103RD GENERAL ASSEMBLY

State of Illinois

2023 and 2024

HB5012

Introduced 2/8/2024, by Rep. Lindsey LaPointe

SYNOPSIS AS INTRODUCED:

See Index

Amends the Assisted Living and Shared Housing Act. Adds provisions concerning involuntary terminations of residency, hearings when residency is involuntarily terminated, and readmission of residents. Provides that an establishment shall notify a resident when the establishment's ability to meet the resident's needs may be affected. Provides that if an establishment initiates a termination of residency, then the resident shall be provided with written notice. Provides that the Department of Public Health shall (rather than may) offer assistance to an establishment and resident in preparation for a residency termination. Provides that an establishment that improperly terminates the residency of a resident shall be assessed a violation. Makes additions to provisions concerning resident rights. Makes other changes. Amends the Nursing Home Care Act. Makes changes to provisions concerning the involuntary transfer or discharge of a resident, hearings when a resident is involuntarily transferred or discharged, and the readmission of residents. Provides that a resident has a right not to be unlawfully transferred or discharged from a facility. Makes other changes. Amends the Assisted Living and Shared Housing Act and the Nursing Home Care Act. Provides that in certain circumstances the Department shall order immediate readmission of a resident. Provides that failure to readmit a resident after receiving an order to do so from the Department shall result in a specified daily fine. Provides that the Department shall adopt rules related to conflicts of interest for persons who conduct specified hearings.

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AN ACT concerning regulation.

Be it enacted by the People of the State of Illinois, represented in the General Assembly:

4 Section 5. The Assisted Living and Shared Housing Act is 5 amended by changing Sections 10, 15, 75, 80, 90, and 95 as 6 follows:

7 (210 ILCS 9/10)

8 Sec. 10. Definitions. For purposes of this Act:

9 "Activities of daily living" means eating, dressing,
10 bathing, toileting, transferring, or personal hygiene.

"Assisted living establishment" or "establishment" means a home, building, residence, or any other place where sleeping accommodations are provided for at least 3 unrelated adults, at least 80% of whom are 55 years of age or older and where the following are provided consistent with the purposes of this Act:

(1) services consistent with a social model that is
based on the premise that the resident's unit in assisted
living and shared housing is his or her own home;

20 (2) community-based residential care for persons who 21 need assistance with activities of daily living, including 22 personal, supportive, and intermittent health-related 23 services available 24 hours per day, if needed, to meet

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the scheduled and unscheduled needs of a resident;

2 (3) mandatory services, whether provided directly by 3 the establishment or by another entity arranged for by the 4 establishment, with the consent of the resident or 5 resident's representative; and

6 (4) a physical environment that is a homelike setting 7 that includes the following and such other elements as established by the Department: individual living units 8 9 each of which shall accommodate small kitchen appliances 10 and contain private bathing, washing, and toilet 11 facilities, or private washing and toilet facilities with 12 a common bathing room readily accessible to each resident. Units shall be maintained for single occupancy except in 13 14 cases in which 2 residents choose to share a unit. 15 Sufficient common space shall exist to permit individual 16 and group activities.

17 "Assisted living establishment" or "establishment" does 18 not mean any of the following:

19 (1) A home, institution, or similar place operated by20 the federal government or the State of Illinois.

(2) A long term care facility licensed under the Nursing Home Care Act, a facility licensed under the Specialized Mental Health Rehabilitation Act of 2013, a facility licensed under the ID/DD Community Care Act, or a facility licensed under the MC/DD Act. However, a facility licensed under any of those Acts may convert distinct

parts of the facility to assisted living. If the facility elects to do so, the facility shall retain the Certificate of Need for its nursing and sheltered care beds that were converted.

5 (3) A hospital, sanitarium, or other institution, the 6 principal activity or business of which is the diagnosis, 7 care, and treatment of human illness and that is required 8 to be licensed under the Hospital Licensing Act.

9 (4) A facility for child care as defined in the Child
10 Care Act of 1969.

(5) A community living facility as defined in the
 Community Living Facilities Licensing Act.

13 (6) A nursing home or sanitarium operated solely by 14 and for persons who rely exclusively upon treatment by 15 spiritual means through prayer in accordance with the 16 creed or tenants of a well-recognized church or religious 17 denomination.

(7) A facility licensed by the Department of Human
Services as a community-integrated living arrangement as
defined in the Community-Integrated Living Arrangements
Licensure and Certification Act.

(8) A supportive residence licensed under the
 Supportive Residences Licensing Act.

(9) The portion of a life care facility as defined in
the Life Care Facilities Act not licensed as an assisted
living establishment under this Act; a life care facility

may apply under this Act to convert sections of the 1 2 community to assisted living.

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(10) A free-standing hospice facility licensed under the Hospice Program Licensing Act.

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(11) A shared housing establishment.

(12) A supportive living facility as described in 6 7 Section 5-5.01a of the Illinois Public Aid Code.

"Department" means the Department of Public Health. 8

"Director" means the Director of Public Health.

10 "Emergency situation" means imminent danger of death or 11 serious physical harm to a resident of an establishment.

12 "Involuntary termination of residency" means the full 13 release of any resident from a facility, without the informed 14 consent of the resident, freely given and not coerced. "Involuntary termination of residency" includes an assisted 15 living or shared housing establishment's failure to readmit a 16 17 resident following hospitalization, other medical leave, or other absence from the establishment. 18

"License" means any of the following types of licenses 19 20 issued to an applicant or licensee by the Department:

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(1) "Probationary license" means a license issued to 22 an applicant or licensee that has not held a license under 23 this Act prior to its application or pursuant to a license transfer in accordance with Section 50 of this Act. 24

(2) "Regular license" means a license issued by the 25 26 Department to an applicant or licensee that is in - 5 - LRB103 36301 CES 66399 b

substantial compliance with this Act and any rules
 promulgated under this Act.

3 "Licensee" means a person, agency, association, 4 corporation, partnership, or organization that has been issued 5 a license to operate an assisted living or shared housing 6 establishment.

7 "Licensed health care professional" means a registered 8 professional nurse, an advanced practice registered nurse, a 9 physician assistant, and a licensed practical nurse.

