

Sen. David Koehler

## Filed: 4/8/2024

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1	AMENDMENT TO SENATE BILL 3686
2	AMENDMENT NO Amend Senate Bill 3686, AS AMENDED,
3	by replacing everything after the enacting clause with the
4	following:
5	"Section 1. Short title. This Act may be cited as the
6	Portable and Medium-Format Battery Stewardship Act.
7	Section 5. Findings. The General Assembly finds that:
8	(1) It is in the public interest of the citizens of
9	Illinois to encourage the recovery and reuse of materials,
10	such as metals, that replace the output of mining and
11	other extractive industries.
12	(2) Without a dedicated battery stewardship program,
13	battery user confusion regarding proper management options
14	for portable and medium-format batteries will persist.
15	(3) Ensuring the proper handling, recycling, and
16	end-of-life management of used portable and medium-format

batteries prevents the release of toxic materials into the environment and removes materials from the waste stream that, if mishandled, may present safety concerns to workers, such as by igniting fires at solid waste handling facilities. For this reason, batteries should not be placed into commingled recycling containers or disposed of by traditional garbage collection containers.

8 (4) Jurisdictions around the world have successfully 9 implemented battery stewardship laws that have helped 10 address the challenges posed by the end-of-life management 11 of portable and medium-format batteries. Since it is difficult for customers to differentiate between types and 12 chemistries of batteries, it is the best practice for 13 14 battery stewardship programs to collect all battery types 15 and chemistries.

Section 10. Definitions. As used in this Act, unless the context clearly requires otherwise:

18 "Agency" means the Illinois Environmental Protection19 Agency.

"Battery-containing product" means a product sold, offered 20 21 for sale, or distributed in or into this State that contains or 22 is packaged with rechargeable or primary batteries that are 23 covered batteries. "Battery-containing product" does not covered electronic device 24 include а subject to the 25 requirements of the Consumer Electronics Recycling Act.

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"Battery stewardship organization" means a producer that directly implements a battery stewardship plan required under this Act or a nonprofit organization designated by a producer or group of producers to implement a battery stewardship plan required under this Act.

6 "Collection rate" means a percentage, by weight, that a battery stewardship organization collects that is calculated 7 8 by dividing the total weight of primary and rechargeable 9 batteries collected by the battery stewardship organization 10 during the previous calendar year by the average annual weight 11 of primary and rechargeable batteries that were estimated by the battery stewardship organization to have been sold in the 12 13 State during the previous 3 calendar years by all producers 14 participating in an approved battery stewardship plan.

15 "Covered battery" means a portable battery or a 16 medium-format battery.

"Covered battery" does not include:

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(1) a battery contained within a medical device, as specified in 21 U.S.C. 321(h) as it existed as of the effective date of this Act, that is not designed and marketed for sale or resale principally to consumers for personal use;

23 (2) a battery that contains an electrolyte as a free24 liquid;

25 (3) a lead-acid battery weighing greater than 11 26 pounds; 1 (4) a battery subject to the provisions of Section 22.23 of the Environmental Protection Act; and 2 (5) a battery in a battery-containing product that is 3 not intended or designed to be easily removable from the 4 5 battery-containing product. "Easily removable" means designed by the manufacturer to 6 be removable by the user of the product with no more than 7 8 commonly used household tools.

9 "Medium-format battery" means the following primary or 10 rechargeable covered batteries:

(1) for rechargeable batteries, a battery weighing more than 11 pounds or having a rating of more than 300 watt-hours, or both, and no more than 25 pounds and having a rating of no more than 2,000 watt-hours;

15 (2) for primary batteries, a battery weighing at least
16 4.4 pounds but not more than 25 pounds.

17 "Portable battery" means the following primary or 18 rechargeable covered batteries:

19 (1) for rechargeable batteries, a battery weighing no 20 more than 11 pounds and having a rating of no more than 300 21 watt-hours;

(2) for primary batteries, a battery weighing no morethan 4.4 pounds.

24 "Primary battery" means a battery that is not capable of 25 being recharged.

26 "Producer" means the following:

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(1) For covered batteries sold, offered for sale, or distributed in or into this State:

3 (A) If the battery is sold, offered for sale, or
4 distributed in or into this State under the brand of
5 the battery manufacturer, the producer is the person
6 that manufactures the battery.

(B) If the battery is sold, offered for sale, or
distributed in or into this State under a retail brand
or under a brand owned by a person other than the
manufacturer, the producer is the brand owner.

11 (C) If there is no person to whom subparagraph (A) 12 or (B) of this paragraph (1) applies, the producer is 13 the person that is the licensee of a brand or trademark 14 under which the battery is sold, offered for sale, or 15 distributed in or into this State, whether or not the 16 trademark is registered in this State.

17 (D) If there is no person in the United States to 18 whom subparagraph (A), (B), or (C) of this paragraph 19 (1) applies, the producer is the person who is the 20 importer of record for the battery into the United 21 States.

