1

AN ACT concerning State government.

2 Be it enacted by the People of the State of Illinois, 3 represented in the General Assembly:

Section 5. The Illinois Governmental Ethics Act is amended
by changing Sections 1-109, 1-110, 2-101, 4A-102, 4A-103,
4A-107, and 4A-108 and by adding Sections 1-102.5, 1-104.3,
1-104.4, 1-104.5, 1-105.2, 1-105.3, 1-105.5, 1-105.6, 1-105.7,
1-112.5, 1-113.6, 1-113.7, and 3A-50 as follows:

9 (5 ILCS 420/1-102.5 new)

Sec. 1-102.5. "Asset" means, for the purposes of Sections 10 4A-102 and 4A-103, an item that is owned and has monetary 11 12 value. For the purposes of Sections 4A-102 and 4A-103, assets include, but are not limited to: stocks, bonds, sector mutual 13 14 funds, sector exchange traded funds, commodity futures, investment real estate, beneficial interests in trusts, 15 business interests, and partnership interests. For the 16 purposes of Sections 4A-102 and 4A-103, assets do not include: 17 personal residences; personal vehicles; savings or checking 18 19 accounts; bonds, notes, or securities issued by any branch of 20 federal, state, or local government; Medicare benefits; 21 inheritances or bequests, other than beneficial interests in 22 trusts; diversified funds; annuities; pensions (including government pensions); retirement accounts; college savings 23

SB0539 Enrolled - 2 - LRB102 04041 RJF 14057 b

plans that are qualified tuition plans; qualified tax-advantaged savings programs that allow individuals to save for disability-related expenses; or tangible personal property.

5 (5 ILCS 420/1-104.3 new)

Sec. 1-104.3. "Creditor" means, for the purposes of 6 Sections 4A-102 and 4A-103, an individual, organization, or 7 8 other business entity to whom money or its equivalent is owed, no matter whether that obligation is secured or unsecured, 9 10 except that if a filer makes a loan to members of his or her 11 family, then that filer does not, by making such a loan, become 12 a creditor of that individual for the purposes of Sections 13 4A-102 and 4A-103 of this Act.

14 (5 ILCS 420/1-104.4 new)

15	Sec. 1-104.4. "Debt" means, for the purposes of Sections
16	4A-102 and 4A-103, any money or monetary obligation owed at
17	any time during the preceding calendar year to an individual,
18	company, or other organization, other than a loan that is from
19	a financial institution, government agency, or business entity
20	and that is granted on terms made available to the general
21	public. For the purposes of Sections 4A-102 and 4A-103, "debt"
22	includes, but is not limited to: personal loans from friends
23	or business associates, business loans made outside the
24	lender's regular course of business, and loans made at below

SB0539 Enrolled - 3 - LRB102 04041 RJF 14057 b

1	market rates. For the purposes of Sections 4A-102 and 4A-103,
2	"debt" does not include: (i) debts to or from financial
3	institutions or government entities, such as mortgages,
4	student loans, credit card debts, or loans secured by
5	automobiles, household furniture, or appliances, as long as
6	those loans were made on terms available to the general public
7	and do not exceed the purchase price of the items securing
8	them; (ii) debts to or from a political committee registered
9	with the Illinois State Board of Elections or political
10	committees, principal campaign committees, or authorized
11	committees registered with the Federal Election Commission; or
12	(iii) a loan from a member of the filer's family not known by
13	the filer to be registered to lobby under the Lobbyist
14	Registration Act.

15 (5 ILCS 420/1-104.5 new)

16 <u>Sec. 1-104.5.</u> "Diversified funds" means investment 17 products, such as mutual funds, exchange traded funds, or unit 18 <u>investment trusts</u>, that invest in a wide variety of securities 19 <u>across multiple sectors or asset classes</u>. "Diversified funds" 20 <u>does not include sector funds</u>.

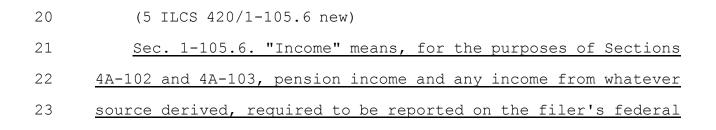
(5 ILCS 420/1-105.2 new) Sec. 1-105.2. "Economic relationship" means, for the purposes of Sections 4A-102 and 4A-103, any joint or shared ownership interests in businesses and creditor-debtor

SB0539 Enrolled - 4 - LRB102 04041 RJF 14057 b

relationships with third parties, other than commercial 1 2 lending institutions, where: (a) the filer is entitled to 3 receive (i) more than 7.5% of the total distributable income, or (ii) an amount in excess of the salary of the Governor; or 4 5 (b) the filer together with his or her spouse or minor children is entitled to receive (i) more than 15%, in the aggregate, of 6 7 the total distributable income, or (ii) an amount in excess of 8 2 times the salary of the Governor.

9 (5 ILCS 420/1-105.3 new) 10 Sec. 1-105.3. "Family" means, for the purposes of Sections 11 4A-102 and 4A-103, a filer's spouse, children, step-children, 12 parents, step-parents, siblings, step-siblings, 13 half-siblings, sons-in-law, daughters-in-law, grandparents, and grandchildren, as well as the parents and grandparents of 14 15 the filer's spouse, and any person living with the filer. 16 (5 ILCS 420/1-105.5 new) Sec. 1-105.5. "Filer" means, for the purposes of Sections 17

18 <u>4A-102 and 4A-103, a person required to file a statement of</u> 19 <u>economic interests pursuant to this Act.</u>



SB0539 Enrolled - 5 - LRB102 04041 RJF 14057 b

income tax return, including, but not limited to: compensation 1 2 received for services rendered or to be rendered (as required 3 to be reported on any Internal Revenue Service forms, including, but not limited to, Forms W-2, 1099, or K-1); 4 5 earnings or capital gains from the sale of assets; profit; interest or dividend income from all assets; revenue from 6 leases and rentals, royalties, prizes, awards, or barter; 7 forgiveness of debt; and earnings derived from annuities or 8 9 trusts other than testamentary trusts. "Income" does not include compensation earned for service in the position that 10 11 necessitates the filing of the statement of economic 12 interests, or investment or interest returns on items excluded from the definition of "asset", or income from the sale of a 13 14 personal residence or personal vehicle.

15 (5 ILCS 420/1-105.7 new)

Sec. 1-105.7. "Investment real estate" means any real property, other than a filer's personal residences, purchased to produce a profit, whether from income or resale. Investment real estate may be described by the city and state where the real estate is located.

21 (5 ILCS 420/1-109) (from Ch. 127, par. 601-109) 22 Sec. 1-109. "Lobbying" means <u>engaging in activities that</u> 23 <u>require registration under the Lobbyist Registration</u> 24 <u>Actpromoting or opposing in any manner the passage by the</u> SB0539 Enrolled - 6 - LRB102 04041 RJF 14057 b

General Assembly of any legislative matter affecting the
 interests of any individual, association or corporation as
 distinct from those of the people of the State as a whole.

4 (Source: Laws 1967, p. 3401.)

5 (5 ILCS 420/1-110) (from Ch. 127, par. 601-110)

6 Sec. 1-110. "Lobbyist" means <u>an individual who is required</u> 7 <u>to be registered to engage in lobbying activities pursuant to</u> 8 <u>the Lobbyist Registration Act</u> any person required to be 9 registered under "An Act concerning lobbying and providing a 10 penalty for violation thereof", approved July 10, 1957, as 11 amended.

12 (Source: Laws 1967, p. 3401.)

13 (5 ILCS 420/1-112.5 new)

14 <u>Sec. 1-112.5. "Personal residence" means, for the purposes</u> 15 of Sections 4A-102 and 4A-103, a filer's primary home 16 <u>residence and any residential real property held by the filer</u> 17 <u>and used by the filer for residential rather than commercial</u> 18 or income generating purposes.

19 (5 ILCS 420/1-113.6 new) 20 <u>Sec. 1-113.6. "Sector funds" means mutual funds or</u> 21 <u>exchange traded funds invested in a particular industry or</u> 22 <u>business.</u>

SB0539 Enrolled - 7 - LRB102 04041 RJF 14057 b

1	(5 ILCS 420/1-113.7 new)
2	Sec. 1-113.7. "Spouse" means a party to a marriage, a
3	party to a civil union, or a registered domestic partner.
4	(5 ILCS 420/2-101) (from Ch. 127, par. 602-101)
5	Sec. 2-101. Government official lobbying.
6	<u>(a)</u> No legislator may engage in <u>promoting or opposing in</u>
7	any manner the passage by the General Assembly of any
8	legislative matter affecting the interests of any individual,
9	association, or corporation as distinct from those of the
10	people of the State as a whole lobbying, as that term is
11	defined in Section 1-109, if he or she accepts compensation
12	specifically attributable to such lobbying, other than that
13	provided by law for members of the General Assembly. Nothing
14	in this Section prohibits a legislator from lobbying without
15	compensation.
16	No legislator or executive branch constitutional officer
17	shall engage in compensated lobbying of the governing body of
18	a municipality, county, or township, or an official thereof,
19	on behalf of any lobbyist or lobbying entity that is
20	registered to lobby the General Assembly or the executive
21	branch of the State of Illinois.
22	(b) No elected or appointed county executive or
23	legislative official shall engage in compensated lobbying of
24	the governing body of a county, municipality, township, the
25	General Assembly, a State executive branch office or agency,

SB0539 Enrolled - 8 - LRB102 04041 RJF 14057 b

or an official thereof, on behalf of any lobbyist or lobbying entity that is registered to lobby the county in which the official is elected or appointed.

4 <u>(c) No elected or appointed municipal executive or</u> 5 <u>legislative official shall engage in compensated lobbying of</u> 6 <u>the governing body of a county, municipality, township, the</u> 7 <u>General Assembly, a State executive branch office or agency,</u> 8 <u>or an official thereof, on behalf of any lobbyist or lobbying</u> 9 <u>entity that is registered to lobby the municipality in which</u> 10 the official is elected or appointed.

11 (d) No elected or appointed township executive or 12 legislative official shall engage in compensated lobbying of 13 the governing body of a county, municipality, township, the 14 General Assembly, a State executive branch office or agency, 15 or an official thereof, on behalf of any lobbyist or lobbying 16 entity that is registered to lobby the township in which the 17 official is elected or appointed.

18 (e) No elected or appointed municipal executive or 19 legislative official shall engage in compensated lobbying of the governing body of a county, municipality, or township, the 20 21 General Assembly, a State executive branch office or agency, 22 or an official thereof, on behalf of any lobbyist or lobbying 23 entity if the person is an elected or appointed municipal 24 executive or legislative official from a municipality exempted 25 by the preemption provision of Section 11.2 of the Lobbyist 26 Registration Act.

- 9 - LRB102 04041 RJF 14057 b SB0539 Enrolled 1 (f) A violation of this Section shall constitute a Class A 2 misdemeanor. (Source: P.A. 77-2830.) 3 4 (5 ILCS 420/3A-50 new) 5 Sec. 3A-50. Appointee political activity. 6 (a) No person who is appointed to an affected office 7 shall: (i) serve as an officer of a candidate political committee; or (ii) be a candidate who is designated as the 8 9 candidate to be supported by a candidate political committee. 10 (b) A person appointed to an affected office who is either 11 an officer of a candidate political committee or a candidate 12 who is designated as the candidate to be supported by a 13 candidate political committee shall within 30 days after confirmation by the Senate: (i) resign as an officer of the 14 15 candidate political committee; (ii) have his or her name 16 removed as the candidate to be supported by a candidate political committee; (iii) notify the State Board of Elections 17 18 of the person's intent to convert the candidate political committee to a limited activity committee under Section 9-1.8 19 20 of the Election Code and complete the transition to a limited 21 activity committee within 60 days after confirmation; or (iv) 22 dissolve the candidate political committee. A person appointed 23 to an affected office who is in violation of this subsection 24 (b) on the effective date of this amendatory Act of the 102nd 25 General Assembly must come into compliance within 30 days

SB0539 Enrolled - 10 - LRB102 04041 RJF 14057 b

after the effective date of this amendatory Act of the 102nd
 General Assembly.

3 (c) As used in this Section:
4 "Affected office" means any office in which the appointee
5 receives any form of compensation, other than the
6 reimbursement of expenses, and whose appointment requires
7 advice and consent of the Senate.
8 "Candidate political committee" has the meaning given to
9 that term in Section 9-1.8 of the Election Code in which the

person subject to confirmation by the Senate is designated as the candidate to be supported by the candidate political committee under Section 9-2 of the Code.

13 (5 ILCS 420/4A-102) (from Ch. 127, par. 604A-102)

Sec. 4A-102. The statement of economic interests required by this Article shall include the economic interests of the person making the statement as provided in this Section.

17 <u>(a) The interest (if constructively controlled by the</u> 18 person making the statement) of a spouse or any other party, 19 shall be considered to be the same as the interest of the 20 person making the statement. Campaign receipts shall not be 21 included in this statement. The following interests shall be 22 listed by all persons required to file:

23 (1) each asset that has a value of more than \$10,000 as
 24 of the end of the preceding calendar year and is: (i) held
 25 in the filer's name, (ii) held jointly by the filer with

SB0539 Enrolled - 11 - LRB102 04041 RJF 14057 b

1	his or her spouse, or (iii) held jointly by the filer with
2	his or her minor child or children. For a beneficial
3	interest in a trust, the value is based on the total value
4	of the assets either subject to the beneficial interest,
5	or from which income is to be derived for the benefit of
6	the beneficial interest, regardless of whether any
7	distributions have been made for the benefit of the
8	beneficial interest;

9 (2) excluding the income from the position that 10 requires the filing of a statement of economic interests 11 under this Act, each source of income in excess of \$7,500 12 during the preceding calendar year (as required to be reported on the filer's federal income tax return covering 13 14 the preceding calendar year) for the filer and his or her spouse and, if the sale or transfer of an asset produced 15 16 more than \$7,500 in capital gains during the preceding calendar year, the transaction date on which that asset 17 18 was sold or transferred;

19 <u>(3) each creditor of a debt in excess of \$10,000 that,</u> 20 <u>during the preceding calendar year, was: (i) owed by the</u> 21 <u>filer, (ii) owed jointly by the filer with his or her</u> 22 <u>spouse or (iii) owed jointly by the filer with his or her</u> 23 <u>minor child or children;</u>

24 (4) the name of each unit of government of which the
 25 filer or his or her spouse was an employee, contractor, or
 26 office holder during the preceding calendar year other

SB0539 Enrolled - 12 - LRB102 04041 RJF 14057 b

1	than the unit or units of government in relation to which
2	the person is required to file and the title of the
3	position or nature of the contractual services;
4	(5) each person known to the filer to be registered as
5	a lobbyist with any unit of government in the State of
6	Illinois: (i) with whom the filer maintains an economic
7	relationship, or (ii) who is a member of the filer's
8	family; and
9	(6) each source and type of gift or gifts, or
10	honorarium or honoraria, valued singly or in the aggregate
11	in excess of \$500 that was received during the preceding
12	calendar year, excluding any gift or gifts from a member
13	of the filer's family that was not known to the filer to be
14	registered as a lobbyist with any unit of government in
15	the State of Illinois.
16	For the purposes of this Section, the unit of local
17	government in relation to which a person is required to file
18	under item (e) of Section 4A-101.5 shall be the unit of local
19	government that contributes to the pension fund of which such
20	person is a member of the board.
21	(b) Beginning December 1, 2025, and for every 5 years
22	thereafter, the Secretary of State shall adjust the amounts
23	specified under this Section that prompt disclosure under this
24	Act for purposes of inflation as determined by the Consumer
25	Price Index for All Urban Consumers as issued by the United
26	States Department of Labor and rounded to the nearest \$100.

SB0539 Enrolled - 13 - LRB102 04041 RJF 14057 b

The Secretary shall publish this information on the official 1 2 website of the Secretary of State, and make changes to the 3 statement of economic interests form to be completed for the following year. 4 5 (c) The Secretary of State shall develop and make publicly available on his or her website written quidance relating to 6 the completion and filing of the statement of economic 7 8 interests upon which a filer may reasonably and in good faith 9 rely. 10 The interest (if constructively controlled by the person 11 making the statement) of a spouse or any other party, shall be 12 considered to be the same as the interest of the person making the statement. Campaign receipts shall not be included in 13 14 statement. (a) The following interests shall be listed by all 15 16 persons required to file: 17 (1) The name, address and type of practice of any professional organization or individual professional 18 19 practice in which the person making the statement was 20 an officer, director, associate, partner or 21 proprietor, or served in any advisory capacity, from 22 which income in excess of \$1200 was derived during the 23 preceding calendar year; (2) The nature of professional services (other 24 25 than services rendered to the unit or units of 26 government in relation to which the person is required

SB0539 Enrolled

to file) and the nature of the entity to which they 1 2 were rendered if fees exceeding \$5,000 were received during the preceding calendar year from the entity for 3 professional services rendered by the person making 4 5 the statement. 6 (3) The identity (including the address or legal 7 description of real estate) of any capital asset from which a capital gain of \$5,000 or more was realized in 8 9 the preceding calendar year. 10 (4) The name of any unit of government which has 11 employed the person making the statement during the 12 preceding calendar year other than the unit or units of government in relation to which the person is 13 required to file. 14 15 (5) The name of any entity from which a gift or 16 gifts, or honorarium or honoraria, valued singly or in 17 the aggregate in excess of \$500, was received during the preceding calendar year. 18 (b) The following interests shall also be listed by 19 persons listed in items (a) through (f), item (l), item 20 (n), and item (p) of Section 4A-101: 21 22 (1) The name and instrument of ownership in any entity doing business in the State of Illinois, in 23 which an ownership interest held by the person at the 24 date of filing is in excess of \$5,000 fair market value 25 26 or from which dividends of in excess of \$1,200 were

1derived during the preceding calendar year. (In the2case of real estate, location thereof shall be listed3by street address, or if none, then by legal4description). No time or demand deposit in a financial5institution, nor any debt instrument need be listed;

6 (2) Except for professional service entities, the 7 name of any entity and any position held therein from 8 which income of in excess of \$1,200 was derived during 9 the preceding calendar year, if the entity does 10 business in the State of Illinois. No time or demand 11 deposit in a financial institution, nor any debt 12 instrument need be listed.

