

1 AN ACT concerning regulation.

2 **Be it enacted by the People of the State of Illinois,**
3 **represented in the General Assembly:**

4 Section 5. The Nursing Home Care Act is amended by
5 changing Section 2-106.1 as follows:

6 (210 ILCS 45/2-106.1)

7 Sec. 2-106.1. Drug treatment.

8 (a) A resident shall not be given unnecessary drugs. An
9 unnecessary drug is any drug used in an excessive dose,
10 including in duplicative therapy; for excessive duration;
11 without adequate monitoring; without adequate indications for
12 its use; or in the presence of adverse consequences that
13 indicate the drugs should be reduced or discontinued. The
14 Department shall adopt, by rule, the standards for unnecessary
15 drugs contained in interpretive guidelines issued by the
16 United States Department of Health and Human Services for the
17 purposes of administering Titles XVIII and XIX of the Social
18 Security Act.

19 (b) Except in the case of an emergency, psychotropic
20 medication shall not be administered without the informed
21 consent of the resident or the resident's surrogate decision
22 maker. "Psychotropic medication" means medication that is used
23 for or listed as used for psychotropic, antidepressant,

1 antimanic, or antianxiety behavior modification or behavior
2 management purposes in the latest editions of the AMA Drug
3 Evaluations or the Physician's Desk Reference. "Emergency" has
4 the same meaning as in Section 1-112 of the Nursing Home Care
5 Act. A facility shall (i) document the alleged emergency in
6 detail, including the facts surrounding the medication's need,
7 and (ii) present this documentation to the resident and the
8 resident's representative. ~~The No later than January 1, 2021,~~
9 ~~the~~ Department shall adopt, by rule, a protocol specifying how
10 informed consent for psychotropic medication may be obtained
11 or refused. The protocol shall require, at a minimum, a
12 discussion between (i) the resident or the resident's
13 surrogate decision maker and (ii) the resident's physician, a
14 registered pharmacist ~~(who is not a dispensing pharmacist for~~
15 ~~the facility where the resident lives),~~ or a licensed nurse,
16 including, but not limited to, a licensed practical nurse,
17 about the possible risks and benefits of a recommended
18 medication and the use of standardized consent forms
19 designated by the Department. The protocol shall include
20 informing the resident, surrogate decision maker, or both of
21 the existence of a copy of: the resident's care plan; the
22 facility policies and procedures adopted in compliance with
23 subsection (b-15) of this Section; and a notification that the
24 most recent of the resident's care plans and the facility's
25 policies are available to the resident or surrogate decision
26 maker upon request. Each form designated or developed by the

1 Department (i) shall be written in plain language, (ii) shall
2 be able to be downloaded from the Department's official
3 website or another website designated by the Department, (iii)
4 shall include information specific to the psychotropic
5 medication for which consent is being sought, and (iv) shall
6 be used for every resident for whom psychotropic drugs are
7 prescribed. The Department shall utilize the rules, protocols,
8 and forms developed and implemented under the Specialized
9 Mental Health Rehabilitation Act of 2013 in effect on the
10 effective date of this amendatory Act of the 101st General
11 Assembly, except to the extent that this Act requires a
12 different procedure, and except that the maximum possible
13 period for informed consent shall be until: (1) a change in the
14 prescription occurs, either as to type of psychotropic
15 medication or an increase or decrease in dosage, dosage range,
16 or titration schedule of the prescribed medication that was
17 not included in the original informed consent; or (2) a
18 resident's care plan changes. The Department may further amend
19 the rules after January 1, 2021 pursuant to existing
20 rulemaking authority. In addition to creating those forms, the
21 Department shall approve the use of any other informed consent
22 forms that meet criteria developed by the Department. At the
23 discretion of the Department, informed consent forms may
24 include side effects that the Department reasonably believes
25 are more common, with a direction that more complete
26 information can be found via a link on the Department's

1 website to third-party websites with more complete
2 information, such as the United States Food and Drug
3 Administration's website. The Department or a facility shall
4 incur no liability for information provided on a consent form
5 so long as the consent form is substantially accurate based
6 upon generally accepted medical principles and if the form
7 includes the website links.

8 Informed consent shall be sought from the resident. For
9 the purposes of this Section, "surrogate decision maker" means
10 an individual representing the resident's interests as
11 permitted by this Section. Informed consent shall be sought by
12 the resident's guardian of the person if one has been named by
13 a court of competent jurisdiction. In the absence of a
14 court-ordered guardian, informed consent shall be sought from
15 a health care agent under the Illinois Power of Attorney Act
16 who has authority to give consent. If neither a court-ordered
17 guardian of the person nor a health care agent under the
18 Illinois Power of Attorney Act is available and the attending
19 physician determines that the resident lacks capacity to make
20 decisions, informed consent shall be sought from the
21 resident's attorney-in-fact designated under the Mental Health
22 Treatment Preference Declaration Act, if applicable, or the
23 resident's representative.

