal corporation of this State.

- (F) The provisions of this Section do not apply to the purchase or sale of emission allowances created under and defined in Title IV of the federal Clean Air Act Amendments of 1990 (P.L. 101-549), as amended.
- 20 (Source: P.A. 90-561, eff. 12-16-97; 91-357, eff. 7-29-99.)
- Section 25. The Illinois Vehicle Code is amended by changing Sections 18c-1104, 18c-1201, 18c-1202, 18c-1204, 18c-1502, 18c-1502.05, 18c-1502.10, 18c-1505, 18c-1601, 18c-3304, 18c-7101, 18c-7201, 18c-7401, 18c-7402, 18c-7402.1, 18c-7403, 18c-7404, adding Section 18c-1201.1, and changing the heading of Chapter 18C, Sub-chapter 1, Article II as follows:
- 28 (625 ILCS 5/18c-1104) (from Ch. 95 1/2, par. 18c-1104)
- Sec. 18c-1104. Definitions. The following terms, when used in this Chapter, have the hereinafter designated meanings unless their context clearly indicates otherwise:
- 32 (1) "Broker" means any person other than a motor carrier of 33 property, that arranges, offers to arrange, or holds itself 34 out, by solicitation, advertisement, or otherwise, as

- 1 arranging or offering to arrange for-hire transportation of
- 2 property or other service in connection therewith by a motor
- 3 carrier of property which holds or is required to hold a
- 4 license issued by the Commission.
- 5 (2) "Carrier" means any motor carrier or rail carrier other 6 than a private carrier.
- 7 (3) "Certificate" means a certificate of public 8 convenience and necessity issued under this Chapter to common 9 carriers of household goods.
- 10 (4) "Commission" means the Illinois Commerce Commission.
- 11 (5) "Commission regulations and orders" means rules and 12 regulations adopted and orders or decisions issued by the 13 Commission pursuant to this Chapter; any certificate, permit, 14 broker's license or other license or registration issued 15 pursuant to such rules, regulations, orders and decisions; and 16 all terms, conditions, or limitations thereof.
- 17 <u>(5.5) "Department" means the Illinois Department of</u> 18 Transportation.
- 19 (6) (Blank).
- 20 (7) (Blank).
- 21 (8) (Blank).
- 22 (9) "Discrimination" means undue discrimination in the 23 context of the particular mode of transportation involved.
- 24 (10) "Farm crossing" means a crossing used for agricultural 25 and livestock purposes only.
- 26 (11) "For-hire" means for compensation or hire, regardless 27 of the form of compensation and whether compensation is direct 28 or indirect.
- 29 (12) "Freight forwarder" means any person other than a 30 motor carrier, rail carrier, or common carrier by pipeline 31 which holds itself out as a common carrier to provide 32 transportation of property, for compensation or hire, which, in 33 the rendition of its services:
- 34 (a) Undertakes responsibility for the consolidation 35 (where applicable), transportation, break-bulk (where 36 applicable), and distribution of such property from the

point of receipt to the point of delivery; and

- (b) Utilizes, for the transportation of such property, the services of one or more motor carriers or rail carriers.
 - (13) "Hazardous material" means any substance or material in a quantity and form determined by the federal Office of Hazardous Materials and the Federal Railroad Administration to be capable of posing an unreasonable risk to health, safety, or property when transported in commerce.

(13.1) "Household goods" means:

- (A) Personal effects and property used or to be used in a dwelling when a part of the equipment or supply of such dwelling; except that this subdivision (13.1) shall not be construed to include property moving from a factory or store, except such property as the householder has purchased with intent to use in his or her dwelling and that is transported at the request of, and the transportation charges paid to the carrier by, the householder;
- (B) Furniture, fixtures, equipment, and the property of stores, offices, museums, institutions, hospitals, or other establishments, when a part of the stock, equipment, or supply of such stores, offices, museums, institutions, hospitals, or other establishments; except that this subdivision (13.1) shall not be construed to include the stock-in-trade of any establishment, whether consignor or consignee, other than used furniture and used fixtures, except when transported as an incident to the moving of the establishment, or a portion thereof, from one location to another; and
- (C) Articles, including, but not limited to, objects of art, displays, and exhibits, which, because of their unusual nature or value, require the specialized handling and equipment usually employed in moving household goods; except that this subdivision (13.1) shall not be construed to include any article, whether crated or uncrated, that

- does not, because of its unusual nature or value, require
 the specialized handling and equipment usually employed in
 moving household goods.
 - (13.2) "Household goods carrier" means a motor carrier of property authorized to transport household goods.
 - (13.3) "Household goods common carrier" means any household goods carrier engaged in transportation for the general public over regular or irregular routes. Household goods common carriers may also be referred to as "common carriers of household goods".
 - (13.4) "Household goods contract carrier" means any household goods carrier engaged in transportation under contract with a limited number of shippers (that shall not be freight forwarders, shippers' agents or brokers) that either (a) assigns motor vehicles for a continuing period of time to the exclusive use of the shipper or shippers served, or (b) furnishes transportation service designed to meet the distinct need of the shipper or shippers served. Household goods contract carriers may also be referred to as "contract carriers of household goods".
 - (14) "Interstate carrier" means any person engaged in the for-hire transportation of persons or property in interstate or foreign commerce in this State, whether or not such transportation is pursuant to authority issued to it by the Interstate Commerce Commission.
 - (15) "Intrastate carrier" means any person engaged in the for-hire transportation of persons or property in intrastate commerce in this State.
 - (16) "Interstate commerce" means commerce between a point in the State of Illinois and a point outside the State of Illinois, or between points outside the State of Illinois when such commerce moves through Illinois, or between points in Illinois moving through another state in a bona fide operation that is either exempt from federal regulation or moves under a certificate or permit issued by the Interstate Commerce Commission authorizing interstate transportation, whether such

- commerce moves wholly by motor vehicle or partly by motor
 vehicle and partly by any other regulated means of
 transportation where the commodity does not come to rest or
 change its identity during the movement, and includes commerce
 originating or terminating in a foreign country moving through
 the State of Illinois.
 - (17) "Intrastate commerce" means commerce moving wholly between points within the State of Illinois, whether such commerce moves wholly by one transportation mode or partly by one mode and partly by any other mode of transportation.
 - (18) "License" means any certificate, permit, broker's license, or other license issued under this Chapter. For purposes of Article III of Sub-chapter 4 of this Chapter, "license" does not include a "public carrier certificate".
 - (19) "Motor carrier" means any person engaged in the transportation of property or passengers, or both, for hire, over the public roads of this State, by motor vehicle. Motor carriers engaged in the transportation of property are referred to as "motor carriers of property"; motor carriers engaged in the transportation of passengers are referred to as "motor carriers of passengers" or "bus companies".
 - (20) "Motor vehicle" means any vehicle, truck, trucktractor, trailer or semitrailer propelled or drawn by mechanical power and used upon the highways of the State in the transportation of property or passengers.
 - (21) "Non-relocation towing" means the:
 - (a) For-hire transportation of vehicles by use of wrecker or towing equipment, other than the removal of trespassing vehicles from private property subject to the provisions of Chapter 18a of this Code, and other than transportation exempted by Section 18c-4102; and
 - (b) For-hire towing of wheeled property other than vehicles.
 - (22) "Notice" means with regard to all proceedings except enforcement proceedings instituted on the motion of the Commission, and except for interstate motor carrier