13 (3) The identity of any compensated lobbyist with whom the person making the statement maintains a close 14 economic association, including the name of the 15 16 lobbyist and specifying the legislative matter or 17 matters which are the object of the lobbying activity, and describing the general type of economic activity 18 19 of the client or principal on whose behalf that person 20 is lobbying.

21 (c) The following interests shall also be listed by 22 persons listed in items (a) through (c) and item (c) of 23 Section 4A-101.5:

24 (1) The name and instrument of ownership in any
 25 entity doing business with a unit of local government
 26 in relation to which the person is required to file if

SB0539 Enrolled

1	the ownership interest of the person filing is greater
2	than \$5,000 fair market value as of the date of filing
3	or if dividends in excess of \$1,200 were received from
4	the entity during the preceding calendar year. (In the
5	case of real estate, location thereof shall be listed
6	by street address, or if none, then by legal
7	description). No time or demand deposit in a financial
8	institution, nor any debt instrument need be listed.

9 (2) Except for professional service entities, the 10 name of any entity and any position held therein from which income in excess of \$1,200 was derived during 11 12 the preceding calendar year if the entity does 13 business with a unit of local government in relation to which the person is required to file. No time or 14 demand deposit in a financial institution, nor any 15 16 debt instrument need be listed.

17 (3) The name of any entity and the nature of the governmental action requested by any entity which has 18 applied to a unit of local government in relation to 19 20 which the person must file for any license, franchise 21 or permit for annexation, zoning or rezoning of real 22 estate during the preceding calendar year if the 23 ownership interest of the person filing is in excess of \$5,000 fair market value at the time of filing or if 24 income or dividends in excess of \$1,200 were received 25 26 by the person filing from the entity during the

1	preceding calendar year.
2	For the purposes of this Section, the unit of local
3	government in relation to which a person required to file
4	under item (e) of Section 4A-101.5 shall be the unit of local
5	government that contributes to the pension fund of which such
6	person is a member of the board.
7	(Source: P.A. 101-221, eff. 8-9-19.)

8 (5 ILCS 420/4A-103) (from Ch. 127, par. 604A-103)

9 Sec. 4A-103. The statement of economic interests required 10 by this Article to be filed with the Secretary of State <u>or</u> 11 <u>county clerk</u> shall be filled in by typewriting or hand 12 printing, shall be verified, dated, and signed by the person 13 making the statement and shall contain substantially the 14 following:

15

STATEMENT OF ECONOMIC INTERESTS

16 INSTRUCTIONS:

You may find the following documents helpful to you in completing this form: (1) federal income tax returns, including any related schedules, attachments, and forms; and (2) investment and brokerage statements. 22 To complete this form, you do not need to disclose 23 specific amounts or values or report interests relating either

SB0539 Enrolled - 18 - LRB102 04041 RJF 14057 b

1 to political committees registered with the Illinois State
2 Board of Elections or to political committees, principal
3 campaign committees, or authorized committees registered with
4 the Federal Election Commission.

5 <u>The information you disclose will be available to the</u> 6 public.

You must answer all 6 questions. Certain questions will ask you to report any applicable assets or debts held in, or payable to, your name; held jointly by, or payable to, you with your spouse; or held jointly by, or payable to, you with your minor child. If you have any concerns about whether an interest should be reported, please consult your department's ethics officer, if applicable.

14 Please ensure that the information you provide is complete and accurate. If you need more space than the form allows, 15 16 please attach additional pages for your response. If you are 17 subject to the State Officials and Employees Ethics Act, your ethics officer must review your statement of economic 18 interests before you file it. Failure to complete the 19 statement in good faith and within the prescribed deadline may 20 subject you to fines, imprisonment, or both. 21

22 BASIC INFORMATION:

23 <u>Name:....</u>

- 24 Job title:
- 25 Office, department, or agency that requires you to file this

1	form:
2	Other offices, departments, or agencies that require you to
3	file a Statement of Economic Interests form:
4	Full mailing address:
5	Preferred e-mail address (optional):
C	
6	QUESTIONS:
7	1. If you have any single asset that was worth more than
8	\$10,000 as of the end of the preceding calendar year and is
9	held in, or payable to, your name, held jointly by, or payable
10	to, you with your spouse, or held jointly by, or payable to,
11	you with your minor child, list such assets below. In the case
12	of investment real estate, list the city and state where the
13	investment real estate is located. If you do not have any such
14	assets, list "none" below.
15	<u></u>
16	<u></u>
17	<u></u>
18	<u></u>
19	<u></u>
20	2. Excluding the position for which you are required to
21	file this form, list the source of any income in excess of
22	\$7,500 required to be reported during the preceding calendar
23	year. If you sold an asset that produced more than \$7,500 in
24	capital gains in the preceding calendar year, list the name of
25	the asset and the transaction date on which the sale or

	SB0539 Enrolled - 20 - LRB102 04041 RJF 14057 b
1	transfer took place. If you had no such sources of income or
2	assets, list "none" below.
2	Queuna of Transma (Newsonf Data Gald (if could all)
3	Source of Income / Name of Date Sold (if applicable)
4	Asset
5	<u></u>
6	<u></u>
7	<u></u>
8	3. Excluding debts incurred on terms available to the
9	general public, such as mortgages, student loans, and credit
10	card debts, if you owed any single debt in the preceding
11	calendar year exceeding \$10,000, list the creditor of the debt
12	below. If you had no such debts, list "none" below.
13	List the creditor for all applicable debts owed by you,
14	owed jointly by you with your spouse, or owed jointly by you
15	with your minor child. In addition to the types of debts listed
16	above, you do not need to report any debts to or from financial
17	institutions or government agencies, such as debts secured by
18	automobiles, household furniture or appliances, as long as the
19	debt was made on terms available to the general public, debts

20 <u>to members of your family, or debts to or from a political</u>
21 <u>committee registered with the Illinois State Board of</u>
22 <u>Elections or any political committee, principal campaign</u>
23 <u>committee, or authorized committee registered with the Federal</u>
24 Election Gradient

- 24 <u>Election Commission.</u>
- 25 _____

SB0539 Enrolled - 21 - LRB102 04041 RJF 14057 b

1	<u></u>	<u></u>
2	<u></u>	·····
3	<u></u>	·····
4	4. List the name of each un	it of government of which you or
5	your spouse were an employee,	contractor, or office holder
6	during the preceding calendar	year other than the unit or
7	units of government in rela	tion to which the person is
8	required to file and the title of	of the position or nature of the
9	contractual services.	
10	Name of Unit of Government	<u>Title or Nature of Services</u>
11	<u></u>	<u></u>
12	<u></u>	<u></u>
13	<u></u>	<u></u>
14	<u>5. If you maintain an</u>	economic relationship with a
15	lobbyist or if a member of your	family is known to you to be a
16	lobbyist registered with any u	nit of government in the State
17	of Illinois, list the name of t	the lobbyist below and identify
18	the nature of your relationshi	p with the lobbyist. If you do
19	not have an economic relations	nip with a lobbyist or a family
20	member known to you to be a lob	obyist registered with any unit
21	of government in the State of I	linois, list "none" below.
22	Name of Lobbyist	Relationship to Filer

1	<u></u>
2	6. List the name of each person, organization, or entity
3	that was the source of a gift or gifts, or honorarium or
4	honoraria, valued singly or in the aggregate in excess of \$500
5	received during the preceding calendar year and the type of
6	gift or gifts, or honorarium or honoraria, excluding any gift
7	or gifts from a member of your family that was not known to be
8	a lobbyist registered with any unit of government in the State
9	of Illinois. If you had no such gifts, list "none" below.
10	<u></u>

- 11 _____
- 12 _____

13 <u>VERIFICATION:</u>

14	"I declare that this statement of economic interests
15	(including any attachments) has been examined by me and to the
16	best of my knowledge and belief is a true, correct and complete
17	statement of my economic interests as required by the Illinois
18	Governmental Ethics Act. I understand that the penalty for
19	willfully filing a false or incomplete statement is a fine not
20	to exceed \$2,500 or imprisonment in a penal institution other
21	than the penitentiary not to exceed one year, or both fine and
22	imprisonment."
23	Printed Name of Filer:
24	Date:

25 <u>Signature:</u>

SB0539 Enrolled - 23 - LRB102 04041 RJF 14057 b

1	If this statement of economic interests requires ethics
2	officer review prior to filing, the applicable ethics officer
3	must complete the following:
4	CERTIFICATION OF ETHICS OFFICER REVIEW:
5	"In accordance with law, as Ethics Officer, I reviewed
6	this statement of economic interests prior to its filing."
7	Printed Name of Ethics Officer:
8	Date:
9	Signature:
10	<pre>Preferred e-mail address (optional):</pre>
11	STATEMENT OF ECONOMIC INTEREST
12	-(TYPE OR HAND PRINT)
13	······································
14	(name)
15	······································
16	(each office or position of employment for which this
17	statement is filed)
18	······································
19	(full mailing address)
20	GENERAL DIRECTIONS:
21	The interest (if constructively controlled by the person
22	making the statement) of a spouse or any other party, shall be
23	considered to be the same as the interest of the person making

SB0539 Enrolled - 24 - LRB102 04041 RJF 14057 b

1 the statement.

2 Campaign receipts shall not be included in this statement.
3 If additional space is needed, please attach supplemental
4 listing.

5 1. List the name and instrument of ownership in any entity doing business in the State of Illinois, in which the 6 ownership interest held by the person at the date of filing is 7 in excess of \$5,000 fair market value or from which dividends 8 in excess of \$1,200 were derived during the preceding calendar 9 10 year. (In the case of real estate, location thereof shall be listed by street address, or if none, then by legal 11 12 description.) No time or demand deposit in a financial institution, nor any debt instrument need be listed. 13

Business Entity Instrument of Ownership 14 15 ····· 16 ····· 17 ····· 18 19 2. List the name, address and type of practice of any 20 professional organization in which the person making the 21 statement was an officer, director, associate, partner or 22 proprietor or served in any advisory capacity, from which 23 income in excess of \$1,200 was derived during the preceding 24 calendar year.

25	Name	Address	Type of Practice
26	·····	·····	· · · · · · · · · · · · · · · · · · ·

1	······
2	······
3	3. List the nature of professional services rendered
4	(other than to the State of Illinois) to each entity from which
5	income exceeding \$5,000 was received for professional services
6	rendered during the preceding calendar year by the person
7	making the statement.
8	·····
9	·····
10	4. List the identity (including the address or legal
11	description of real estate) of any capital asset from which a
12	capital gain of \$5,000 or more was realized during the
13	preceding calendar year.
14	·····
15	·····
16	5. List the identity of any compensated lobbyist with whom
17	the person making the statement maintains a close economic
18	association, including the name of the lobbyist and specifying
19	the legislative matter or matters which are the object of the
20	lobbying activity, and describing the general type of economic
21	activity of the client or principal on whose behalf that
22	person is lobbying.
23	Lobbyist Legislative Matter Client or Principal
24	······
25	······
26	6. List the name of any entity doing business in the State

1	of Illinois from which income in excess of \$1,200 was derived
2	during the preceding calendar year other than for professional
3	services and the title or description of any position held in
4	that entity. (In the case of real estate, location thereof
5	shall be listed by street address, or if none, then by legal
6	description). No time or demand deposit in a financial
7	institution nor any debt instrument need be listed.
8	Entity Position Held
9	·····
10	·····
11	·····
12	7. List the name of any unit of government which employed
13	the person making the statement during the preceding calendar
14	year other than the unit or units of government in relation to
14 15	year other than the unit or units of government in relation to which the person is required to file.
15	
15 16	
15 16 17	which the person is required to file.
15 16 17 18	which the person is required to file.
15 16 17 18 19	which the person is required to file.
15 16 17 18 19 20	which the person is required to file.
15 16 17 18 19 20 21	which the person is required to file.
15 16 17 18 19 20 21 22	<pre>which the person is required to file</pre>
15 16 17 18 19 20 21 22 23	<pre>which the person is required to file</pre>

1 true, correct and complete statement of my economic interests 2 as required by the Illinois Governmental Ethics Act. I understand that the penalty for willfully filing a false or 3 incomplete statement shall be a fine not to exceed \$1,000 or 4 imprisonment in a penal institution other than the 5 6 penitentiary not to exceed one year, or both fine and 7 imprisonment." 8 •••••

9 (date of filing) (signature of person making the statement) 10 (Source: P.A. 95-173, eff. 1-1-08.)

11 (5 ILCS 420/4A-107) (from Ch. 127, par. 604A-107)

12 Sec. 4A-107. Any person required to file a statement of economic interests under this Article who willfully files a 13 14 false or incomplete statement shall be quilty of a Class A 15 misdemeanor; provided, a filer's statement made in reasonable, 16 good faith reliance on the guidance provided by the Secretary of State pursuant to Section 4A-102 or his or her ethics 17 18 officer shall not constitute a willful false or incomplete 19 statement.

Except when the fees and penalties for late filing have been waived under Section 4A-105, failure to file a statement within the time prescribed shall result in ineligibility for, or forfeiture of, office or position of employment, as the case may be; provided, however, that if the notice of failure to file a statement of economic interests provided in Section SB0539 Enrolled - 28 - LRB102 04041 RJF 14057 b

4A-105 of this Act is not given by the Secretary of State or 1 2 the county clerk, as the case may be, no forfeiture shall 3 result if a statement is filed within 30 days of actual notice of the failure to file. The Secretary of State shall provide 4 5 the Attorney General with the names of persons who failed to file a statement. The county clerk shall provide the State's 6 Attorney of the county of the entity for which the filing of 7 8 statement of economic interest is required with the name of 9 persons who failed to file a statement.

10 The Attorney General, with respect to offices or positions 11 described in items (a) through (f) and items (j), (l), (n), and 12 (p) of Section 4A-101 of this Act, or the State's Attorney of the county of the entity for which the filing of statements of 13 14 economic interests is required, with respect to offices or 15 positions described in items (a) through (e) of Section 4A-101.5, shall bring an action in quo warranto against any 16 17 person who has failed to file by either May 31 or June 30 of any given year and for whom the fees and penalties for late 18 filing have not been waived under Section 4A-105. 19

20 (Source: P.A. 101-221, eff. 8-9-19.)

21

(5 ILCS 420/4A-108)

22 Sec. 4A-108. Internet-based systems of filing.

(a) Notwithstanding any other provision of this Act or any
 other law, the Secretary of State and county clerks are
 authorized to institute an Internet-based system for the

SB0539 Enrolled - 29 - LRB102 04041 RJF 14057 b

filing of statements of economic interests in their offices. 1 With respect to county clerk systems, the determination to 2 3 institute such a system shall be in the sole discretion of the county clerk and shall meet the requirements set out in this 4 5 Section. With respect to a Secretary of State system, the determination to institute such a system shall be in the sole 6 discretion of the Secretary of State and shall meet the 7 8 requirements set out in this Section and those Sections of the 9 State Officials and Employees Ethics Act requiring ethics 10 officer review prior to filing. The system shall be capable of 11 allowing an ethics officer to approve a statement of economic 12 interests and shall include a means to amend a statement of 13 economic interests. When this Section does not modify or 14 remove the requirements set forth elsewhere in this Article, 15 those requirements shall apply to any system of Internet-based filing authorized by this Section. When this Section does 16 17 modify or remove the requirements set forth elsewhere in this Article, the provisions of this Section shall apply to any 18 19 system of Internet-based filing authorized by this Section.

20 (b) In any system of Internet-based filing of statements 21 of economic interests instituted by the Secretary of State or 22 a county clerk:

(1) Any filing of an Internet-based statement of
economic interests shall be the equivalent of the filing
of a verified, written statement of economic interests as
required by Section 4A-101 or 4A-101.5 and the equivalent

SB0539 Enrolled - 30 - LRB102 04041 RJF 14057 b

1 of the filing of a verified, dated, and signed statement 2 of economic interests as required by Section $\frac{4A-103}{4A-104}$.