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"Mandatory services" include the following:

(1) 3 meals per day available to the residents
prepared by the establishment or an outside contractor;

13 (2) housekeeping services including, but not limited
 14 to, vacuuming, dusting, and cleaning the resident's unit;

15 (3) personal laundry and linen services available to 16 the residents provided or arranged for by the 17 establishment;

18 (4) security provided 24 hours each day including, but 19 not limited to, locked entrances or building or contract 20 security personnel;

(5) an emergency communication response system, which is a procedure in place 24 hours each day by which a resident can notify building management, an emergency response vendor, or others able to respond to his or her need for assistance; and

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(6) assistance with activities of daily living as

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1 required by each resident.

2 "Negotiated risk" is the process by which a resident, or 3 his or her representative, may formally negotiate with providers what risks each are willing and unwilling to assume 4 5 in service provision and the resident's living environment. The provider assures that the resident and the resident's 6 representative, if any, are informed of the risks of these 7 8 decisions and of the potential consequences of assuming these 9 risks.

"Owner" means the individual, partnership, corporation, 10 11 association, or other person who owns an assisted living or 12 shared housing establishment. In the event an assisted living or shared housing establishment is operated by a person who 13 14 leases or manages the physical plant, which is owned by 15 another person, "owner" means the person who operates the 16 assisted living or shared housing establishment, except that 17 if the person who owns the physical plant is an affiliate of the person who operates the assisted living or shared housing 18 19 establishment and has significant control over the day to day 20 operations of the assisted living or shared housing 21 establishment, the person who owns the physical plant shall 22 incur jointly and severally with the owner all liabilities 23 imposed on an owner under this Act.

24 "Physician" means a person licensed under the Medical 25 Practice Act of 1987 to practice medicine in all of its 26 branches. - 7 - LRB103 36301 CES 66399 b

1 "Resident" means a person residing in an assisted living 2 or shared housing establishment.

"Resident's representative" means a person, other than the 3 owner, agent, or employee of an establishment or of the health 4 5 care provider unless related to the resident, designated in 6 writing by a resident or a court to be his or her 7 representative. This designation may be accomplished through 8 Illinois Power of Attorney Act, pursuant to the the 9 guardianship process under the Probate Act of 1975, or 10 pursuant to an executed designation of representative form 11 specified by the Department.

12 "Self" means the individual or the individual's designated 13 representative.

14 "Shared housing establishment" or "establishment" means a 15 publicly or privately operated free-standing residence for 16 16 or fewer persons, at least 80% of whom are 55 years of age or 17 older and who are unrelated to the owners and one manager of 18 the residence, where the following are provided:

(1) services consistent with a social model that is based on the premise that the resident's unit is his or her own home;

(2) community-based residential care for persons who need assistance with activities of daily living, including housing and personal, supportive, and intermittent health-related services available 24 hours per day, if needed, to meet the scheduled and unscheduled needs of a

1 resident; and

2 (3) mandatory services, whether provided directly by 3 the establishment or by another entity arranged for by the 4 establishment, with the consent of the resident or the 5 resident's representative.

6 "Shared housing establishment" or "establishment" does not7 mean any of the following:

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(1) A home, institution, or similar place operated by the federal government or the State of Illinois.

10 (2) A long term care facility licensed under the 11 Nursing Home Care Act, a facility licensed under the 12 Specialized Mental Health Rehabilitation Act of 2013, a facility licensed under the ID/DD Community Care Act, or a 13 14 facility licensed under the MC/DD Act. A facility licensed 15 under any of those Acts may, however, convert sections of 16 the facility to assisted living. If the facility elects to 17 do so, the facility shall retain the Certificate of Need for its nursing beds that were converted. 18

(3) A hospital, sanitarium, or other institution, the
principal activity or business of which is the diagnosis,
care, and treatment of human illness and that is required
to be licensed under the Hospital Licensing Act.

23 (4) A facility for child care as defined in the Child24 Care Act of 1969.

(5) A community living facility as defined in the
 Community Living Facilities Licensing Act.

1 (6) A nursing home or sanitarium operated solely by 2 and for persons who rely exclusively upon treatment by 3 spiritual means through prayer in accordance with the 4 creed or tenants of a well-recognized church or religious 5 denomination.

6 (7) A facility licensed by the Department of Human 7 Services as a community-integrated living arrangement as 8 defined in the Community-Integrated Living Arrangements 9 Licensure and Certification Act.

10 (8) A supportive residence licensed under the
 11 Supportive Residences Licensing Act.

12 (9) A life care facility as defined in the Life Care
13 Facilities Act; a life care facility may apply under this
14 Act to convert sections of the community to assisted
15 living.

16 (10) A free-standing hospice facility licensed under
 17 the Hospice Program Licensing Act.

18

(11) An assisted living establishment.

19 (12) A supportive living facility as described in
 20 Section 5-5.01a of the Illinois Public Aid Code.

21 "Total assistance" means that staff or another individual 22 performs the entire activity of daily living without 23 participation by the resident.

24 (Source: P.A. 99-180, eff. 7-29-15; 100-513, eff. 1-1-18.)

25 (210 ILCS 9/15)

Sec. 15. Assessment and service plan requirements. Prior 1 2 to admission to any establishment covered by this Act, a comprehensive assessment that includes an evaluation of the 3 prospective resident's physical, cognitive, and psychosocial 4 5 condition shall be completed. At least annually, a 6 comprehensive assessment shall be completed, and upon 7 identification of a significant change in the resident's condition, including, but not limited to, a diagnosis of 8 9 Alzheimer's disease or a related dementia, the resident shall 10 be reassessed. The Department may by rule specify 11 circumstances under which more frequent assessments of skin 12 integrity and nutritional status shall be required. The 13 comprehensive assessment shall be completed by a physician. 14 Based on the assessment, the resident's interests and preferences, dislikes, and any known triggers for behavior 15 16 that endangers the resident or others, a written service plan 17 shall be developed and mutually agreed upon by the provider, and the resident, and the resident's representative, if any. 18 19 The service plan, which shall be reviewed annually, or more 20 often as the resident's condition, preferences, or service needs change, shall serve as a basis for the service delivery 21 22 contract between the provider and the resident. The resident 23 and the resident's representative, if any, shall be given a 24 copy of the most recent assessment; a supplemental assessment, 25 if any, done by the establishment; and a service plan. Based on 26 the assessment, the service plan may provide for the

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1 disconnection or removal of any appliance.

