



103RD GENERAL ASSEMBLY

State of Illinois

2023 and 2024

HB4286

Introduced 1/16/2024, by Rep. Ryan Spain

SYNOPSIS AS INTRODUCED:

5 ILCS 430/5-45

Amends the State Officials and Employees Ethics Act. Provides that an officer of the executive branch, a member of the General Assembly, a person whose appointment to office is subject to the advice and consent of the Senate, or a person who is the head of a department, commission, board, division, bureau, authority, or other administrative unit within the government of the State who takes office after the effective date of the amendatory Act may not engage in activities at the State level that require registration under the Lobbyist Registration Act until 3 years after leaving office. Currently, officers of the executive branch and members of the General Assembly may not engage in activities at the State level that require registration under the Lobbyist Registration Act during the term of which he or she was elected or appointed until 6 months after leaving office. Effective immediately.

LRB103 35377 AWJ 65442 b

1 AN ACT concerning government.

2 **Be it enacted by the People of the State of Illinois,**
3 **represented in the General Assembly:**

4 Section 5. The State Officials and Employees Ethics Act is
5 amended by changing Section 5-45 as follows:

6 (5 ILCS 430/5-45)

7 Sec. 5-45. Procurement; revolving door prohibition.

8 (a) No former officer, member, or State employee, or
9 spouse or immediate family member living with such person,
10 shall, within a period of one year immediately after
11 termination of State employment, knowingly accept employment
12 or receive compensation or fees for services from a person or
13 entity if the officer, member, or State employee, during the
14 year immediately preceding termination of State employment,
15 participated personally and substantially in the award or
16 fiscal administration of State contracts, or the issuance of
17 State contract change orders, with a cumulative value of
18 \$25,000 or more to the person or entity, or its parent or
19 subsidiary.

20 (a-5) No officer, member, or spouse or immediate family
21 member living with such person shall, during the officer or
22 member's term in office or within a period of 2 years
23 immediately leaving office, hold an ownership interest, other

1 than a passive interest in a publicly traded company, in any
2 gaming license under the Illinois Gambling Act, the Video
3 Gaming Act, the Illinois Horse Racing Act of 1975, or the
4 Sports Wagering Act. Any member of the General Assembly or
5 spouse or immediate family member living with such person who
6 has an ownership interest, other than a passive interest in a
7 publicly traded company, in any gaming license under the
8 Illinois Gambling Act, the Illinois Horse Racing Act of 1975,
9 the Video Gaming Act, or the Sports Wagering Act at the time of
10 the effective date of this amendatory Act of the 101st General
11 Assembly shall divest himself or herself of such ownership
12 within one year after the effective date of this amendatory
13 Act of the 101st General Assembly. No State employee who works
14 for the Illinois Gaming Board or Illinois Racing Board or
15 spouse or immediate family member living with such person
16 shall, during State employment or within a period of 2 years
17 immediately after termination of State employment, hold an
18 ownership interest, other than a passive interest in a
19 publicly traded company, in any gaming license under the
20 Illinois Gambling Act, the Video Gaming Act, the Illinois
21 Horse Racing Act of 1975, or the Sports Wagering Act.

22 (a-10) This subsection (a-10) applies on and after June
23 25, 2021. No officer, member, or spouse or immediate family
24 member living with such person, shall, during the officer or
25 member's term in office or within a period of 2 years
26 immediately after leaving office, hold an ownership interest,

1 other than a passive interest in a publicly traded company, in
2 any cannabis business establishment which is licensed under
3 the Cannabis Regulation and Tax Act. Any member of the General
4 Assembly or spouse or immediate family member living with such
5 person who has an ownership interest, other than a passive
6 interest in a publicly traded company, in any cannabis
7 business establishment which is licensed under the Cannabis
8 Regulation and Tax Act at the time of the effective date of
9 this amendatory Act of the 101st General Assembly shall divest
10 himself or herself of such ownership within one year after the
11 effective date of this amendatory Act of the 101st General
12 Assembly.

13 No State employee who works for any State agency that
14 regulates cannabis business establishment license holders who
15 participated personally and substantially in the award of
16 licenses under the Cannabis Regulation and Tax Act or a spouse
17 or immediate family member living with such person shall,
18 during State employment or within a period of 2 years
19 immediately after termination of State employment, hold an
20 ownership interest, other than a passive interest in a
21 publicly traded company, in any cannabis license under the
22 Cannabis Regulation and Tax Act.

