LRB093 08066 DRH 14286 a

- 1 AMENDMENT TO HOUSE BILL 1110
- 2 AMENDMENT NO. ____. Amend House Bill 1110, AS AMENDED,
- 3 by replacing everything after the enacting clause with the
- 4 following:
- 5 "Section 5. The Toll Highway Act is amended by adding
- 6 Sections 8.1, 9.65, and 16.2 as follows:
- 7 (605 ILCS 10/8.1 new)
- 8 <u>Sec. 8.1. Inspector General.</u>
- 9 (a) The Governor must, with the advice and consent of
- 10 the Senate, appoint an Inspector General for the purpose of
- 11 <u>detection</u>, <u>deterrence</u>, <u>and prevention of fraud</u>, <u>corruption</u>,
- 12 <u>and mismanagement in the Authority. The Inspector General</u>
- 13 <u>shall serve a 2-year term. If no successor is appointed and</u>
- 14 qualified upon the expiration of the Inspector General's
- 15 term, the Office of Inspector General is deemed vacant and
- 16 the powers and duties under this Section may be exercised
- only by an appointed and qualified interim Inspector General
- 18 <u>until a successor Inspector General is appointed and</u>
- 19 qualified. If the General Assembly is not in session when a
- 20 <u>vacancy in the Office of Inspector General occurs, the</u>
- 21 Governor may appoint an interim Inspector General whose term
- 22 <u>shall expire 2 weeks after the next regularly scheduled</u>

1	session	day	of	the	Senate.

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- (b) The Inspector General shall have the following 2 3 qualifications:
- 4 (1) has not been convicted of any felony under the 5 laws of this State, another State, or the United States;
- (2) has earned a baccalaureate degree from an 6 7 institution of higher education; and
- 8 (3) has either (A) 5 or more years of service with 9 a federal, State, or local law enforcement agency, at 10 least 2 years of which have been in a progressive 11 investigatory capacity; (B) 5 or more years of service as a federal, State, or local prosecutor; or (C) 5 or more 12 13 years of service as a senior manager or executive of a federal, State, or local law enforcement agency. 14
- 15 (c) The Inspector General may review, coordinate, and 16 recommend methods and procedures to increase the integrity of 17 the Authority. The Inspector General must report directly to the Governor. 18
- (d) The Governor may designate the Inspector General and inspectors who are members of the Inspector General's office 20 as peace officers. These inspectors shall have all the powers 22 possessed by police officers in municipalities and by sheriffs of counties, and the inspectors may exercise those powers anywhere in the State but only in the investigation of 25 allegations of misconduct or criminal behavior by the Board of Directors of the Authority or employees of the Authority. 26
 - No inspector may have peace officer status or exercise police powers unless he or she successfully completes the basic police training mandated and approved by the Illinois Law Enforcement Training Standards Board or the Board waives the training requirement by reason of the inspector's prior law enforcement experience or training, or both.
- The Board may not waive the training requirement unless 33 the inspector has had a minimum of 5 years of experience as a 34

- 1 sworn officer of a local, State, or federal law enforcement
- 2 agency, 2 of which must have been in an investigatory
- 3 <u>capacity.</u>

- 4 (e) In addition to the authority otherwise provided by
- 5 this Section, but only when investigating the Authority, its
- 6 <u>employees</u>, or their actions for fraud, corruption, or
- 7 <u>mismanagement</u>, the <u>Inspector General is authorized</u>:
- 8 (1) To have access to all records, reports, audits,
 9 reviews, documents, papers, recommendations, or other
 10 materials available that relate to programs and
 11 operations with respect to which the Inspector General
- has responsibilities under this Section.
 - (2) To make any investigations and reports relating to the administration of the programs and operations of the Authority that are, in the judgement of the Inspector General, necessary or desirable.
 - (3) To request any information or assistance that may be necessary for carrying out the duties and responsibilities provided by this Section from any local, State, or federal governmental agency or unit thereof.
 - (4) To seek a subpoena or subpoena duces tecum to be issued by a court of competent jurisdiction in Cook County, Sangamon County, or any county where the subpoena or subpoena duces tecum is sought to be enforced. Except for a person who has petitioned a court of competent jurisdiction in Cook County, Sangamon County, or any county where the subpoena or subpoena duces tecum is sought to be enforced for a protective order or to quash or modify the subpoena or subpoena duces tecum, a person duly subpoenaed for testimony or documents who neglects or refuses to testify or produce any documents or records, excluding documents and other communications covered by privilege and excluding records regarding the representation of employees and the negotiation of