2 (Source: P.A. 91-656, eff. 1-1-01.)

3 (210 ILCS 9/75)

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Sec. 75. Residency requirements.

5 (a) No individual shall be accepted for residency or 6 remain in residence if the establishment cannot provide or 7 secure appropriate services, if the individual requires a level of service or type of service for which 8 the 9 establishment is not licensed or which the establishment does 10 not provide, or if the establishment does not have the staff 11 appropriate in numbers and with appropriate skill to provide 12 such services.

13 (b) Only adults may be accepted for residency.

14

(c) A person shall not be accepted for residency if:

15 (1) the person poses a serious threat to himself or

16 herself or to others;

17 (2) the person is not able to communicate his or her 18 needs and no resident representative residing in the 19 establishment, and with a prior relationship to the 20 person, has been appointed to direct the provision of 21 services;

(3) the person requires total assistance with 2 or
 more activities of daily living;

24 (4) the person requires the assistance of more than25 one paid caregiver at any given time with an activity of

1 daily living;

2 (5) the person requires more than minimal assistance
3 in moving to a safe area in an emergency;

(6) the person has a severe mental illness, which for 4 5 the purposes of this Section means a condition that is characterized by the presence of a major mental disorder 6 7 as classified in the Diagnostic and Statistical Manual of 8 Mental Disorders, Fourth Edition (DSM-IV) (American 9 Psychiatric Association, 1994), where the individual is a 10 person with a substantial disability due to mental illness 11 in the areas of self-maintenance, social functioning, 12 activities of community living and work skills, and the disability specified is expected to be present for a 13 14 period of not less than one year, but does not mean 15 Alzheimer's disease and other forms of dementia based on 16 organic or physical disorders;

17 (7) the person requires intravenous therapy or 18 intravenous feedings unless self-administered or 19 administered by a qualified, licensed health care 20 professional;

(8) the person requires gastrostomy feedings unless self-administered or administered by a licensed health care professional;

(9) the person requires insertion, sterile irrigation,
 and replacement of catheter, except for routine
 maintenance of urinary catheters, unless the catheter care

is self-administered or administered by a licensed health
care professional;

3 (10) the person requires sterile wound care unless 4 care is self-administered or administered by a licensed 5 health care professional;

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(11) (blank);

7 (12) the person is a diabetic requiring routine 8 insulin injections unless the injections are 9 self-administered or administered by a licensed health 10 care professional;

(13) the person requires treatment of stage 3 or stage
4 decubitus ulcers or exfoliative dermatitis;

(14) the person requires 5 or more skilled nursing visits per week for conditions other than those listed in items (13) and (15) of this subsection for a period of 3 consecutive weeks or more except when the course of treatment is expected to extend beyond a 3 week period for rehabilitative purposes and is certified as temporary by a physician; or

20 (15) other reasons prescribed by the Department by 21 rule.

(d) A resident with a condition listed in items (1) through (15) of subsection (c) shall have his or her residency terminated.

(e) Residency shall be terminated when services availableto the resident in the establishment are no longer adequate to

meet the needs of the resident. The establishment shall notify 1 2 the resident and the resident's representative, if any, when 3 there is a significant change in the resident's condition that affects the establishment's ability to meet the resident's 4 5 needs. The requirements of subsection (c) of Section 80 shall then apply. This provision shall not be interpreted as 6 limiting the authority of the Department to require the 7 residency termination of individuals. 8

9 (f) Subsection (d) of this Section shall not apply to 10 terminally ill residents who receive or would qualify for 11 hospice care and such care is coordinated by a hospice program 12 licensed under the Hospice Program Licensing Act or other 13 licensed health care professional employed by a licensed home 14 health agency and the establishment and all parties agree to 15 the continued residency.

16 (g) Items (3), (4), (5), and (9) of subsection (c) shall 17 not apply to a quadriplegic, paraplegic, or individual with neuro-muscular diseases, such as muscular dystrophy and 18 multiple sclerosis, or other chronic diseases and conditions 19 20 as defined by rule if the individual is able to communicate his or her needs and does not require assistance with complex 21 22 medical problems, and the establishment is able to accommodate 23 the individual's needs. The Department shall prescribe rules pursuant to this Section that address special safety and 24 25 service needs of these individuals.

26 (h) For the purposes of items (7) through (10) of

subsection (c), a licensed health care professional may not be 1 2 employed by the owner or operator of the establishment, its 3 parent entity, or any other entity with ownership common to either the owner or operator of the establishment or parent 4 5 entity, including but not limited to an affiliate of the owner or operator of the establishment. Nothing in this Section is 6 7 meant to limit a resident's right to choose his or her health 8 care provider.

9 (i) Subsection (h) is not applicable to residents admitted 10 to an assisted living establishment under a life care contract 11 as defined in the Life Care Facilities Act if the life care 12 facility has both an assisted living establishment and a 13 skilled nursing facility. A licensed health care professional 14 providing health-related or supportive services at a life care 15 assisted living or shared housing establishment must be 16 employed by an entity licensed by the Department under the 17 Nursing Home Care Act or the Home Health, Home Services, and Home Nursing Agency Licensing Act. 18

19 (Source: P.A. 103-444, eff. 1-1-24.)

20 (210 ILCS 9/80)

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Sec. 80. Involuntary termination of residency.

(a) Residency shall be involuntarily terminated only forthe following reasons:

24 (1) as provided in Section 75 of this Act;
25 (2) nonpayment of contracted charges after the

resident and the resident's representative have received a 1 2 minimum of 30 days' 30-days written notice of the 3 delinguency and the resident or the resident's representative has had at least 15 days to cure the 4 5 delinquency; or

6 (3) failure to execute a service delivery contract or 7 to substantially comply with its terms and conditions, 8 failure to comply with the assessment requirements 9 contained in Section 15, or failure to substantially 10 comply with the terms and conditions of the lease 11 agreement.

