

## 103RD GENERAL ASSEMBLY State of Illinois 2023 and 2024 SB1716

Introduced 2/9/2023, by Sen. Suzy Glowiak Hilton

## SYNOPSIS AS INTRODUCED:

See Index

Amends the Registered Surgical Assistant and Registered Surgical Technologist Title Protection Act. Provides that all applicants and registrants shall: (1) provide a valid address and email address to the Department of Financial and Professional Regulation, which shall serve as the address of record and email address of record, respectively, at the time of application for registration or renewal of a registration; and (2) inform the Department of any change of address of record or email address of record within 14 days after such change. Provides that the Secretary (rather than the Department) shall observe the rehearing proceedings. Provides that in a denial for a rehearing, the Secretary may enter an order in accordance with the recommendations of the hearing officer (rather than the Department). Provides that the hearing officer shall report the hearing officer's findings of fact, conclusions of law, recommendations to the Secretary (rather than the Department). Removes a provision providing that exhibits shall be certified without cost. Makes corresponding and other changes. Amends the Regulatory Sunset Act. Repeals the Registered Surgical Assistant and Registered Surgical Technologist Title Protection Act on January 1, 2029 (rather than January 1, 2024). Provisions amending the Regulatory Sunset Act are effective immediately.

LRB103 27343 AMQ 53715 b

1 AN ACT concerning regulation.

## Be it enacted by the People of the State of Illinois, represented in the General Assembly:

- 4 Section 5. The Regulatory Sunset Act is amended by
- 5 changing Sections 4.34 and 4.39 as follows:
- 6 (5 ILCS 80/4.34)
- 7 Sec. 4.34. Acts and Section repealed on January 1, 2024.
- 8 The following Acts and Section of an Act are repealed on
- 9 January 1, 2024:
- 10 The Crematory Regulation Act.
- 11 The Electrologist Licensing Act.
- 12 The Illinois Certified Shorthand Reporters Act of
- 13 1984.
- 14 The Illinois Occupational Therapy Practice Act.
- The Illinois Public Accounting Act.
- 16 The Private Detective, Private Alarm, Private
- Security, Fingerprint Vendor, and Locksmith Act of 2004.
- 18 The Registered Surgical Assistant and Registered
- 19 Surgical Technologist Title Protection Act.
- 20 Section 2.5 of the Illinois Plumbing License Law.
- 21 The Veterinary Medicine and Surgery Practice Act of
- 22 2004.
- 23 (Source: P.A. 102-291, eff. 8-6-21.)

- 1 (5 ILCS 80/4.39)
- Sec. 4.39. Acts repealed on January 1, 2029 and December
- 3 31, 2029.
- 4 (a) The following Act is repealed on January 1, 2029:
- 5 The Environmental Health Practitioner Licensing Act.
- 6 The Registered Surgical Assistant and Registered
- 7 Surgical Technologist Title Protection Act.
- 8 (b) The following Act is repealed on December 31, 2029:
- 9 The Structural Pest Control Act.
- 10 (Source: P.A. 100-716, eff. 8-3-18; 100-796, eff. 8-10-18;
- 11 101-81, eff. 7-12-19.)
- 12 Section 10. The Registered Surgical Assistant and
- 13 Registered Surgical Technologist Title Protection Act is
- 14 amended by changing Sections 10, 20, 30, 75, 85, 110, 115, 120,
- and 150 and by adding Section 12 as follows:
- 16 (225 ILCS 130/10)
- 17 (Section scheduled to be repealed on January 1, 2024)
- 18 Sec. 10. Definitions. As used in this Act:
- "Address of record" means the designated address recorded
- 20 by the Department in the applicant's or registrant's
- 21 application file or registration file as maintained by the
- Department's licensure maintenance unit. It is the duty of the
- applicant or registrant to inform the Department of any change

- 1 of address and those changes must be made either through the
- 2 Department's website or by contacting the Department.
- 3 "Department" means the Department of Financial and 4 Professional Regulation.

"Direct supervision" means supervision by a licensed physician, licensed podiatric physician, or licensed dentist who is physically present and who personally directs delegated acts and remains available to personally respond to an emergency until the patient is released from the operating room. A registered professional nurse may also provide direct supervision within the scope of his or her license. A registered surgical assistant or registered surgical technologist shall perform duties as assigned.

"Email address of record" means the designated email address recorded by the Department in the applicant's application file or the licensee's license file, as maintained by the Department's licensure maintenance unit.