- registrations, public notice by publication in the official state newspaper, unless otherwise provided in this Chapter.
 - (23) "Official state newspaper" means the newspaper designated and certified to the Commission annually by the Director of Central Management Services of the State of Illinois, or, if said Director fails to certify to the Commission the name and address of the official newspaper selected by the Director prior to expiration of the previous certification, the newspaper designated in the most recent certification.
 - (24) "Party" means any person admitted as a party to a Commission or Department proceeding or seeking and entitled as a matter of right to admission as a party to a Commission or Department proceeding.
 - (25) "Permit" means a permit issued under this Chapter to contract carriers of property by motor vehicle.
 - (26) "Person" means any natural person or legal entity, whether such entity is a proprietorship, partnership, corporation, association, or other entity, and, where a provision concerns the acts or omissions of a person, includes the partners, officers, employees, and agents of the person, as well as any trustees, assignees, receivers, or personal representatives of the person.
 - engaged in the transportation of property or passengers by motor vehicle other than for hire, whether the person is the owner, lessee or bailee of the lading or otherwise, when the transportation is for the purpose of sale, lease, or bailment and in furtherance of the person's primary business, other than transportation. "Private carriers by motor vehicle" may be referred to as "private carriers". Ownership, lease or bailment of the lading is not sufficient proof of a private carrier operation if the carrier is, in fact, engaged in the transportation of property for-hire.
- 35 (27.1) "Public carrier" means a motor carrier of property, 36 other than a household goods carrier.

- 1 (27.2) "Public carrier certificate" means a certificate
 2 issued to a motor carrier to transport property, other than
 3 household goods, in intrastate commerce. The issuance of a
 4 public carrier certificate shall not be subject to the
 5 provisions of Article I of Sub-chapter 2 of this Chapter.
 - (28) "Public convenience and necessity" shall be construed to have the same meaning under this Chapter as it was construed by the courts to have under the Illinois Motor Carrier of Property Law, with respect to motor carriers of property, and the Public Utilities Act with respect to motor carriers of passengers and rail carriers.
 - (29) "Public interest" shall be construed to have the same meaning under this Chapter as it was construed by the courts to have under the Illinois Motor Carrier of Property Law.
 - (30) "Rail carrier" means any person engaged in the transportation of property or passengers for hire by railroad, together with all employees or agents of such person or entity, and all property used, controlled, or owned by such person or entity.
 - (31) "Railroad" means track and associated structures, including bridges, tunnels, switches, spurs, terminals and other facilities, and equipment, including engines, freight cars, passenger cars, cabooses, and other equipment, used in the transportation of property or passengers by rail.
 - (32) "Rail yard" means a system of parallel tracks, cross-overs and switches where cars are switched and made up into trains, and where cars, locomotives, and other rolling stock are kept when not in use or awaiting repairs. A "rail yard" may also be referred to as a "yard".
 - (33) "Rate" means every individual or joint rate, fare, toll, or charge of any carrier or carriers, any provisions relating to application thereof, and any tariff or schedule containing rates and provisions. The term "tariff" refers to a publication or document containing motor common carrier rates and provisions or rates and provisions applicable via rail carrier under contracts established pursuant to 49 U.S. Code

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- 1 10713. The term "schedule" refers to a publication or document 2 containing motor contract carrier rates and provisions.
- 3 (34) "Registration" means a registration issued to an interstate carrier.
- 5 (35) "Shipper" means the consignor or consignee.
- (36) "Terminal area" means, in addition to the area within
 the corporate boundary of an incorporated city, village,
 municipality, or community center, the area (whether
 incorporated or unincorporated) within 10 air miles of the
 corporate limits of the base city, village, municipality, or
 community center, including all of any city, village or
 municipality which lies within such area.
 - (37) "Transfer" means the sale, lease, consolidation, merger, acquisition or change of control, or other transfer of a license, in whole or in part.
 - (38) "Transportation" means the actual movement of property or passengers by motor vehicle (without regard to ownership of vehicles or equipment used in providing transportation service) or rail together with loading, unloading, and any other accessorial or ancillary service provided by the carrier in connection with movement by motor vehicle or rail, which is performed by or on behalf of the carriers, its employees or agents, or under the authority or direction of the carrier or under the apparent authority or direction and with the knowledge of the carrier. Transportation of property by motor vehicle includes driveaway or towaway delivery service.
- 28 (39) "Towing" means the pushing, towing, or drawing of 29 wheeled property by means of a crane, hoist, towbar, towline, 30 or auxiliary axle.
 - (40) "Wrecker or towing equipment" means tow trucks or auxiliary axles, when used in relation to towing accidentally wrecked or disabled vehicles; and roll-back carriers or trailers, when used in relation to transporting accidentally wrecked or disabled vehicles. Wrecker or towing equipment does not include car carriers or trailers other than roll-back car

- 1 carriers or trailers.
- 2 (Source: P.A. 89-42, eff. 1-1-96; 89-444, eff. 1-25-96; 90-14,
- 3 eff. 7-1-97.)
- 4 (625 ILCS 5/Chapter 18C, Sub-chapter 1, Article II heading)
- 5 ARTICLE II.
- 6 JURISDICTION AND POWER OF THE COMMISSION AND DEPARTMENT
- 7 (625 ILCS 5/18c-1201) (from Ch. 95 1/2, par. 18c-1201)
- 8 Sec. 18c-1201. Jurisdiction. The jurisdiction of the
- 9 Commission under this Chapter shall extend to for-hire
- 10 transportation by motor carrier and rail carrier, the
- 11 activities of brokers, and to other activities specifically
- 12 enumerated herein, within the State of Illinois, and except as
- otherwise provided elsewhere in this Chapter shall extend only
- 14 to intrastate commerce.
- 15 (Source: P.A. 89-42, eff. 1-1-96.)
- 16 (625 ILCS 5/18c-1201.1 new)
- Sec. 18c-1201.1. Transfer of functions, powers, and duties
- 18 <u>relating to railroads.</u>
- 19 <u>(a) All functions, powers, and duties of the Illinois</u>
- 20 <u>Commerce Commission that relate to railroads are transferred to</u>
- 21 <u>and vested in the Department of Transportation. Any reference</u>
- 22 <u>to the Illinois Commerce Commission in any law of this State</u>
- 23 <u>relating to railroad regulation shall be deemed to be a</u>
- 24 <u>reference to the Department of Transportation. In the context</u>
- of the functions, powers, and duties of the Illinois Commerce
- 26 <u>Commission</u>, a reference to "this Chapter" is deemed to be a
- 27 <u>reference to the portions of this Chapter that are under the</u>
- jurisdiction of the Illinois Commerce Commission.
- 29 (b) Exercise of authority necessary or appropriate to
- 30 perform transferred functions and carry out transferred
- 31 programs. To the extent necessary or appropriate to perform
- 32 <u>functions</u>, powers, and duties, and carry out programs
- 33 <u>transferred by this Section</u>, the Secretary of Transportation

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may exercise, in relation to the functions transferred, any authority or part of any authority available by law, including appropriation Acts, from which those functions, powers, and duties were transferred.

(c) Legal documents and proceedings.