(E) If there is no person with a commercial
presence within the State to whom subparagraph (A),
(B), (C), or (D) of this paragraph (1) applies, the
producer is the person who first sells, offers for
sale, or distributes the battery in or into this

2 (2) For covered battery-containing products containing
3 one or more covered batteries sold, offered for sale, or
4 distributed in or into this State:

5 (A) If the battery-containing product is sold, 6 offered for sale, or distributed in or into this State 7 under the brand of the product manufacturer, the 8 producer is the person that manufactures the product.

9 (B) If the battery-containing product is sold, 10 offered for sale, or distributed in or into this State 11 under a retail brand or under a brand owned by a person 12 other than the manufacturer, the producer is the brand 13 owner.

(C) If there is no person to whom subparagraph (A)
or (B) of this paragraph (2) applies, the producer is
the person that is the licensee of a brand or trademark
under which the product is sold, offered for sale, or
distributed in or into this State, whether or not the
trademark is registered in this State.

20 (D) If there is no person described in 21 subparagraph (A), (B), or (C) of this paragraph (2) 22 within the United States, the producer is the person 23 who is the importer of record for the product into the 24 United States.

25 (E) If there is no person described in 26 subparagraph (A), (B), (C), or (D) of this paragraph 1 (2) with a commercial presence within the State, the 2 producer is the person who first sells, offers for 3 sale, or distributes the product in or into this 4 State.

5 (F) A producer does not include any person who only manufactures, sells, offers for 6 sale, 7 distributes, or imports into the State а 8 battery-containing product if the only batteries 9 contained in or supplied with the battery-containing 10 product are supplied by a producer that has joined a 11 registered battery stewardship organization as the 12 producer for that covered battery under this Act. Such 13 a producer of covered batteries that are included in a 14 battery-containing product must provide written 15 certification of that membership to both the producer 16 of the battery-containing product containing one or more covered batteries and the battery stewardship 17 organization of which the battery producer is a 18 19 member.

(3) A person is the producer of a covered battery or
battery-containing product containing one or more covered
batteries sold, offered for sale, or distributed in or
into this State, as defined in this Section, except if
another party has contractually accepted responsibility as
a responsible producer and has joined a registered battery
stewardship organization as the producer for that covered

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battery or battery-containing product containing one or
 more covered batteries under this Act.

3 "Program" means a program implemented by a battery 4 stewardship organization consistent with an approved battery 5 stewardship plan.

"Rechargeable battery" means a battery that contains one
or more voltaic or galvanic cells, electrically connected to
produce electric energy, designed to be recharged.

9 "Recycling" means recycling, reclamation, or reuse as
10 defined in Section 3.380 of the Environmental Protection Act.
11 For purposes of this Act, "recycling" does not include:

- 12 (1) combustion;
- 13 (2) incineration;
- 14 (3) energy generation;
- 15
- (4) fuel production; or

16 (5) beneficial reuse in the construction and operation
17 of a solid waste landfill, including use of alternative
18 daily cover.

19 "Recycling efficiency rate" means the ratio of the weight 20 of components and materials recycled by a program operator 21 from covered batteries to the weight of covered batteries as 22 collected by the program operator.

23 "Retailer" means a person who sells covered batteries or 24 battery-containing products containing one or more covered 25 batteries in or into this State or offers or otherwise makes 26 available covered batteries or battery-containing products 10300SB3686sam002 -9- LRB103 37456 BDA 72005 a

containing one or more covered batteries to a customer,
 including other businesses, in this State.

3 Section 15. Requirement that producers implement a 4 stewardship plan.

5 (a) Beginning January 1, 2026, a producer selling, making 6 available for sale, or distributing covered batteries or 7 battery-containing products containing one or more covered 8 batteries in or into the State of Illinois shall participate 9 in an approved Illinois State battery stewardship plan through 10 participation in and funding of a battery stewardship 11 organization.

12 (b) Beginning January 1, 2026, no person shall sell 13 covered batteries or battery-containing products covered by 14 this Act in or into the State who does not participate in a 15 battery stewardship organization and battery stewardship plan.

16 Section 20. Role of retailers.

(a) Beginning July 1, 2026, a retailer may not sell, offer
for sale, distribute, or otherwise make available for sale a
covered battery or battery-containing product containing one
or more covered batteries unless the producer of the covered
battery or battery-containing product is identified as a
participant in a battery stewardship organization whose plan
has been approved by the Agency.

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(b) A retailer is not in violation of the requirements of

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subsection (a) of this Section if the website made available 1 by the Agency under Section 55 lists, as of the date a product 2 is made available for retail sale, the producer or brand of 3 4 covered battery or battery-containing product containing one 5 more covered batteries sold by the retailer as or a participant in an approved plan or the implementer of an 6 7 approved plan.

8 (c) Retailers of covered batteries or battery-containing 9 products containing one or more covered batteries are not 10 required to make retail locations available to serve as 11 collection sites for a stewardship program operated by a 12 battery stewardship organization. Retailers that serve as a 13 collection site must comply with the requirements for 14 collection sites, consistent with Section 40.

(d) A retailer may not sell, offer for sale, distribute, or otherwise make available for sale covered batteries, unless those batteries are marked consistently with the requirements of Section 65. A producer of a product containing a covered battery must certify to the retailers of its product that the battery contained in the battery-containing product is marked consistently with the requirements of Section 65.