"Physician" means a person licensed to practice medicine in all of its branches under the Medical Practice Act of 1987.

"Registered surgical assistant" means a person who (i) is not licensed to practice medicine in all of its branches, (ii) is certified by the National Surgical Assistant Association as a Certified Surgical Assistant, the National Board of Surgical Technology and Surgical Assisting as a Certified Surgical First Assistant, or the American Board of Surgical Assistants as a Surgical Assistant-Certified, (iii) performs duties under

- direct supervision, (iv) provides services only in a licensed
- 2 hospital, ambulatory treatment center, or office of a
- 3 physician licensed to practice medicine in all its branches,
- 4 and (v) is registered under this Act.
- 5 "Registered surgical technologist" means a person who (i)
- 6 is not a physician licensed to practice medicine in all of its
- 7 branches, (ii) is certified by the National Board for Surgical
- 8 Technology and Surgical Assisting, (iii) performs duties under
- 9 direct supervision, (iv) provides services only in a licensed
- 10 hospital, ambulatory treatment center, or office of a
- 11 physician licensed to practice medicine in all its branches,
- 12 and (v) is registered under this Act.
- "Secretary" means the Secretary of Financial and
- 14 Professional Regulation.
- 15 (Source: P.A. 98-214, eff. 8-9-13; 98-364, eff. 12-31-13;
- 16 98-756, eff. 7-16-14.)
- 17 (225 ILCS 130/12 new)
- 18 <u>Sec. 12. Address of record; email address of record. All</u>
- 19 applicants and registrants shall:
- 20 (1) provide a valid address and email address to the
- Department, which shall serve as the address of record and
- 22 email address of record, respectively, at the time of
- application for registration or renewal of a registration;
- 24 and
- 25 (2) inform the Department of any change of address of

- record or email address of record within 14 days after

  such change, either through the Department's website or by
- 3 <u>contacting the Department's licensure maintenance unit.</u>
- 4 (225 ILCS 130/20)
- 5 (Section scheduled to be repealed on January 1, 2024)
- 6 Sec. 20. Illinois Administrative Procedure Act. The
- 7 Illinois Administrative Procedure Act is expressly adopted and
- 8 incorporated in this Act as if all of the provisions of the
- 9 Illinois Administrative Procedure Act were included in this
- 10 Act, except that the provision of subsection (d) of Section
- 11 10-65 of the Illinois Administrative Procedure Act that
- 12 provides that at hearings the registrant has the right to show
- 13 compliance with all lawful requirements for retention,
- 14 continuation, or renewal of the registration is specifically
- 15 excluded. For purposes of this Act, the notice required under
- 16 Section 10-25 of the Illinois Administrative Procedure Act is
- deemed sufficient when mailed to the registrant's address of
- 18 record or email address of record.
- 19 (Source: P.A. 98-364, eff. 12-31-13.)
- 20 (225 ILCS 130/30)
- 21 (Section scheduled to be repealed on January 1, 2024)
- Sec. 30. Social Security Number or individual taxpayer
- 23 identification number on registration application. In addition
- 24 to any other information required to be contained in the

- 1 application, every application for an original certificate of
- 2 registration under this Act shall include the applicant's
- 3 Social Security Number or individual taxpayer identification
- 4 number, which shall be retained in the agency's records
- 5 pertaining to the registration. As soon as practical, the
- 6 Department shall assign a customer's identification number to
- 7 each applicant for a registration.
- 8 Every application for a renewed, reinstated, or restored
- 9 registration shall require the applicant's customer
- 10 identification number.
- 11 (Source: P.A. 97-400, eff. 1-1-12; 98-364, eff. 12-31-13.)
- 12 (225 ILCS 130/75)
- 13 (Section scheduled to be repealed on January 1, 2024)
- 14 Sec. 75. Grounds for disciplinary action.
- 15 (a) The Department may refuse to issue, renew, or restore
- 16 a registration, may revoke or suspend a registration, or may
- 17 place on probation, reprimand, or take other disciplinary or
- 18 non-disciplinary action with regard to a person registered
- 19 under this Act, including, but not limited to, the imposition
- of fines not to exceed \$10,000 for each violation and the
- assessment of costs as provided for in Section 90, for any one
- or combination of the following causes:
- 23 (1) Making a material misstatement in furnishing
- information to the Department.
- 25 (2) Violating a provision of this Act or rules adopted

1 under this Act.