(1) All orders, determinations, rules, regulations, permits, grants, loans, contracts, agreements, certificates, licenses, and privileges that (A) have been issued, made, granted, or allowed to become effective by the Commission, any officer or employee of the Commission, or any other government official, or by a court of competent jurisdiction, in the performance of any function, power, or duty that is transferred by this Section and that (B) are in effect on the effective date of the transfer, or become effective after that date according to their terms in effect on the effective date of the transfer, shall continue in effect according to their terms until modified, terminated, superseded, set aside, or revoked in accordance with law, any other authorized official, a court of competent jurisdiction, or operation of law.

(2) This Section shall not affect any proceedings pending before the Commission on the effective date of this amendatory Act of the 93rd General Assembly, insofar as those functions are retained and transferred by this Section, but those proceedings and applications, to the extent that they relate to the functions transferred, shall be continued. Orders shall be issued in those proceedings, appeals from those orders shall be taken, and payments shall be made according to those orders, as if this Section had not been enacted and orders issued in those proceedings shall continue in effect until modified, terminated, superseded, or revoked by a duly authorized official, by a court of competent jurisdiction, or by operation of law. Nothing in this paragraph (2) shall be deemed to prohibit discontinuance or modification of any of those <u>the</u>

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- (3) (A) This Section shall not affect suits commenced before the effective date of this amendatory Act of the 93rd General Assembly. In all such suits, proceedings shall be had, appeals taken, and judgments rendered in the same manner and with the same effect as if this Section had not been enacted.
- (B) Any suit by or against the Commission begun before the effective date of this amendatory Act of the 93rd General Assembly shall be continued, insofar as it involves a function retained and transferred under this Section to the Secretary substituted for the Commission.
- (C) If the court in a suit described in subparagraph

 (A) remands a case to the Secretary of Transportation,
 subsequent proceedings related to that case shall proceed
 in accordance with applicable law and the rules in effect
 at the time of the subsequent proceedings.
- (D) No suit, action, or other proceeding commenced by or against any officer in his or her official capacity as an officer of the Commission shall abate by reason of the enactment of this amendatory Act of the 93rd General Assembly. No cause of action by or against the Commission, or by or against any officer of the Commission in his or her official capacity, shall abate by reason of the enactment of this amendatory Act of the 93rd General Assembly.
- (E) Except as otherwise provided by law, an officer or employee of the Department of Transportation may, for purposes of performing a function transferred by this Section, exercise all authority under any other provision of law that was available with respect to the performance

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of that function to the official responsible for the
performance of the function immediately before the
effective date of the transfer of the function under this

Section.

- (d) Transfer of assets and personnel. The personnel, positions, property, assets, contracts, liabilities, and records employed, used, held, available, or to be made available in connection with a function, power, or duty transferred to the Department of Transportation by this Section shall be transferred to the Department for use in connection with the functions, powers, and duties transferred, and unexpended balances of appropriations, allocations, and other funds of the Commission shall also be transferred to the Department. The transfer of personnel shall be without reduction in classification, compensation, or collective bargaining rights of any person transferred.
- (e) Rulemaking. The Secretary of Transportation shall
 adopt rules necessary for implementing this Section and
 exercising the functions, powers, and duties transferred to the
 Department under this Section. Rules for administrative
 hearings shall be adopted in accordance with Article 10 of the
 Illinois Administrative Procedure Act.
- 23 (625 ILCS 5/18c-1202) (from Ch. 95 1/2, par. 18c-1202)
- Sec. 18c-1202. Enumeration of Powers. Except as provided

 in Section 18c-1201.1, the Commission shall have the power to:
- 26 (1) Administer and enforce provisions of this Chapter;
- 27 (2) Regulate the entry, exit, and services of carriers; as 28 to public carriers, this power is limited to matters relating 29 to insurance and safety standards;
 - (3) Regulate rates and practices of household goods carriers, rail carriers, passenger carriers, and common carriers by pipeline;
- 33 (4) Establish and maintain systems of accounting as well as 34 reporting and record-keeping requirements for household goods 35 carriers, rail carriers, passenger carriers, and common

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- 1 carriers by pipeline;
- 2 (5) Establish and maintain systems for the classification 3 of carriers, commodities and services;
 - (6) Regulate practices, terms and conditions relating to the leasing of equipment and to the interchange of equipment among carriers; as to public carriers, this power is limited to matters relating to insurance and safety standards;
- 8 (7) Protect the public safety through insurance and safety 9 standards;
- 10 (8) Regulate brokers in accordance with provisions of this 11 Chapter;
 - (9) Adopt appropriate regulations setting forth the standards and procedures by which it will administer and enforce this Chapter, with such regulations being uniform for all modes of transportation or different for the different modes as will, in the opinion of the Commission, best effectuate the purposes of this Chapter;
- 18 (10) Conduct hearings and investigations, on its own motion 19 or the motion of a person;
- 20 (11) Adjudicate disputes, hear complaints or other 21 petitions for relief, and settle such matters by stipulation or 22 agreement;
- 23 (12) Create special procedures for the receipt and handling 24 of consumer complaints;
- 25 (13) Issue certificates describing the extent to which a 26 person is exempt under the provisions of this Chapter;
- 27 (14) Construe this Chapter, Commission regulations and 28 orders, except that the rule of ejusdem generis shall not be 29 applicable in the construction or interpretation of any 30 license, certificate or permit originally issued under the 31 Illinois Motor Carrier of Property Law and now governed by 32 subchapter 4 of this Chapter or issued under subchapter 4 of this Chapter prior to July 1, 1989;
- 34 (15) Employ such persons as are needed to administer and 35 enforce this Chapter, in such capacities as they are needed, 36 whether as hearings examiners, special examiners, enforcement

- 1 officers, investigators, or otherwise;
- 2 (16) Create advisory committees made up of representatives
- 3 of the various transportation modes, shippers, receivers, or
- 4 other members of the public;
- 5 (17) Initiate and participate in proceedings in the federal
- or State courts, and in proceedings before federal or other
- 7 State agencies, to the extent necessary to effectuate the
- 8 purposes of this Chapter, provided that participation in
- 9 specific proceedings is directed, in writing, by the
- 10 Commission;
- 11 (18) Direct any telecommunications carrier to disconnect
- the telephone number published in any commercial listing of any
- 13 household goods carrier that does not have a valid license
- issued by the Commission.
- 15 (Source: P.A. 89-444, eff. 1-25-96.)
- 16 (625 ILCS 5/18c-1204) (from Ch. 95 1/2, par. 18c-1204)
- 17 Sec. 18c-1204. Transportation Division.
- 18 (1) Establishment. There shall be established within the
- 19 staff of the Commission a Transportation Division in which
- 20 primary staff responsibility for the administration and
- 21 enforcement of this Chapter and Chapter 18a shall be vested.
- 22 The Transportation Division shall be headed by a division
- 23 manager responsible to the executive director.
- 24 (2) Structure. The Transportation Division shall consist
- of $\underline{3}$ 4 programs and 2 offices. The $\underline{3}$ 4 programs shall be
- 26 Compliance, Review and Examination, and Docketing and
- 27 Processing, and Rail Safety. Each program shall be headed by a
- 28 program director and responsible to the division manager,
- 29 except that in the Compliance Program the 3 staff supervisors
- 30 shall each be responsible to the division manager. The 2
- 31 offices shall be the Office of Transportation Counsel and the
- 32 Office of the Division Manager. The Office of Transportation
- 33 Counsel shall be headed by a Chief Counsel responsible to the
- 34 Division Manager. The Division Manager shall coordinate the
- 35 activities and responsibilities of the Office of

Transportation Counsel with the executive director and the personal assistant serving as staff counsel to the executive director in the office of the executive director, and with the Commission.