(e) A retailer selling or offering covered batteries or battery-containing products containing one or more covered batteries for sale in the State may provide information, provided to the retailer by the battery stewardship organization, regarding available end-of-life management 10300SB3686sam002 -11- LRB103 37456 BDA 72005 a

1 options for covered batteries collected by the battery stewardship organization. The information that a battery 2 3 stewardship organization must make available to retailers for 4 voluntary use by retailers must include, but is not limited 5 to, in-store signage, written materials, and other promotional materials that retailers may use to inform customers of the 6 7 available end-of-life management options for covered batteries 8 collected by the battery stewardship organization.

9 (f) Retailers, producers, or battery stewardship 10 organizations shall not charge a specific point-of-sale fee to 11 consumers to cover the administrative or operational costs of 12 the battery stewardship organization or the battery 13 stewardship program.

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Section 25. Stewardship plan components.

15 (a) By July 1, 2025, each battery stewardship organization 16 must submit to the Agency for approval a plan for covered 17 batteries. The Agency shall review and approve a plan based on 18 whether it:

19 (1) lists and provides contact information for each producer, battery brand, and battery-containing product 20 21 brand covered in the plan, including identifying producers 22 have contractually accepted responsibility as who a 23 in accordance with paragraph (3) of producer the 24 definition of producer in this Act;

25 (2) proposes performance goals, consistent with

Section 30, including establishing performance goals for
 each of the next 3 upcoming calendar years of program
 implementation;

4 (3) describes how the battery stewardship organization
5 will make retailers aware of their obligation to sell only
6 covered batteries and battery-containing products
7 containing one or more covered batteries of producers
8 participating in an approved plan;

9 (4) describes the education and communications 10 strategy being implemented to promote participation in the 11 approved covered battery stewardship program and provide 12 the information necessary for effective participation of 13 consumers, retailers, and others;

(5) describes how the battery stewardship organization will make available to collection sites, for voluntary use, signage, written materials, and other promotional materials that collection sites may use to inform consumers of the available end-of-life management options for covered batteries collected by the battery stewardship organization;

(6) lists promotional activities to be undertaken, and the identification of consumer awareness goals and strategies that the program will employ to achieve these goals after the program begins to be implemented;

(7) includes collection site safety training
 procedures related to covered battery collection

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activities at collection sites, including a description of operating protocols to reduce risks of spills or fires, response protocols in the event of a spill or fire, and protocols for safe management of damaged batteries that are returned to collection sites;

(8) describes the method to establish and administer a 6 means for fully funding the program in a manner that 7 8 equitably distributes the program's costs among the 9 producers that are part of the battery stewardship 10 organization. For producers that choose to meet the 11 requirements of this Act individually, without joining a battery stewardship organization, the plan must describe 12 13 the proposed method to establish and administer a means 14 for fully funding the program;

(9) describes the financing methods used to implement
the plan, consistent with Section 35;

(10) describes how the program will collect all covered battery chemistries and brands on a free, continuous, convenient, visible, and accessible basis, and consistent with the requirements of Section 40, including a description of how the statewide convenience standard will be met and a list of collection sites, including the address of collection sites;

(11) provides explanation for any delay anticipated by
 the battery stewardship organization for the
 implementation of the management of medium-format

batteries such that implementation will begin later than January 1, 2026, including a delay in the ability to collect, package, transport, or process medium-format batteries in accordance with the requirements of this Act, and establishes an expected date of compliance for management of medium-format batteries that is not later than January 1, 2028 if a delay occurs;

8 (12) describes the criteria to be used in the program 9 to determine whether an entity may serve as a collection 10 site for covered batteries under the program;

(13) establishes collection rate goals for each of the first 3 years of implementation of the battery stewardship plan that are based on the estimated total weight of primary and rechargeable covered batteries that have been sold in the State in the previous 3 calendar years by the producers participating in the battery stewardship plan;

(14) identifies proposed service providers, such as sorters, transporters, and processors, to be used by the program for the final disposition of batteries and proposed provisions for recordkeeping, tracking, and documenting the fate of collected covered batteries;

(15) details how the program will achieve a recycling
efficiency rate, calculated in accordance with Section 50,
of at least 60% for rechargeable batteries and at least
70% for primary batteries; and

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(16) proposes goals for increasing public awareness of

1 the program and describes how the public education and 2 outreach components of the program under Section 45 will 3 be implemented.

4 (b) A battery stewardship organization must submit a new 5 plan to the Agency for approval no less than every 5 years. If the performance goals under Section 30 of this Act and as 6 approved in the plan have not been met, the new plan shall 7 8 include corrective measures to be implemented by the battery 9 stewardship organization to meet the performance goals, which 10 may include improvements to the collection site network or 11 increased expenditures dedicated to education and outreach.

12 (c) A battery stewardship organization must provide plan 13 amendments to the Agency for approval when proposing changes 14 to the performance goals under Section 30 based on the 15 up-to-date experience of the program or when there is a change 16 to the method of financing plan implementation under Section 17 35. This does not include changes to the fees or fee structure 18 established in the plan.