- (3) Conviction by plea of guilty or nolo contendere, finding of guilt, jury verdict, or entry of judgment or by sentencing of any crime, including, but not limited to, convictions, preceding sentences of supervision, conditional discharge, or first offender probation, under the laws of any jurisdiction of the United States that is (i) a felony or (ii) a misdemeanor, an essential element of which is dishonesty, or that is directly related to the practice of the profession.
- (4) Fraud or misrepresentation in applying for, renewing, restoring, reinstating, or procuring a registration under this Act.
- (5) Aiding or assisting another person in violating a provision of this Act or its rules.
- (6) Failing to provide information within 60 days in response to a written request made by the Department.
- (7) Engaging in dishonorable, unethical, or unprofessional conduct of a character likely to deceive, defraud, or harm the public, as defined by rule of the Department.
- (8) Discipline by another United States jurisdiction, governmental agency, unit of government, or foreign nation, if at least one of the grounds for discipline is the same or substantially equivalent to those set forth in this Section.

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- (9) Directly or indirectly giving to or receiving from a person, firm, corporation, partnership, or association a fee, commission, rebate, or other form of compensation for professional services not actually or personally rendered. Nothing in this paragraph (9) affects any bona fide independent contractor or employment arrangements among health care professionals, health facilities, health care providers, or other entities, except as otherwise prohibited by law. Any employment arrangements may include provisions for compensation, health insurance, pension, or other employment benefits for the provision of services within the scope of the registrant's practice under this Act. Nothing in this paragraph (9) shall be construed to require an employment arrangement to receive professional fees for services rendered.
- (10) A finding by the Department that the registrant, after having  $\underline{\text{the}}$   $\underline{\text{his}}$  or  $\underline{\text{her}}$  registration placed on probationary status, has violated the terms of probation.
- (11) Willfully making or filing false records or reports in the his or her practice, including, but not limited to, false records or reports filed with State agencies.
- (12) Willfully making or signing a false statement, certificate, or affidavit to induce payment.
- (13) Willfully failing to report an instance of suspected child abuse or neglect as required under the

Abused and Neglected Child Reporting Act.

- (14) Being named as a perpetrator in an indicated report by the Department of Children and Family Services under the Abused and Neglected Child Reporting Act and upon proof by clear and convincing evidence that the registrant has caused a child to be an abused child or neglected child as defined in the Abused and Neglected Child Reporting Act.
  - (15) (Blank).
- (16) Failure to report to the Department (A) any adverse final action taken against the registrant by another registering or licensing jurisdiction, government agency, law enforcement agency, or any court or (B) liability for conduct that would constitute grounds for action as set forth in this Section.
- (17) Habitual or excessive use or abuse of drugs defined in law as controlled substances, alcohol, or any other substance that results in the inability to practice with reasonable judgment, skill, or safety.
- (18) Physical or mental illness, including, but not limited to, deterioration through the aging process or loss of motor skills, which results in the inability to practice the profession for which the person he or she is registered with reasonable judgment, skill, or safety.
  - (19) Gross malpractice.
  - (20) Immoral conduct in the commission of an act

- related to the registrant's practice, including, but not limited to, sexual abuse, sexual misconduct, or sexual exploitation.
- 4 (21) Violation of the Health Care Worker Self-Referral
  5 Act.
  - (b) The Department may refuse to issue or may suspend without hearing the registration of a person who fails to file a return, to pay the tax, penalty, or interest shown in a filed return, or to pay a final assessment of the tax, penalty, or interest as required by a tax Act administered by the Department of Revenue, until the requirements of the tax Act are satisfied in accordance with subsection (g) of Section 2105-15 of the Department of Regulation Law of the Civil Administrative Code of Illinois.
  - (c) The determination by a circuit court that a registrant is subject to involuntary admission or judicial admission as provided in the Mental Health and Developmental Disabilities Code operates as an automatic suspension. The suspension will end only upon (1) a finding by a court that the patient is no longer subject to involuntary admission or judicial admission, (2) issuance of an order so finding and discharging the patient, and (3) filing of a petition for restoration demonstrating fitness to practice.
    - (d) (Blank).
- 25 (e) In cases where the Department of Healthcare and Family 26 Services has previously determined a registrant or a potential

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registrant is more than 30 days delinquent in the payment of child support and has subsequently certified the delinquency to the Department, the Department may refuse to issue or renew or may revoke or suspend that person's registration or may take other disciplinary action against that person based solely upon the certification of delinquency made by the Department of Healthcare and Family Services in accordance with paragraph (5) of subsection (a) of Section 2105-15 of the Department of Professional Regulation Law of the Civil Administrative Code of Illinois.