- (a) The Compliance Program.
- (i) The Compliance Program shall consist of a police staff, a rate auditing staff, and a civil penalties staff. These staffs shall be headed by a Chief of Police, a Supervisor of Tariffs and Audits, and a Supervisor of Civil Penalties, respectively.
- (ii) The police staff shall be divided into districts with a field office in each district. Each district shall be headed by a working supervisor responsible to the Chief of Police. All staff responsibility for enforcement of this Chapter, except with regard to rail safety, shall be vested in the Compliance Program.
- (b) The Review and Examination Program.
- (i) Staff responsibility for review of all nonhearing matters under this Chapter and Chapter 18a and examination of all matters assigned for hearing under this Chapter and Chapter 18a shall be vested in the Review and Examination Program, except as otherwise provided in Section 18c-1204b.
- (ii) Hearing examiners in the program shall have responsibility for developing a full, complete and impartial record on all issues to be decided in a proceeding; recommending disposition of the issues or making an initial decision on them, as provided in this Chapter; and setting forth in writing the basis for their recommendations or initial decisions. The program director shall be the chief hearing examiner for matters under this Chapter and Chapter 18a with responsibility to insure consistency of recommendations and initial decisions.
- (c) The Processing and Docketing Program. All staff

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responsibility for docketing and processing filings, accounting of receipts and expenditures, issuing, file maintenance and other processing functions under this Chapter and Chapter 18a shall be vested in the Processing Program.

- (d) The Rail Safety Program. Staff responsibility for administration and enforcement of the rail safety provisions of this Chapter shall be vested in the Department of Transportation Rail Safety Program.
 - (e) The Office of Transportation Counsel.
 - (i) All Commission staff responsibility for provision of legal services in connection with any matter under this Chapter, excepting any matter under subchapters 7 and 8 of this Chapter, or in connection with any matter under Chapter 18a shall, except with regard to functions vested in the review and examination program under paragraph (b) of this subsection, be vested exclusively in the Office of Transportation Counsel.
 - (ii) The Office of Transportation Counsel shall, when directed through the division manager to do so, represent the Commission or Commission staff in administrative or judicial proceedings and render staff advisory opinions to the executive director and the Commission.
- (f) Levels of Administration. No additional levels of administration, supervision or authority shall be superimposed, or remain superimposed, between levels prescribed under this Section, and no organizational units may be created within the Transportation Division except as prescribed under this Section.
- (3) Additional Functions. Staff functions relating to rulemaking, policy recommendations and advisory committees under this Chapter and Chapter 18a shall be vested in the Transportation Division.

The <u>Department of Transportation</u> staff shall prepare and

- distribute to the General Assembly, in April of each year, a
- 2 report on railway accidents in Illinois which involve hazardous
- 3 materials. The report shall include the location, substance
- 4 involved, amounts involved, and the suspected reason for each
- 5 accident. The report shall also reveal the rail line and point
- of origin of the hazardous material involved in each accident.
- 7 (Source: P.A. 88-415.)
- 8 (625 ILCS 5/18c-1502) (from Ch. 95 1/2, par. 18c-1502)
- 9 Sec. 18c-1502. Gross Receipts Taxes For Motor Carriers of
- 10 Passengers and Rail Carriers. Each motor carrier of passengers
- 11 and rail carrier shall pay to the Commission, in accordance
- with Sections 2-202, 3-120 and 3-121 of "The Public Utilities"
- 13 Act", as amended, a gross receipts tax in the amount provided
- 14 herein.
- The amount of the tax for motor carriers of passengers
- 16 shall be prescribed by the Commission by rulemaking in
- 17 accordance with provisions of The Illinois Administrative
- Procedure Act, and shall not exceed 0.1% of the carrier's gross
- 19 Illinois intrastate revenues for each calendar year.
- The amount of the tax for rail carriers shall be 0.15% of
- 21 the carrier's gross Illinois intrastate revenues for each
- 22 calendar year, and the tax shall be deposited into the
- 23 <u>Department of Transportation Railroad Regulatory Fund</u>, a
- special fund that is created in the State treasury and shall be
- 25 <u>used by the Department to carry out its powers, functions, and</u>
- 26 <u>duties under this Chapter</u>.
- 27 (Source: P.A. 89-42, eff. 1-1-96; 89-699, eff. 1-16-97.)
- 28 (625 ILCS 5/18c-1502.05)
- Sec. 18c-1502.05. Route Mileage Fee for Rail Carriers.
- 30 Beginning with calendar year 2004, every rail carrier shall pay
- 31 to the <u>Department</u> Commission for each calendar year a route
- 32 mileage fee of \$45 for each route mile of railroad right of way
- owned by the rail carrier in Illinois. The fee shall be based
- on the number of route miles as of January 1 of the year for

- 1 which the fee is due, and the payment of the route mileage fee
- 2 shall be due by February 1 of each calendar year.
- 3 (Source: P.A. 93-32, eff. 7-1-03.)
- 4 (625 ILCS 5/18c-1502.10)
- 5 Sec. 18c-1502.10. Railroad-Highway Grade Crossing and
- 6 Grade Separation Fee. Beginning with calendar year 2004, every
- 7 rail carrier shall pay to the <u>Department</u> Commission for each
- 8 calendar year a fee of \$28 for each location at which the rail
- 9 carrier's track crosses a public road, highway, or street,
- whether the crossing be at grade, by overhead structure, or by
- 11 subway. The fee shall be based on the number of the crossings
- 12 as of January 1 of each calendar year, and the fee shall be due
- by February 1 of each calendar year.
- 14 (Source: P.A. 93-32, eff. 7-1-03.)
- 15 (625 ILCS 5/18c-1505) (from Ch. 95 1/2, par. 18c-1505)
- 16 Sec. 18c-1505. Proration of Fees. The Commission and
- 17 <u>Department</u> may prorate fees and levies provided in this Chapter
- 18 throughout the calendar year.
- 19 (Source: P.A. 84-796.)
- 20 (625 ILCS 5/18c-1601) (from Ch. 95 1/2, par. 18c-1601)
- Sec. 18c-1601. Deposit of Monies into the Transportation
- 22 Regulatory Fund.
- 23 (1) Deposit of Fees, Taxes, and Monies Other Than Criminal
- 24 Fines. Except as otherwise provided in this Section, all fees,
- 25 penalties (other than criminal penalties) or monies collected
- 26 in settlement of enforcement proceedings, taxes, and other
- 27 monies collected under this Chapter or which are transferred,
- 28 appropriated or reimbursed to the Commission for the purpose of
- 29 administering and enforcing this Chapter, shall be promptly
- 30 paid into a special fund in the State treasury known as the
- 31 Transportation Regulatory Fund.
- 32 (1.1) All monies collected under this Chapter relating to
- 33 the regulation of railroads and monies that are transferred,