The Secretary of State and county clerks who 4 (2) 5 institute a system of Internet-based filing of statements 6 of economic interests shall establish a password-protected 7 website to receive the filings of such statements. A website established under this Section shall set forth and 8 9 provide a means of responding to the items set forth in 10 Section 4A-103 $\frac{4A-102}{4A-102}$ that are required of a person who 11 files a statement of economic interests with that officer. 12 A website established under this Section shall set forth and provide a means of generating a printable receipt page 13 14 acknowledging filing.

15 (3) The times for the filing of statements of economic 16 interests set forth in Section 4A-105 shall be followed in any system of Internet-based filing of statements of 17 economic interests; provided that a candidate for elective 18 19 office who is required to file a statement of economic 20 interests in relation to his or her candidacy pursuant to Section 4A-105(a) shall receive a written or printed 21 22 receipt for his or her filing.

23 A candidate filing for Governor, Lieutenant Governor, 24 Attorney General, Secretary of State, Treasurer, 25 Comptroller, State Senate, or State House of 26 Representatives, Supreme Court Justice, appellate court SB0539 Enrolled - 31 - LRB102 04041 RJF 14057 b

judge, circuit court judge, or judicial retention shall 1 not use the Internet to file his or her statement of 2 3 economic interests, but shall file his or her statement of economic interests in a written or printed form and shall 4 5 receive a written or printed receipt for his or her 6 filing. Annually, the duly appointed ethics officer for 7 each legislative caucus shall certify to the Secretary of State whether his or her caucus members will file their 8 9 statements of economic interests electronically or in a 10 written or printed format for that year. If the ethics 11 officer for a caucus certifies that the statements of 12 economic interests shall be written or printed, then members of the General Assembly of that caucus shall not 13 14 use the Internet to file his or her statement of economic 15 interests, but shall file his or her statement of economic 16 interests in a written or printed form and shall receive a 17 written or printed receipt for his or her filing. If no certification is made by an ethics officer for 18 а 19 legislative caucus, or if a member of the General Assembly 20 is not affiliated with a legislative caucus, then the 21 affected member or members of the General Assembly may 22 file their statements of economic interests using the 23 Internet.

(4) In the first year of the implementation of a
system of Internet-based filing of statements of economic
interests, each person required to file such a statement

is to be notified in writing of his or her obligation to file his or her statement of economic interests by way of the Internet-based system. If access to the <u>website</u> web erequires a code or password, this information shall be included in the notice prescribed by this paragraph.

6 (5) When a person required to file a statement of 7 economic interests has supplied the Secretary of State or a county clerk, as applicable, with an email address for 8 9 the purpose of receiving notices under this Article by 10 email, a notice sent by email to the supplied email 11 address shall be the equivalent of a notice sent by first 12 class mail, as set forth in Section 4A-106 or 4A-106.5. A person who has supplied such an email address shall notify 13 14 the Secretary of State or county clerk, as applicable, 15 when his or her email address changes or if he or she no 16 longer wishes to receive notices by email.

17 (6) If any person who is required to file a statement economic interests and who has chosen to receive 18 of 19 notices by email fails to file his or her statement by May 10, then the Secretary of State or county clerk, as 20 21 applicable, shall send an additional email notice on that 22 date, informing the person that he or she has not filed and 23 describing the penalties for late filing and failing to file. This notice shall be in addition to other notices 24 25 provided for in this Article.

26

(7) The Secretary of State and each county clerk who

SB0539 Enrolled - 33 - LRB102 04041 RJF 14057 b

institutes a system of Internet-based filing of statements 1 2 of economic interests may also institute an Internet-based 3 process for the filing of the list of names and addresses persons required to file statements of economic 4 of interests by the chief administrative officers that must 5 6 file such information with the Secretary of State or 7 county clerk, as applicable, pursuant to Section 4A-106 or 8 4A-106.5. Whenever the Secretary of State or a county 9 clerk institutes such a system under this paragraph, every 10 chief administrative officer must use the system to file 11 this information.

12 (8) The Secretary of State and any county clerk who institutes a system of Internet-based filing of statements 13 14 of economic interests shall post the contents of such 15 statements filed with him or her available for inspection 16 copying on a publicly accessible website. and Such 17 postings shall not include the addresses or signatures of the filers. 18

19 (Source: P.A. 100-1041, eff. 1-1-19; 101-221, eff. 8-9-19; 20 revised 9-12-19.)

21

(5 ILCS 420/4A-104 rep.)

22 Section 10. The Illinois Governmental Ethics Act is 23 amended by repealing Section 4A-104 on January 1, 2022.

24

Section 15. The State Officials and Employees Ethics Act

SB0539 Enrolled - 34 - LRB102 04041 RJF 14057 b

is amended by changing Sections 5-40, 5-45, 20-20, 20-95,
25-5, 25-10, 25-15, 25-20, and 25-85 as follows:

3 (5 ILCS 430/5-40)

4 Sec. 5-40. Fundraising during session in Sangamon County. 5 Except as provided in this Section, any executive branch constitutional officer, any candidate for an executive branch 6 constitutional office, any member of the General Assembly, any 7 8 candidate for the General Assembly, any political caucus of 9 the General Assembly, or any political committee on behalf of 10 any of the foregoing may not hold a political fundraising 11 function in Sangamon County on any day the legislature is in 12 session or the day immediately prior to such day. This Section does not apply to a political fundraising function scheduled 13 at least 14 days in advance of a day the legislature is in 14 special session or the <u>day immediately prior to such day</u> (i) 15 16 during the period beginning February 1 and ending on the later of the actual adjournment dates of either house of the spring 17 18 session and (ii) during fall veto session. For purposes of this Section, the legislature is not considered to be in 19 session on a day that is solely a perfunctory session day or on 20 21 a day when only a committee is meeting.

22 During the period beginning June 1 and ending on the first 23 day of fall veto session each year, this Section does not apply 24 to (i) a member of the General Assembly whose legislative or 25 representative district is entirely within Sangamon County or SB0539 Enrolled - 35 - LRB102 04041 RJF 14057 b

(ii) a candidate for the General Assembly from that
 legislative or representative district.

3 (Source: P.A. 96-555, eff. 8-18-09.)

4 (5 ILCS 430/5-45)

5

Sec. 5-45. Procurement; revolving door prohibition.

(a) No former officer, member, or State employee, or 6 7 spouse or immediate family member living with such person, 8 shall, within a period of one year immediately after 9 termination of State employment, knowingly accept employment 10 or receive compensation or fees for services from a person or 11 entity if the officer, member, or State employee, during the year immediately preceding termination of State employment, 12 13 participated personally and substantially in the award or 14 fiscal administration of State contracts, or the issuance of 15 State contract change orders, with a cumulative value of 16 \$25,000 or more to the person or entity, or its parent or 17 subsidiary.

(a-5) No officer, member, or spouse or immediate family 18 member living with such person shall, during the officer or 19 20 member's term in office or within a period of 2 years 21 immediately leaving office, hold an ownership interest, other 22 than a passive interest in a publicly traded company, in any gaming license under the Illinois Gambling Act, the Video 23 Gaming Act, the Illinois Horse Racing Act of 1975, or the 24 25 Sports Wagering Act. Any member of the General Assembly or

spouse or immediate family member living with such person who 1 2 has an ownership interest, other than a passive interest in a 3 publicly traded company, in any gaming license under the Illinois Gambling Act, the Illinois Horse Racing Act of 1975, 4 5 the Video Gaming Act, or the Sports Wagering Act at the time of the effective date of this amendatory Act of the 101st General 6 7 Assembly shall divest himself or herself of such ownership within one year after the effective date of this amendatory 8 9 Act of the 101st General Assembly. No State employee who works 10 for the Illinois Gaming Board or Illinois Racing Board or 11 spouse or immediate family member living with such person 12 shall, during State employment or within a period of 2 years 13 immediately after termination of State employment, hold an ownership interest, other than a passive interest in a 14 15 publicly traded company, in any gaming license under the Illinois Gambling Act, the Video Gaming Act, the Illinois 16 17 Horse Racing Act of 1975, or the Sports Wagering Act.

(a-10) This subsection (a-10) applies on and after June 18 19 25, 2021. No officer, member, or spouse or immediate family 20 member living with such person, shall, during the officer or member's term in office or within a period of 2 years 21 22 immediately after leaving office, hold an ownership interest, 23 other than a passive interest in a publicly traded company, in any cannabis business establishment which is licensed under 24 25 the Cannabis Regulation and Tax Act. Any member of the General 26 Assembly or spouse or immediate family member living with such SB0539 Enrolled - 37 - LRB102 04041 RJF 14057 b

person who has an ownership interest, other than a passive 1 2 interest in a publicly traded company, in any cannabis business establishment which is licensed under the Cannabis 3 Regulation and Tax Act at the time of the effective date of 4 5 this amendatory Act of the 101st General Assembly shall divest 6 himself or herself of such ownership within one year after the effective date of this amendatory Act of the 101st General 7 8 Assembly.

9 No State employee who works for any State agency that regulates cannabis business establishment license holders who 10 11 participated personally and substantially in the award of 12 licenses under the Cannabis Regulation and Tax Act or a spouse 13 or immediate family member living with such person shall, 14 during State employment or within a period of 2 years immediately after termination of State employment, hold an 15 16 ownership interest, other than a passive interest in a 17 publicly traded company, in any cannabis license under the Cannabis Regulation and Tax Act. 18

(b) No former officer of the executive branch or State 19 20 employee of the executive branch with regulatory or licensing 21 authority, or spouse or immediate family member living with 22 such person, shall, within a period of one year immediately 23 after termination of State employment, knowingly accept employment or receive compensation or fees for services from a 24 25 person or entity if the officer or State employee, during the 26 year immediately preceding termination of State employment,

SB0539 Enrolled - 38 - LRB102 04041 RJF 14057 b

1 participated personally and substantially in making a 2 regulatory or licensing decision that directly applied to the 3 person or entity, or its parent or subsidiary.

4 <u>(b-5) Beginning January 1, 2022, no former officer of the</u> 5 <u>executive branch shall engage in activities at the State level</u> 6 <u>that require registration under the Lobbyist Registration Act</u> 7 <u>during the term of which he or she was elected or appointed</u> 8 <u>until 6 months after leaving office.</u>

9 <u>(b-7) Beginning the second Wednesday in January of 2023,</u> 10 <u>no former member shall engage in activities at the State level</u> 11 <u>that require registration under the Lobbyist Registration Act</u> 12 <u>in a General Assembly of which he or she was a member until 6</u> 13 <u>months after leaving office.</u>

(c) Within 6 months after the effective date of this 14 15 amendatory Act of the 96th General Assembly, each executive 16 branch constitutional officer and legislative leader, the 17 Auditor General, and the Joint Committee on Legislative Support Services shall adopt a policy delineating which State 18 positions under his or her jurisdiction and control, by the 19 20 nature of their duties, may have the authority to participate 21 personally and substantially in the award or fiscal 22 administration of State contracts or in regulatory or 23 licensing decisions. The Governor shall adopt such a policy for all State employees of the executive branch not under the 24 25 jurisdiction and control of any other executive branch constitutional officer. 26

SB0539 Enrolled - 39 - LRB102 04041 RJF 14057 b

1 The policies required under subsection (c) of this Section 2 shall be filed with the appropriate ethics commission 3 established under this Act or, for the Auditor General, with 4 the Office of the Auditor General.

5 (d) Each Inspector General shall have the authority to 6 determine that additional State positions under his or her 7 jurisdiction, not otherwise subject to the policies required 8 by subsection (c) of this Section, are nonetheless subject to 9 the notification requirement of subsection (f) below due to 10 their involvement in the award <u>or fiscal administration</u> of 11 State contracts or in regulatory or licensing decisions.

12 (e) The Joint Committee on Legislative Support Services, 13 Auditor General, and each of the executive branch the constitutional officers and legislative leaders subject to 14 15 subsection (c) of this Section shall provide written 16 notification to all employees in positions subject to the 17 policies required by subsection (c) or a determination made under subsection (d): (1) upon hiring, promotion, or transfer 18 into the relevant position; and (2) at the time the employee's 19 20 duties are changed in such a way as to qualify that employee. An employee receiving notification must certify in writing 21 22 that the person was advised of the prohibition and the 23 requirement to notify the appropriate Inspector General in 24 subsection (f).

25 (f) Any State employee in a position subject to the 26 policies required by subsection (c) or to a determination

under subsection (d), but who does not fall within the 1 2 prohibition of subsection (h) below, who is offered non-State 3 employment during State employment or within a period of one year immediately after termination of State employment shall, 4 5 prior to accepting such non-State employment, notify the appropriate Inspector General. Within 10 calendar days after 6 receiving notification from an employee in a position subject 7 to the policies required by subsection (c), such Inspector 8 9 General shall make a determination as to whether the State 10 employee is restricted from accepting such employment by 11 subsection (a) or (b). In making a determination, in addition 12 to any other relevant information, an Inspector General shall 13 the effect assess of the prospective employment or 14 relationship upon decisions referred to in subsections (a) and 15 (b), based on the totality of the participation by the former 16 officer, member, or State employee in those decisions. A 17 determination by an Inspector General must be in writing, signed and dated by the Inspector General, and delivered to 18 the subject of the determination within 10 calendar days or 19 20 the person is deemed eligible for the employment opportunity. For purposes of this subsection, "appropriate Inspector 21 22 General" means (i) for members and employees of the 23 legislative branch, the Legislative Inspector General; (ii) for the Auditor General and employees of the Office of the 24 25 Auditor General, the Inspector General provided for in Section 30-5 of this Act; and (iii) for executive branch officers and 26

SB0539 Enrolled - 41 - LRB102 04041 RJF 14057 b

employees, the Inspector General having jurisdiction over the officer or employee. Notice of any determination of an Inspector General and of any such appeal shall be given to the ultimate jurisdictional authority, the Attorney General, and the Executive Ethics Commission.

6 (g) An Inspector General's determination regarding 7 restrictions under subsection (a) or (b) may be appealed to 8 the appropriate Ethics Commission by the person subject to the 9 decision or the Attorney General no later than the 10th 10 calendar day after the date of the determination.

11 On appeal, the Ethics Commission or Auditor General shall 12 seek, accept, and consider written public comments regarding a 13 determination. In deciding whether to uphold an Inspector 14 General's determination, the appropriate Ethics Commission or 15 Auditor General shall assess, in addition to any other 16 relevant information, the effect of the prospective employment 17 or relationship upon the decisions referred to in subsections (a) and (b), based on the totality of the participation by the 18 former officer, member, or State employee in those decisions. 19 20 The Ethics Commission shall decide whether to uphold an Inspector General's determination within 10 calendar days or 21 22 the person is deemed eligible for the employment opportunity.

(h) The following officers, members, or State employees shall not, within a period of one year immediately after termination of office or State employment, knowingly accept employment or receive compensation or fees for services from a SB0539 Enrolled - 42 - LRB102 04041 RJF 14057 b

person or entity if the person or entity or its parent or 1 2 subsidiary, during the year immediately preceding termination 3 of State employment, was a party to a State contract or contracts with a cumulative value of \$25,000 or more involving 4 5 the officer, member, or State employee's State agency, or was the subject of a regulatory or licensing decision involving 6 7 the officer, member, or State employee's State agency, 8 regardless of whether he or she participated personally and 9 substantially in the award or fiscal administration of the 10 State contract or contracts or the making of the regulatory or 11 licensing decision in question:

12

(1) members or officers;

13 (2) members of a commission or board created by the14 Illinois Constitution;

(3) persons whose appointment to office is subject to
the advice and consent of the Senate;

17 (4) the head of a department, commission, board,
18 division, bureau, authority, or other administrative unit
19 within the government of this State;

20 (5) chief procurement officers, State purchasing 21 officers, and their designees whose duties are directly 22 related to State procurement;

(6) chiefs of staff, deputy chiefs of staff, associate chiefs of staff, assistant chiefs of staff, and deputy governors, or any other position that holds an equivalent level of managerial oversight; 1

2

(7) employees of the Illinois Racing Board; and(8) employees of the Illinois Gaming Board.

3 (i) For the purposes of this Section, with respect to officers or employees of a regional transit board, as defined 4 5 in this Act, the phrase "person or entity" does not include: (i) the United States government, (ii) the State, (iii) 6 7 municipalities, as defined under Article VII, Section 1 of the 8 Illinois Constitution, (iv) units of local government, as 9 defined under Article VII, Section 1 of the Illinois 10 Constitution, or (v) school districts.

11 (Source: P.A. 101-31, eff. 6-28-19; 101-593, eff. 12-4-19.)

12 (5 ILCS 430/20-20)

Sec. 20-20. Duties of the Executive Inspectors General. In addition to duties otherwise assigned by law, each Executive Inspector General shall have the following duties:

16 To receive and investigate, without advance (1)approval of the Executive Ethics Commission, allegations 17 18 of violations of this Act and other wrongful acts within his or her jurisdiction based on a complaint. An 19 20 investigation may not be initiated more than one year 21 after the alleged wrongful act or the most recent act of a series of alleged wrongful acts based on the same wrongful 22 23 conduct except if there is reasonable cause to believe 24 that fraudulent concealment has occurred allegations of 25 violations of this Act. An investigation may not be

1 initiated more than one year after the most recent act of 2 the alleged violation or of a series of alleged violations 3 except where there is reasonable cause to believe that fraudulent concealment has occurred. То constitute 4 5 fraudulent concealment sufficient to toll this limitations 6 period, there must be an affirmative act or representation calculated to prevent discovery of the fact that a 7 8 violation or other wrongful act has occurred. The 9 Executive Inspector General shall have the discretion to 10 determine the appropriate means of investigation as 11 permitted by law.