19 (d) The Agency shall review stewardship plans and 20 stewardship plan amendments for compliance with this Act and 21 shall approve, disapprove, or conditionally approve the plans 22 or plan amendments in writing within 120 days of their 23 receipt. If the Agency disapproves a stewardship plan or plan 24 amendment submitted by a battery stewardship organization, the 25 Agency shall explain how the stewardship plan or plan 26 amendment does not comply with this Act. The battery

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1 stewardship organization shall resubmit to the Agency a revised stewardship plan or plan amendment or notice of plan 2 withdrawal within 60 days of the date the written notice of 3 4 disapproval is issued, and the Agency shall review the revised 5 stewardship plan or plan amendment within 90 days of resubmittal. If a revised stewardship plan is disapproved by 6 7 the Agency, a producer operating under the stewardship plan 8 shall not be in compliance with this Act until the Agency 9 approves a stewardship plan submitted by a battery stewardship 10 organization that covers the producer's products.

(e) When a stewardship plan or an amendment to an approved plan is submitted under this Section, the Agency shall make the proposed plan or amendment available for public review and comment for at least 30 days.

(f) A battery stewardship organization must provide written notification to the Agency within 30 days of a producer beginning or ceasing to participate in a battery stewardship organization or of adding or removing a processor or transporter.

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Section 30. Performance goals.

(a) Each battery stewardship plan must include performance
 goals that measure, on an annual basis, the achievements of
 the program, including:

24 (1) the collection rate for batteries in Illinois;25 (2) the recycling efficiency rate of the program; and

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1 (3) public awareness of the program. (b) The performance goals established in each battery 2 3 stewardship plan must include, but are not limited to: 4 (1) target collection rates for primary batteries and 5 for rechargeable batteries; (2) target recycling efficiency rates of at least 60% 6 for rechargeable batteries and at least 70% for primary 7 8 batteries; and 9 (3) goals for public awareness, convenience, and 10 accessibility that meet or exceed the minimum requirements established in Section 40. 11 12 Section 35. Funding. (a) A battery stewardship organization implementing a 13 14 battery stewardship plan on behalf of producers must develop and administer a system to collect charges from participating 15 plan implementation, 16 producers to cover the costs of 17 including: (1) battery collection, transporting, and processing; 18

19 (2) education and outreach;

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(3) program evaluation; and

21 (4) payment of the administrative fees to the Agency22 under Section 55.

(b) Each battery stewardship organization is responsible
 for all costs of participating covered battery collection,
 transportation, processing, education, administration, agency

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1 reimbursement, recycling, and end-of-life management in 2 accordance with the requirements of this Act.

3 (c) Each battery stewardship organization must meet the 4 collection goals established in the approved stewardship plan 5 as specified in Section 25.

(d) A battery stewardship organization shall not reduce or
cease collection, education and outreach, or other activities
implemented under an approved plan based on achievement of
program performance goals.

10 (e) A battery stewardship organization must reimburse 11 local governments for demonstrable costs incurred as a result 12 of a local government facility or solid waste handling 13 facility serving as a collection site for a program including, 14 but not limited to, associated labor costs and other costs 15 associated with accessibility and collection site standards 16 such as storage.

(f) A battery stewardship organization shall at a minimum provide collection sites with appropriate containers for covered batteries subject to its program, training, signage, safety guidance, and educational materials, at no cost to the collection sites.

22 Section 40. Collection and management requirements.

(a) Battery stewardship organizations implementing a
 battery stewardship plan must provide for the collection of
 all covered batteries, including all chemistries and brands of

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1 covered batteries, on a free, continuous, convenient, visible, and accessible basis to any person, business, governmental 2 3 agency, or nonprofit organization. Except as provided in 4 paragraphs (2) and (3) of subsection (b) of this Section, each 5 battery stewardship plan must arrange for the collection of 6 each chemistry and brand of covered battery from any person, business, governmental agency, or nonprofit organization at 7 each collection site that counts toward satisfaction of the 8 9 collection site criteria in subsection (c) of this Section.

10 (b) (1) For each collection site used by the program, each 11 battery stewardship organization must provide suitable containers for covered batteries that 12 collection are 13 segregated from other solid waste or make mutually agreeable alternative arrangements for the collection of batteries at 14 15 the site. The location of collection containers at each 16 collection site used by the program must be within view of a responsible person and must be accompanied by signage that is 17 made available to the collection site by the battery 18 19 stewardship organization and informs customers regarding the 20 end-of-life management options for batteries provided by the collection site under this Act. Each collection site must meet 21 22 applicable federal, State, and local regulatory requirements.

(2) Medium-format batteries may be collected only at household hazardous waste collection sites or other staffed collection sites that meet applicable federal, State, and local regulatory requirements to manage medium-format 1 batteries.

2 (3) (A) Damaged and defective batteries are intended to be
3 collected at collection sites staffed by persons trained to
4 handle and ship those batteries.

5 (B) Each battery stewardship organization must provide for 6 the collection, with qualified staff as specified in 7 subparagraph (A), of damaged and defective batteries at each 8 permanent household hazardous waste facility and at each 9 household hazardous waste collection event scheduled by the 10 Agency.