In enforcing this Section, the Department, upon a showing of a possible violation, may compel any individual registered under this Act or any individual who has applied for registration to submit to a mental or physical examination and evaluation, or both, that may include a substance abuse or sexual offender evaluation, at the expense of the Department. The Department shall specifically designate the examining physician licensed to practice medicine in all of its branches or, if applicable, the multidisciplinary team involved in providing the mental or physical examination and evaluation, The multidisciplinary team shall be led by a or both. physician licensed to practice medicine in all of its branches and may consist of one or more or a combination of physicians licensed to practice medicine in all of its branches, licensed chiropractic physicians, licensed clinical psychologists, licensed clinical social workers, licensed clinical

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professional counselors, and other professional and administrative staff. Any examining physician or member of the multidisciplinary team may require any person ordered to submit to an examination and evaluation pursuant to this Section to submit to any additional supplemental testing deemed necessary to complete any examination or evaluation process, including, but not limited to, blood testing, urinalysis, psychological testing, or neuropsychological testing.

The Department may order the examining physician or any member of the multidisciplinary team to provide to the Department any and all records, including business records, that relate to the examination and evaluation, including any supplemental testing performed. The Department may order the examining physician or any member of the multidisciplinary team to present testimony concerning this examination and evaluation of the registrant or applicant, including testimony concerning any supplemental testing or documents relating to the examination and evaluation. No information, report, record, or other documents in any way related to the examination and evaluation shall be excluded by reason of any common law or statutory privilege relating to communication between the registrant or applicant and the examining physician or any member of the multidisciplinary team. No authorization is necessary from the registrant or applicant ordered to undergo an evaluation and examination for the

examining physician or any member of the multidisciplinary team to provide information, reports, records, or other documents or to provide any testimony regarding the examination and evaluation. The individual to be examined may have, at <a href="mailto:the-individual's his or her">the individual to be examined may have, at <a href="mailto:the-individual's his or her">the individual's his or her</a> own expense, another physician of <a href="mailto:the-individual's his or her">the individual's his or her</a> choice present during all aspects of the examination.

Failure of any individual to submit to mental or physical examination and evaluation, or both, when directed, shall result in an automatic suspension without a hearing until such time as the individual submits to the examination. If the Department finds a registrant unable to practice because of the reasons set forth in this Section, the Department shall require such registrant to submit to care, counseling, or treatment by physicians approved or designated by the Department as a condition for continued, reinstated, or renewed registration.

When the Secretary immediately suspends a registration under this Section, a hearing upon such person's registration must be convened by the Department within 15 days after such suspension and completed without appreciable delay. The Department shall have the authority to review the registrant's record of treatment and counseling regarding the impairment to the extent permitted by applicable federal statutes and regulations safeguarding the confidentiality of medical records.

- Individuals registered under this Act and affected under this Section shall be afforded an opportunity to demonstrate to the Department that they can resume practice in compliance with acceptable and prevailing standards under the provisions of their registration.
- 6 (g) All fines imposed under this Section shall be paid 7 within 60 days after the effective date of the order imposing 8 the fine or in accordance with the terms set forth in the order 9 imposing the fine.
- 10 (Source: P.A. 100-872, eff. 8-14-18.)
- 11 (225 ILCS 130/85)
- 12 (Section scheduled to be repealed on January 1, 2024)
- 1.3 Sec. 85. Investigation; notice; hearing. The Department 14 may investigate the actions of a person applying for, holding, 15 or claiming to hold a certificate of registration. 16 Department shall, before refusing to issue or renew a registration or taking other disciplinary or non-disciplinary 17 action pursuant to Section 75 of this Act, and at least 30 days 18 19 prior to the date set for the hearing, (i) notify in writing 20 the applicant or registrant of the charges made and the time 21 and place for a hearing of the charges, (ii) direct the 22 applicant or registrant to file a written answer to the Department under oath within 20 days after the service of the 23 24 notice, and (iii) inform the applicant or registrant that 25 failure to file an answer will result in default being taken

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1 against the applicant or registrant.

Written notice and any notice in the subsequent proceeding may be served by <del>registered or certified</del> mail to the applicant's or registrant's address of record or email address of record. If the person fails to file an answer after receiving notice, his or her certificate of registration may, in the discretion of the Department, be suspended, revoked, or placed on probationary status or the Department may take whatever disciplinary or non-disciplinary action deemed proper, including limiting the delegated tasks imposition of a fine, without a hearing, if the act or acts charged constitute sufficient grounds for such action under this Act. At the time and place fixed in the notice, the Department shall proceed to hearing of the charges and the parties and their counsel shall be afforded ample opportunity to present any pertinent statements, testimony, evidence, and arguments. The Department may continue a hearing from time to time.