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collective bargaining agreements by a labor organization authorized and recognized under the Illinois Public Labor Relations Act to be the exclusive bargaining representative of employees of the Authority, under the requirements of the subpoena or subpoena duces tecum, shall be proceeded against and punished for contempt of court. Nothing within this paragraph (4) limits a person's right to protection against self-incrimination under the Fifth Amendment of the United States Constitution or Article I, Section 10, of the Constitution of the State of Illinois. A court, on motion of the Inspector General, may order that a person be granted immunity from prosecution in a criminal case as to any information directly or indirectly derived from the production of evidence from the person if the person has refused or is likely to refuse to produce the evidence on the basis of his or her privilege against self-incrimination. The production of evidence so compelled under the order, and any information directly or indirectly derived from it, may not be used against the witness in a criminal case, except in a prosecution for perjury, false swearing, or an offense otherwise involving a failure to comply with the order. An order of immunity granted under this Section does not bar prosecution of the witness, except as specifically provided in this Section. (5) To have direct and prompt access to the Board of Directors of the Authority for any purpose pertaining

to the performance of functions and responsibilities under this Section.

(f) The Inspector General may receive and investigate complaints or information from an employee of the Authority concerning the possible existence of an activity constituting a violation of law, rules, or regulations; mismanagement;

- 1 <u>abuse of authority; or substantial and specific danger to the</u>
- 2 public health and safety. Any employee who knowingly files a
- 3 <u>false complaint or files a complaint with reckless disregard</u>
- 4 for the truth or the falsity of the facts underlying the
- 5 <u>complaint may be subject to discipline.</u>
- 6 The Inspector General may not, after receipt of a
- 7 complaint or information from an employee, disclose the
- 8 identity of the employee without the consent of the employee.
- 9 Any employee who has the authority to recommend or
- 10 <u>approve any personnel action or to direct others to recommend</u>
- or approve any personnel action may not, with respect to that
- 12 <u>authority</u>, take or threaten to take any action against any
- 13 employee as a reprisal for making a complaint or disclosing
- 14 <u>information to the Inspector General</u>, <u>unless the complaint</u>
- 15 <u>was made or the information disclosed with the knowledge that</u>
- 16 <u>it was false or with willful disregard for its truth or</u>
- 17 <u>falsity.</u>
- 18 (g) The Inspector General must adopt rules, in
- 19 <u>accordance with the provisions of the Illinois Administrative</u>
- 20 <u>Procedure Act, establishing minimum requirements for</u>
- 21 <u>initiating</u>, conducting, and completing investigations. The
- 22 <u>rules must establish criteria for determining, based upon the</u>
- 23 <u>nature of the allegation, the appropriate method of</u>
- 24 <u>investigation</u>, which may include, but is not limited to, site
- visits, telephone contacts, personal interviews, or requests
- 26 for written responses. The rules must also clarify how the
- 27 Office of the Inspector General shall interact with other
- 28 <u>local, State, and federal law enforcement investigations.</u>
- 29 Any employee of the Authority subject to investigation or
- 30 inquiry by the Inspector General or any agent or
- 31 <u>representative of the Inspector General shall have the right</u>
- 32 <u>to be notified of the right to remain silent during the</u>
- 33 <u>investigation or inquiry and the right to be represented in</u>
- 34 the investigation or inquiry by a representative of a labor