12 30-day 30 day written notice of residency А (b) 13 termination shall be provided to the resident, the resident's 14 representative, or both, the Department, and the long term 15 care ombudsman, which shall include the reason for the pending 16 action, the date of the proposed move, and a notice, the 17 content and form to be set forth by rule, of the resident's right to appeal, the steps that the resident or the resident's 18 representative must take to initiate an appeal, and a 19 20 statement of the resident's right to continue to reside in the establishment until a decision is rendered. The notice shall 21 22 include a toll free telephone number to initiate an appeal and 23 a written hearing request form, together with a postage paid, 24 pre-addressed envelope to the Department. If the resident or 25 the resident's representative, if any, cannot read English, 26 the notice must be provided in a language the individual

1 receiving the notice can read or the establishment must 2 provide a translator who has been trained to assist the 3 resident or the resident's representative in the appeal 4 process. In emergency situations as defined in Section 10 of 5 this Act, the 30-day provision of the written notice may be 6 waived.

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7 (c) The establishment shall attempt to resolve with the 8 resident the resident's representative, if or any, circumstances that if not remedied have the potential of 9 10 resulting in an involuntary termination of residency and shall 11 document those efforts in the resident's file. This action may 12 occur prior to or during the 30 day notice period, but must 13 occur prior to the termination of the residency. In emergency situations as defined in Section 10 of this Act, the 14 15 requirements of this subsection may be waived.

16 (d) A request for a hearing shall stay an involuntary 17 termination of residency until a decision has been rendered by the Department, according to a process adopted by rule. During 18 19 this time period, the establishment may not terminate or 20 reduce any service without the consent of the resident or the resident's representative, if any, for the purpose of making 21 22 it more difficult or impossible for the resident to remain in 23 the establishment.

(e) The establishment shall offer the <u>resident and the</u>
 <u>resident's representative, if any,</u> resident and the resident's
 representative, if any, residency termination and relocation

assistance including information on available alternative 1 2 placement. Residents shall be involved in planning the move and shall choose among the available alternative placements 3 except when an emergency situation makes prior resident 4 5 involvement impossible. Emergency placements are deemed temporary until the resident's input can be sought in the 6 7 final placement decision. No resident shall be forced to 8 remain in a temporary or permanent placement.

9 Department may offer assistance (f) The to the 10 establishment and the resident in the preparation of residency 11 termination and relocation plans to assure safe and orderly 12 transition and to protect the resident's health, safety, 13 welfare, and rights. In nonemergencies, and where possible in emergencies, the transition plan shall be designed and 14 15 implemented in advance of transfer or residency termination.

16 (g) An establishment may not initiate a termination of 17 residency due to an emergency situation if the establishment is able to safely care for the resident and (1) a resident has 18 19 been hospitalized and the resident's physician states that 20 returning to the establishment would not create an imminent 21 danger of death or serious physical harm to a resident; or (2) 22 the emergency can be negated by changes in staffing, 23 activities, health care, personal care, or rooming 24 accommodations, consistent with the license of the 25 establishment. The Department may not find an establishment to be in violation of Section 75 of this Act for failing to 26

1	initiate an emergency discharge in these circumstances.		
2	(h) If the Department determines that an involuntary		
3	termination of residency does not meet the requirements of		
4	this Act, the Department shall issue a written decision		
5	stating that the involuntary termination of residency is		
6	denied. If the action of the establishment giving rise to the		
7	request for hearings is the establishment's failure to readmit		
8	the resident following hospitalization, other medical leave of		
9	absence, or other absence, the Department shall order the		
10	immediate readmission of the resident to the establishment.		
11	(i) If an order to readmit is entered pursuant to		
12	subsection (h), the establishment shall immediately comply. As		
13	used in this subsection, "comply" means the establishment and		
14	the resident have agreed on a schedule for readmission or the		
15	resident is living in the establishment. If the resident,		
16	resident's representative, a long-term care ombudsman, or any		
17	other individual notifies the Department that the		
18	establishment is not complying with an agreed-upon schedule,		
19	or that the establishment is not complying with the		
20	representation described in subsection (k), a surveyor shall		
21	make an on-site inspection to determine compliance.		
22	(j) An establishment that does not readmit a resident		
23	after the Department has ordered readmission shall be assessed		
24	a fine in accordance with a Type 1 violation. Additionally, a		
25	daily fine of \$1,250 beginning on the third day after the		
26	readmission order was issued by the Department shall be		

1	assessed. This fine shall be imposed for every day thereafter,
2	until the establishment notifies the Department that it is in
3	compliance with the order and a surveyor makes an on-site
4	inspection to determine if there is compliance or the resident
5	confirms to the Department that there is compliance, as
6	defined in subsection (i) of this Section. The establishment
7	shall be required to submit an acceptable plan of correction
8	to the Department within 30 days after the violation is
9	affirmed.
10	(k) Once a notice of appeal is filed, the Department shall
11	hold a hearing unless the notice of appeal is withdrawn. If the
12	notice of appeal is withdrawn based upon a representation made
13	by the establishment to the resident and the Department,
14	including the hearing officer, that a resident who has been
15	previously denied readmission will be readmitted, failure to
16	comply with the representation shall be considered a failure
17	to comply with a Department order pursuant to subsection (h)
18	and shall result in the imposition of a fine as provided in
19	subsection (j) of this Section.
20	(1) A long term care ombudsman may request a hearing on
21	behalf of a resident and secure representation of a resident
22	if, in the judgment of the long term care ombudsman, doing so
23	is in the best interests of the resident and the resident does
24	not object.
25	(Source: P.A. 91-656, eff. 1-1-01.)