- 1 appropriated, or reimbursed to the Department for the purpose
- of carrying out its functions, powers, and duties relating to
- 3 <u>railroads under this Chapter shall be promptly paid into the</u>
- 4 <u>Department of Transportation Railroad Regulatory Fund created</u>
- 5 in Section 18c-1502.
- 6 (2) Accounting for Monies Received. The Commission shall 7 account separately for the receipt of monies from the following
- 8 classes:
- 9 (a) motor carriers of property (other than carriers
- 10 engaged in nonrelocation towing);
- 11 (b) (blank) rail carriers; and
- 12 (c) other monies.
- The Commission may account separately with regard to groups of persons within the foregoing classes.
- 15 (3) Deposit of criminal fines. Criminal fines collected
- under this Chapter from motor carriers of property or persons
- or entities found to have aided or abetted motor carriers of
- 18 property or passengers in violation of this Chapter shall be
- 19 disposed of in accordance with Section 16-105 of this Code.
- Other criminal fines collected under this Chapter shall be
- 21 deposited into the Transportation Regulatory Fund in
- 22 accordance with subsection (1) of this Section.
- 23 (4) (Blank).
- 24 (Source: P.A. 90-372, eff. 7-1-98.)
- 25 (625 ILCS 5/18c-3304) (from Ch. 95 1/2, par. 18c-3304)
- Sec. 18c-3304. Records and accounts. Each household goods
- 27 carrier, rail carrier, common carrier by pipeline, and
- 28 passenger carrier shall:
- 29 (1) Keep written accounts and records of its revenues,
- 30 expenses, contracts, and other activities subject to
- 31 regulation under this Chapter in accordance with regulations
- 32 prescribed by the Commission or the Department of
- 33 Transportation, as appropriate;
- 34 (2) Maintain, for a period of 3 years, copies of all
- 35 accounts and records required by Commission or Department

- 1 regulations; and
- 2 (3) Make such accounts and records available for
- 3 inspection, on request, by any authorized employee of the
- 4 Commission or Department.
- 5 Accounts and records kept pursuant to this Section shall be
- 6 kept at an office in the State of Illinois unless the
- 7 Commission or Department shall have authorized maintenance at a
- 8 location outside of the State.
- 9 (Source: P.A. 89-444, eff. 1-25-96.)
- 10 (625 ILCS 5/18c-7101) (from Ch. 95 1/2, par. 18c-7101)
- 11 Sec. 18c-7101. Jurisdiction Over Rail Carriers. The
- 12 jurisdiction of the <u>Department</u> Commission under this
- 13 Sub-chapter shall be exclusive and shall extend to all
- 14 intrastate and interstate rail carrier operations within this
- 15 State, except to the extent that its jurisdiction is preempted
- by valid provisions of the Staggers Rail Act of 1980 or other
- valid federal statute, regulation, or order. <u>In accordance with</u>
- 18 <u>federal railroad safety laws, the Department has authority to</u>
- 19 <u>adopt rules and issue orders covering every area of railroad</u>
- 20 <u>safety.</u>
- 21 (Source: P.A. 85-406.)
- 22 (625 ILCS 5/18c-7201) (from Ch. 95 1/2, par. 18c-7201)
- Sec. 18c-7201. Registration as a Rail Carrier. (1) General
- 24 Provisions. Except as provided in subsection (2) of this
- 25 Section, no person shall operate as a rail carrier, and no
- 26 person shall begin or continue construction of any track or
- other facilities, other than the repair or replacement of
- existing plant, for use in operations as a rail carrier unless
- 29 such person has registered with the <u>Department</u> Commission as a
- 30 rail carrier.
- 31 (2) Exceptions. Each rail carrier operating within the
- 32 State of Illinois on the effective date of this Chapter shall
- 33 automatically be deemed, as of that date, to have registered as
- 34 a rail carrier for purposes of this Section. Such constructive

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- 1 registration shall expire on the 180th day after the effective
- date of this amendatory Act of 1985.
- 3 (Source: P.A. 84-796.)
- 4 (625 ILCS 5/18c-7401) (from Ch. 95 1/2, par. 18c-7401)
- Sec. 18c-7401. Safety Requirements for Track, Facilities, and Equipment.
- 7 (1) General Requirements. Each rail carrier shall,
 8 consistent with rules, orders, and regulations of the Federal
 9 Railroad Administration, construct, maintain, and operate all
 10 of its equipment, track, and other property in this State in
 11 such a manner as to pose no undue risk to its employees or the

person or property of any member of the public.

- (2) Adoption of Federal Standards. The track safety standards and accident/incident standards promulgated by the Federal Railroad Administration shall be safety standards of the <u>Department Commission</u>. The <u>Department Commission</u> may, in addition, adopt by reference in its regulations other federal railroad safety standards, whether contained in federal statutes or in regulations adopted pursuant to such statutes.
- (3) Railroad Crossings. No public road, highway, or street shall hereafter be constructed across the track of any rail carrier at grade, nor shall the track of any rail carrier be constructed across a public road, highway or street at grade, without having first secured the permission of the Department Commission; provided, that this Section shall not apply to the replacement of lawfully existing roads, highways and tracks. No public pedestrian bridge or subway shall be constructed across the track of any rail carrier without having first secured the permission of the <u>Department</u> Commission. The Department Commission shall have the right to refuse its permission or to grant it upon such terms and conditions as it may prescribe. The <u>Department</u> Commission shall have power to determine and prescribe the manner, including the particular point of crossing, and the terms of installation, operation, maintenance, use and protection of each such crossing.

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The Department Commission shall also have power, after a hearing, to require major alteration of or to abolish any crossing, heretofore or hereafter established, when in its opinion, the public safety requires such alteration or abolition, and, except in cities, villages and incorporated towns of 1,000,000 or more inhabitants, to vacate and close that part of the highway on such crossing altered or abolished and cause barricades to be erected across such highway in such manner as to prevent the use of such crossing as a highway, when, in the opinion of the Department Commission, the public convenience served by the crossing in question is not such as to justify the further retention thereof; or to require a separation of grades, at railroad-highway grade crossings; or to require a separation of grades at any proposed crossing where a proposed public highway may cross the tracks of any rail carrier or carriers; and to prescribe, after a hearing of the parties, the terms upon which such separations shall be made and the proportion in which the expense of the alteration or abolition of such crossings or the separation of such grades, having regard to the benefits, if any, accruing to the rail carrier or any party in interest, shall be divided between the rail carrier or carriers affected, or between such carrier or carriers and the State, county, municipality or other public authority in interest. However, a public hearing by the Department Commission to abolish a crossing shall not be required when the public highway authority in interest vacates the highway. In such instance the rail carrier, following notification to the <u>Department</u> Commission and the highway authority, shall remove any grade crossing warning devices and the grade crossing surface.

