

Rep. Will Guzzardi

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10300HB3763ham001 LRB103 30532 RJT 71625 a 1 AMENDMENT TO HOUSE BILL 3763 2 AMENDMENT NO. . Amend House Bill 3763 by replacing everything after the enacting clause with the following: 3 "Section 5. The Personnel Record Review Act is amended by 4 changing Sections 2, 9, 10 and 12 as follows: 5 6 (820 ILCS 40/2) (from Ch. 48, par. 2002) 7 Sec. 2. Open records. (a) Upon request in writing to their employer, every 8 employee has a legal right under this Act to inspect, copy, and 9 10 receive copies of the following documents: Every employer shall, upon an employee's request which the employer may 11 require be in writing on a form supplied by the employer, 12 13 permit the employee to inspect (1) any personnel documents which are, have been or 14 15 are intended to be used in determining that employee's

qualifications for employment, promotion, transfer,

1	additional compensation, benefits, discharge, or other
2	disciplinary action, except as provided in Section 10;
3	(2) any employment-related contracts or agreements
4	that the employer maintains are legally binding on the
5	<pre>employee;</pre>
6	(3) any employee handbooks that the employer made
7	available to the employee or that the employee
8	acknowledged receiving; and
9	(4) any written employer policies or procedures that
10	the employer contends the employee was subject to and that
11	concern qualifications for employment, promotion,
12	transfer, compensation, benefits, discharge, or other
13	disciplinary action.
14	The inspection right encompasses personnel documents in
15	the possession of a person, corporation, partnership, or other
16	association having a contractual agreement with the employer
17	to keep or supply a personnel record. An employee <u>does not have</u>
18	a legal right under this Act to the documents categorized may
19	request all or any part of his or her records, except as
20	provided in Section 10.
21	(b) The employer, upon an employee's written request,
22	shall grant at least 2 inspection requests by an employee in a
23	calendar year to inspect, copy, and receive copies of records
24	to which that employee has a legal right under this Act.
25	Requests shall be: when requests are

(1) made at reasonable intervals, unless otherwise

provided in a collective bargaining agreement; and.

2	(2) made to a person responsible for maintaining the
3	employer's personnel records, including the employer's
4	human resources department, payroll department, the
5	employee's supervisor or department manager, or to ar
6	individual as provided in the employer's written policy.
7	(c) A written request shall:
8	(1) identify what personnel records the employee is
9	requesting or if the employee is requesting all of the
10	records allowed to be requested under this Section;
11	(2) specify if the employee is requesting to inspect,
12	copy, or receive copies of the records;
13	(3) specify whether records be provided in hardcopy or
14	in a reasonable and commercially available electronic
15	format; and
16	(4) specify whether inspection, copying, or receipt of
17	copies will be performed by that employee's
18	representative, including family members, lawyers, unior
19	stewards, other union officials, or translators.
20	(d) The employer shall comply with the employee's request
21	provide the employee with the inspection opportunity within 7
22	working days after the <u>receipt of</u> employee makes the request <u>,</u>
23	or $_{m L}$ if the employer can reasonably show that such deadline
24	cannot be met, the employer shall have an additional 7
25	calendar days to comply. If an employer does not maintair
26	records in one or more of the categories requested, the

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employer may respond in writing notifying the employee that the employer does not maintain records in the category, but must still permit inspection, copying, and receipt of copies as required by subsection (b) of any other category requested as to which the employer does maintain records. Any in-person The inspection shall take place at a location reasonably near the employee's place of employment and during normal working hours. The employer may allow the inspection to take place at a time other than working hours or at a place other than where the records are maintained if that time or place would be more convenient for the employee. Nothing in this Act shall be construed as a requirement that an employee be permitted to remove any part of such personnel records or any part of such records from the place on the employer's premises where it is made available for inspection. Each employer shall retain the right to protect his records from loss, damage, or alteration to <u>ensure</u> the integrity of the records. The employer shall, upon the employee's written request, email or mail a copy of the requested record to the employee by the email address or mailing address identified by the employee for the purpose of receiving the copy of requested record. An employer may charge a fee for providing a copy of the requested record. The fee shall be limited to the actual cost of duplicating the requested record and may not include the imputed costs of time spent duplicating the information, the purchase or rental of copying machines, the purchase or rental of computer

- 1 equipment, the purchase, rental, or licensing of software, or
- 2 <u>any other similar expenses</u>.

- 3 (e) As used in this Section, "written request" includes
- 4 any electronic communications, such as email or text messages.
- 5 (Source: P.A. 103-201, eff. 1-1-24.)

of the personnel record.