1 (210 ILCS 9/90)

Sec. 90. Contents of service delivery contract. A contract between an establishment and a resident must be entitled "assisted living establishment contract" or "shared housing establishment contract" as applicable, shall be printed in no less than 12 point type, and shall include at least the following elements in the body or through supporting documents or attachments:

9 (1) the name, street address, and mailing address of 10 the establishment;

11 (2) the name and mailing address of the owner or 12 owners of the establishment and, if the owner or owners 13 are not natural persons, the type of business entity of 14 the owner or owners;

(3) the name and mailing address of the managing agent of the establishment, whether hired under a management agreement or lease agreement, if the managing agent is different from the owner or owners;

19 (4) the name and address of at least one natural 20 person who is authorized to accept service on behalf of 21 the owners and managing agent;

(5) a statement describing the license status of the establishment and the license status of all providers of health-related or supportive services to a resident under arrangement with the establishment;

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(6) the duration of the contract;

1 (7) the base rate to be paid by the resident and a 2 description of the services to be provided as part of this 3 rate;

4 (8) a description of any additional services to be
5 provided for an additional fee by the establishment
6 directly or by a third party provider under arrangement
7 with the establishment;

8 (9) the fee schedules outlining the cost of any
9 additional services;

10 (10) a description of the process through which the 11 contract may be modified, amended, or terminated;

(11) a description of the establishment's complaint resolution process available to residents and notice of the availability of the Department on Aging's Senior Helpline for complaints;

16 (12) the name of the resident's designated 17 representative, if any;

18 (13) the resident's obligations in order to maintain 19 residency and receive services including compliance with 20 all assessments required under Section 15;

21 (14) the billing and payment procedures and 22 requirements;

(15) a statement affirming the resident's freedom to receive services from service providers with whom the establishment does not have a contractual arrangement, which may also disclaim liability on the part of the

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establishment for those services;

(16) a statement that medical assistance under Article
V or Article VI of the Illinois Public Aid Code is not
available for payment for services provided in an
establishment, excluding contracts executed with residents
residing in licensed establishments participating in the
Department on Aging's Comprehensive Care in Residential
Settings Demonstration Project;

9 (17) a statement detailing the admission, risk 10 management, and residency termination criteria and 11 procedures;

(18) a written explanation, prepared by the Office of State Long Term Care Ombudsman, statement listing the rights specified in <u>Sections 80 and Section 95, including</u> an acknowledgment by the establishment and acknowledging that, by contracting with the assisted living or shared housing establishment, the resident does not forfeit those rights;

(19) a statement detailing the Department's annual on-site review process including what documents contained in a resident's personal file shall be reviewed by the on-site reviewer as defined by rule; and

(20) a statement outlining whether the establishment
charges a community fee and, if so, the amount of the fee
and whether it is refundable; if the fee is refundable,
the contract must describe the conditions under which it

HB5012 - 24 - LRB103 36301 CES 66399 b is refundable and how the amount of the refund is 1 2 determined. (Source: P.A. 93-775, eff. 1-1-05; 94-256, eff. 7-19-05.) 3 4 (210 ILCS 9/95) 5 Sec. 95. Resident rights. No resident shall be deprived of 6 any rights, benefits, or privileges guaranteed by law, the Constitution of the State of Illinois, or the Constitution of 7 the United States solely on account of his or her status as a 8 9 resident of an establishment, nor shall a resident forfeit any 10 of the following rights: 11 (1) the right to retain and use personal property and 12 a place to store personal items that is locked and secure; (2) the right to refuse services and to be advised of 13 14 the consequences of that refusal; 15 (3) the right to respect for bodily privacy and 16 all times, especially during care dignity at and treatment: 17 18 (4) the right to the free exercise of religion; 19 (5) the right to privacy with regard to mail, phone calls, and visitors; 20 21 (6) the right to uncensored access to the State 22 Ombudsman or his or her designee; 23 (7) the right to be free of retaliation for 24 criticizing the establishment or making complaints to 25 appropriate agencies;

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(8) the right to be free of chemical and physical 1 2 restraints; (9) the right to be free of abuse or neglect or to 3 refuse to perform labor; 4 5 (10) the right to confidentiality of the resident's 6 medical records; (11) the right of access and the right to copy the 7 8 resident's personal files maintained by the establishment; 9 (12)right 24 hours the to access to the 10 establishment; 11 (13) the right to a minimum of 90 days' 90-days notice 12 of a planned establishment closure; 13 (14) the right to a minimum of 30 days' 30-days notice 14 of an involuntary residency termination, except where the 15 resident poses a threat to himself or others, or in other 16 emergency situations, and the right to appeal such 17 termination; if an establishment withdraws a notice of involuntary termination of residency, then the resident 18 19 has the right to maintain residency at the establishment; 20 and 21 (15) the right to a 30-day notice of delinquency and 22 at least 15 days right to cure delinquency; -23 (16) the right to not be unlawfully transferred or 24 discharged; 25 (17) the right to retain residency during any hospital 26 stay totaling 10 days or less following a hospital

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1 admission; and 2 (18) the right not to be charged for any period during which the resident was unlawfully denied residency. 3 4 (Source: P.A. 91-656, eff. 1-1-01.) 5 Section 10. The Nursing Home Care Act is amended by 6 changing Sections 1-111, 1-114.005, 1-128, 2-104, 2-111, 3-401, 3-401.1, 3-402, 3-404, 3-405, 3-410, 3-411, and 3-413 7 8 and by adding Sections 3-305.6 and 3-413.1 as follows: (210 ILCS 45/1-111) (from Ch. 111 1/2, par. 4151-111) 9 10 Sec. 1-111. "Discharge" means the full release of any 11 resident from a facility. "Discharge" includes a nursing facility's failure to readmit following hospitalization, other 12 medical leave, or other absence. 13 14 (Source: P.A. 81-223.) 15 (210 ILCS 45/1-114.005) 16 Sec. 1-114.005. High risk designation. "High risk designation" means a violation of a provision of the Illinois 17 Administrative Code or statute that has been identified by the 18 19 Department through rulemaking or designated in statute to be 20 inherently necessary to protect the health, safety, and welfare of a resident. "High risk designation" includes an 21 22 unlawful discharge of a resident.

23 (Source: P.A. 96-1372, eff. 7-29-10.)