12 (2) To request information relating to an 13 investigation from any person when the Executive Inspector 14 General deems that information necessary in conducting an 15 investigation.

16 (3) To issue subpoenas to compel the attendance of 17 witnesses for the purposes of testimony and production of 18 documents and other items for inspection and copying and 19 to make service of those subpoenas and subpoenas issued 20 under item (7) of Section 20-15.

21

(4) To submit reports as required by this Act.

(5) To file pleadings in the name of the Executive
Inspector General with the Executive Ethics Commission,
through the Attorney General, as provided in this Article
if the Attorney General finds that reasonable cause exists
to believe that a violation has occurred.

SB0539 Enrolled

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(6) To assist and coordinate the ethics officers for State agencies under the jurisdiction of the Executive Inspector General and to work with those ethics officers.

4 (7) To participate in or conduct, when appropriate,
 5 multi-jurisdictional investigations.

6 (8) To request, as the Executive Inspector General 7 deems appropriate, from ethics officers of State agencies 8 under his or her jurisdiction, reports or information on 9 (i) the content of a State agency's ethics training 10 program and (ii) the percentage of new officers and 11 employees who have completed ethics training.

(9) To review hiring and employment files of each
State agency within the Executive Inspector General's
jurisdiction to ensure compliance with Rutan v. Republican
Party of Illinois, 497 U.S. 62 (1990), and with all
applicable employment laws.

17 establish a policy that (10)То ensures the appropriate handling and correct recording 18 of all 19 investigations conducted by the Office, and to ensure that 20 the policy is accessible via the Internet in order that 21 those seeking to report those allegations are familiar 22 with the process and that the subjects of those 23 allegations are treated fairly.

(11) To post information to the Executive Inspector
 General's website explaining to complainants and subjects
 of an investigation the legal limitations on the Executive

SB0539 Enrolled - 46 - LRB102 04041 RJF 14057 b

Inspector General's ability to provide information to them
 and a general overview of the investigation process.
 (Source: P.A. 100-588, eff. 6-8-18.)

4 (5 ILCS 430/20-95)

5 Sec. 20-95. Exemptions.

6 (a) Documents generated by an ethics officer under this 7 Act, except Section 5-50, are exempt from the provisions of 8 the Freedom of Information Act.

9 (b) Any allegations and related documents submitted to an 10 Executive Inspector General and any pleadings and related 11 documents brought before the Executive Ethics Commission are exempt from the provisions of the Freedom of Information Act 12 13 so long as the Executive Ethics Commission does not make a finding of a violation of this Act. If the Executive Ethics 14 15 Commission finds that a violation has occurred, the entire 16 record of proceedings before the Commission, the decision and recommendation, and the response from the agency head or 17 18 ultimate jurisdictional authority to the Executive Ethics 19 Commission are not exempt from the provisions of the Freedom of Information Act but information contained therein that is 20 21 otherwise exempt from the Freedom of Information Act must be 22 redacted before disclosure as provided in the Freedom of 23 Information Act. A summary report released by the Executive 24 Ethics Commission under Section 20-52 is a public record, but 25 information redacted by the Executive Ethics Commission shall

SB0539 Enrolled - 47 - LRB102 04041 RJF 14057 b

1 not be part of the public record.

2 (c) Meetings of the Commission are exempt from the3 provisions of the Open Meetings Act.

Unless otherwise provided in this Act, 4 (d) all 5 investigatory files and reports of the Office of an Executive Inspector General, other than monthly reports required under 6 7 Section 20-85, are confidential and privileged, are exempt from disclosure under the Freedom of Information Act, and 8 9 shall not be divulged to any person or agency, except as 10 necessary (i) to a law enforcement authority, (ii) to the 11 ultimate jurisdictional authority, (iii) to the Executive 12 Ethics Commission, (iv) to another Inspector General appointed 13 pursuant to this Act, or (v) to an Inspector General appointed or employed by a Regional Transit Board in accordance with 14 Section 75-10. 15

16 (Source: P.A. 96-555, eff. 8-18-09; 96-1528, eff. 7-1-11.)

17 (5 ILCS 430/25-5)

18 Sec. 25-5. Legislative Ethics Commission.

19 (a) The Legislative Ethics Commission is created.

(b) The Legislative Ethics Commission shall consist of 8
commissioners appointed 2 each by the President and Minority
Leader of the Senate and the Speaker and Minority Leader of the
House of Representatives.

The terms of the initial commissioners shall commence upon qualification. Each appointing authority shall designate one SB0539 Enrolled - 48 - LRB102 04041 RJF 14057 b

appointee who shall serve for a 2-year term running through June 30, 2005. Each appointing authority shall designate one appointee who shall serve for a 4-year term running through June 30, 2007. The initial appointments shall be made within 60 days after the effective date of this Act.

6 After the initial terms, commissioners shall serve for 7 4-year terms commencing on July 1 of the year of appointment 8 and running through June 30 of the fourth following year. 9 Commissioners may be reappointed to one or more subsequent 10 terms.

A vacancy shall occur upon a commissioner's death, resignation, removal, disqualification, termination of legislative service in the house or caucus of the appointing authority, or other inability to act. Vacancies occurring other than at the end of a term shall be filled by the appointing authority only for the balance of the term of the commissioner whose office is vacant.

18 Terms shall run regardless of whether the position is 19 filled.

(c) The appointing authorities shall appoint commissioners who have experience holding governmental office or employment and may appoint commissioners who are members of the General Assembly as well as commissioners from the general public. A commissioner who is a member of the General Assembly must recuse himself or herself from participating in any matter relating to any investigation or proceeding in which he or she SB0539 Enrolled - 49 - LRB102 04041 RJF 14057 b

is the subject or is a complainant. A person is not eligible to 1 2 serve as a commissioner if that person (i) has been convicted 3 of a felony or a crime of dishonesty or moral turpitude, (ii) is, or was within the preceding 12 months, engaged in 4 5 activities that require registration under the Lobbvist Registration Act, (iii) is a relative of the appointing 6 7 authority, (iv) is a State officer or employee other than a 8 member of the General Assembly, or (v) is a candidate for 9 statewide office, federal office, or judicial office.

10 (c-5) If a commissioner is required to recuse himself or 11 herself from participating in a matter as provided in 12 subsection (c), the recusal shall create a temporary vacancy for the limited purpose of consideration of the matter for 13 14 which the commissioner recused himself or herself, and the 15 appointing authority for the recusing commissioner shall make 16 a temporary appointment to fill the vacancy for consideration 17 of the matter for which the commissioner recused himself or herself. 18

19 (d) Legislative Ethics Commission shall have The 20 jurisdiction over current and former members of the General Assembly regarding events occurring during a member's term of 21 22 office and current and former State employees regarding events 23 occurring during any period of employment where the State а 24 employee's ultimate jurisdictional authority is (i) legislative leader, (ii) the Senate Operations Commission, or 25 26 (iii) the Joint Committee on Legislative Support Services. The SB0539 Enrolled - 50 - LRB102 04041 RJF 14057 b

Legislative Ethics Commission shall have jurisdiction over complainants and respondents in violation of subsection (d) of Section 25-90. The jurisdiction of the Commission is limited to matters arising under this Act.

5 An officer or executive branch State employee serving on a 6 legislative branch board or commission remains subject to the 7 jurisdiction of the Executive Ethics Commission and is not 8 subject to the jurisdiction of the Legislative Ethics 9 Commission.

10 (e) The Legislative Ethics Commission must meet, either in person or by other technological means, monthly or as often as 11 12 necessary. At the first meeting of the Legislative Ethics 13 Commission, the commissioners shall choose from their number a 14 chairperson and other officers that they deem appropriate. The terms of officers shall be for 2 years commencing July 1 and 15 16 running through June 30 of the second following year. Meetings 17 shall be held at the call of the chairperson or any 3 commissioners. Official action by the Commission shall require 18 the affirmative vote of 5 commissioners, and a quorum shall 19 consist of 5 commissioners. Commissioners shall receive no 20 compensation but may be reimbursed for their reasonable 21 22 expenses actually incurred in the performance of their duties.

(f) No commissioner, other than a commissioner who is a member of the General Assembly, or employee of the Legislative Ethics Commission may during his or her term of appointment or employment: 1

(1) become a candidate for any elective office;

(2) hold any other elected or appointed public office
except for appointments on governmental advisory boards or
study commissions or as otherwise expressly authorized by
law;

6 (3) be actively involved in the affairs of any 7 political party or political organization; or

8 (4) advocate for the appointment of another person to 9 an appointed or elected office or position or actively 10 participate in any campaign for any elective office.

11 (f-5) No commissioner who is a member of the General 12 Assembly may be a candidate for statewide office, federal office, or judicial office. If a commissioner who is a member 13 14 of the General Assembly files petitions to be a candidate for a 15 statewide office, federal office, or judicial office, he or 16 she shall be deemed to have resigned from his or her position 17 as a commissioner on the date his or her name is certified for the ballot by the State Board of Elections or local election 18 19 authority and his or her position as a commissioner shall be 20 deemed vacant. Such person may not be reappointed to the Commission during any time he or she is a candidate for 21 22 statewide office, federal office, or judicial office.

23 (g) An appointing authority may remove a commissioner only 24 for cause.

(h) The Legislative Ethics Commission shall appoint an
 Executive Director subject to the approval of at least 3 of the

SB0539 Enrolled - 52 - LRB102 04041 RJF 14057 b

1 4 legislative leaders. The compensation of the Executive 2 Director shall be as determined by the Commission. The 3 Executive Director of the Legislative Ethics Commission may 4 employ, subject to the approval of at least 3 of the 4 5 legislative leaders, and determine the compensation of staff, 6 as appropriations permit.

7 (i) In consultation with the Legislative Inspector 8 General, the Legislative Ethics Commission may develop 9 comprehensive training for members and employees under its 10 jurisdiction that includes, but is not limited to, sexual 11 harassment, employment discrimination, and workplace civility. 12 The training may be recommended to the ultimate jurisdictional 13 authorities and may be approved by the Commission to satisfy the sexual harassment training required under Section 5-10.5 14 or be provided in addition to the annual sexual harassment 15 16 training required under Section 5-10.5. The Commission may 17 seek input from governmental agencies or private entities for quidance in developing such training. 18

19 (Source: P.A. 100-588, eff. 6-8-18; 101-81, eff. 7-12-19;
20 101-221, eff. 8-9-19; 101-617, eff. 12-20-19.)

21

(5 ILCS 430/25-10)

22 Sec. 25-10. Office of Legislative Inspector General.

(a) The independent Office of the Legislative Inspector
 General is created. The Office shall be under the direction
 and supervision of the Legislative Inspector General and shall

SB0539 Enrolled - 53 - LRB102 04041 RJF 14057 b

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be a fully independent office with its own appropriation.

2 (b) The Legislative Inspector General shall be appointed 3 without regard to political affiliation and solely on the basis of integrity and demonstrated ability. The Legislative 4 5 Ethics Commission shall diligently search out qualified candidates for Legislative Inspector General and shall make 6 recommendations to the General Assembly. The Legislative 7 8 Inspector General may serve in a full-time, part-time, or 9 contractual capacity.

10 The Legislative Inspector General shall be appointed by a 11 ioint resolution of the Senate and the House of 12 Representatives, which may specify the date on which the 13 appointment takes effect. A joint resolution, or other document as may be specified by the Joint Rules of the General 14 15 Assembly, appointing the Legislative Inspector General must be 16 certified by the Speaker of the House of Representatives and 17 the President of the Senate as having been adopted by the affirmative vote of three-fifths of the members elected to 18 19 each house, respectively, and be filed with the Secretary of 20 State. The appointment of the Legislative Inspector General 21 takes effect on the day the appointment is completed by the 22 General Assembly, unless the appointment specifies a later 23 date on which it is to become effective.

24 The Legislative Inspector General shall have the following 25 qualifications:

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(1) has not been convicted of any felony under the

laws of this State, another state, or the United States;

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(2) has earned a baccalaureate degree from an institution of higher education; and

(3) has 5 or more years of cumulative service (A) with 4 5 a federal, State, or local law enforcement agency, at least 2 years of which have been in a progressive 6 investigatory capacity; (B) as a federal, State, or local 7 8 prosecutor; (C) as a senior manager or executive of a 9 federal, State, or local agency; (D) as a member, an 10 officer, or a State or federal judge; or (E) representing 11 any combination of items (A) through (D).

12 The Legislative Inspector General may not be a relative of 13 a commissioner.

14 The term of the initial Legislative Inspector General 15 shall commence upon qualification and shall run through June 16 30, 2008.

After the initial term, the Legislative Inspector General shall serve for 5-year terms commencing on July 1 of the year of appointment and running through June 30 of the fifth following year. The Legislative Inspector General may be reappointed to one or more subsequent terms. Terms shall run regardless of whether the position is filled.

(b-5) A vacancy occurring other than at the end of a term shall be filled in the same manner as an appointment only for the balance of the term of the Legislative Inspector General whose office is vacant. Within 7 days of the Office becoming SB0539 Enrolled - 55 - LRB102 04041 RJF 14057 b

vacant or receipt of a Legislative Inspector General's prospective resignation, the vacancy shall be publicly posted on the Commission's website, along with a description of the requirements for the position and where applicants may apply.

5 Within 45 days of the vacancy, the Commission shall 6 designate an Acting Legislative Inspector General who shall 7 serve until the vacancy is filled. The Commission shall file 8 the designation in writing with the Secretary of State.

9 Within 60 days prior to the end of the term of the 10 Legislative Inspector General or within 30 days of the 11 occurrence of a vacancy in the Office of the Legislative 12 Inspector General, the Legislative Ethics Commission shall 13 establish a four-member search committee within the Commission 14 for the purpose of conducting a search for qualified 15 candidates to serve as Legislative Inspector General. The 16 Speaker of the House of Representatives, Minority Leader of 17 the House, Senate President, and Minority Leader of the Senate shall each appoint one member to the search committee. A 18 19 member of the search committee shall be either a retired judge 20 or former prosecutor and may not be a member or employee of the 21 General Assembly or a registered lobbyist. If the Legislative 22 Ethics Commission wishes to recommend that the Legislative 23 Inspector General be re-appointed, a search committee does not 24 need to be appointed.

The search committee shall conduct a search for qualified candidates, accept applications, and conduct interviews. The SB0539 Enrolled - 56 - LRB102 04041 RJF 14057 b

1 search committee shall recommend up to 3 candidates for 2 Legislative Inspector General to the Legislative Ethics 3 Commission. The search committee shall be disbanded upon an 4 appointment of the Legislative Inspector General. Members of 5 the search committee are not entitled to compensation but 6 shall be entitled to reimbursement of reasonable expenses 7 incurred in connection with the performance of their duties.

8 Within 30 days after <u>June 8, 2018 (the effective date of</u> 9 <u>Public Act 100-588)</u> this amendatory Act of the 100th General 10 Assembly, the Legislative Ethics Commission shall create a 11 search committee in the manner provided for in this subsection 12 to recommend up to 3 candidates for Legislative Inspector 13 General to the Legislative Ethics Commission by October 31, 14 2018.

15 If a vacancy exists and the Commission has not appointed 16 an Acting Legislative Inspector General, either the staff of 17 the Office of the Legislative Inspector General, or if there no staff, the Executive Director, shall advise the 18 is 19 Commission of all open investigations and any new allegations 20 or complaints received in the Office of the Inspector General. These reports shall not include the name of any person 21 22 identified in the allegation or complaint, including, but not 23 limited to, the subject of and the person filing the allegation or complaint. Notification shall be made to the 24 25 Commission on a weekly basis unless the Commission approves of 26 a different reporting schedule.

SB0539 Enrolled - 57 - LRB102 04041 RJF 14057 b

If the Office of the Inspector General is vacant for 6 1 2 months or more beginning on or after January 1, 2019, and the Legislative Ethics Commission has not appointed an Acting 3 Legislative Inspector General, all complaints made to the 4 5 Legislative Inspector General or the Legislative Ethics 6 Commission shall be directed to the Inspector General for the 7 Auditor General, and he or she shall have the authority to act 8 as provided in subsection (c) of this Section and Section 9 25-20 of this Act, and shall be subject to all laws and rules 10 governing а Legislative Inspector General or Acting 11 Legislative Inspector General. The authority for the Inspector 12 General of the Auditor General under this paragraph shall 13 terminate upon appointment of a Legislative Inspector General 14 or an Acting Legislative Inspector General.

15 (C) The Legislative Inspector General shall have 16 jurisdiction over the current and former members of the 17 General Assembly regarding events occurring during a member's term of office and current and former State employees 18 regarding events occurring during any period of employment 19 where the State employee's ultimate jurisdictional authority 20 is (i) a legislative leader, (ii) the Senate Operations 21 22 Commission, or (iii) the Joint Committee on Legislative 23 Support Services.