The <u>Department</u> Commission shall also have power by its order to require the reconstruction, minor alteration, minor relocation or improvement of any crossing (including the necessary highway approaches thereto) of any railroad across any highway or public road, pedestrian bridge, or pedestrian subway, whether such crossing be at grade or by overhead

1 structure or by subway, whenever the <u>Department</u> Commission 2 finds after a hearing or without a hearing as otherwise 3 provided in this paragraph that such reconstruction, 4 alteration, relocation or improvement is necessary to preserve 5 or promote the safety or convenience of the public or of the 6 employees or passengers of such rail carrier or carriers. By 7 its original order or supplemental orders in such case, the 8 Commission may direct such reconstruction, <u>Department</u> 9 alteration, relocation, or improvement to be made in such manner and upon such terms and conditions as may be reasonable 10 11 necessary and may apportion the cost of 12 reconstruction, alteration, relocation or improvement and the 13 subsequent maintenance thereof, having regard to the benefits, 14 if any, accruing to the railroad or any party in interest, 15 between the rail carrier or carriers and public utilities 16 affected, or between such carrier or carriers and public 17 utilities and the State, county, municipality or other public authority in interest. The cost to be so apportioned shall 18 19 include the cost of changes or alterations in the equipment of 20 public utilities affected as well as the cost of the relocation, diversion or establishment of any public highway, 21 22 made necessary by such reconstruction, alteration, relocation 23 or improvement of said crossing. A hearing shall not be 24 required in those instances when the Department Commission 25 enters an order confirming a written stipulation in which the 26 <u>Department</u> Commission, the public highway authority or other 27 public authority in interest, and the rail carrier or carriers 28 affected, and in instances involving the use of the Grade Crossing Protection Fund, the Illinois Department 29 30 Transportation, agree on the reconstruction, alteration, relocation, or improvement and the subsequent maintenance 31 32 thereof and the division of costs of such changes of any grade crossing (including the necessary highway approaches thereto) 33 of any railroad across any highway, pedestrian bridge, or 34 35 pedestrian subway.

Every rail carrier operating in the State of Illinois shall

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construct and maintain every highway crossing over its tracks within the State so that the roadway at the intersection shall be as flush with the rails as superelevated curves will allow, and, unless otherwise ordered by the <u>Department Commission</u>, shall construct and maintain the approaches thereto at a grade of not more than 5% within the right of way for a distance of not less the 6 feet on each side of the centerline of such tracks; provided, that the grades at the approaches may be maintained in excess of 5% only when authorized by the <u>Department Commission</u>.

Every rail carrier operating within this State shall remove from its right of way at all railroad-highway grade crossings within the State, such brush, shrubbery, and trees as is reasonably practical for a distance of not less than 500 feet in either direction from each grade crossing. The Department Commission shall have power, upon its own motion, or upon complaint, and after having made proper investigation, to require the installation of adequate and appropriate luminous reflective warning signs, luminous flashing signals, crossing gates illuminated at night, or other protective devices in order to promote and safeguard the health and safety of the public. Luminous flashing signal or crossing gate devices installed at grade crossings, which have been approved by the be Commission, shall deemed Department adequate and appropriate. The Department Commission shall have authority to determine the number, type, and location of such signs, signals, gates, or other protective devices which, however, shall conform as near as may be with generally recognized national standards, and the Department Commission shall have authority to prescribe the division of the cost of the installation and subsequent maintenance of such signals, gates, or other protective devices between the rail carrier or carriers, the public highway authority or other public authority in interest, and in instances involving the use of the Grade Crossing Protection Fund, the Illinois Department of Transportation. If the <u>Department</u> Commission has

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ordered the installation of luminous flashing signal or crossing gate devices at a grade crossing, the <u>Department</u> Commission shall order the installation of temporary stop signs at the highway intersection with the grade crossing. The temporary stop signs shall remain in place until the luminous flashing signal or crossing gate devices have been installed. The rail carrier is responsible for the cost of the installation and subsequent maintenance of any required temporary stop signs.

No railroad may change or modify the warning device system railroad-highway grade crossing, including warning systems interconnected with highway traffic control signals, without having first received the approval of the Department Commission. The Department Commission shall have the further power, upon application, upon its own motion, or upon complaint and after having made proper investigation, to require the interconnection of grade crossing warning devices with traffic control signals at highway intersections located at or near railroad crossings within the distances described by the State Manual on Uniform Traffic Control Devices adopted pursuant to Section 11-301 of this Code. In addition, State and local authorities may not install, remove, modernize, or otherwise modify traffic control signals at a highway intersection that is interconnected or proposed to be interconnected with grade crossing warning devices when the change affects the number, type, or location of traffic control devices on the track approach leg or legs of the intersection or the timing of the railroad preemption sequence of operation until the <u>Department</u> Commission has approved the installation, removal, modernization, or modification. Department Commission approval shall be limited to consideration of issues directly affecting the public safety at the railroad-highway grade crossing. The electrical circuit devices, alternate warning devices, and preemption sequences shall conform as nearly as possible, considering the particular characteristics of the crossing and intersection area, to the State manual adopted by the Illinois

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Department of Transportation pursuant to Section 11-301 of this Code and such federal standards as are made applicable by subsection (2) of this Section. In order to carry out this authority, the Department Commission shall have the authority to determine the number, type, and location of traffic control devices on the track approach leg or legs of the intersection and the timing of the railroad preemption sequence operation. The <u>Department</u> Commission shall prescribe the division of costs for installation and maintenance of all devices required by this paragraph between the railroad or railroads and the highway authority in interest and in instances involving the use of the Grade Crossing Protection State highway, the Illinois Fund or а Department Transportation.

Any person who unlawfully or maliciously removes, throws down, damages or defaces any sign, signal, gate or other protective device, located at or near any public grade crossing, shall be guilty of a petty offense and fined not less than \$50 nor more than \$200 for each offense. In addition to fines levied under the provisions of this Section a person adjudged guilty hereunder may also be directed to make restitution for the costs of repair or replacement, or both, necessitated by his misconduct.

It is the public policy of the State of Illinois to enhance public safety by establishing safe grade crossings. In order to implement this policy, the Illinois Commerce Commission is directed to conduct public hearings and to adopt specific criteria by July 1, 1994, that shall be adhered to by the Illinois Commerce Commission (or the Department as its successor) in determining if a grade crossing should be opened or abolished. The following factors shall be considered by the Illinois Commerce Commission in developing the specific criteria for opening and abolishing grade crossings:

- (a) timetable speed of passenger trains;
- (b) distance to an alternate crossing;
- 36 (c) accident history for the last 5 years;

1	(d)	number	of	vehicular	traffic	and	posted	speed
2	limits;							

- (e) number of freight trains and their timetable speeds;
- (f) the type of warning device present at the grade crossing;
- (g) alignments of the roadway and railroad, and the angle of intersection of those alignments;
- (h) use of the grade crossing by trucks carrying hazardous materials, vehicles carrying passengers for hire, and school buses; and
 - (i) use of the grade crossing by emergency vehicles.

The Illinois Commerce Commission (or the Department as its successor), upon petition to open or abolish a grade crossing, shall enter an order opening or abolishing the crossing if it meets the specific criteria adopted by the Department Commission.

Except as otherwise provided in this subsection (3), in no instance shall a grade crossing be permanently closed without public hearing first being held and notice of such hearing being published in an area newspaper of local general circulation.

- (4) (Blank). Freight Trains Radio Communications. The Commission shall after hearing and order require that every main line railroad freight train operating on main tracks outside of yard limits within this State shall be equipped with a radio communication system. The Commission after notice and hearing may grant exemptions from the requirements of this Section as to secondary and branch lines.
- (5) Railroad Bridges and Trestles Walkway and Handrail. In cases in which the <u>Department Commission</u> finds the same to be practical and necessary for safety of railroad employees, bridges and trestles, over and upon which railroad trains are operated, shall include as a part thereof, a safe and suitable walkway and handrail on one side only of such bridge or trestle, and such handrail shall be located at the outer edge

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- of the walkway and shall provide a clearance of not less than 8
- 2 feet, 6 inches, from the center line of the nearest track,
- 3 measured at right angles thereto.
- (6) Packages Containing Articles for First Aid to Injured on Trains. All rail carriers shall provide a package containing the articles prescribed by the <u>Department Commission</u>, on each train or engine, for first aid to persons who may be injured in
- 9 (7) Abandoned Bridges, Crossings, and Other Rail Plant. The
 10 <u>Department Commission</u> shall have authority, after notice and
 11 hearing, to order:

the course of the operation of such trains.