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(210 ILCS 45/1-128) (from Ch. 111 1/2, par. 4151-128) 1 Sec. 1-128. "Transfer" means a change in status of a 2 3 resident's living arrangements from one facility to another 4 facility. "Transfer" includes a nursing facility's failure to 5 readmit a resident following hospitalization, other medical leave, or other <u>absence</u>, resulting in the resident being moved 6 7 to another institutional setting. 8 (Source: P.A. 81-223.) (210 ILCS 45/2-104) (from Ch. 111 1/2, par. 4152-104) 9 10 Sec. 2-104. (a) A resident shall be permitted to retain the services of his own personal physician at his own expense

11 or under an individual or group plan of health insurance, or 12 13 under any public or private assistance program providing such 14 coverage. However, the facility is not liable for the 15 negligence of any such personal physician. Every resident shall be permitted to obtain from his own physician or the 16 physician attached to the facility complete and current 17 information concerning his medical diagnosis, treatment and 18 prognosis in terms and language the resident can reasonably be 19 20 expected to understand. Every resident shall be permitted to 21 participate in the planning of his total care and medical treatment to the extent that his condition permits. 22 No resident shall be subjected to experimental research or 23 24 treatment without first obtaining his informed, written

consent. The conduct of any experimental research or treatment 1 2 shall be authorized and monitored by an institutional review 3 board appointed by the Director. The membership, operating procedures and review criteria for the institutional review 4 5 board shall be prescribed under rules and regulations of the Department and shall comply with the requirements 6 for 7 institutional review boards established by the federal Food 8 Drug Administration. No person who has and received 9 compensation in the prior 3 years from an entity that 10 manufactures, distributes, or sells pharmaceuticals, 11 biologics, or medical devices may serve on the institutional 12 review board.

13 The institutional review board may approve only research or treatment that meets the standards of the federal Food and 14 Drug Administration with respect to (i) the protection of 15 16 human subjects and (ii) financial disclosure by clinical 17 investigators. The Office of State Long Term Care Ombudsman and the State Protection and Advocacy organization shall be 18 19 given an opportunity to comment on any request for approval 20 before the board makes a decision. Those entities shall not be provided information that would allow a potential human 21 22 subject to be individually identified, unless the board asks 23 the Ombudsman for help in securing information from or about the resident. The board shall require frequent reporting of 24 25 the progress of the approved research or treatment and its 26 impact on residents, including immediate reporting of any

adverse impact to the resident, the resident's representative, 1 2 the Office of the State Long Term Care Ombudsman, and the State 3 Protection and Advocacy organization. The board may not approve any retrospective study of the records of any resident 4 5 about the safety or efficacy of any care or treatment if the resident was under the care of the proposed researcher or a 6 7 business associate when the care or treatment was given, unless the study is under the control of a researcher without 8 9 any business relationship to any person or entity who could 10 benefit from the findings of the study.

11 No facility shall permit experimental research or 12 treatment to be conducted on a resident, or give access to any 13 person or person's records for a retrospective study about the safety or efficacy of any care or treatment, without the prior 14 15 written approval of the institutional review board. No nursing 16 home administrator, or person licensed by the State to provide 17 medical care or treatment to any person, may assist or participate in any experimental research on or treatment of a 18 19 resident, including a retrospective study, that does not have 20 the prior written approval of the board. Such conduct shall be 21 grounds for professional discipline by the Department of 22 Financial and Professional Regulation.

The institutional review board may exempt from ongoing review research or treatment initiated on a resident before the individual's admission to a facility and for which the board determines there is adequate ongoing oversight by

another institutional review board. Nothing in this Section 1 shall prevent a facility, any facility employee, or any other 2 3 person from assisting or participating in any experimental research on or treatment of a resident, if the research or 4 5 treatment began before the person's admission to a facility, until the board has reviewed the research or treatment and 6 decided to grant or deny approval or to exempt the research or 7 8 treatment from ongoing review.

9 The institutional review board requirements of this 10 subsection (a) do not apply to investigational drugs, 11 biological products, or devices used by a resident with a 12 terminal illness as set forth in the Right to Try Act.

13 All medical treatment and procedures (b) shall be 14 administered as ordered by a physician. All new physician 15 orders shall be reviewed by the facility's director of nursing 16 or charge nurse designee within 24 hours after such orders 17 have been issued to assure facility compliance with such orders. 18

All physician's orders and plans of treatment shall have the authentication of the physician. For the purposes of this subsection (b), "authentication" means an original written signature or an electronic signature system that allows for the verification of a signer's credentials. A stamp signature, with or without initials, is not sufficient.

According to rules adopted by the Department, every woman resident of child-bearing age shall receive routine

obstetrical and gynecological evaluations as well as necessary
prenatal care.

(c) Every resident shall be permitted to refuse medical 3 treatment and to know the consequences of such action, unless 4 5 such refusal would be harmful to the health and safety of others and such harm is documented by a physician in the 6 7 resident's clinical record. The resident's refusal shall free 8 the facility from the obligation to provide the treatment. If 9 a resident's refusal of treatment does not endanger other residents or staff, then the refusal of treatment is not 10 11 grounds for discharge.

12 (d) Every resident, resident's guardian, or parent if the 13 resident is a minor shall be permitted to inspect and copy all 14 his clinical and other records concerning his care and 15 maintenance kept by the facility or by his physician. The 16 facility may charge a reasonable fee for duplication of a 17 record.

18 (Source: P.A. 99-270, eff. 1-1-16.)

19 (210 ILCS 45/2-111) (from Ch. 111 1/2, par. 4152-111)
20 Sec. 2-111. <u>A resident shall not be transferred or</u>
21 <u>discharged in violation of this Act. A resident may not be</u>
22 <u>charged for any period during which the resident was</u>
23 <u>unlawfully denied the right to reside in a facility.</u> A
24 resident may be discharged from a facility after he gives the
25 administrator, a physician, or a nurse of the facility written

notice of his desire to be discharged. If a guardian has been 1 2 appointed for a resident or if the resident is a minor, the resident shall be discharged upon written consent of his 3 quardian or if the resident is a minor, his parent unless there 4 5 is a court order to the contrary. In such cases, upon the resident's discharge, the facility is relieved from any 6 7 responsibility for the resident's care, safety or well-being. A resident has the right to not be unlawfully transferred or 8 9 discharged. An unlawful transfer or discharge is, at minimum, 10 a Type A violation.