The jurisdiction of each Legislative Inspector General is to investigate allegations of <u>violations of this Act</u>, <u>violations of other related laws and rules regarding events</u> SB0539 Enrolled - 58 - LRB102 04041 RJF 14057 b

related to the member's or employee's public duties or use of 1 2 State office, employment, or resources, or fraud, waste, 3 abuse, mismanagement, misconduct, nonfeasance, misfeasance, or malfeasance related to the member's or employee's public 4 duties or use of State office, employment, or resources. The 5 jurisdiction shall not include violations of the Rules of the 6 7 House of Representatives or the Senate, or violations of this 8 Act or violations of other related laws and rules.

9 The Legislative Inspector General shall have jurisdiction 10 over complainants in violation of subsection (e) of Section 11 25-63 of this Act.

12 (d) The compensation of the Legislative Inspector General 13 shall be the greater of an amount (i) determined by the Commission or (ii) by joint resolution of the General Assembly 14 15 passed by a majority of members elected in each chamber. 16 Subject to Section 25-45 of this Act, the Legislative 17 Inspector General has full authority to organize the Office of the Legislative Inspector General, including the employment 18 and determination of the compensation of staff, such as 19 20 deputies, assistants, and other employees, as appropriations permit. Employment of staff is subject to the approval of at 21 22 least 3 of the 4 legislative leaders.

(e) No Legislative Inspector General or employee of the
 Office of the Legislative Inspector General may, during his or
 her term of appointment or employment:

26

(1) become a candidate for any elective office;

SB0539 Enrolled

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1 (2) hold any other elected or appointed public office 2 except for appointments on governmental advisory boards or 3 study commissions or as otherwise expressly authorized by 4 law;

5 (3) be actively involved in the affairs of any 6 political party or political organization; or

7 (4) actively participate in any campaign for any
8 elective office.

9 A full-time Legislative Inspector General shall not engage 10 in the practice of law or any other business, employment, or 11 vocation.

12 In this subsection an appointed public office means a 13 position authorized by law that is filled by an appointing 14 authority as provided by law and does not include employment 15 by hiring in the ordinary course of business.

16 (e-1) No Legislative Inspector General or employee of the
 17 Office of the Legislative Inspector General may, for one year
 18 after the termination of his or her appointment or employment:

(1) become a candidate for any elective office;

(2) hold any elected public office; or

21 (3) hold any appointed State, county, or local22 judicial office.

23 (e-2) The requirements of item (3) of subsection (e-1) may
24 be waived by the Legislative Ethics Commission.

(f) The Commission may remove the Legislative InspectorGeneral only for cause. At the time of the removal, the

- 60 -SB0539 Enrolled LRB102 04041 RJF 14057 b 1 Commission must report to the General Assembly the 2 justification for the removal. (Source: P.A. 100-588, eff. 6-8-18; 101-221, eff. 8-9-19; 3 revised 9-12-19.) 4 5 (5 ILCS 430/25-15) 6 Sec. 25-15. Duties of the Legislative Ethics Commission. 7 addition to duties otherwise assigned by law, In the Legislative Ethics Commission shall have the following duties: 8 9 (1) To promulgate rules governing the performance of 10 its duties and the exercise of its powers and governing 11 the investigations of the Legislative Inspector General; 12 except that, the Legislative Ethics Commission shall adopt 13 no rule requiring the Legislative Inspector General to 14 seek the Commission's advance approval before commencing 15 any investigation authorized under this Article. Any 16 existing rule, as of the effective date of this amendatory Act of the 102nd General Assembly, requiring the 17 18 Legislative Inspector General to seek the Commission's advance approval before commencing any investigation is 19 void. The rules shall be available on the Commission's 20 21 website and any proposed changes to the rules must be made 22 available to the public on the Commission's website no 23 less than 7 days before the adoption of the changes. Any 24 person shall be given an opportunity to provide written or 25 oral testimony before the Commission in support of or

SB0539 Enrolled - 61 - LRB102 04041 RJF 14057 b

1 opposition to proposed rules.

2 (2) To conduct administrative hearings and rule on 3 matters brought before the Commission only upon the receipt of pleadings filed by the Legislative Inspector 4 5 General and not upon its own prerogative, but may appoint 6 special Legislative Inspectors General as provided in 7 Section 25-21. Any other allegations of misconduct 8 received by the Commission from a person other than the 9 Legislative Inspector General shall be referred to the 10 Office of the Legislative Inspector General.

11 (3) To prepare and publish manuals and guides and, 12 working with the Office of the Attorney General, oversee 13 training of employees under its jurisdiction that explains 14 their duties.

15 (4) To prepare public information materials to
16 facilitate compliance, implementation, and enforcement of
17 this Act.

18

(5) To submit reports as required by this Act.

19 (6) To the extent authorized by this Act, to make 20 rulings, issue recommendations, and impose administrative 21 fines, if appropriate, in connection with the 22 implementation and interpretation of this Act. The powers 23 and duties of the Commission are limited to matters 24 clearly within the purview of this Act.

(7) To issue subpoenas with respect to matters pending
 before the Commission, subject to the provisions of this

SB0539 Enrolled - 62 - LRB102 04041 RJF 14057 b

Article and in the discretion of the Commission, to compel the attendance of witnesses for purposes of testimony and the production of documents and other items for inspection and copying.

5 (8) To appoint special Legislative Inspectors General
6 as provided in Section 25-21.

7 (9) To conspicuously display on the Commission's 8 website the procedures for reporting a violation of this 9 Act, including how to report violations via email or 10 online.

(10) To conspicuously display on the Commission's website any vacancies within the Office of the Legislative Inspector General.

14 (11) To appoint an Acting Legislative Inspector
 15 General in the event of a vacancy in the Office of the
 16 Legislative Inspector General.

17 (Source: P.A. 100-554, eff. 11-16-17; 100-588, eff. 6-8-18.)

18 (5 ILCS 430/25-20)

25

19Sec. 25-20. Duties of the Legislative Inspector General.20In addition to duties otherwise assigned by law, the21Legislative Inspector General shall have the following duties:22(1) To receive and investigate, without advance23approval of the Legislative Ethics Commission, allegations24of violations of this Act and other wrongful acts within

his or her jurisdiction based on a complaint. Except as

SB0539 Enrolled - 63 - LRB102 04041 RJF 14057 b

1 otherwise provided in paragraph (1.5), an investigation 2 may not be initiated more than one year after the alleged 3 wrongful act or the most recent act of a series of alleged wrongful acts based on the same wrongful conduct except if 4 5 there is reasonable cause to believe that fraudulent 6 concealment has occurred allegations of violations of this 7 Act. Except as otherwise provided in paragraph (1.5), an 8 investigation may not be initiated more than one vear 9 after the most recent act of the alleged violation or of a 10 of alleged violations except where -there-11 reasonable cause to believe that fraudulent concealment 12 То constitute fraudulent concealment has occurred. sufficient to toll this limitations period, there must be 13 14 an affirmative act or representation calculated to prevent 15 discovery of the fact that a violation or other wrongful 16 act has occurred. The Legislative Inspector General shall 17 have the discretion to determine the appropriate means of 18 investigation as permitted by law.

19 (1.5) Notwithstanding any provision of law to the 20 contrary, the Legislative Inspector General, whether appointed by the Legislative Ethics Commission or the 21 22 General Assembly, may initiate an investigation based on 23 information provided to the Office of the Legislative 24 Inspector General or the Legislative Ethics Commission 25 during the period from December 1, 2014 through November 26 3, 2017. Any investigation initiated under this paragraph SB0539 Enrolled

1 (1.5) must be initiated within one year after the 2 effective date of this amendatory Act of the 100th General 3 Assembly.

Notwithstanding any provision of law to the contrary, 4 5 the Legislative Inspector General, through the Attorney General, shall have the authority to file a complaint 6 7 related to any founded violations that occurred during the period December 1, 2014 through November 3, 2017 to the 8 9 Legislative Ethics Commission, and the Commission shall 10 have jurisdiction to conduct administrative hearings 11 related to any pleadings filed by the Legislative 12 Inspector General, provided the complaint is filed with 13 the Commission no later than 6 months after the summary 14 report is provided to the Attorney General in accordance 15 with subsection (c) of Section 25-50.

16 (2) To request information relating to an 17 investigation from any person when the Legislative 18 Inspector General deems that information necessary in 19 conducting an investigation.

20 (3) To issue subpoenas, with the advance approval of 21 the Commission, to compel the attendance of witnesses for 22 the purposes of testimony and production of documents and 23 other items for inspection and copying and to make service 24 of those subpoenas and subpoenas issued under item (7) of 25 Section 25-15.

26

(4) To submit reports as required by this Act.

SB0539 Enrolled

1 (5) To file pleadings in the name of the Legislative 2 Inspector General with the Legislative Ethics Commission, 3 through the Attorney General, as provided in this Article 4 if the Attorney General finds that reasonable cause exists 5 to believe that a violation has occurred.

6 (6) To assist and coordinate the ethics officers for 7 State agencies under the jurisdiction of the Legislative 8 Inspector General and to work with those ethics officers.

9 (7) To participate in or conduct, when appropriate, 10 multi-jurisdictional investigations.

11 (8) To request, as the Legislative Inspector General 12 deems appropriate, from ethics officers of State agencies 13 under his or her jurisdiction, reports or information on 14 (i) the content of a State agency's ethics training 15 program and (ii) the percentage of new officers and 16 employees who have completed ethics training.

(9) To establish a policy that ensures the appropriate handling and correct recording of all investigations of allegations and to ensure that the policy is accessible via the Internet in order that those seeking to report those allegations are familiar with the process and that the subjects of those allegations are treated fairly.

(10) To post information to the Legislative Inspector
 General's website explaining to complainants and subjects
 of an investigation the legal limitations on the
 Legislative Inspector General's ability to provide

SB0539 Enrolled - 66 - LRB102 04041 RJF 14057 b

- 1 information to them and a general overview of the 2 investigation process.
- 3 (Source: P.A. 100-553, eff. 11-16-17; 100-588, eff. 6-8-18.)

4 (5 ILCS 430/25-85)

5 Sec. 25-85. Quarterly reports by the Legislative Inspector 6 The Legislative Inspector General shall submit General. 7 quarterly reports of claims within his or her jurisdiction filed with the Office of the Legislative Inspector General to 8 9 the General Assembly and the Legislative Ethics Commission, on 10 dates determined by the Legislative Ethics Commission, 11 indicating:

12 (1) the total number of allegations received since the 13 date of the last report and the total number of 14 allegations received since the date of the last report by 15 category of claim;

16 (2) the total number of investigations initiated since 17 the date of the last report and the total number of 18 investigations initiated since the date of the last report 19 by category of claim;

(3) the total number of investigations concluded since
the date of the last report and the total number of
investigations concluded since the date of the last report
by category of claim;

24 (4) the total number of investigations pending as of25 the reporting date and the total number of investigations

SB0539 Enrolled - 67 - LRB102 04041 RJF 14057 b

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pending as of the reporting date by category of claim;

(5) the total number of <u>summary reports</u> complaints
forwarded to the Attorney General <u>pursuant to subsection</u>
(c) of Section 25-50 since the date of the last report;

5 (6) the total number of actions filed with the Legislative Ethics Commission since the date of the last 6 7 report, the total number of actions pending before the 8 Legislative Ethics Commission as of the reporting date, 9 the total number of actions filed with the Legislative 10 Ethics Commission since the date of the last report by 11 category of claim, and the total number of actions pending 12 before the Legislative Ethics Commission as of the 13 reporting date by category of claim;

14 (7) the number of allegations referred to any law15 enforcement agency since the date of the last report;

16 (8) the total number of allegations referred to 17 another investigatory body since the date of the last 18 report; and

19 (9) the cumulative number of each of the foregoing for20 the current calendar year.

For the purposes of this Section, "category of claim" shall include discrimination claims, harassment claims, sexual harassment claims, retaliation claims, gift ban claims, prohibited political activity claims, revolving door prohibition claims, and other, miscellaneous, or uncharacterized claims. SB0539 Enrolled - 68 - LRB102 04041 RJF 14057 b

The quarterly report shall be available on the website of
 the Legislative Inspector General.

3 (Source: P.A. 100-588, eff. 6-8-18.)

4 Section 20. The Election Code is amended by changing 5 Sections 1A-14, 9-1.8, and 9-8.5 and by adding Section 9-3.5 6 as follows:

7 (10 ILCS 5/1A-14) (from Ch. 46, par. 1A-14)

8 Sec. 1A-14. Political activity by members of the State
9 Board of Elections.

10 (a) No member of the State Board of Elections may become a 11 candidate for nomination for, or election to, or accept 12 appointment to or hold any other remunerative public office or public employment or any office in a political party. No 13 14 member of the State Board of Elections shall: (i) contribute, 15 either financially or in services or goods or any other way, to any political committee; (ii) serve as an officer of any 16 political committee; or (iii) be a candidate who is designated 17 as the candidate to be supported by a candidate political 18 19 committee.

20 <u>(b) A member of the State Board of Elections who is either</u> 21 <u>an officer of a political committee or a candidate who is</u> 22 <u>designated as the candidate to be supported by a candidate</u> 23 <u>political committee shall within 30 days after confirmation by</u> 24 <u>the Senate: (i) resign as an officer of the political</u> SB0539 Enrolled - 69 - LRB102 04041 RJF 14057 b

committee; (ii) have his or her name removed as the candidate 1 2 to be supported by a political committee; (iii) notify the 3 Board of the member's intent to convert the political committee to a limited activity committee under Section 9-1.8, 4 5 and complete the transition to a limited activity committee within 60 days after confirmation; or (iv) dissolve the 6 7 committee. A member of the State Board of Elections who is in violation of this subsection (b) on the effective date of this 8 9 amendatory Act of the 102nd General Assembly must come into compliance within 30 days after the effective date of this 10 11 amendatory Act of the 102nd General Assembly.

12 (c) Violation of any prohibition in this Section shall 13 disqualify a member of the Board and a vacancy is thereby 14 created. A vacancy also exists upon the occurrence of any of 15 the events enumerated in Section 25-2 of this Act as in the 16 case of an elective office.

17 (d) As used in this Section, "political committee"
18 includes both the meaning provided in Section 9-1.8 of this
19 Code and the meaning provided in 52 U.S.C. 30101.

20 (Source: P.A. 80-1178.)

21 (10 ILCS 5/9-1.8) (from Ch. 46, par. 9-1.8)

22 Sec. 9-1.8. Political committees.

(a) "Political committee" includes a candidate political
 committee, a political party committee, a political action
 committee, a ballot initiative committee, and an independent

SB0539 Enrolled - 70 - LRB102 04041 RJF 14057 b

1 expenditure committee.

2 (b) "Candidate political committee" means the candidate 3 himself or herself or any natural person, trust, partnership, 4 corporation, or other organization or group of persons 5 designated by the candidate that accepts contributions or 6 makes expenditures during any 12-month period in an aggregate 7 amount exceeding \$5,000 on behalf of the candidate.

8 (c) "Political party committee" means the State central 9 committee of a political party, a county central committee of 10 a political party, a legislative caucus committee, or a 11 committee formed by a ward or township committeeperson of a 12 political party. For purposes of this Article, a "legislative 13 caucus committee" means a committee established for the 14 purpose of electing candidates to the General Assembly by the 15 person elected President of the Senate, Minority Leader of the 16 Senate, Speaker of the House of Representatives, Minority 17 Leader of the House of Representatives, or a committee established by 5 or more members of the same caucus of the 18 Senate or 10 or more members of the same caucus of the House of 19 20 Representatives.

(d) "Political action committee" means any natural person, trust, partnership, committee, association, corporation, or other organization or group of persons, other than a candidate, political party, candidate political committee, or political party committee, that accepts contributions or makes expenditures during any 12-month period in an aggregate amount SB0539 Enrolled - 71 - LRB102 04041 RJF 14057 b

exceeding \$5,000 on behalf of or in opposition to a candidate 1 2 or candidates for public office. "Political action committee" 3 includes any natural person, trust, partnership, committee, association, corporation, or other organization or group of 4 5 persons, other than a candidate, political party, candidate political committee, or political party committee, that makes 6 7 electioneering communications during any 12-month period in an 8 aggregate amount exceeding \$5,000 related to any candidate or 9 candidates for public office.

"Ballot initiative committee" means 10 (e) anv natural 11 person, trust, partnership, committee, association, 12 corporation, or other organization or group of persons that 13 accepts contributions or makes expenditures during any 14 12-month period in an aggregate amount exceeding \$5,000 in 15 support of or in opposition to any question of public policy to 16 be submitted to the electors. "Ballot initiative committee" 17 includes any natural person, trust, partnership, committee, association, corporation, or other organization or group of 18 persons that makes electioneering communications during any 19 20 12-month period in an aggregate amount exceeding \$5,000 related to any question of public policy to be submitted to the 21 22 voters. The \$5,000 threshold applies to any contributions or 23 expenditures received or made with the purpose of securing a place on the ballot for, advocating the defeat or passage of, 24 25 or engaging in electioneering communication regarding the question of public policy, regardless of the method of 26

initiation of the question of public policy and regardless of whether petitions have been circulated or filed with the appropriate office or whether the question has been adopted and certified by the governing body.