11 (C) As used in this subsection, "damaged and defective batteries" means batteries that have been damaged 12 or 13 identified by the manufacturer as being defective for safety 14 reasons and that have the potential of producing a dangerous 15 evolution of heat, fire, or short circuit, as referred to in 49 CFR 173.185(f) as of January 1, 2023, or as updated by the 16 Illinois Pollution Control Board by rule to 17 maintain 18 consistency with federal standards.

19 (c) (1) Each battery stewardship organization implementing 20 a battery stewardship plan shall ensure statewide collection 21 opportunities for all covered batteries. Battery stewardship 22 organizations shall coordinate activities with other program 23 operators, including covered battery collection and recycling 24 programs and electronic waste recyclers, with regard to the 25 proper management or recycling of collected covered batteries, 26 for purposes of providing the efficient delivery of services

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and avoiding unnecessary duplication of effort and expense. 1 Statewide collection opportunities must be determined by 2 3 geographic information modeling that considers permanent 4 collection sites. A program may rely, in part, on collection 5 events to supplement the permanent collection services required in paragraphs (2) and (3) of this subsection. 6 7 However, only permanent collection services specified in 8 paragraphs (2) and (3) of this subsection qualify toward the 9 satisfaction of the requirements of this subsection.

10 (2) For portable batteries, each battery stewardship 11 organization must provide statewide collection opportunities 12 that include:

13 (A) at least one permanent collection site for
14 portable batteries within a 15-mile radius for at least
15 95% of State residents; and

(B) at least one permanent collection site, collection
service, or collection event for portable batteries in
addition to those required in subparagraph (A) for every
30,000 residents of a county.

20 (3) For medium-format batteries, a battery stewardship 21 organization must provide statewide collection opportunities 22 that include:

23 (A) at least 10 permanent collection sites in
24 Illinois;

(B) reasonable geographic dispersion of collection
 sites throughout the State;

1 (C) a permanent collection site in each county of at 2 least 200,000 persons, as determined by the most recent 3 federal decennial census; and

4 (D) service to areas without a permanent collection 5 site. A battery stewardship organization must ensure that 6 there is a collection event at least once every 3 years in 7 each county of the State which does not have a permanent 8 collection site. Such collection events must provide for 9 the collection of all medium-format batteries, including 10 damaged and defective batteries.

(d) A battery stewardship organization shall ensure the minimum number of collection sites specified in subsection (c) of this Section are established by no later than December 31, 2026 for portable batteries and by no later than December 31, 2028 for medium-format batteries.

(e) (1) Battery stewardship programs must use existing public and private waste collection services and facilities, including battery collection sites that are established through other battery collection services, transporters, consolidators, processors, and retailers, if cost-effective, mutually agreeable, and otherwise practicable.

(2) Battery stewardship programs must use as a collection site for covered batteries any retailer, wholesaler, municipality, solid waste management facility, household hazardous waste facility, or other entity that meets the criteria for collection sites in the approved plan up to the 10300SB3686sam002 -23- LRB103 37456 BDA 72005 a

1 minimum number of sites required for compliance with 2 subsection (c) of this Section, upon the submission of a request by the entity to the battery stewardship organization 3 4 to serve as a collection site. Battery stewardship programs 5 may use additional collection sites in excess of the minimum 6 required in subsection (c) of this Section as may be agreed between the battery stewardship organization and 7 the 8 collection site.

9 (3) Battery stewardship programs must use as a site for a 10 collection event for covered batteries any retailer, 11 wholesaler, municipality, solid waste management facility, household hazardous waste facility, or other entity that meets 12 13 the criteria for collection events in the approved plan up to the minimum number of sites required for compliance with 14 15 subsection (c) of this Section, upon the submission of a 16 request by the entity to the battery stewardship organization to serve as a site for a collection event. Battery stewardship 17 programs may use additional sites for collection events in 18 excess of the minimum required in subsection (c) of this 19 20 Section as may be agreed between the battery stewardship organization and the collection site. 21

(4) A battery stewardship organization may issue a warning, suspend, or terminate a collection site or service that does not adhere to the collection site criteria in the approved plan or that poses an immediate health and safety concern. (f)(1) Stewardship programs are not required to provide
 for the collection of battery-containing products.

3 (2) Stewardship programs are not required to provide for 4 the collection of batteries that: (i) are not easily removable 5 from the product other than by the manufacturer; and (ii) 6 remain contained in a battery-containing product at the time 7 of delivery to a collection site.

8 (3) Stewardship programs are required to provide for the 9 collection of loose batteries.

10 (4) Stewardship programs are not required to provide for 11 the collection of batteries still contained in covered 12 electronic devices that are subject to the requirements of the 13 Consumer Electronics Recycling Act.

14 Section 45. Education and outreach requirements.

15 (a) Each battery stewardship organization must carry out 16 promotional activities in support of plan implementation 17 including, but not limited to:

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(1) the development and maintenance of a website;

19 (2) the development and distribution of periodic press20 releases and articles;

(3) the development and placement of advertisements
 for use on social media or other relevant media platforms;

(4) the development of promotional materials about the
program and the restriction on the disposal of covered
batteries in Section 70 to be used by persons, including,

but not limited to, retailers, government agencies, waste and recycling collectors, and nonprofit organizations;

3 (5) the development and distribution of collection 4 site safety training procedures that are in compliance 5 with State law to collection sites to help ensure proper 6 management of covered batteries at collection sites; and

7 (6) the development and implementation of outreach and 8 educational resources that are conceptually, 9 linguistically, and culturally accurate for the 10 communities served and reach the State's diverse ethnic populations, including through meaningful consultation 11 12 with communities that bear disproportionately higher 13 levels of adverse environmental and social justice 14 impacts.