- (a) The removal of any abandoned railroad tracks from roads, streets or other thoroughfares in this State; and
- 14 (b) The removal of abandoned overhead railroad 15 structures crossing highways, waterways, or railroads.
 - The <u>Department</u> Commission may equitably apportion the cost of such actions between the rail carrier or carriers, public utilities, and the State, county, municipality, township, road district, or other public authority in interest.
 - (8) Railroad-Highway Bridge Clearance. A vertical clearance of not less than 23 feet above the top of rail shall be provided for all new or reconstructed highway bridges constructed over a railroad track. The <u>Department Commission</u> may permit a lesser clearance if it determines that the 23 foot clearance standard cannot be justified based on engineering, operational, and economic conditions.
- 27 (Source: P.A. 93-604, eff. 11-21-03.)
- 28 (625 ILCS 5/18c-7402) (from Ch. 95 1/2, par. 18c-7402)
- Sec. 18c-7402. Safety Requirements for Railroad Operations.
- 31 (1) Obstruction of Crossings.
- 32 (a) Obstruction of Emergency Vehicles. Every railroad 33 shall be operated in such a manner as to minimize 34 obstruction of emergency vehicles at crossings. Where such 35 obstruction occurs and the train crew is aware of the

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obstruction, the train crew shall immediately take any action, consistent with safe operating procedure, necessary to remove the obstruction. In the Chicago and St. Louis switching districts, every railroad dispatcher or other person responsible for the movement of railroad equipment in a specific area who receives notification that railroad equipment is obstructing the movement of an emergency vehicle at any crossing within such area shall immediately notify the train crew through use of existing communication facilities. Upon notification, the train crew shall take immediate action in accordance with this paragraph.

(b) Obstruction of Highway at Grade Crossing Prohibited. It is unlawful for a rail carrier to permit any train, railroad car or engine to obstruct public travel at a railroad-highway grade crossing for a period in excess of 10 minutes, except where such train or railroad car is continuously moving or cannot be moved by reason of circumstances over which the rail carrier has no reasonable control.

In a county with a population of greater than 1,000,000, as determined by the most recent federal census, during the hours of 7:00 a.m. through 9:00 a.m. and 4:00 p.m. through 6:00 p.m. it is unlawful for a rail carrier to permit any single train or railroad car to obstruct public travel at a railroad-highway grade crossing in excess of a total of 10 minutes during a 30 minute period, except where the train or railroad car cannot be moved by reason or circumstances over which the rail carrier has no reasonable control. Under no circumstances will a moving train be stopped for the purposes of issuing a citation related to this Section.

However, no employee acting under the rules or orders of the rail carrier or its supervisory personnel may be prosecuted for a violation of this subsection (b).

(c) Punishment for Obstruction of Grade Crossing. Any rail carrier violating paragraph (b) of this subsection

shall be guilty of a petty offense and fined not less than \$200 nor more than \$500 if the duration of the obstruction is in excess of 10 minutes but no longer than 15 minutes. If the duration of the obstruction exceeds 15 minutes the violation shall be a business offense and the following fines shall be imposed: if the duration of the obstruction is in excess of 15 minutes but no longer than 20 minutes, the fine shall be \$500; if the duration of the obstruction is in excess of 20 minutes but no longer than 25 minutes, the fine shall be \$700; if the duration of the obstruction is in excess of 25 minutes, but no longer than 30 minutes, the fine shall be \$900; if the duration of the obstruction is in excess of 30 minutes but no longer than 35 minutes, fine shall be \$1,000; if the duration of the obstruction is in excess of 35 minutes, the fine shall be \$1,000 plus an additional \$500 for each 5 minutes of obstruction in excess of 25 minutes of obstruction.

(2) Other Operational Requirements.

(a) Bell and Whistle-Crossings. Every rail carrier shall cause a bell, and a whistle or horn to be placed and kept on each locomotive, and shall cause the same to be rung or sounded by the engineer or fireman, at the distance of a least 1,320 feet, from the place where the railroad crosses or intersects any public highway, and shall be kept ringing or sounding until the highway is reached; provided that at crossings where the <u>Department Commission</u> shall by order direct, only after a hearing has been held to determine the public is reasonably and sufficiently protected, the rail carrier may be excused from giving warning provided by this paragraph.

(a-5) The requirements of paragraph (a) of this subsection (2) regarding ringing a bell and sounding a whistle or horn do not apply at a railroad crossing that has a permanently installed automated audible warning device authorized by the <u>Department Commission</u> under Section 18c-7402.1 that sounds automatically when an

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approaching train is at least 1,320 feet from the crossing and that keeps sounding until the lead locomotive has crossed the highway. The engineer or fireman may ring the bell or sound the whistle or horn at a railroad crossing that has a permanently installed audible warning device.

(b) Speed Limits. Each rail carrier shall operate its trains in compliance with speed limits set by the Department Commission. The Department Commission may set train speed limits only where such limits are necessitated by extraordinary circumstances effecting the public safety, and shall maintain such train speed limits in effect only for such time as the extraordinary circumstances prevail.

The Commission and the Department of Transportation shall conduct a study of the relation between train speeds and railroad-highway grade crossing safety. The Commission shall report the findings of the study to the General Assembly no later than January 5, 1997.

(c) Special Speed Limit; Pilot Project. The Commission and the Board of the Commuter Rail Division of the Regional Transportation Authority shall conduct a pilot project in the Village of Fox River Grove, the site of the fatal school bus accident at a railroad crossing on October 25, 1995, in order to improve railroad crossing safety. For this project, the Commission is directed to set the maximum train speed limit for Regional Transportation Authority trains at 50 miles per hour at intersections on that portion of the intrastate rail line located in the Village of Fox River Grove. If the Regional Transportation Authority deliberately fails to comply with this maximum speed limit, then any entity, governmental or otherwise, that provides capital or operational funds to the Regional Transportation Authority shall appropriately reduce or eliminate that funding. The Commission shall report to the Governor and the General Assembly on the results of this pilot project in January 1999, January 2000, and January

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- 2001. The Commission shall also submit a final report on the pilot project to the Governor and the General Assembly in January 2001. The provisions of this subsection (c), other than this sentence, are inoperative after February 1, 2001.
 - (3) Report and Investigation of Rail Accidents.
 - (a) Reports. Every rail carrier shall report to the Department Commission, by the speediest means possible, whether telephone, telegraph, or otherwise, every accident and defined by the Federal Railroad Administration accident involving its equipment, track, or other property which resulted in loss of life to any person. In addition, such carriers shall file a written report with the Department Commission. Reports submitted under this paragraph shall be strictly confidential, shall be specifically prohibited from disclosure, and shall not be admissible in any administrative or judicial proceeding relating to the accidents reported.
 - (b) Investigations. The <u>Department</u> Commission may investigate all railroad accidents reported to it or of which it acquires knowledge independent of reports made by rail carriers, and shall have the power, consistent with standards and procedures established under the Federal Railroad Safety Act, as amended, to enter such temporary orders as will minimize the risk of future accidents pending notice, hearing, and final action by the <u>Department Commission</u>.
- 28 (Source: P.A. 91-675, eff. 6-1-00; 92-284, eff. 8-9-01.)
- 29 (625 ILCS 5/18c-7402.1)
- 30 Sec. 18c-7402.1. Pilot projects; automated audible warning devices.
- 32 (a) The General Assembly finds and declares that, for the 33 communities of the State that are traversed by railroads, there 34 is a growing need to mitigate train horn noise without 35 compromising the safety of the public. Therefore, after