23 (b) No former officer of the executive branch or State
24 employee of the executive branch with regulatory or licensing
25 authority, or spouse or immediate family member living with
26 such person, shall, within a period of one year immediately

1 after termination of State employment, knowingly accept
2 employment or receive compensation or fees for services from a
3 person or entity if the officer or State employee, during the
4 year immediately preceding termination of State employment,
5 participated personally and substantially in making a
6 regulatory or licensing decision that directly applied to the
7 person or entity, or its parent or subsidiary.

8 (b-5) For an officer of the executive branch taking office
9 on January 1, 2022 through the effective date of this
10 amendatory Act of the 103rd General Assembly, the officer may
11 not ~~Beginning January 1, 2022, no former officer of the~~
12 ~~executive branch shall~~ engage in activities at the State level
13 that require registration under the Lobbyist Registration Act
14 during the term of which he or she was elected or appointed
15 until 6 months after leaving office. For an officer of the
16 executive branch taking office after the effective date of
17 this amendatory Act of the 103rd General Assembly, the officer
18 may not engage in activities at the State level that require
19 registration under the Lobbyist Registration Act until 3 years
20 after leaving office.

21 (b-6) Notwithstanding any other provisions in this Act,
22 for a person whose appointment to office after the effective
23 date of this amendatory Act of the 103rd General Assembly is
24 subject to the advice and consent of the Senate or a person who
25 is the head of a department, commission, board, division,
26 bureau, authority, or other administrative unit within the

1 government of this State who takes office after the effective
2 date of this amendatory Act of the 103rd General Assembly, the
3 person may not engage in activities at the State level that
4 require registration under the Lobbyist Registration Act until
5 3 years after leaving office.

6 (b-7) For a member taking office on the second Wednesday
7 in January of 2023 through the effective date of this
8 amendatory Act of the 103rd General Assembly, the member may
9 not ~~Beginning the second Wednesday in January of 2023, no~~
10 ~~former member shall~~ engage in activities at the State level
11 that require registration under the Lobbyist Registration Act
12 in a General Assembly of which he or she was a member until 6
13 months after leaving office. For a member taking office after
14 the effective date of this amendatory Act of the 103rd General
15 Assembly, the member may not engage in activities at the State
16 level that require registration under the Lobbyist
17 Registration Act until 3 years after leaving office.

18 (c) Within 6 months after the effective date of this
19 amendatory Act of the 96th General Assembly, each executive
20 branch constitutional officer and legislative leader, the
21 Auditor General, and the Joint Committee on Legislative
22 Support Services shall adopt a policy delineating which State
23 positions under his or her jurisdiction and control, by the
24 nature of their duties, may have the authority to participate
25 personally and substantially in the award or fiscal
26 administration of State contracts or in regulatory or

1 licensing decisions. The Governor shall adopt such a policy
2 for all State employees of the executive branch not under the
3 jurisdiction and control of any other executive branch
4 constitutional officer.

5 The policies required under subsection (c) of this Section
6 shall be filed with the appropriate ethics commission
7 established under this Act or, for the Auditor General, with
8 the Office of the Auditor General.

9 (d) Each Inspector General shall have the authority to
10 determine that additional State positions under his or her
11 jurisdiction, not otherwise subject to the policies required
12 by subsection (c) of this Section, are nonetheless subject to
13 the notification requirement of subsection (f) below due to
14 their involvement in the award or fiscal administration of
15 State contracts or in regulatory or licensing decisions.

16 (e) The Joint Committee on Legislative Support Services,
17 the Auditor General, and each of the executive branch
18 constitutional officers and legislative leaders subject to
19 subsection (c) of this Section shall provide written
20 notification to all employees in positions subject to the
21 policies required by subsection (c) or a determination made
22 under subsection (d): (1) upon hiring, promotion, or transfer
23 into the relevant position; and (2) at the time the employee's
24 duties are changed in such a way as to qualify that employee.
25 An employee receiving notification must certify in writing
26 that the person was advised of the prohibition and the

1 requirement to notify the appropriate Inspector General in
2 subsection (f).