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(b) Each battery stewardship organization must provide:

16 (1) consumer-focused educational promotional 17 materials to each collection site used by the program and 18 accessible by customers of retailers that sell covered 19 batteries or battery-containing products containing one or 20 more covered batteries; and

(2) safety information related to covered battery collection activities to the operator of each collection site, including appropriate protocols to reduce risks of spills or fires, response protocols in the event of a spill or fire, and response protocols in the event of detection of a damaged or defective battery. 1 (c) (1) Each battery stewardship organization must provide 2 educational materials to the operator of each collection site 3 for the management of recalled batteries, which are not 4 intended to be part of collection as provided under this Act, 5 to help facilitate transportation and processing of recalled 6 batteries.

7 (2) A battery stewardship organization may seek 8 reimbursement from the producer of the recalled battery for 9 expenses incurred in the collection, transportation, or 10 processing of those batteries.

(d) Upon request by a retailer or other potential collector, the battery stewardship organization must provide the retailer or other potential collector educational materials describing collection opportunities for batteries.

(e) If multiple battery stewardship organizations are implementing plans approved by the Agency, the battery stewardship organizations must coordinate in carrying out their education and outreach responsibilities under this Section and must include in their annual reports to the Agency under Section 50 a summary of their coordinated education and outreach efforts.

(f) During the first year of program implementation and every 5 years thereafter, each battery stewardship organization must carry out a survey of public awareness regarding the requirements of the program established under this Act, including the provisions of Section 70. Each battery 10300SB3686sam002

stewardship organization must share the results of the public awareness surveys with the Agency.

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Section 50. Reporting requirements.

4 (a) By June 1, 2027, and each June 1st thereafter, each
5 battery stewardship organization must submit an annual report
6 to the Agency covering the preceding calendar year of battery
7 stewardship plan implementation. The report must include the
8 following:

9 (1) The report must include an independent financial 10 assessment of a program implemented by the battery 11 stewardship organization, including a breakdown of the 12 program's expenses, such as collection expenses, recycling 13 expenses, education expenses, and overhead expenses.

14 (2) The report must include a summary financial statement documenting the financing 15 of a batterv stewardship organization's program and an analysis of 16 program costs and expenditures, including an analysis of 17 18 the program's expenses, such as collection, 19 transportation, recycling, education, and administrative 20 overhead. The summary financial statement must be 21 sufficiently detailed to provide transparency that funds 22 collected from producers as a result of their activities 23 in Illinois are spent on program implementation in 24 Illinois. Battery stewardship organizations implementing 25 similar battery stewardship programs in multiple states 10300SB3686sam002

1 may submit a financial statement including all covered 2 states, as long as the statement breaks out financial 3 information pertinent to Illinois.

4 (3) The report must include the weight, by chemistry,
 5 of covered batteries collected under the program.

6 (4) The report must include the weight of materials 7 recycled from covered batteries collected under the 8 program, in total, and by method of battery recycling.

9 (5) The report must include a calculation of the 10 recycling efficiency rates, as measured consistent with 11 subsection (b) of this Section.

(6) The report must include a list of all facilities 12 13 used in the processing or disposition of batteries, including identification of the facilities' location and 14 15 whether the facility is located domestically, in an 16 organization for economic cooperation and development country, or in a country that meets organization for 17 economic cooperation and development operating standards, 18 and for domestic facilities provide a summary of any 19 20 violations of environmental laws and regulations over the 21 previous 3 years at each facility.

(7) The report must include, for each facility used
for the final disposition of batteries, a description of
how the facility recycled or otherwise managed batteries
and battery components.

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(8) The report must include the weight and chemistry

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1 of batteries sent to each facility used for the final batteries. The information 2 disposition of in this 3 subdivision (a)(8) may be approximated for program operations in Illinois based on extrapolations of national 4 5 or regional data for programs in operation in multiple 6 states.

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7 (9) The report must include the collection rate 8 achieved under the program, including a description of how 9 this collection rate was calculated and how it compares to 10 the collection rate goals under Section 30.

(10) The report must include the estimated aggregate sales, by weight and chemistry, of batteries and batteries contained in or with battery-containing products sold in Illinois by participating producers for each of the previous 3 calendar years.

16 (11) The report must include a description of the 17 manner in which the collected batteries were managed and 18 recycled, including a discussion of best available 19 technologies and the recycling efficiency rate.

20 (12) The report must include a description of 21 education and outreach efforts supporting plan 22 implementation including, but not limited to, a summary of 23 education and outreach provided to consumers, collection 24 sites, manufacturers, distributors, and retailers by the 25 program operator for the purpose of promoting the 26 collection and recycling of covered batteries, a 10300SB3686sam002 -30- LRB103 37456 BDA 72005 a

description of how that education and outreach met the requirements of Section 45, samples of education and outreach materials, a summary of coordinated education and outreach efforts with any other battery stewardship organizations implementing a plan approved by the Agency, and a summary of any changes made during the previous calendar year to education and outreach activities.