- 1 applications are filed and approved by the <u>Department</u>
- 2 Commission, the <u>Department</u> Commission shall authorize pilot
- 3 projects in the counties of Cook, DuPage, Lake, and Will to
- 4 test the utility and safety of stationary automated audible
- 5 warning devices as an alternative to trains having to sound
- 6 their horns as they approach highway-rail crossings.
- 7 (b) In light of the pending proposed ruling by the Federal
- 8 Railroad Administration on the use of locomotive horns at all
- 9 highway-rail crossings across the nation, it is in the best
- 10 interest of the State for the Department Commission to expedite
- 11 the pilot projects in order to contribute data to the federal
- 12 rulemaking process regarding the possible inclusion of
- 13 stationary automated warning devices in the counties of Cook,
- 14 DuPage, Lake, and Will as a safety measure option to the
- 15 proposed federal rule.
- 16 (c) The <u>Department</u> Commission shall adopt rules for
- implementing the pilot projects in the counties of Cook,
- 18 DuPage, Lake, and Will.
- 19 (Source: P.A. 92-284, eff. 8-9-01.)
- 20 (625 ILCS 5/18c-7403) (from Ch. 95 1/2, par. 18c-7403)
- Sec. 18c-7403. Enforcement and Waiver of Safety
- 22 Requirements.
- 23 (1) Enforcement. Except with regard to grade crossing
- 24 obstructions under Section 18c-7402 of this Chapter and
- 25 trespass on railroad rights of way and yards under Section
- 26 18c-7503 of this Chapter, jurisdiction to initiate actions to
- 27 enforce provisions of this Chapter is vested exclusively in the
- 28 <u>Department</u> Commission. Where a valid federal statute,
- 29 regulation, or order sets forth procedures or sanctions for
- 30 violation of safety standards, and such procedures or sanctions
- 31 are preemptive of state law, the $\underline{\text{Department}}$ $\underline{\text{Commission}}$ shall
- 32 exercise its enforcement jurisdiction under this Article in
- 33 accordance therewith. Otherwise, the provisions of this
- 34 Chapter regarding enforcement procedures and sanctions shall
- 35 apply.

- 1 (2) Waiver. The <u>Department</u> Commission may waive any of the
- 2 safety requirements under this Article if continued adherence
- 3 to the requirement or requirements is not required for the
- 4 safety of railroad employees or the public.
- 5 (Source: P.A. 90-257, eff. 7-30-97.)
- 6 (625 ILCS 5/18c-7404) (from Ch. 95 1/2, par. 18c-7404)
- 7 Sec. 18c-7404. Transportation of Hazardous Materials by
- 8 Rail Carriers. (1) <u>Department</u> Commission to Regulate Hazardous
- 9 Materials Transportation by Rail Carrier.
- 10 (a) Powers of the <u>Department</u> Commission. The <u>Department</u>
- 11 Commission is authorized to regulate the transportation of
- 12 hazardous materials by rail carrier by:
- 13 (i) Adopting by reference the hazardous materials
- 14 regulations of the Office of Hazardous Materials
- 15 Transportation and the Federal Railroad Administration of the
- 16 United States Department of Transportation, as amended;
- 17 (ii) Conducting investigations, issuing subpoenas, taking
- depositions, requiring the production of relevant documents,
- 19 records and property, and conducting hearings in aid of such
- 20 investigations;
- 21 (iii) Conducting a continuing review of all aspects of
- 22 hazardous materials transportation by rail carrier to
- 23 determine and recommend actions necessary to insure safe
- 24 transportation of such materials;
- 25 (iv) Undertaking, directly or indirectly, research,
- development, demonstration and training activities;
- (v) Cooperating with other State agencies and enter into
- interagency agreements; and
- 29 (vi) Entering upon, inspecting and examining the records
- 30 and properties relating to the transportation of hazardous
- 31 materials by rail, including all portions of any facility used
- 32 in the loading, unloading, and actual movement of such
- 33 materials, or in the storage of such materials incidental to
- 34 actual movement by rail;
- 35 (vii) Stopping and inspecting trains, at reasonable times

- 1 and locations and in a reasonable manner, or taking any other
- 2 action necessary to administer or enforce the provisions of
- 3 this Section.

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- 4 (b) Scope of Section. The provisions of this Section apply 5 generally to the transportation of hazardous materials by rail
- 6 carrier within the State of Illinois, but do not apply to:
 - (i) Natural gas pipelines;
- 8 (ii) Transportation of firearms or ammunition for personal 9 use or in commerce; or
- 10 (iii) Transportation exempted by the <u>Department</u> Commission
 11 where the exemption granted by the <u>Department</u> Commission is:
 - (A) Coextensive with an exemption granted by the Office of Hazardous Materials and the Federal Railroad Administration;
- 15 (B) Otherwise exempt under statutes or regulations 16 governing similar transportation in interstate commerce.
 - (c) Rail Carriers to Comply with <u>Department</u> Commission Regulations. No person shall transport hazardous materials by rail carrier except in compliance with this Section, <u>Department</u> Commission regulations and orders.
 - (2) Enforcement.
- 22 (a) Criminal Penalties. Any person who willfully violates
 23 the provisions of this Section, <u>Department Commission</u>
 24 regulations or orders shall have committed a class 3 felony and
 25 be subject to criminal penalties in an amount not to exceed
 26 \$25,000.
 - (b) Civil Penalties. Any person who knowingly violates the provisions of this Section, <u>Department Commission</u> regulations or orders shall also be subject to civil penalties in an amount not to exceed \$10,000.
- 31 (c) Injunctive Relief. The <u>Department Commission</u> may 22 petition any circuit court with venue and jurisdiction to 23 enforce this Chapter to enjoin actions which it has reason to 24 believe may pose an imminent hazard, and to issue such other 25 orders as will eliminate or ameliorate the imminent hazard. As 26 used in this Section, "imminent hazard" means a substantial

- 1 likelihood that death, serious illness, or severe personal
- 2 injury will occur prior to the time during which an
- 3 administrative proceeding to abate the danger could normally be
- 4 completed.
- 5 (3) <u>Department</u> Commission to Adopt Regulations. The
- 6 <u>Department</u> Commission may adopt regulations governing the
- 7 transportation of hazardous materials by rail carrier where:
- 8 (a) The risk created by such transportation is susceptible
- 9 to control by regulation;
- 10 (b) State regulation would be more effective in controlling
- 11 the risk than federal regulation; and
- 12 (c) The regulations adopted by the <u>Department</u> Commission
- are not inconsistent with federal regulations.
- 14 (Source: P.A. 85-815.)
- 15 Section 99. Effective date. This Act takes effect on July
- 16 1, 2004.