- 6 (820 ILCS 40/9) (from Ch. 48, par. 2009)
- 7 Sec. 9. An employer shall not gather or keep a record of an 8 employee's associations, political activities, publications, 9 communications or nonemployment activities, unless 10 emplovee submits the information in writing or gives authorizes the employer express, written consent when the 11 employer keeps or gathers in writing to keep or gather the 12 13 information. This prohibition shall not apply to 14 activities or associations with individuals or groups involved 15 in the physical, sexual, or other exploitation of a minor or (ii) the activities that occur on the employer's premises or 16 during the employee's working hours with that employer which 17 interfere with the performance of the employee's duties or the 18 19 duties of other employees or activities, regardless of when and where occurring, which constitute criminal conduct or may 20 21 reasonably be expected to harm the employer's property, 22 operations or business, or could by the employee's action 23 cause the employer financial liability. A record which is kept 24 by the employer as permitted under this Section shall be part

- 1 (Source: P.A. 101-531, eff. 8-23-19.)
- 2 (820 ILCS 40/10) (from Ch. 48, par. 2010)
- 3 Sec. 10. Exceptions. The right of the employee or the
- 4 employee's designated representative to inspect his or her
- 5 personnel records does not apply to:
- 6 (a) Letters of reference for that employee or external
- 7 peer review documents for academic employees of institutions
- 8 of higher education.
- 9 (b) Any portion of a test document, except that the
- 10 employee may see a cumulative total test score for either a
- 11 section of or the entire test document.
- 12 (c) Materials relating to the employer's staff planning,
- 13 such as matters relating to the business' development,
- 14 expansion, closing or operational goals, where the materials
- relate to or affect more than one employee, provided, however,
- that this exception does not apply if such materials are, have
- been or are intended to be used by the employer in determining
- 18 an individual employee's qualifications for employment,
- 19 promotion, transfer, or additional compensation, or benefits,
- 20 or in determining an individual employee's discharge or
- 21 discipline.
- 22 (d) Information of a personal nature about a person other
- 23 than the employee if disclosure of the information would
- 24 constitute a clearly unwarranted invasion of the other
- 25 person's privacy.

- 1 (e) An employer who does not maintain any personnel records.
- 3 (f) Records relevant to any other pending claim between 4 the employer and employee which may be discovered in a 5 judicial proceeding.
- (g) Investigatory or security records maintained by an 6 employer to investigate criminal conduct by an employee or 7 8 other activity by the employee which could reasonably be 9 expected to harm the employer's property, operations, or 10 business or could by the employee's activity cause the employer financial liability, unless and until the employer 11 takes adverse personnel action based on information in such 12 13 records.
- 14 <u>(h) An employer's trade secrets, client lists, sales</u>
 15 projections, and financial data.
- 16 (Source: P.A. 85-1440.)
- 17 (820 ILCS 40/12) (from Ch. 48, par. 2012)
- 18 Sec. 12. Administration and enforcement of the Act.
- 19 (a) The Director of Labor or his authorized representative 20 shall administer and enforce the provisions of this Act. The 21 Director of Labor may issue rules and regulations necessary to 22 administer and enforce the provisions of this Act.
- 23 (b) If an employee alleges that he or she has been denied 24 his or her rights under this Act, he or she may file a 25 complaint with the Department of Labor. The Department shall

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investigate the complaint and shall have authority to request the issuance of a search warrant or subpoena to inspect the files of the employer, if necessary. The Department shall attempt to resolve the complaint by conference, conciliation, or persuasion. If the complaint is not so resolved and the Department finds the employer has violated the Act, the Department may commence an action in the circuit court to enforce the provisions of this Act including an action to compel compliance. The circuit court for the county in which the complainant resides, in which the complainant is employed, or in which the personnel record is maintained shall have jurisdiction in such actions.

this Act and the Department has failed to resolve the complaint within 180 days after the complaint is filed with the Department, or the Department certifies in writing that it is unlikely to be able to resolve the complaint within that 180 days, an employee may commence an action in the circuit court to enforce the provisions of this Act, including actions to compel compliance, where efforts to resolve the employee's complaint concerning such violation by conference, conciliation or persuasion pursuant to subsection (b) have failed and the Department has not commenced an action in circuit court to redress such violation. The circuit court for the county in which the complainant resides, in which the complainant is employed, or in which the personnel record is

- 1 maintained shall have jurisdiction in such actions.
- 2 (d) Failure to comply with an order of the court may be
- 3 punished as contempt. In addition, the court shall award an
- 4 employee prevailing in an action pursuant to this Act the
- 5 following damages:
- 6 (1) Actual damages plus costs.
- 7 (2) For a willful and knowing violation of this Act,
- 8 \$200 plus costs, reasonable attorney's fees, and actual
- 9 damages.
- 10 (e) Any employer or his agent who violates the provisions
- of this Act is guilty of a petty offense.
- 12 (f) Any employer or his agent, or the officer or agent of
- any private employer, who discharges or in any other manner
- 14 discriminates against any employee because that employee has
- made a complaint to his employer, or to the Director or his
- authorized representative, or because that employee has caused
- 17 to be instituted or is about to cause to be instituted any
- 18 proceeding under or related to this Act, or because that
- 19 employee has testified or is about to testify in an
- 20 investigation or proceeding under this Act, is guilty of a
- 21 petty offense.
- 22 (Source: P.A. 84-525.)
- 23 (820 ILCS 40/5 rep.)
- Section 10. The Personnel Record Review Act is amended by
- 25 repealing Section 5.".