8 (13) The report must include a list of all collection 9 sites and an address for each listed site, and an 10 up-to-date map indicating the location of all collection 11 sites used to implement the program, with links to 12 appropriate websites where there are existing websites 13 associated with a site.

14 (14) The report must include a description of methods
15 used to collect, transport, and recycle covered batteries
16 by the battery stewardship organization.

17 (15) The report must include a summary of progress 18 made toward the program performance goals established 19 under Section 30, and an explanation of why performance 20 goals were not met, if applicable.

(16) The report must include an evaluation of the
 effectiveness of education and outreach activities.

(b) The weight of batteries or recovered resources from those batteries must only be counted once and may not be counted by more than one battery stewardship organization.

26 (c) If a battery stewardship organization has disposed of

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1 covered batteries through energy recovery, incineration, or 2 landfilling during the preceding calendar year of program 3 implementation, the annual report must specify the steps that 4 the battery stewardship organization will take to make the 5 recycling of covered batteries cost-effective, where possible, 6 or to otherwise increase battery recycling rates achieved by 7 the battery stewardship organization.

8 (d) Proprietary information submitted to the Agency under 9 this Act is exempted from disclosure as provided under 10 paragraphs (g) and (mm) of subsection (1) of Section 7 of the 11 Freedom of Information Act.

12 Section 55. Fee and Agency role.

(a) By July 1, 2025, and by July 1 of each year thereafter,
each battery stewardship organization shall pay to the Agency
an annual fee of \$100,000. The fee shall cover the Agency's
full costs of implementing, administering, and enforcing this
Act. The annual fee shall be deposited into the Solid Waste
Management Fund to be used for costs associated with the
administration of this Act.

(b) The responsibilities of the Agency in implementing,
administering, and enforcing this Act include:

(1) reviewing submitted stewardship plans and plan
 amendments and making determinations as to whether to
 approve the plan or plan amendment;

25 (2) reviewing annual reports submitted under Section

50 within 90 days after submission to ensure compliance
 with that Section;

3 (3) maintaining a website that lists producers and 4 their brands that are participating in an approved plan, 5 and that makes available to the public each plan, plan 6 amendment, and annual report received by the Agency under 7 this Act; and

8 (4) providing technical assistance to producers and 9 retailers related to the requirements of this Act.

10 Section 60. Penalties and civil actions.

(a) Any person who violates any provision of this Act is liable for a civil penalty of \$7,000 per violation, except that the failure to pay a fee under this Act shall cause the person who fails to pay the fee to be liable for a civil penalty that is double the applicable fee.

16 (b) The penalties provided for in this Section may be 17 recovered in a civil action brought in the name of the People of the State of Illinois by the State's Attorney of the county 18 19 in which the violation occurred or by the Attorney General. Any penalties collected under this Section in an action in 20 21 which the Attorney General has prevailed shall be deposited 22 into the Environmental Protection Trust Fund, to be used in accordance with the provisions of the Environmental Protection 23 24 Trust Fund Act.

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(c) The Attorney General or the State's Attorney of a

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1 county in which a violation occurs may institute a civil 2 action for an injunction, prohibitory or mandatory, to 3 restrain violations of this Act or to require such actions as 4 may be necessary to address violations of this Act.

5 (d) The penalties and injunctions provided in this Act are 6 in addition to any penalties, injunctions, or other relief 7 provided under any other State law. Nothing in this Act bars a 8 cause of action by the State for any other penalty, 9 injunction, or other relief provided by any other law.

10 (e) Any person who knowingly makes a false, fictitious, or 11 fraudulent material statement, orally or in writing, to the Agency, related to or required by this Act or any rule adopted 12 13 under this Act commits a Class 4 felony, and each such statement or writing shall be considered a separate Class 4 14 15 felony. A person who, after being convicted under this 16 subsection, violates this subsection a second or subsequent time commits a Class 3 felony. 17

(f) No penalty may be assessed under this Act on an individual or resident for the improper disposal of covered batteries as described in Section 70 in a noncommercial or residential setting.

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Section 65. Marking requirements for batteries.

(a) Except as otherwise provided in rules adopted by
Illinois Pollution Control Board under subsection (b), a
producer or retailer may sell, offer for sale, or distribute

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in or into Illinois a covered battery or battery-containing product containing one or more covered batteries only if the battery is:

4 (1) beginning January 1, 2027, marked with an 5 identification of the producer of the battery, unless the 6 battery is less than one-half inch in diameter or does not 7 contain a surface whose length exceeds one-half inch; and

8 (2) beginning January 1, 2029, marked with proper 9 labeling to ensure proper collection and recycling, by 10 identifying the chemistry of the battery and including an 11 indication that the battery should not be disposed of as 12 household waste.

(b) The Illinois Pollution Control Board may adopt rules establishing marking requirements for batteries as needed to maintain consistency with the labeling requirements or voluntary standards for batteries established in federal law.

Section 70. General battery disposal and collection requirements.