- 1 organization that is the exclusive collective bargaining
- 2 representative of employees of the Authority. Any such
- 3 <u>investigation or inquiry must be conducted in compliance with</u>
- 4 the provisions of a collective bargaining agreement that
- 5 applies to the employees of the Authority. Any recommendation
- 6 for discipline or any action taken against any employee by
- 7 the Inspector General or any representative or agent of the
- 8 <u>Inspector General must comply with the provisions of the</u>
- 9 <u>collective bargaining agreement that applies to the employee.</u>
- 10 (h) The Inspector General shall provide to the Authority
- 11 and the General Assembly a summary of reports and
- 12 <u>investigations made under this Section for the previous</u>
- 13 <u>fiscal year no later than January 1 of each year. The</u>
- 14 <u>summaries shall detail the final disposition of the Inspector</u>
- 15 <u>General's recommendations. The summaries shall not contain</u>
- 16 any confidential or identifying information concerning the
- 17 <u>subjects of the reports and investigations.</u> The summaries
- 18 <u>shall also include detailed, recommended administrative</u>
- 19 <u>actions and matters for consideration by the General</u>
- 20 <u>Assembly</u>.
- 21 <u>(i) The Office of the Inspector General shall be</u>
- 22 <u>represented in all legal matters by the Attorney General.</u>
- 23 (605 ILCS 10/9.65 new)
- 24 <u>Sec. 9.65. Construction of sound barriers. The</u>
- 25 <u>Authority shall use concrete masonry units to construct all</u>
- 26 <u>sound barriers along any portion of the toll highway system</u>
- 27 that is constructed on or after the effective date of this
- amendatory Act of the 93rd General Assembly.
- 29 (605 ILCS 10/16.2 new)
- 30 <u>Sec. 16.2. Financial benefit prohibited.</u>
- 31 (a) A director, employee, or agent of the Authority may
- 32 <u>not receive a financial benefit from a contract let by the</u>

- 1 Authority during his or her term of service with the
- 2 Authority and for a period of one year following the
- 3 termination of his or her term of service as a director of
- 4 the Authority or as an employee or agent of the Authority.
- 5 (b) A member of the immediate family or household of a
- 6 <u>director</u>, <u>employee</u>, <u>or agent of the Authority may not receive</u>
- 7 <u>a financial benefit from a contract let by the Authority</u>
- 8 <u>during the immediate family or household member's term of</u>
- 9 service with the Authority and for a period of one year
- 10 <u>following</u> the termination of the immediate family or
- 11 <u>household member's term of service as a director of the</u>
- 12 <u>Authority or as an employee or agent of the Authority.</u>
- (c) A director, employee, or agent of the Authority may
- 14 <u>not use material non-public information for personal</u>
- financial gain nor may he or she disclose that information to
- 16 any other person for that person's personal financial gain
- 17 when that information was obtained as a result of his or her
- directorship, employment, or agency with the Authority.
- 19 <u>(d) A member of the immediate family or household of a</u>
- 20 <u>director</u>, employee, or agent of the Authority may not use
- 21 <u>material non-public information for personal financial gain</u>
- 22 <u>nor may he or she disclose that information to any other</u>
- 23 <u>person for that person's personal financial gain when that</u>
- 24 <u>information was obtained as a result of his or her immediate</u>

family or household member's directorship, employment, or

26 agency with the Authority.

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- (e) For purposes of this Section, "immediate family or
- 28 <u>household member" means the spouse, child, parent, brother,</u>
- 29 <u>sister, grandparent, or grandchild, whether of the whole</u>
- 30 blood or half blood or by adoption, or a person who shares a
- 31 <u>common dwelling with a director of the Authority or with an</u>
- 32 <u>employee or agent of the Authority.</u>
- 33 Section 99. Effective date. This Act takes effect upon

1 becoming law.".