(f) "Independent expenditure committee" means any trust, 5 partnership, committee, association, corporation, or other 6 7 organization or group of persons formed for the exclusive 8 purpose of making independent expenditures during any 12-month 9 period in an aggregate amount exceeding \$5,000 in support of 10 or in opposition to (i) the nomination for election, election, retention, or defeat of any public official or candidate or 11 12 (ii) any question of public policy to be submitted to the electors. "Independent expenditure committee" also includes 13 14 any trust, partnership, committee, association, corporation, 15 or other organization or group of persons that makes 16 electioneering communications that are not made in connection, 17 consultation, or concert with or at the request or suggestion of a public official or candidate, a public official's or 18 candidate's designated political committee or campaign, or an 19 20 agent or agents of the public official, candidate, or political committee or campaign during any 12-month period in 21 22 an aggregate amount exceeding \$5,000 related to (i) the 23 nomination for election, election, retention, or defeat of any public official or candidate or (ii) any question of public 24 25 policy to be submitted to the voters.

26 (g) "Limited activity committee" means a political

SB0539 Enrolled - 73 - LRB102 04041 RJF 14057 b

1 committee for which a person who is nominated to a position 2 that is subject to confirmation by the Senate, including a 3 member of the State Board of Elections, is either an officer or 4 a candidate the committee has designated to support. 5 (Source: P.A. 100-1027, eff. 1-1-19.)

6 (10 ILCS 5/9-3.5 new) 7 Sec. 9-3.5. Candidate political committee restrictions. 8 (a) A person who is nominated to an affected office shall not: (i) serve as an officer of a candidate political 9 10 committee that is designated to support or oppose that person 11 as a candidate; or (ii) be a candidate who is designated as the 12 candidate to be supported by a candidate political committee. 13 (b) Within 30 days after appointment, the person shall: (i) dissolve the candidate political committee; (ii) resign as 14 15 an officer of the candidate political committee; (iii) have 16 his or her name removed as the candidate to be supported by the candidate political committee; or (iv) notify the Board of the 17 18 person's intent to convert the candidate political committee 19 to a limited activity candidate political committee.

20 (c) As used in this Section, "affected office" has the 21 meaning provided in subsection (c) of Section 3A-50 of the 22 Illinois Governmental Ethics Act.

23 (10 ILCS 5/9-8.5)

24 Sec. 9-8.5. Limitations on campaign contributions.

SB0539 Enrolled - 74 - LRB102 04041 RJF 14057 b

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(a) It is unlawful for a political committee to accept contributions except as provided in this Section.

3 During an election cycle, a candidate political (b) committee may not accept contributions with an aggregate value 4 5 over the following: (i) \$5,000 from any individual, (ii) 6 any corporation, \$10,000 from labor organization, or 7 association, or (iii) \$50,000 from a candidate political 8 committee or political action committee. A candidate political 9 committee may accept contributions in any amount from a 10 political party committee except during an election cycle in 11 which the candidate seeks nomination at a primary election. 12 During an election cycle in which the candidate seeks nomination at a primary election, a candidate political 13 14 committee may not accept contributions from political party 15 committees with an aggregate value over the following: (i) 16 \$200,000 for a candidate political committee established to 17 support a candidate seeking nomination to statewide office, (ii) \$125,000 for a candidate political committee established 18 19 to support a candidate seeking nomination to the Senate, the 20 Supreme Court or Appellate Court in the First Judicial District, or an office elected by all voters in a county with 21 22 1,000,000 or more residents, (iii) \$75,000 for a candidate 23 political committee established to support a candidate seeking 24 nomination to the House of Representatives, the Supreme Court 25 or Appellate Court for a Judicial District other than the 26 First Judicial District, an office elected by all voters of a

SB0539 Enrolled - 75 - LRB102 04041 RJF 14057 b

county of fewer than 1,000,000 residents, and municipal and 1 2 county offices in Cook County other than those elected by all voters of Cook County, and (iv) \$50,000 for a candidate 3 political committee established to support the nomination of a 4 5 candidate to any other office. A candidate political committee established to elect a candidate to the General Assembly may 6 7 accept contributions from only one legislative caucus 8 committee. A candidate political committee may not accept 9 contributions from a ballot initiative committee or from an 10 independent expenditure committee.

11 (c) During an election cycle, a political party committee 12 may not accept contributions with an aggregate value over the following: (i) \$10,000 from any individual, (ii) \$20,000 from 13 14 any corporation, labor organization, or association, or (iii) 15 \$50,000 from a political action committee. A political party 16 committee may accept contributions in any amount from another 17 political party committee or a candidate political committee, except as provided in subsection (c-5). Nothing in this 18 Section shall limit the amounts that may be transferred 19 20 political party committee established under between a subsection (a) of Section 7-8 of this Code and an affiliated 21 22 federal political committee established under the Federal 23 Election Code by the same political party. A political party may not accept contributions from 24 committee а ballot 25 initiative committee or from an independent expenditure 26 committee. A political party committee established by a

legislative caucus may not accept contributions from another
 political party committee established by a legislative caucus.

3 (c-5) During the period beginning on the date candidates may begin circulating petitions for a primary election and 4 5 ending on the day of the primary election, a political party committee may not accept contributions with an aggregate value 6 over \$50,000 from a candidate political committee or political 7 8 party committee. A political party committee may accept 9 contributions in any amount from a candidate political 10 committee or political party committee if the political party 11 committee receiving the contribution filed a statement of 12 nonparticipation in the primary as provided in subsection (c-10). The Task Force on Campaign Finance Reform shall study 13 and make recommendations on the provisions of this subsection 14 15 to the Governor and General Assembly by September 30, 2012. 16 This subsection becomes inoperative on July 1, 2013 and 17 thereafter no longer applies.

(c-10) A political party committee that does not intend to 18 make contributions to candidates to be nominated at a general 19 20 primary election or consolidated primary election may file a Statement of Nonparticipation in a Primary Election with the 21 22 Board. The Statement of Nonparticipation shall include a 23 verification signed by the chairperson and treasurer of the committee that (i) the committee will not make contributions 24 25 or coordinated expenditures in support of or opposition to a 26 candidate or candidates to be nominated at the general primary SB0539 Enrolled - 77 - LRB102 04041 RJF 14057 b

election or consolidated primary election (select one) to be 1 2 held on (insert date), (ii) the political party committee may accept unlimited contributions from candidate political 3 committees and political party committees, provided that the 4 5 political party committee does not make contributions to a candidate or candidates to be nominated at the primary 6 7 election, and (iii) failure to abide by these requirements 8 shall deem the political party committee in violation of this 9 Article and subject the committee to a fine of no more than 10 150% of the total contributions or coordinated expenditures 11 made by the committee in violation of this Article. This 12 subsection becomes inoperative on July 1, 2013 and thereafter no longer applies. 13

(d) During an election cycle, a political action committee 14 15 may not accept contributions with an aggregate value over the 16 following: (i) \$10,000 from any individual, (ii) \$20,000 from 17 labor organization, political any corporation, party committee, or association, or (iii) \$50,000 from a political 18 19 action committee or candidate political committee. A political 20 action committee may not accept contributions from a ballot 21 initiative committee or from an independent expenditure 22 committee.

(e) A ballot initiative committee may accept contributions
in any amount from any source, provided that the committee
files the document required by Section 9-3 of this Article and
files the disclosure reports required by the provisions of

SB0539 Enrolled

1 this Article.

2 (e-5) An independent expenditure committee may accept 3 contributions in any amount from any source, provided that the 4 committee files the document required by Section 9-3 of this 5 Article and files the disclosure reports required by the 6 provisions of this Article.

7 (e-10) A limited activity committee shall not accept 8 contributions, except that the officer or a candidate the 9 committee has designated to support may contribute personal 10 funds in order to pay for maintenance expenses. A limited 11 activity committee may only make expenditures that are: (i) 12 necessary for maintenance of the committee; (ii) for rent or 13 lease payments until the end of the lease in effect at the time 14 the officer or candidate is confirmed by the Senate; (iii) contributions to 501(c)(3) charities; or (iv) returning 15 16 contributions to original contributors.

(f) Nothing in this Section shall prohibit a political committee from dividing the proceeds of joint fundraising efforts; provided that no political committee may receive more than the limit from any one contributor, and provided that an independent expenditure committee may not conduct joint fundraising efforts with a candidate political committee or a political party committee.

(g) On January 1 of each odd-numbered year, the State
 Board of Elections shall adjust the amounts of the
 contribution limitations established in this Section for

inflation as determined by the Consumer Price Index for All
 Urban Consumers as issued by the United States Department of
 Labor and rounded to the nearest \$100. The State Board shall
 publish this information on its official website.

5 (h) Self-funding candidates. If a public official, a candidate, or the public official's or candidate's immediate 6 7 family contributes or loans to the public official's or 8 candidate's political committee or to other political 9 committees that transfer funds to the public official's or 10 candidate's political committee or makes independent 11 expenditures for the benefit of the public official's or 12 candidate's campaign during the 12 months prior to an election in an aggregate amount of more than (i) \$250,000 for statewide 13 office or (ii) \$100,000 for all other elective offices, then 14 the public official or candidate shall file with the State 15 16 Board of Elections, within one day, a Notification of 17 Self-funding that shall detail each contribution or loan made by the public official, the candidate, or the public 18 official's or candidate's immediate family. Within 2 business 19 20 days after the filing of a Notification of Self-funding, the notification shall be posted on the Board's website and the 21 22 Board shall give official notice of the filing to each 23 candidate for the same office as the public official or candidate making the filing, including the public official or 24 25 candidate filing the Notification of Self-funding. Notice shall be sent via first class mail to the candidate and the 26

treasurer of the candidate's committee. Notice shall also be 1 2 sent by e-mail to the candidate and the treasurer of the candidate's committee if the candidate and the treasurer, as 3 applicable, have provided the Board with an e-mail address. 4 5 Upon posting of the notice on the Board's website, all candidates for that office, including the public official or 6 7 candidate who filed a Notification of Self-funding, shall be 8 permitted to accept contributions in excess of any 9 contribution limits imposed by subsection (b). If a public official or candidate filed a Notification of Self-funding 10 11 during an election cycle that includes a general primary 12 election or consolidated primary election and that public 13 official or candidate is nominated, all candidates for that 14 office, including the nominee who filed the notification of 15 self-funding, shall be permitted to accept contributions in 16 excess of any contribution limit imposed by subsection (b) for 17 the subsequent election cycle. For the purposes of this subsection, "immediate family" means the spouse, parent, or 18 child of a public official or candidate. 19

(h-5) If a natural person or independent expenditure committee makes independent expenditures in support of or in opposition to the campaign of a particular public official or candidate in an aggregate amount of more than (i) \$250,000 for statewide office or (ii) \$100,000 for all other elective offices in an election cycle, as reported in a written disclosure filed under subsection (a) of Section 9-8.6 or SB0539 Enrolled - 81 - LRB102 04041 RJF 14057 b

subsection (e-5) of Section 9-10, then the State Board of 1 2 Elections shall, within 2 business days after the filing of 3 the disclosure, post the disclosure on the Board's website and give official notice of the disclosure to each candidate for 4 5 the same office as the public official or candidate for whose detriment the natural person or independent 6 benefit or 7 expenditure committee made independent expenditures. Upon 8 posting of the notice on the Board's website, all candidates 9 for that office in that election, including the public 10 official or candidate for whose benefit or detriment the 11 natural person or independent expenditure committee made 12 independent expenditures, shall be permitted to accept 13 contributions in excess of any contribution limits imposed by 14 subsection (b).

15 (h-10)If the State Board of Elections receives 16 notification or determines that a natural person or persons, 17 independent expenditure committee or committees, an or combination thereof has made independent expenditures in 18 19 support of or in opposition to the campaign of a particular 20 public official or candidate in an aggregate amount of more than (i) \$250,000 for statewide office or (ii) \$100,000 for 21 22 all other elective offices in an election cycle, then the 23 Board shall, within 2 business days after discovering the 24 independent expenditures that, in the aggregate, exceed the 25 threshold set forth in (i) and (ii) of this subsection, post notice of this fact on the Board's website and give official 26

SB0539 Enrolled - 82 - LRB102 04041 RJF 14057 b

notice to each candidate for the same office as the public 1 2 official or candidate for whose benefit or detriment the 3 independent expenditures were made. Notice shall be sent via first class mail to the candidate and the treasurer of the 4 5 candidate's committee. Notice shall also be sent by e-mail to the candidate and the treasurer of the candidate's committee 6 7 if the candidate and the treasurer, as applicable, have 8 provided the Board with an e-mail address. Upon posting of the 9 notice on the Board's website, all candidates of that office 10 in that election, including the public official or candidate 11 for whose benefit or detriment the independent expenditures 12 may accept contributions in excess of were made, any contribution limits imposed by subsection (b). 13

14 (i) For the purposes of this Section, a corporation, labor 15 organization, association, or a political action committee 16 established by а corporation, labor organization, or 17 association may act as a conduit in facilitating the delivery to a political action committee of contributions made through 18 19 dues, levies, or similar assessments and the political action 20 committee may report the contributions in the aggregate, provided that: (i) contributions made through dues, levies, or 21 22 similar assessments paid by any natural person, corporation, 23 labor organization, or association in a calendar year may not exceed the limits set forth in this Section; 24 (ii) the 25 corporation, labor organization, association, or a political 26 action committee established by a corporation, labor SB0539 Enrolled - 83 - LRB102 04041 RJF 14057 b

organization, or association facilitating the delivery of 1 2 list contributions maintains а of natural persons, corporations, labor organizations, and associations that paid 3 the dues, levies, or similar assessments from which the 4 5 contributions comprising the aggregate amount derive; and (iii) contributions made through dues, levies, or similar 6 7 assessments paid by any natural person, corporation, labor organization, or association that exceed \$500 in a quarterly 8 9 reporting period shall be itemized on the committee's 10 quarterly report and may not be reported in the aggregate. A 11 political action committee facilitating the delivery of 12 contributions or receiving contributions shall disclose the 13 amount of contributions made through dues delivered or 14 received and the name of the corporation, labor organization, association, or political action committee delivering the 15 16 contributions, if applicable. On January 1 of each 17 odd-numbered year, the State Board of Elections shall adjust the amounts of the contribution limitations established in 18 this subsection for inflation as determined by the Consumer 19 20 Price Index for All Urban Consumers as issued by the United States Department of Labor and rounded to the nearest \$100. 21 22 The State Board shall publish this information on its official 23 website.

(j) A political committee that receives a contribution or transfer in violation of this Section shall dispose of the contribution or transfer by returning the contribution or SB0539 Enrolled - 84 - LRB102 04041 RJF 14057 b

transfer, or an amount equal to the contribution or transfer, 1 2 to the contributor or transferor or donating the contribution 3 or transfer, or an amount equal to the contribution or transfer, to a charity. A contribution or transfer received in 4 5 violation of this Section that is not disposed of as provided in this subsection within 30 days after the Board sends 6 7 notification to the political committee of the excess 8 contribution by certified mail shall escheat to the General 9 Revenue Fund and the political committee shall be deemed in 10 violation of this Section and subject to a civil penalty not to 11 exceed 150% of the total amount of the contribution.

12 (k) For the purposes of this Section, "statewide office"
13 means the Governor, Lieutenant Governor, Attorney General,
14 Secretary of State, Comptroller, and Treasurer.

(1) This Section is repealed if and when the United States Supreme Court invalidates contribution limits on committees formed to assist candidates, political parties, corporations, associations, or labor organizations established by or pursuant to federal law.

20 (Source: P.A. 97-766, eff. 7-6-12; 98-115, eff. 7-29-13.)

21 Section 25. The General Assembly Compensation Act is 22 amended by changing Section 1 as follows:

23 (25 ILCS 115/1) (from Ch. 63, par. 14)

24 Sec. 1. Each member of the General Assembly shall receive

SB0539 Enrolled - 85 - LRB102 04041 RJF 14057 b

an annual salary of \$28,000 or as set by the Compensation 1 2 Review Board, whichever is greater. The following named 3 officers, committee chairmen and committee minority spokesmen shall receive additional amounts per year for their services 4 5 as such officers, committee chairmen and committee minority spokesmen respectively, as set by the Compensation Review 6 7 Board or, as follows, whichever is greater: Beginning the 8 second Wednesday in January 1989, the Speaker and the minority 9 leader of the House of Representatives and the President and 10 the minority leader of the Senate, \$16,000 each; the majority 11 leader in the House of Representatives \$13,500; 5 assistant 12 majority leaders and 5 assistant minority leaders in the 13 Senate, \$12,000 each; 6 assistant majority leaders and 6 14 assistant minority leaders in the House of Representatives, 15 \$10,500 each; 2 Deputy Majority leaders in the House of 16 Representatives \$11,500 each; and 2 Deputy Minority leaders in 17 the House of Representatives, \$11,500 each; the majority caucus chairman and minority caucus chairman in the Senate, 18 \$12,000 each; and beginning the second Wednesday in January, 19 1989, the majority conference chairman and the minority 20 conference chairman in the House of Representatives, \$10,500 21 22 each; beginning the second Wednesday in January, 1989, the 23 chairman and minority spokesman of each standing committee of 24 the Senate, except the Rules Committee, the Committee on Committees, and the Committee on Assignment of Bills, \$6,000 25 26 each; and beginning the second Wednesday in January, 1989, the

chairman and minority spokesman of each standing and select 1 2 committee of the House of Representatives, \$6,000 each; and 3 beginning fiscal year 2020, the majority leader in the Senate, an amount equal to the majority leader in the House. A member 4 5 who serves in more than one position as an officer, committee chairman, or committee minority spokesman shall receive only 6 7 one additional amount based on the position paying the highest additional amount. Prior to the 103rd General Assembly, the 8 9 The compensation provided for in this Section to be paid per 10 year to members of the General Assembly, including the 11 additional sums payable per year to officers of the General 12 Assembly shall be paid in 12 equal monthly installments. The first such installment is payable on January 31, 1977. All 13 14 subsequent equal monthly installments are payable on the last 15 working day of the month. Prior to the 103rd General Assembly, 16 a A member who has held office any part of a month is entitled 17 to compensation for an entire month.