- 19 (Source: P.A. 98-364, eff. 12-31-13.)
- 20 (225 ILCS 130/110)
- 21 (Section scheduled to be repealed on January 1, 2024)

Sec. 110. Motion for rehearing. In a case involving the refusal to issue or renew a registration or the discipline of a registrant, a copy of the hearing officer's report shall be served upon the respondent by the Department, as provided

under Section 20 of this Act. Within 20 days after the service, 1 2 the respondent may present to the Secretary Department a 3 motion in writing for a rehearing, which shall specify the particular grounds for a rehearing. If no motion for rehearing 5 is filed, then upon the expiration of the time specified for filing the motion, or if a motion for rehearing is denied, then 6 7 upon the denial, the Secretary may enter an order in 8 accordance with recommendations of the hearing officer 9 Department, except as provided in Section 115 or 120 of this 10 Act. If the respondent orders a transcript of the record from 11 the reporting service and pays for the transcript within the 12 time for filing a motion for rehearing, the 20-day period within which such a motion may be filed shall commence upon the 13 14 delivery of the transcript to the respondent.

15 (Source: P.A. 98-364, eff. 12-31-13.)

16 (225 ILCS 130/115)

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(Section scheduled to be repealed on January 1, 2024)

Sec. 115. Order of Secretary. The Secretary's order shall be based on the recommendations contained in the <a href="hearing">hearing</a> officer's Department report unless the Secretary disagrees in any regard with the report of the <a href="hearing officer">hearing officer</a> Department, in which case <a href="the Secretary he or she">the Secretary</a> he or she may issue an order in contravention of the report. The hearing officer's report and Secretary's order are not admissible in evidence against the person in a criminal prosecution brought for a violation of

- 1 this Act, but the hearing, report, and order are not a bar to a
- 2 criminal prosecution brought for the violation of this Act.
- 3 (Source: P.A. 98-364, eff. 12-31-13.)
- 4 (225 ILCS 130/120)
- 5 (Section scheduled to be repealed on January 1, 2024)
- 6 Sec. 120. Hearing officer. The Secretary shall have the
- authority to appoint an attorney licensed to practice law in
- 8 this State to serve as the hearing officer in a hearing
- 9 authorized under Section 90 of this Act. The hearing officer
- 10 shall have full authority to conduct the hearing. The hearing
- officer shall report the hearing officer's his or her findings
- of fact, conclusions of law, and recommendations to the
- 13 Secretary <del>Department</del>. If the Secretary disagrees in any regard
- 14 with the report of the <u>hearing officer</u> <del>Department</del>, the
- 15 Secretary he or she may issue an order in contravention of the
- 16 report. The Secretary shall provide a written explanation to
- 17 the Department on a deviation from the Department's report and
- 18 shall specify with particularity the reasons for his or her
- 19 deviation in the final order.
- 20 (Source: P.A. 98-364, eff. 12-31-13.)
- 21 (225 ILCS 130/150)
- 22 (Section scheduled to be repealed on January 1, 2024)
- Sec. 150. Certificate of record. The Department shall not
- 24 be required to certify any record to a court or file an answer

- in court or otherwise appear in a court in a judicial review
- 2 proceeding unless and until the Department has received from
- 3 the plaintiff payment of the costs of furnishing and
- 4 certifying the record, which costs shall be determined by the
- 5 Department. Exhibits shall be certified without cost. Failure
- on the part of the plaintiff to file a receipt in court shall
- 7 be grounds for dismissal of the action.
- 8 (Source: P.A. 98-364, eff. 12-31-13.)
- 9 Section 99. Effective date. This Section and Section 5
- 10 take effect upon becoming law.

- 1 INDEX
- 2 Statutes amended in order of appearance
- 3 5 ILCS 80/4.34
- 4 5 ILCS 80/4.39
- 5 225 ILCS 130/10
- 6 225 ILCS 130/12 new
- 7 225 ILCS 130/20
- 8 225 ILCS 130/30
- 9 225 ILCS 130/75
- 10 225 ILCS 130/85
- 11 225 ILCS 130/110
- 12 225 ILCS 130/115
- 13 225 ILCS 130/120
- 14 225 ILCS 130/150