24 In addition to any other penalty prescribed by law, a
25 facility that is found to have violated this subsection, or
26 the federal certification requirement that informed consent be

1 obtained before administering a psychotropic medication, shall
2 thereafter be required to obtain the signatures of 2 licensed
3 health care professionals on every form purporting to give
4 informed consent for the administration of a psychotropic
5 medication, certifying the personal knowledge of each health
6 care professional that the consent was obtained in compliance
7 with the requirements of this subsection.

8 (b-5) A facility must obtain voluntary informed consent,
9 in writing, from a resident or the resident's surrogate
10 decision maker before administering or dispensing a
11 psychotropic medication to that resident. When informed
12 consent is not required for a change in dosage, the facility
13 shall note in the resident's file that the resident was
14 informed of the dosage change prior to the administration of
15 the medication or that verbal, written, or electronic notice
16 has been communicated to the resident's surrogate decision
17 maker that a change in dosage has occurred.

18 (b-10) No facility shall deny continued residency to a
19 person on the basis of the person's or resident's, or the
20 person's or resident's surrogate decision maker's, refusal of
21 the administration of psychotropic medication, unless the
22 facility can demonstrate that the resident's refusal would
23 place the health and safety of the resident, the facility
24 staff, other residents, or visitors at risk.

25 A facility that alleges that the resident's refusal to
26 consent to the administration of psychotropic medication will

1 place the health and safety of the resident, the facility
2 staff, other residents, or visitors at risk must: (1) document
3 the alleged risk in detail; (2) present this documentation to
4 the resident or the resident's surrogate decision maker, to
5 the Department, and to the Office of the State Long Term Care
6 Ombudsman; and (3) inform the resident or his or her surrogate
7 decision maker of his or her right to appeal to the Department.
8 The documentation of the alleged risk shall include a
9 description of all nonpharmacological or alternative care
10 options attempted and why they were unsuccessful.

11 (b-15) Within 100 days after the effective date of any
12 rules adopted by the Department under subsection (b) of this
13 Section, all facilities shall implement written policies and
14 procedures for compliance with this Section. When the
15 Department conducts its annual survey of a facility, the
16 surveyor may review these written policies and procedures and
17 either:

18 (1) give written notice to the facility that the
19 policies or procedures are sufficient to demonstrate the
20 facility's intent to comply with this Section; or

21 (2) provide written notice to the facility that the
22 proposed policies and procedures are deficient, identify
23 the areas that are deficient, and provide 30 days for the
24 facility to submit amended policies and procedures that
25 demonstrate its intent to comply with this Section.

26 A facility's failure to submit the documentation required

1 under this subsection is sufficient to demonstrate its intent
2 to not comply with this Section and shall be grounds for review
3 by the Department.

4 All facilities must provide training and education on the
5 requirements of this Section to all personnel involved in
6 providing care to residents and train and educate such
7 personnel on the methods and procedures to effectively
8 implement the facility's policies. Training and education
9 provided under this Section must be documented in each
10 personnel file.

11 (b-20) Upon the receipt of a report of any violation of
12 this Section, the Department shall investigate and, upon
13 finding sufficient evidence of a violation of this Section,
14 may proceed with disciplinary action against the licensee of
15 the facility. In any administrative disciplinary action under
16 this subsection, the Department shall have the discretion to
17 determine the gravity of the violation and, taking into
18 account mitigating and aggravating circumstances and facts,
19 may adjust the disciplinary action accordingly.

20 (b-25) A violation of informed consent that, for an
21 individual resident, lasts for 7 days or more under this
22 Section is, at a minimum, a Type "B" violation. A second
23 violation of informed consent within a year from a previous
24 violation in the same facility regardless of the duration of
25 the second violation is, at a minimum, a Type "B" violation.

26 (b-30) Any violation of this Section by a facility may be

1 enforced by an action brought by the Department in the name of
2 the People of Illinois for injunctive relief, civil penalties,
3 or both injunctive relief and civil penalties. The Department
4 may initiate the action upon its own complaint or the
5 complaint of any other interested party.

6 (b-35) Any resident who has been administered a
7 psychotropic medication in violation of this Section may bring
8 an action for injunctive relief, civil damages, and costs and
9 attorney's fees against any facility responsible for the
10 violation.

11 (b-40) An action under this Section must be filed within 2
12 years of either the date of discovery of the violation that
13 gave rise to the claim or the last date of an instance of a
14 noncompliant administration of psychotropic medication to the
15 resident, whichever is later.

16 (b-45) A facility subject to action under this Section
17 shall be liable for damages of up to \$500 for each day after
18 discovery of a violation that the facility violates the
19 requirements of this Section.

20 (b-55) The rights provided for in this Section are
21 cumulative to existing resident rights. No part of this
22 Section shall be interpreted as abridging, abrogating, or
23 otherwise diminishing existing resident rights or causes of
24 action at law or equity.

25 (c) The requirements of this Section are intended to
26 control in a conflict with the requirements of Sections 2-102

1 and 2-107.2 of the Mental Health and Developmental
2 Disabilities Code with respect to the administration of
3 psychotropic medication.

4 (Source: P.A. 101-10, eff. 6-5-19.)

5 Section 99. Effective date. This Act takes effect upon
6 becoming law.