18 Beginning with the 103rd General Assembly, the 19 compensation provided for in this Section to be paid per year to members of the General Assembly, including additional sums 20 21 payable per year to officers of the General Assembly, shall be 22 paid bi-monthly. Members who resign before completing the entire term in office shall be compensated on a prorated 23 24 basis. Members completing the term of a vacancy shall be 25 compensated on a prorated basis.

26 Mileage shall be paid at the rate of 20 cents per mile

before January 9, 1985, and at the mileage allowance rate in 1 2 effect under regulations promulgated pursuant to 5 U.S.C. 5707(b)(2) beginning January 9, 1985, for the number of actual 3 highway miles necessarily and conveniently traveled by the 4 5 most feasible route to be present upon convening of the sessions of the General Assembly by such member in each and 6 7 every trip during each session in going to and returning from 8 the seat of government, to be computed by the Comptroller. A 9 member traveling by public transportation for such purposes, 10 however, shall be paid his actual cost of that transportation 11 instead of on the mileage rate if his cost of public 12 transportation exceeds the amount to which he would be 13 entitled on a mileage basis. No member may be paid, whether on a mileage basis or for actual costs of public transportation, 14 15 for more than one such trip for each week the General Assembly 16 is actually in session. Each member shall also receive an 17 allowance of \$36 per day for lodging and meals while in attendance at sessions of the General Assembly before January 18 9, 1985; beginning January 9, 1985, such food and lodging 19 20 allowance shall be equal to the amount per day permitted to be deducted for such expenses under the Internal Revenue Code; 21 22 however, beginning May 31, 1995, no allowance for food and 23 lodging while in attendance at sessions is authorized for periods of time after the last day in May of each calendar 24 25 year, except (i) if the General Assembly is convened in 26 special session by either the Governor or the presiding

SB0539 Enrolled - 88 - LRB102 04041 RJF 14057 b

officers of both houses, as provided by subsection (b) of 1 2 Section 5 of Article IV of the Illinois Constitution or (ii) if 3 the General Assembly is convened to consider bills vetoed, vetoed, reduced, or returned 4 item with specific recommendations for change by the Governor as provided in 5 Section 9 of Article IV of the Illinois Constitution. For 6 7 fiscal year 2011 and for session days in fiscal years 2012, 2013, 2014, 2015, 2016, 2017, 2018, and 2019 only (i) the 8 9 allowance for lodging and meals is \$111 per day and (ii) 10 mileage for automobile travel shall be reimbursed at a rate of 11 \$0.39 per mile.

Notwithstanding any other provision of 12 law to the contrary, beginning in fiscal year 2012, travel reimbursement 13 14 for General Assembly members on non-session days shall be calculated using the guidelines set forth by the Legislative 15 16 Travel Control Board, except that fiscal year 2012, 2013, 2014, 2015, 2016, 2017, 2018, and 2019 mileage reimbursement 17 is set at a rate of \$0.39 per mile. 18

19 If a member dies having received only a portion of the 20 amount payable as compensation, the unpaid balance shall be 21 paid to the surviving spouse of such member, or, if there be 22 none, to the estate of such member.

23 (Source: P.A. 100-25, eff. 7-26-17; 100-587, eff. 6-4-18; 24 101-10, eff. 6-5-19; revised 7-17-19.)

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Section 30. The Lobbyist Registration Act is amended by

SB0539 Enrolled - 89 - LRB102 04041 RJF 14057 b

1 changing Sections 2, 3, 4.5, 4.7, 5, 6, 8, and 11.2 as follows:

(25 ILCS 170/2) (from Ch. 63, par. 172)

3 Sec. 2. Definitions. As used in this Act, unless the
4 context otherwise requires:

5 (a) "Person" means any individual, firm, partnership,
6 committee, association, corporation, or any other organization
7 or group of persons.

(b) "Expenditure" means a payment, distribution, loan, 8 9 advance, deposit, or gift of money or anything of value, and 10 includes a contract, promise, or agreement, whether or not 11 legally enforceable, to make an expenditure, for the ultimate 12 influencing executive, legislative, purpose of or 13 administrative action, other than compensation as defined in 14 subsection (d).

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(c) "Official" means:

16 (1) the Governor, Lieutenant Governor, Secretary of
17 State, Attorney General, State Treasurer, and State
18 Comptroller;

(2) Chiefs of Staff for officials described in item
 (1), the Deputy Governor, the Deputy Secretary of State,
 the Deputy Attorney General, the Deputy Treasurer, and the
 Deputy Comptroller;

(3) Cabinet members of any elected constitutional
 officer, including Directors, Assistant Directors and
 Chief Legal Counsel or General Counsel;

SB0539 Enrolled

- 90 - LRB102 04041 RJF 14057 b

1	(4) Members of the General Assembly; and
2	(5) Members of any board, commission, authority, or
3	task force of the State authorized or created by State law
4	or by executive order of the Governor <u>;</u> \div
5	(6) Mayors, presidents, aldermen, commissioners, and
6	trustees of a city, village, or town;
7	(7) County board members and countywide elected
8	officials;
9	(8) Township board members and township elected
10	officials; and
11	(9) Members of any board, commission, authority, or
12	task force created by a local ordinance or order of a mayor
13	or village or town president.
14	(d) "Compensation" means any money, thing of value or
15	financial benefits received or to be received in return for
16	services rendered or to be rendered, for lobbying <u>or as a</u>

17 <u>consultant</u> as defined in subsection (e).

Monies paid to members of the General Assembly by the State as remuneration for performance of their Constitutional and statutory duties as members of the General Assembly shall not constitute compensation as defined by this Act.

(e) "Lobby" and "lobbying" means to communicate, including the soliciting of others to communicate, any communication with an official of the executive or legislative branch of State government as defined in subsection (c) for the ultimate purpose of influencing any executive, legislative, or SB0539 Enrolled - 91 - LRB102 04041 RJF 14057 b

administrative action at the State, municipal, county, or 1 township government level. Soliciting of others to communicate 2 3 shall not include (i) the making of a grant by an organization recognized as tax exempt under Section 501(c)(3) of the 4 5 Internal Revenue Code made in accordance with Section 4945 and the regulations thereunder or (ii) a communication by an 6 organization recognized as tax exempt under Section 501(c)(3) 7 or 501(c)(5) of the Internal Revenue Code to the public or a 8 9 segment thereof or to its members to communicate with legislators, executives, or administrators with respect to a 10 11 proposed action by the legislature, executive, or 12 administrator.

(f) "Influencing" means any communication, action, reportable expenditure as prescribed in Section 6 or other means used to promote, support, affect, modify, oppose or delay any executive, legislative or administrative action or to promote goodwill with officials as defined in subsection (c).

"Executive action" means the proposal, drafting, 19 (q) 20 development, consideration, amendment, adoption, approval, issuance, modification, 21 promulgation, rejection or 22 postponement by a State, municipal, county, or township 23 government entity of a rule, regulation, order, decision, 24 determination, contractual arrangement, purchasing agreement 25 or other quasi-legislative or quasi-judicial action or 26 proceeding.

SB0539 Enrolled - 92 - LRB102 04041 RJF 14057 b

(h) "Legislative action" means the development, drafting, 1 2 introduction, consideration, modification, adoption, rejection, review, enactment, or passage or defeat of any 3 bill, amendment, resolution, ordinance, report, nomination, 4 5 administrative rule or other matter by either house of the 6 General Assembly or a committee thereof, $\frac{1}{2}$ by a legislator 7 by the legislative body of a municipality, county, or 8 township, or by an alderman, trustee, or township board 9 member. Legislative action also means the action of the 10 Governor, mayor, or village or township board president, or 11 county executive in approving or vetoing any bill, ordinance, 12 or resolution or portion thereof, and the action of such officials the Governor or any agency under their jurisdiction 13 in the development of a legislative proposal for introduction 14 15 in the legislature.

(i) "Administrative action" means the execution or
rejection of any rule, regulation, legislative rule, standard,
fee, rate, contractual arrangement, purchasing agreement or
other delegated legislative or quasi-legislative action to be
taken or withheld by any executive agency, department, board
or commission of the State, municipal, county, or township.

(j) "Lobbyist" means any natural person who undertakes to lobby State, municipal, county, or township government as provided in subsection (e).

(k) "Lobbying entity" means any entity that hires,
retains, employs, or compensates a natural person to lobby

SB0539 Enrolled - 93 - LRB102 04041 RJF 14057 b

State, municipal, county, or township government as provided in subsection (e).

3 (1) "Authorized agent" means the person designated by an 4 entity or lobbyist registered under this Act as the person 5 responsible for submission and retention of reports required 6 under this Act.

7 (m) "Client" means any person or entity that provides 8 compensation to a lobbyist to lobby State, municipal, county, 9 <u>or township</u> government as provided in subsection (e) of this 10 Section.

11 (n) "Client registrant" means a client who is required to 12 register under this Act.

(o) "Unit of local government" has the meaning ascribed to it in Section 1 of Article VII of the Illinois Constitution and also includes school districts and community college districts.

17 (p) "Consultant" means any natural person or entity who, for compensation, provides advisory services, including but 18 not limited to, rendering opinions on or developing strategies 19 20 for lobbying or influencing, to a lobbyist or lobbying entity for the ultimate purpose of influencing any executive, 21 22 legislative, or administrative action. "Consultant" does not 23 include (i) an employee of the lobbyist or lobbying entity or (ii) an attorney or law firm providing legal services, 24 25 including drafting legislation or advising and rendering opinions to clients as to the construction and legal effect of 26

SB0539 Enrolled - 94 - LRB102 04041 RJF 14057 b

proposed or pending legislation or any executive, legislative, or administrative action.

3 (Source: P.A. 101-595, eff. 12-5-19.)

4 (25 ILCS 170/3) (from Ch. 63, par. 173)

5 Sec. 3. Persons required to register.

6 (a) Except as provided in Section 9, any natural person 7 who, for compensation or otherwise, undertakes to lobby, or 8 any person or entity who employs or compensates another person 9 for the purposes of lobbying, shall register with the 10 Secretary of State as provided in this Act, unless that person 11 or entity qualifies for one or more of the following 12 exemptions.

Persons or entities who, for the purpose of 13 (1)14 influencing any executive, legislative, or administrative 15 action and who do not make expenditures that are 16 Section 6, reportable pursuant to appear without compensation or promise thereof only as witnesses before a 17 18 legislative committee committees of the House and Senate 19 for the purpose of explaining or arguing for or against 20 the passage of or action upon any legislation, ordinance, 21 or regulation then pending before the committee those 22 committees, or who seek without compensation or promise thereof the approval or veto of any legislation or 23 24 ordinance by the Governor.

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(1.4) A unit of local government, State government, or

agencies, departments, commissions, boards, or task forces thereof or a school district.

(1.5) An elected or appointed official or an employee
of a unit of local government or school district who, in
the scope of his or her public office or employment, seeks
to influence executive, legislative, or administrative
action exclusively on behalf of that unit of local
government or school district.

9 (2) Persons or entities who own, publish, or are employed by a newspaper or other regularly published 10 11 periodical, or who own or are employed by a radio station, 12 television station, or other bona fide news medium that in ordinary course of business disseminates 13 news, the 14 editorial or other comment, or paid advertisements that 15 directly urge the passage or defeat of legislation. This 16 exemption is not applicable to such an individual insofar 17 as he or she receives additional compensation or expenses from some source other than the bona fide news medium for 18 19 the purpose of influencing executive, legislative, or administrative action. This exemption does not apply to 20 21 newspapers and periodicals owned by or published by trade 22 associations and not-for-profit corporations engaged 23 primarily in endeavors other than dissemination of news.

(3) Persons or entities performing professional
 services in drafting bills or in advising and rendering
 opinions to clients as to the construction and effect of

SB0539 Enrolled - 96 - LRB102 04041 RJF 14057 b

1 proposed or pending legislation when those professional 2 services are not otherwise, directly or indirectly, 3 connected with executive, legislative, or administrative 4 action.

5 (4)Persons or entities who are employees of 6 departments, divisions, or agencies of State or local 7 government and who appear before committees of the House 8 and Senate for the purpose of explaining how the 9 executive, legislative, or administrative action passage 10 of or action upon any legislation then pending before 11 those committees will affect those departments, divisions, 12 or agencies of State or local government.

13 (5) Employees of the General Assembly, legislators, 14 legislative agencies, and legislative commissions who, in 15 the course of their official duties only, engage in 16 activities that otherwise qualify as lobbying. Legislators 17 whose activities are limited to occasional communications with an official of a unit of local government on behalf of 18 19 their employer in the ordinary course of their non-public 20 employment where (1) the primary duties of the employment 21 not to influence executive, legislative, or are 22 administrative action and (2) the legislator does not make 23 any expenditures that are reportable pursuant to Section 24 6.

25 (6) Persons or entities in possession of technical
 26 skills and knowledge relevant to certain areas of

SB0539 Enrolled - 97 - LRB102 04041 RJF 14057 b

executive, legislative, or administrative actions, whose 1 2 skills and knowledge would be helpful to officials when considering those actions, whose activities are limited to 3 making occasional appearances for or communicating on 4 5 behalf of a registrant, and who do not make expenditures that are reportable pursuant to Section 6 even though 6 7 receiving expense reimbursement for those occasional 8 appearances.

9 (7) Any full-time employee of a bona fide church or 10 religious organization who represents that organization 11 solely for the purpose of protecting the right of the 12 members thereof to practice the religious doctrines of 13 that church or religious organization, or any such bona 14 fide church or religious organization.

15 (8) Persons or entities that receive no compensation 16 other than reimbursement for expenses of up to \$500 per 17 year while engaged in lobbying State government, unless 18 those persons make expenditures that are reportable under 19 Section 6.

20 (9) Any attorney or group or firm of attorneys (1) in connection with the practice of law or (2) in the course of 21 22 representing a client in relation to any administrative, 23 or judicial, quasi-judicial proceeding, or any witness providing testimony in any administrative, or judicial, or 24 25 quasi-judicial proceeding, in which ex parte 26 communications are not allowed and who does not make

SB0539 Enrolled - 98 - LRB102 04041 RJF 14057 b

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expenditures that are reportable pursuant to Section 6.

(9.5) Any attorney or group or firm of attorneys in
the course of representing a client in an administrative
or executive action involving a contractual or purchasing
arrangement and who does not make expenditures that are
reportable pursuant to Section 6.

(10) Persons or entities who, in the scope of their 7 employment as a vendor, offer or solicit an official for 8 9 the purchase of any goods or services when (1) the 10 solicitation is limited to either an oral inquiry or 11 written advertisements and informative literature; or (2) 12 the goods and services are subject to competitive bidding 13 requirements of the Illinois Procurement Code; or (3) the goods and services are for sale at a cost not to exceed 14 15 \$5,000; and (4) the persons or entities do not make 16 expenditures that are reportable under Section 6.

17 (a-5) If, in the course of providing services as a consultant, the consultant communicates with an official on 18 19 behalf of the lobbyist or lobbying entity for the ultimate purpose of influencing any executive, legislative, or 20 administrative action, or makes an expenditure on behalf of or 21 22 benefiting an official, the consultant shall register as a 23 lobbyist within 2 business days of engaging in the 24 communication with the official or making the expenditure 25 benefiting the official.

26

(b) It is a violation of this Act to engage in lobbying or

SB0539 Enrolled - 99 - LRB102 04041 RJF 14057 b

to employ any person for the purpose of lobbying who is not registered with the Office of the Secretary of State, except upon condition that the person register and the person does in fact register within 2 business days after being employed or retained for lobbying services.

6 (c) The Secretary shall promulgate a rule establishing a 7 list of the entities required to register under this Act, including the name of each board, commission, authority, or 8 9 task force. The Secretary may require a person or entity 10 claiming an exemption under this Section to certify the person 11 or entity is not required to register under this Act. Nothing 12 prohibits the Secretary from rejecting a certification and 13 requiring a person or entity to register.

14 (Source: P.A. 96-555, eff. 1-1-10; 96-1358, eff. 7-28-10.)