11 (Source: P.A. 81-223.)

12 (210 ILCS 45/3-305.6 new)

Sec. 3-305.6. Failure to readmit a resident. A facility 13 that fails to comply with an order of the Department to readmit 14 a resident who wishes to return to the facility and is 15 16 appropriate for that level of care, shall be assessed a fine in accordance with a Type A violation. Additionally, a daily fine 17 18 of \$1,250 beginning on the third day after the readmission order was issued by the Department shall be assessed. This 19 fine shall be imposed for every day thereafter, until the 20 21 facility notifies the Department that it is in compliance with 22 the order and a surveyor conducts an on-site inspection that 23 confirms compliance or the resident or resident's 24 representative confirms to the Department in writing that 25 there is compliance.

1	As used in this Section, "compliance with the order" means	
2	a resident is living in a facility, or a facility and a	
3	resident have agreed on a schedule for readmission. If a	
4	resident subsequently notifies the Department that a facility	
5	is not complying with an agreed-upon schedule, a surveyor	
6	shall make an on-site inspection to determine compliance.	
7	(210 ILCS 45/3-401) (from Ch. 111 1/2, par. 4153-401)	
8	Sec. 3-401. A facility may involuntarily transfer or	
9	discharge a resident only for one or more of the following	
10	reasons:	
11	(a) the facility is unable to meet the medical needs	
12	of the resident, as documented in the resident's clinical	
13	record by the resident's physician for medical reasons for	
14	<pre>medical reasons;</pre>	
15	(b) for the resident's physical safety;	
16	(c) for the physical safety of other residents, the	
17	facility staff or facility visitors; or	

(d) for either late payment or nonpayment for the 18 resident's stay, except as prohibited by Titles XVIII and 19 20 XIX of the federal Social Security Act. For purposes of 21 this Section, "late payment" means non-receipt of payment 22 after submission of a bill. If payment is not received within 45 days after submission of a bill, a facility may 23 24 send a notice to the resident and responsible party requesting payment within 30 days. If payment is not 25

received within such 30 days, the facility may thereupon 1 2 institute transfer or discharge proceedings by sending a 3 notice of transfer or discharge to the resident and responsible party by registered or certified mail. The 4 5 notice shall state, in addition to the requirements of Section 3-403 of this Act, that the responsible party has 6 7 the right to pay the amount of the bill in full up to the 8 date the transfer or discharge is to be made and then the 9 resident shall have the right to remain in the facility. 10 Such payment shall terminate the transfer or discharge 11 proceedings. This subsection does not apply to those 12 residents whose care is provided for under the Illinois 13 Public Aid Code. The Department shall adopt rules setting 14 forth the criteria and procedures to be applied in cases 15 of involuntary transfer or discharge permitted under this 16 Section.

17 In nonemergency situations, prior to issuing the notice of transfer or discharge of a resident under subsection (a), (b), 18 19 or (c) of this Section, an attending physician shall conduct 20 an in-person assessment and provide an explanation that in the physician's medical opinion, the safety threshold under the 21 22 Act and the federal regulations has or has not been breached 23 with the findings documented in the resident's clinical 24 record. When the resident has the resident's own physician, 25 that physician and not a physician working for the facility 26 should be the physician that conducts the in-person assessment

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of the resident. In the absence of other bases for transfer or discharge in this Section, unless it has complied with the prior notice and other procedural requirements of this Act, a facility may not refuse to readmit a resident following a medical leave of absence if the resident's need for care does not exceed the provisions of the facility's license.

7 (Source: P.A. 91-357, eff. 7-29-99.)

8 (210 ILCS 45/3-401.1) (from Ch. 111 1/2, par. 4153-401.1) 9 Sec. 3-401.1. (a) A facility participating in the Medical 10 Assistance Program is prohibited from failing or refusing to 11 retain as a resident any person because he or she is a 12 recipient of or an applicant for the Medical Assistance 13 Program. A resident who is in the process of appealing the denial of his or her application for the Medical Assistance 14 15 Program is considered to be a Medicaid applicant under this 16 Section.

(a-5) After the effective date of this amendatory Act of 18 1997, a facility of which only a distinct part is certified to 19 participate in the Medical Assistance Program may refuse to 20 retain as a resident any person who resides in a part of the 21 facility that does not participate in the Medical Assistance 22 Program and who is unable to pay for his or her care in the 23 facility without Medical Assistance only if:

(1) the facility, no later than at the time ofadmission and at the time of the resident's contract

renewal, explains to the resident (unless he or she is incompetent), and to the resident's representative, and to the person making payment on behalf of the resident for the resident's stay, in writing, that the facility may discharge the resident if the resident is no longer able to pay for his or her care in the facility without Medical Assistance;

8 (2) the resident (unless he or she is incompetent), 9 the resident's representative, and the person making 10 payment on behalf of the resident for the resident's stay, 11 acknowledge in writing that they have received the written 12 explanation.

13 (a-10) For the purposes of this Section, a recipient or 14 applicant shall be considered a resident in the facility 15 during any hospital stay totaling 10 days or less following a 16 hospital admission. The Department of Healthcare and Family 17 Services shall recoup funds from a facility when, as a result of the facility's refusal to readmit a recipient after 18 hospitalization for 10 days or less, the recipient incurs 19 20 hospital bills in an amount greater than the amount that would have been paid by that Department (formerly the Illinois 21 22 Department of Public Aid) for care of the recipient in the 23 facility. The amount of the recoupment shall be the difference between the Department of Healthcare and Family Services' 24 25 (formerly the Illinois Department of Public Aid's) payment for 26 hospital care and the amount that Department would have paid - 37 - LRB103 36301 CES 66399 b

1 for care in the facility.

2 (b) A facility which violates this Section shall be guilty 3 of a business offense and fined not less than \$500 nor more 4 than \$1,000 for the first offense and not less than \$1,000 nor 5 more than \$5,000 for each subsequent offense.