3 (f) Any State employee in a position subject to the
4 policies required by subsection (c) or to a determination
5 under subsection (d), but who does not fall within the
6 prohibition of subsection (h) below, who is offered non-State
7 employment during State employment or within a period of one
8 year immediately after termination of State employment shall,
9 prior to accepting such non-State employment, notify the
10 appropriate Inspector General. Within 10 calendar days after
11 receiving notification from an employee in a position subject
12 to the policies required by subsection (c), such Inspector
13 General shall make a determination as to whether the State
14 employee is restricted from accepting such employment by
15 subsection (a) or (b). In making a determination, in addition
16 to any other relevant information, an Inspector General shall
17 assess the effect of the prospective employment or
18 relationship upon decisions referred to in subsections (a) and
19 (b), based on the totality of the participation by the former
20 officer, member, or State employee in those decisions. A
21 determination by an Inspector General must be in writing,
22 signed and dated by the Inspector General, and delivered to
23 the subject of the determination within 10 calendar days or
24 the person is deemed eligible for the employment opportunity.
25 For purposes of this subsection, "appropriate Inspector
26 General" means (i) for members and employees of the

1 legislative branch, the Legislative Inspector General; (ii)
2 for the Auditor General and employees of the Office of the
3 Auditor General, the Inspector General provided for in Section
4 30-5 of this Act; and (iii) for executive branch officers and
5 employees, the Inspector General having jurisdiction over the
6 officer or employee. Notice of any determination of an
7 Inspector General and of any such appeal shall be given to the
8 ultimate jurisdictional authority, the Attorney General, and
9 the Executive Ethics Commission.

10 (g) An Inspector General's determination regarding
11 restrictions under subsection (a) or (b) may be appealed to
12 the appropriate Ethics Commission by the person subject to the
13 decision or the Attorney General no later than the 10th
14 calendar day after the date of the determination.

15 On appeal, the Ethics Commission or Auditor General shall
16 seek, accept, and consider written public comments regarding a
17 determination. In deciding whether to uphold an Inspector
18 General's determination, the appropriate Ethics Commission or
19 Auditor General shall assess, in addition to any other
20 relevant information, the effect of the prospective employment
21 or relationship upon the decisions referred to in subsections
22 (a) and (b), based on the totality of the participation by the
23 former officer, member, or State employee in those decisions.
24 The Ethics Commission shall decide whether to uphold an
25 Inspector General's determination within 10 calendar days or
26 the person is deemed eligible for the employment opportunity.

1 (h) The following officers, members, or State employees
2 shall not, within a period of one year immediately after
3 termination of office or State employment, knowingly accept
4 employment or receive compensation or fees for services from a
5 person or entity if the person or entity or its parent or
6 subsidiary, during the year immediately preceding termination
7 of State employment, was a party to a State contract or
8 contracts with a cumulative value of \$25,000 or more involving
9 the officer, member, or State employee's State agency, or was
10 the subject of a regulatory or licensing decision involving
11 the officer, member, or State employee's State agency,
12 regardless of whether he or she participated personally and
13 substantially in the award or fiscal administration of the
14 State contract or contracts or the making of the regulatory or
15 licensing decision in question:

16 (1) members or officers;

17 (2) members of a commission or board created by the
18 Illinois Constitution;

19 (3) persons whose appointment to office is subject to
20 the advice and consent of the Senate;

21 (4) the head of a department, commission, board,
22 division, bureau, authority, or other administrative unit
23 within the government of this State;

24 (5) chief procurement officers, State purchasing
25 officers, and their designees whose duties are directly
26 related to State procurement;

1 (6) chiefs of staff, deputy chiefs of staff, associate
2 chiefs of staff, assistant chiefs of staff, and deputy
3 governors, or any other position that holds an equivalent
4 level of managerial oversight;

5 (7) employees of the Illinois Racing Board; and

6 (8) employees of the Illinois Gaming Board.

7 (i) For the purposes of this Section, with respect to
8 officers or employees of a regional transit board, as defined
9 in this Act, the phrase "person or entity" does not include:

10 (i) the United States government, (ii) the State, (iii)
11 municipalities, as defined under Article VII, Section 1 of the
12 Illinois Constitution, (iv) units of local government, as
13 defined under Article VII, Section 1 of the Illinois
14 Constitution, or (v) school districts.

15 (Source: P.A. 101-31, eff. 6-28-19; 101-593, eff. 12-4-19;
16 102-664, eff. 1-1-22.)

17 Section 99. Effective date. This Act takes effect upon
18 becoming law.