(a) On and after January 1, 2028, all persons must manage
 unwanted covered batteries through one of the following
 options:

(1) delivery to a collection site, event, or program
established by or included in the programs created by this
Act; or

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(2) for covered batteries that are hazardous waste as

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defined under federal or State hazardous or solid waste laws, management in a manner consistent with the requirements of those laws.

(b) On and after January 1, 2028:

5 (1) A fee may not be charged at the time covered
6 batteries are delivered or collected for management.

7 (2) All covered batteries may be collected, 8 transported, and processed only in accordance with this 9 Act, unless the batteries are regulated as hazardous waste 10 as described in paragraph (2) of subsection (a) of this 11 Section.

12 (3) No person may knowingly cause or allow the mixing 13 of a covered battery with recyclable materials that are 14 intended for processing and sorting at a material recovery 15 facility.

16 (4) No person may knowingly cause or allow the mixing
17 of a covered battery with municipal waste that is intended
18 for disposal at a sanitary landfill.

19 (5) No person may knowingly cause or allow the20 disposal of a covered battery in a sanitary landfill.

(6) No person may knowingly cause or allow the mixing
of a covered battery with waste that is intended for
burning or incineration.

24 (7) No person may knowingly cause or allow the burning25 or incineration of a covered battery.

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(8) An owner or operator of a solid waste facility may

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not be found in violation of this Section if the facility has posted in a conspicuous location a sign stating that covered batteries must be managed through collection sites established by a battery stewardship organization and are not accepted for disposal.

6 (9) A solid waste collector may not be found in 7 violation of this Section for a covered battery placed in 8 a disposal container by a third party.

9 Section 75. Assessment of battery-containing products and10 their batteries.

(a) By July 1, 2027, the battery stewardship organization 11 12 must complete an assessment of the opportunities and 13 challenges associated with the end-of-life management of portable and medium-format batteries that are not intended or 14 15 designed to be easily removed by a customer and that are contained either in battery-containing products, including 16 medical devices, or in electronic products that are not 17 covered electronic devices subject to the requirements of the 18 19 Consumer Electronics Recycling Act.

20 (b) The battery stewardship organization must consult with 21 the Agency and interested stakeholders in completing the 22 assessment. The assessment must identify any adjustments to 23 the stewardship program requirements established in this Act 24 that would maximize public health, safety, and environmental 25 benefits. 1

(c) The assessment must consider:

2 (1) the different categories and uses of
3 battery-containing products;

4 (2) the current methods by which unwanted
5 battery-containing products are managed in Illinois and
6 nearby states and provinces;

7 (3) challenges posed by the potential collection,
8 management, and transport of battery-containing products,
9 including challenges associated with removing batteries
10 that were not intended or designed to be easily removable
11 from products, other than by the manufacturer; and

12 (4) which criteria of this Act may apply to 13 battery-containing products in a manner that is identical 14 or analogous to the requirements applicable to covered 15 batteries.

16 (d) By October 1, 2027, the Agency must submit the
17 assessment required in this Section to the General Assembly.

18 Section 80. Antitrust. Producers or battery stewardship 19 organizations acting on behalf of producers that prepare, 20 submit, and implement a battery stewardship program plan under this Act and who are thereby subject to regulation by the 21 22 Agency are granted immunity from State laws relating to antitrust, restraint of trade, unfair trade practices, and 23 24 other regulation of trade and commerce, for the limited 25 purpose of planning, reporting, and operating a battery

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stewardship program, including:

2 (1) the creation, implementation, or management of a
3 battery stewardship organization and any battery
4 stewardship plan regardless of whether it is submitted,
5 denied, or approved;

6 (2) the determination of the cost and structure of a 7 battery stewardship plan; and

8 (3) the types or quantities of batteries being
9 recycled or otherwise managed under this Act.

10 Section 85. Collection of batteries independent of a 11 battery stewardship program. Nothing in this Act shall prevent 12 or prohibit a person from offering or performing a fee-based, 13 household collection, or a mail back program for end-of-life 14 portable batteries or medium-format batteries independently of 15 a battery stewardship program, provided that such person meets 16 the following requirements:

17 (1) such person's services must be performed, and such 18 person's facilities must be operated in compliance with 19 applicable federal, State, and local laws all and 20 requirements, including, but not limited to, all 21 applicable U.S. Department of Transportation regulations, 22 and all applicable provisions of the Environmental 23 Protection Act;

24 (2) such person must make available all batteries25 collected by such person from its Illinois customers to

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1 the battery stewardship organization; and

2 (3) after consolidation of portable or medium-format 3 batteries at the person's facilities, the transport to and 4 processing of such batteries by the battery stewardship 5 organization's designated sorters or processors shall be 6 at the battery stewardship organization's expense.

7 (415 ILCS 5/22.23d rep.)

8 Section 90. The Environmental Protection Act is amended by
9 repealing Section 22.23d.

10 Section 97. Severability. If any provision of this Act or 11 its application to any person or circumstance is held invalid, 12 the remainder of the act or the application of the provision to 13 other persons or circumstances is not affected.

Section 99. Effective date. This Act takes effect upon becoming law, except that Section 90 takes effect on January 1, 2028.".