6 (Source: P.A. 95-331, eff. 8-21-07.)

7 (210 ILCS 45/3-402) (from Ch. 111 1/2, par. 4153-402)

8 Sec. 3-402. Involuntary transfer or discharge of a 9 resident from a facility shall be preceded by the discussion 10 required under Section 3-408 and by a minimum written notice 11 of 30 21 days, except in one of the following instances:

12 (a) When the resident's attending physician has completed an assessment and determines the resident should be discharged 13 because of the resident's health care needs, an emergency 14 15 discharge may be ordered. When an emergency transfer or 16 discharge is ordered by the resident's attending physician because of the resident's health care needs. The State Long 17 Term Care Ombudsman shall be notified at the time of the 18 19 emergency transfer or discharge.

(b) When the transfer or discharge is mandated by the physical safety of other residents, the facility staff, or facility visitors, as documented in the clinical record. The Department, the Office of State Long Term Care Ombudsman, and the resident's managed care organization, if applicable, and the State Long Term Care Ombudsman shall be notified prior to

1 any such involuntary transfer or discharge. The Department 2 shall immediately offer transfer, or discharge and relocation 3 assistance to residents transferred or discharged under this 4 subparagraph (b), and the Department may place relocation 5 teams as provided in Section 3-419 of this Act.

6 (c) When an identified offender is within the provisional 7 admission period defined in Section 1-120.3. If the Identified 8 Offender Report and Recommendation prepared under Section 9 2-201.6 shows that the identified offender poses a serious 10 threat or danger to the physical safety of other residents, 11 the facility staff, or facility visitors in the admitting 12 facility and the facility determines that it is unable to provide a safe environment for the other residents, the 13 facility staff, or facility visitors, the facility shall 14 15 transfer or discharge the identified offender within 3 days after its receipt of the Identified Offender Report and 16 17 Recommendation.

18 (Source: P.A. 103-320, eff. 1-1-24.)

19 (210 ILCS 45/3-404) (from Ch. 111 1/2, par. 4153-404)

Sec. 3-404. A request for a hearing made under Section 3-403 shall stay a transfer <u>or discharge</u> pending a hearing or appeal of the decision, unless a condition which would have allowed transfer or discharge in less than <u>30</u> 21 days as described under paragraphs (a) and (b) of Section 3-402 develops in the interim.

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1 (Source: P.A. 81-223.)

(210 ILCS 45/3-405) (from Ch. 111 1/2, par. 4153-405) 2 3 Sec. 3-405. A copy of the notice required by Section 3-402 4 shall be placed in the resident's clinical record and a copy 5 shall be transmitted to the Department, the State Long Term 6 Ombudsman, the resident, the resident's Care and 7 representative, if any, the resident's managed care organization, if applicable, and the Office of State Long Term 8 9 Care Ombudsman.

10 (Source: P.A. 103-320, eff. 1-1-24.)

11 (210 ILCS 45/3-410) (from Ch. 111 1/2, par. 4153-410)

12 Sec. 3-410. A resident subject to involuntary transfer or 13 discharge from a facility, the resident's guardian or if the 14 resident is a minor, his parent shall have the opportunity to 15 file a request for a hearing with the Department within 10 days following receipt of the written notice of the involuntary 16 17 transfer or discharge by the facility. A long term care ombudsman may request a hearing on behalf of the resident, and 18 secure representation for the resident, if, in the judgment of 19 20 the long term care ombudsman, doing so is in the best interests of the resident, and the resident does not object. 21

22 (Source: P.A. 81-223.)

23

(210 ILCS 45/3-411) (from Ch. 111 1/2, par. 4153-411)

Sec. 3-411. The Department of Public Health, when the 1 basis for involuntary transfer or discharge is other than

2 action by the Department of Healthcare and Family Services 3 (formerly Department of Public Aid) with respect to the Title 4 5 XIX Medicaid recipient, shall hold a hearing at the resident's facility not later than 10 days after a hearing request is 6 7 filed, and render a decision within 14 days after the filing of 8 hearing The Department has continuing the request. 9 jurisdiction over the transfer or discharge irrespective of 10 the timing of the hearing and decision. Once a request for a 11 hearing is filed, the Department shall hold a hearing unless 12 the request is withdrawn by the resident. If the request for a 13 hearing is withdrawn based upon a representation made by the 14 facility to the resident and the Department, including the hearing officer, that a resident who has been denied 15 16 readmission will be readmitted, and the resident or resident 17 representative notifies the Department that the facility is still denying readmission, failure to readmit is considered 18 19 failure to comply with a Department order to readmit pursuant 20 to Section 3-305.6, including the imposition of a daily fine 21 under Section 3-305.6.

(Source: P.A. 95-331, eff. 8-21-07.) 22

(210 ILCS 45/3-413) (from Ch. 111 1/2, par. 4153-413) 23 24 Sec. 3-413. If the Department determines that a transfer 25 or discharge is authorized under Section 3-401, the resident

shall not be required to leave the facility before the 34th day 1 2 following receipt of the notice required under Section 3-402, 3 or the 10th day following receipt of the Department's decision, whichever is later, unless a condition which would 4 5 have allowed transfer or discharge in less than 30 $\frac{21}{21}$ days as described under paragraphs (a) and (b) of Section 3-402 6 7 develops in the interim. The Department maintains jurisdiction over the transfer or discharge irrespective of the timing of 8 9 the notice and discharge.

10 (Source: P.A. 81-223.)

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11 (210 ILCS 45/3-413.1 new)

12 Sec. 3-413.1. Denial of transfer or discharge. If the 13 Department determines that a transfer or discharge is not authorized under Section 3-401, then the Department shall 14 15 issue a written decision stating that the transfer or 16 discharge is denied. If the action of the facility giving rise to the request for hearings is the facility's failure to 17 18 readmit the resident following hospitalization, other medical leave of absence, or other absence, then the Department shall 19 order the immediate readmission of the resident to the 20 21 facility. The facility shall comply with the order 22 immediately. A surveyor shall make an on-site inspection of 23 the facility's compliance with the order unless the resident 24 or resident representative notifies the Department in writing 25 that there is compliance.

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