15 (25 ILCS 170/4.5)

16 Sec. 4.5. Ethics training. Each natural person required to register as a lobbyist under this Act must complete a program 17 of ethics training provided by the Secretary of State. A 18 natural person registered under this Act must complete the 19 20 training program before no later than 30 days after 21 registration or renewal is deemed complete under this Act. If 22 the Secretary of State uses the ethics training developed in accordance with Section 5-10 of the State Officials and 23 Employees Ethics Act, that training must be expanded to 24 include appropriate information about the requirements, 25

SB0539 Enrolled - 100 - LRB102 04041 RJF 14057 b

responsibilities, and opportunities imposed by or arising
 under this Act, including reporting requirements.

3 The Secretary of State shall adopt rules for the 4 implementation of this Section.

5 (Source: P.A. 96-555, eff. 1-1-10; 96-1358, eff. 7-28-10.)

6 (25 ILCS 170/4.7)

7 Sec. 4.7. Prohibition on sexual harassment.

8 (a) All persons have the right to work in an environment 9 free from sexual harassment. All persons subject to this Act 10 shall refrain from sexual harassment of any person.

11 (b) (Blank). Until January 1, 2020, each natural person 12 required to register as a lobbyist under this Act must complete, at least annually, a sexual harassment training 13 14 program provided by the Secretary of State. A natural person 15 registered under this Act must complete the training program 16 no later than 30 days after registration or renewal under this Act. This requirement does not apply to a lobbying entity or a 17 18 client that hires a lobbyist that (i) does not have employees of the lobbying entity or client registered as lobbyists, or 19 20 (ii) does not have an actual presence in Illinois.

(b-5) <u>Each</u> Beginning January 1, 2020, each natural person required to register as a lobbyist under this Act must complete, at least annually, a harassment and discrimination prevention training program provided by the Secretary of State. A natural person registered under this Act must SB0539 Enrolled - 101 - LRB102 04041 RJF 14057 b

complete the training program <u>before</u> no later than 30 days 1 after registration or renewal <u>is deemed complete</u> under this 2 3 Act. This requirement does not apply to a lobbying entity or a client that hires a lobbyist that (i) does not have employees 4 5 of the lobbying entity or client registered as lobbyists, or (ii) does not have an actual presence in Illinois. For the 6 7 purposes of this subsection, "unlawful discrimination" and "harassment" mean unlawful discrimination and harassment 8 9 prohibited under Section 2-102 of the Illinois Human Rights 10 Act.

11 (C) Before registration or renewal is deemed complete 12 under this Act No later than January 1, 2018, each natural 13 person and any entity required to register under this Act 14 shall have a written sexual harassment policy that shall 15 include, at a minimum: (i) a prohibition on sexual harassment; 16 (ii) details on how an individual can report an allegation of 17 sexual harassment, including options for making a confidential report to a supervisor, ethics officer, Inspector General, or 18 19 the Department of Human Rights; (iii) a prohibition on 20 retaliation for reporting sexual harassment allegations, including availability of whistleblower protections under the 21 22 State Officials and Employee Ethics Act, the Whistleblower 23 and the Illinois Human Rights Act; and (iv) the Act, consequences of a violation of the prohibition on sexual 24 25 harassment and the consequences for knowingly making a false 26 report.

SB0539 Enrolled - 102 - LRB102 04041 RJF 14057 b

(d) For purposes of this Act, "sexual harassment" means 1 2 any unwelcome sexual advances or requests for sexual favors or any conduct of a sexual nature when: (i) submission to such 3 conduct is made either explicitly or implicitly a term or 4 5 condition of an individual's employment; (ii) submission to or rejection of such conduct by an individual is used as the basis 6 7 for employment decisions affecting such individual; or (iii) 8 such conduct has the purpose or effect of substantially 9 interfering with an individual's work performance or creating 10 an intimidating, hostile, or offensive working environment. 11 For the purposes of this definition, the phrase "working 12 environment" is not limited to a physical location an employee 13 is assigned to perform his or her duties and does not require 14 an employment relationship.

(e) The Secretary of State shall adopt rules for the 15 16 implementation of this Section. In order to provide for the 17 expeditious and timely implementation of this Section, the State shall adopt emergency 18 Secretary of rules under subsection (z) of Section 5-45 of the Illinois Administrative 19 20 Procedure Act for the implementation of this Section no later than 60 days after the effective date of this amendatory Act of 21 22 the 100th General Assembly.

23 (Source: P.A. 100-554, eff. 11-16-17; 101-221, eff. 8-9-19.)

24 (25 ILCS 170/5)

25 Sec. 5. Lobbyist registration and disclosure. Every

SB0539 Enrolled - 103 - LRB102 04041 RJF 14057 b

natural person and every entity required to register under 1 2 this Act shall before any service is performed which requires 3 the natural person or entity to register, but in any event not later than 2 business days after being employed or retained, 4 5 file in the Office of the Secretary of State a statement in a format prescribed by the Secretary of State containing the 6 7 following information with respect to each person or entity 8 employing, retaining, or benefitting from the services of the 9 natural person or entity required to register:

10 (a) The registrant's name, permanent address, e-mail
11 address, if any, fax number, if any, business telephone
12 number, and temporary address, if the registrant has a
13 temporary address while lobbying.

14 (a-5) If the registrant is an entity, the information 15 required under subsection (a) for each natural person 16 associated with the registrant who will be lobbying, 17 regardless of whether lobbying is a significant part of 18 his or her duties.

(b) The name and address of the client or clients 19 20 employing or retaining the registrant to perform such 21 services or on whose behalf the registrant appears. If the 22 client employing or retaining the registrant is a client 23 registrant, the statement shall also include the name and address of the client or clients of the client registrant 24 on whose behalf the registrant will be or anticipates 25 26 performing services.

SB0539 Enrolled - 104 - LRB102 04041 RJF 14057 b

1 (b-5) If the registrant employs or retains а 2 sub-registrant, the statement shall include the name and address of the sub-registrant and identify the client or 3 clients the registrant on whose behalf 4 of the 5 sub-registrant will be or is anticipated to be performing 6 services.

7 <u>(b-7) If the registrant retains a consultant, the</u> 8 <u>statement shall include the name and address of the</u> 9 <u>consultant and identify the client or clients and each</u> 10 <u>executive and legislative branch agency for which the</u> 11 <u>consultant is to provide advisory services.</u>

12 (c) For those identified under subsections (b), (b-5),
13 and (b-7), a A brief description of the executive,
14 legislative, or administrative action in reference to
15 which such service is to be rendered.

16 (c-5) Each executive and legislative branch agency <u>of</u>
 17 <u>the State and each unit of local government</u> the registrant
 18 expects to lobby during the registration period.

nature of the client's business, 19 (c - 6)The by 20 indicating all of the following categories that apply: (1) banking and financial services, (2) manufacturing, (3) 21 22 education, (4) environment, (5) healthcare, (6) insurance, 23 (7) community interests, (8) labor, (9) public relations 24 or advertising, (10) marketing or sales, (11) hospitality, (12) engineering, (13) information or technology products 25 or services, (14) social services, (15) public utilities, 26

(16) racing or wagering, (17) real estate or construction,
 (18) telecommunications, (19) trade or professional
 association, (20) travel or tourism, (21) transportation,
 (22) agriculture, and (23) other (setting forth the nature
 of that other business).

6 (d) A confirmation that the registrant has a sexual 7 harassment policy as required by Section 4.7, that such policy shall be made available to any individual within 2 8 9 business days upon written request (including electronic 10 requests), that any person may contact the authorized 11 agent of the registrant to report allegations of sexual 12 harassment, and that the registrant recognizes the 13 Inspector General has jurisdiction to review any 14 allegations of sexual harassment alleged against the 15 registrant or lobbyists hired by the registrant.

(e) <u>(Blank)</u>. Each unit of local government in this
State for which the registrant is or expects to be
required to register to lobby the local government during
the registration period. "Lobby" shall have the meaning
ascribed to it by the relevant unit of local government.

(f) Each elected or appointed public office in this
State to be held by the registrant at any time during the
registration period.

Every natural person and every entity required to register under this Act shall annually submit the registration required by this Section on or before each January 31. The registrant SB0539 Enrolled - 106 - LRB102 04041 RJF 14057 b

has a continuing duty to report any substantial change or 1 2 addition to the information contained in the registration. A 3 registrant who retains a consultant shall file an amended registration before any consulting services are performed, but 4 5 in any event not later than 2 business days after the consultant is retained, setting forth the information required 6 7 in subsections (b-7) and (c) of this Section. Registrants 8 registered as of the effective date of this amendatory Act of the 101st General Assembly shall update their registration to 9 10 add the information required under subsections (b 5), (e), and 11 (f), if applicable, within 30 days after the effective date of 12 this amendatory Act of the 101st General Assembly.

13 The Secretary of State shall make all filed statements and amendments to statements publicly available by means of a 14 15 searchable database that is accessible through the World Wide 16 Web. The Secretary of State shall provide all software 17 necessary to comply with this provision to all natural persons and entities required to file. The Secretary of State shall 18 implement a plan to provide computer access and assistance to 19 20 natural persons and entities required to file electronically.

All natural persons and entities required to register under this Act shall remit a single, annual, and nonrefundable \$300 registration fee. Each natural person required to register under this Act shall submit, on an annual basis, a picture of the registrant. A registrant may, in lieu of submitting a picture on an annual basis, authorize the SB0539 Enrolled - 107 - LRB102 04041 RJF 14057 b

Secretary of State to use any photo identification available in any database maintained by the Secretary of State for other purposes. Each registration fee collected for registrations on or after January 1, 2010 shall be deposited into the Lobbyist Registration Administration Fund for administration and enforcement of this Act.

7 (Source: P.A. 100-554, eff. 11-16-17; 101-595, eff. 12-5-19.)

8 (25 ILCS 170/6) (from Ch. 63, par. 176)

9 Sec. 6. Reports.

10 (a) Lobbyist reports. Except as otherwise provided in this 11 Section, every lobbyist registered under this Act who is 12 solely employed by a lobbying entity shall file an affirmation, verified under oath pursuant to Section 1-109 of 13 the Code of Civil Procedure, with the Secretary of State 14 15 attesting to the accuracy of any reports filed pursuant to 16 subsection (b) as those reports pertain to work performed by the lobbyist. Any lobbyist registered under this Act who is 17 not solely employed by a lobbying entity shall personally file 18 19 reports required of lobbying entities pursuant to subsection 20 (b). A lobbyist may, if authorized so to do by a lobbying 21 entity by whom he or she is employed or retained, file lobbying 22 entity reports pursuant to subsection (b) provided that the lobbying entity may delegate the filing of the lobbying entity 23 24 report to only one lobbyist in any reporting period.

25 (b) Lobbying entity reports. Every lobbying entity

registered under this Act shall report expenditures related to 1 2 lobbying, including any expenditures made by a consultant in performing services for the lobbying entity. The report shall 3 itemize each individual expenditure or transaction and shall 4 5 include the name of the official on whose behalf the made, the name of the client 6 expenditure was if the expenditure was made on behalf of a client, the total amount of 7 8 the expenditure, a description of the expenditure, the vendor 9 or purveyor to whom the expenditure was made (including the 10 address or location of the expenditure), the date on which the 11 expenditure occurred and the subject matter of the lobbying 12 activity, if any. For those expenditures made on behalf of a 13 client, if the client is a client registrant, the report shall also include the name and address of the client or clients of 14 15 the client registrant or the official or officials on whose 16 behalf the expenditure ultimately was made. Each expenditure 17 required to be reported shall include all expenses made for or on behalf of an official or his or her immediate family member 18 19 living with the official.

20 (b-1) The report shall include any change or addition to 21 the client list information, required in Section 5 for 22 registration, since the last report, including the names and 23 addresses of all clients who retained the lobbying entity 24 together with an itemized description for each client of the 25 following: (1) lobbying regarding executive action, including 26 the name of any executive agency lobbied and the subject SB0539 Enrolled - 109 - LRB102 04041 RJF 14057 b

1 matter; (2) lobbying regarding legislative action, including 2 the General Assembly and any other agencies lobbied and the 3 subject matter; and (3) lobbying regarding administrative 4 action, including the agency lobbied and the subject matter. 5 Registrants who made no reportable expenditures during a 6 reporting period shall file a report stating that no 7 expenditures were incurred.

8 (b-2) Expenditures attributable to lobbying officials 9 shall be listed and reported according to the following 10 categories:

(1) Travel and lodging on behalf of others, including, but not limited to, all travel and living accommodations made for or on behalf of State officials during sessions of the General Assembly.

15

(2) Meals, beverages and other entertainment.

16 (3) Gifts (indicating which, if any, are on the basis17 of personal friendship).

18

(4) Honoraria.

19 (5) Any other thing or service of value not listed 20 under categories (1) through (4), setting forth a 21 description of the expenditure. The category travel and 22 lodging includes, but is not limited to, all travel and 23 living accommodations made for or on behalf of State 24 officials in the State capital during sessions of the 25 General Assembly.

26 (b-3) Expenditures incurred for hosting receptions,

SB0539 Enrolled - 110 - LRB102 04041 RJF 14057 b

benefits and other large gatherings held for purposes of goodwill or otherwise to influence executive, legislative or administrative action to which there are 25 or more State officials invited shall be reported listing only the total amount of the expenditure, the date of the event, and the estimated number of officials in attendance.

7 (b-7) Matters excluded from reports. The following items
8 need not be included in the report:

9 (1) Reasonable and bona fide expenditures made by the 10 registrant who is a member of a legislative or State study 11 commission or committee while attending and participating 12 in meetings and hearings of such commission or committee.

13 (2) Reasonable and bona fide expenditures made by the
14 registrant for personal sustenance, lodging, travel,
15 office expenses and clerical or support staff.

16 (3) Salaries, fees, and other compensation paid to the
 17 registrant for the purposes of lobbying.

18 (4) Any contributions required to be reported under19 Article 9 of the Election Code.

(5) Expenditures made by a registrant on behalf of an
official that are returned or reimbursed prior to the
deadline for submission of the report.

(c) A registrant who terminates employment or duties which required him to register under this Act shall give the Secretary of State, within 30 days after the date of such termination, written notice of such termination and shall SB0539 Enrolled - 111 - LRB102 04041 RJF 14057 b

include therewith a report of the expenditures described herein, covering the period of time since the filing of his last report to the date of termination of employment. Such notice and report shall be final and relieve such registrant of further reporting under this Act, unless and until he later takes employment or assumes duties requiring him to again register under this Act.

8 (d) Failure to file any such report within the time 9 designated or the reporting of incomplete information shall 10 constitute a violation of this Act.

11 A registrant shall preserve for a period of 2 years all 12 receipts and records used in preparing reports under this Act.

(e) Within 30 days after a filing deadline or as provided by rule, the lobbyist shall notify each official on whose behalf an expenditure has been reported. Notification shall include the name of the registrant, the total amount of the expenditure, a description of the expenditure, the date on which the expenditure occurred, and the subject matter of the lobbying activity.

(f) A report for the period beginning January 1, 2010 and ending on June 30, 2010 shall be filed no later than July 15, 2010, and a report for the period beginning July 1, 2010 and ending on December 31, 2010 shall be filed no later than January 15, 2011. Beginning January 1, 2011, reports shall be filed semi-monthly as follows: (i) for the period beginning the first day of the month through the 15th day of the month, SB0539 Enrolled - 112 - LRB102 04041 RJF 14057 b

the report shall be filed no later than the 20th day of the month and (ii) for the period beginning on the 16th day of the month through the last day of the month, the report shall be filed no later than the 5th day of the following month. A report filed under this Act is due in the Office of the Secretary of State no later than the close of business on the date on which it is required to be filed.

8 (g) All reports filed under this Act shall be filed in a 9 format or on forms prescribed by the Secretary of State.

10 (Source: P.A. 98-459, eff. 1-1-14.)

11 (25 ILCS 170/8) (from Ch. 63, par. 178)

12 Sec. 8. Contingent fees prohibited. No person shall retain 13 or employ another to lobby or provide services as a consultant with respect to any legislative, executive, or administrative 14 15 action for compensation contingent in whole or in part upon 16 the outcome of the action and no person shall accept any such employment or render any such service for compensation 17 18 contingent upon the outcome of the legislative, executive, or 19 administrative action.

20 (Source: P.A. 93-889, eff. 8-9-04.)

21 (25 ILCS 170/11.2)

22 Sec. 11.2. <u>Preemption</u> <u>Local regulation</u>. <u>Other than a</u> 23 <u>municipality with a population over 500,000, no unit of local</u> 24 <u>government, including a home rule unit, may regulate lobbying</u> SB0539 Enrolled - 113 - LRB102 04041 RJF 14057 b

in a manner inconsistent with this Act, and all existing laws 1 2 and ordinances that are inconsistent with this Act are hereby superseded. This Section is a limitation of home rule powers 3 under subsections (h) and (i) of Section 6 of Article VII of 4 the Illinois Constitution. A unit of local government or 5 6 school district may adopt an ordinance or resolution 7 regulating lobbying activities with that unit of local government or school district that imposes requirements 8 9 similar to those imposed by this Act.

10 (Source: P.A. 88-187.)

Section 99. Effective date. This Act takes effect January 1, 2022.