

## 102ND GENERAL ASSEMBLY State of Illinois 2021 and 2022 HB5222

Introduced 1/31/2022, by Rep. Suzanne Ness

## SYNOPSIS AS INTRODUCED:

20 ILCS 105/4.04

from Ch. 23, par. 6104.04

Amends the Illinois Act on the Aging. Provides that each long term care facility, supportive living facility, assisted living establishment, and shared housing establishment shall display, in an easily readable format and in a manner prescribed by the Office of the Long Term Care Ombudsman, the address and phone number of the Office in a conspicuous place next to the facility's main entrances for exterior viewing and in multiple, conspicuous public places within the facility accessible to both visitors and residents.

LRB102 22476 KTG 31616 b

1 AN ACT concerning State government.

## Be it enacted by the People of the State of Illinois, represented in the General Assembly:

Section 5. The Illinois Act on the Aging is amended by changing Section 4.04 as follows:

6 (20 ILCS 105/4.04) (from Ch. 23, par. 6104.04)

Sec. 4.04. Long Term Care Ombudsman Program. The purpose of the Long Term Care Ombudsman Program is to ensure that older persons and persons with disabilities receive quality services. This is accomplished by providing advocacy services for residents of long term care facilities and participants receiving home care and community-based care. Managed care is increasingly becoming the vehicle for delivering health and long-term services and supports to seniors and persons with disabilities, including dual eligible participants. The additional ombudsman authority will allow advocacy services to be provided to Illinois participants for the first time and will produce a cost savings for the State of Illinois by supporting the rebalancing efforts of the Patient Protection and Affordable Care Act.

(a) Long Term Care Ombudsman Program. The Department shall establish a Long Term Care Ombudsman Program, through the Office of State Long Term Care Ombudsman ("the Office"), in

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1	accordance with the provisions of the Older Americans Act of
2	1965, as now or hereafter amended. The Long Term Care
3	Ombudsman Program is authorized, subject to sufficient
4	appropriations, to advocate on behalf of older persons and
5	persons with disabilities residing in their own homes or
6	community-based settings, relating to matters which may
7	adversely affect the health, safety, welfare, or rights of
8	such individuals.

- (b) Definitions. As used in this Section, unless the context requires otherwise:
  - (1) "Access" means the right to:
  - (i) Enter any long term care facility or assisted living or shared housing establishment or supportive living facility;
  - (ii) Communicate privately and without restriction with any resident, regardless of age, who consents to the communication;
  - (iii) Seek consent to communicate privately and
    without restriction with any participant or resident,
    regardless of age;
  - (iv) Inspect the clinical and other records of a participant or resident, regardless of age, with the express written consent of the participant or resident;
- (v) Observe all areas of the long term care facility or supportive living facilities, assisted

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living or shared housing establishment except the living area of any resident who protests the observation; and

- (vi) Subject to permission of the participant or resident requesting services or his or her representative, enter a home or community-based setting.
- (2) "Long Term Care Facility" means (i) any facility as defined by Section 1-113 of the Nursing Home Care Act, as now or hereafter amended; (ii) any skilled nursing facility or а nursing facility which meets the requirements of Section 1819(a), (b), (c), and (d) or Section 1919(a), (b), (c), and (d) of the Social Security Act, as now or hereafter amended (42 U.S.C. 1395i-3(a), (b), (c), and (d) and 42 U.S.C. 1396r(a), (b), (c), and (d)); (iii) any facility as defined by Section 1-113 of the ID/DD Community Care Act, as now or hereafter amended; (iv) any facility as defined by Section 1-113 of MC/DD Act, as now or hereafter amended; and (v) any facility licensed under Section 4-105 or 4-201 of the Specialized Mental Health Rehabilitation Act of 2013, as now or hereafter amended.
- (2.5) "Assisted living establishment" and "shared housing establishment" have the meanings given those terms in Section 10 of the Assisted Living and Shared Housing Act.

- (2.7) "Supportive living facility" means a facility established under Section 5-5.01a of the Illinois Public Aid Code.
  - (2.8) "Community-based setting" means any place of abode other than an individual's private home.
  - (3) "State Long Term Care Ombudsman" means any person employed by the Department to fulfill the requirements of the Office of State Long Term Care Ombudsman as required under the Older Americans Act of 1965, as now or hereafter amended, and Departmental policy.
  - (3.1) "Ombudsman" means any designated representative of the State Long Term Care Ombudsman Program; provided that the representative, whether he is paid for or volunteers his ombudsman services, shall be qualified and designated by the Office to perform the duties of an ombudsman as specified by the Department in rules and in accordance with the provisions of the Older Americans Act of 1965, as now or hereafter amended.
  - (4) "Participant" means an older person aged 60 or over or an adult with a disability aged 18 through 59 who is eligible for services under any of the following:
    - (i) A medical assistance waiver administered by the State.
    - (ii) A managed care organization providing care coordination and other services to seniors and persons with disabilities.

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- 1 (5) "Resident" means an older person aged 60 or over 2 or an adult with a disability aged 18 through 59 who 3 resides in a long-term care facility.
  - (c) Ombudsman; rules. The Office of State Long Term Care Ombudsman shall be composed of at least one full-time ombudsman and shall include a system of designated regional long term care ombudsman programs. Each regional program shall be designated by the State Long Term Care Ombudsman as a subdivision of the Office and any representative of a regional program shall be treated as a representative of the Office.

The Department, in consultation with the Office, shall promulgate administrative rules in accordance with the provisions of the Older Americans Act of 1965, as now or hereafter amended, to establish the responsibilities of the Department and the Office of State Long Term Care Ombudsman and the designated regional Ombudsman programs. The administrative rules shall include the responsibility of the Office and designated regional programs to investigate and resolve complaints made by or on behalf of residents of long term care facilities, supportive living facilities, and assisted living and shared housing establishments, and participants residing in their own homes or community-based settings, including the option to serve residents participants under the age of 60, relating to actions, inaction, or decisions of providers, or their representatives, of such facilities and establishments, of public agencies, or

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of social services agencies, which may adversely affect the health, safety, welfare, or rights of such residents and participants. The Office and designated regional programs may represent all residents and participants, but are not required by this Act to represent persons under 60 years of age, except to the extent required by federal law. When necessary and appropriate, representatives of the Office shall refer complaints to the appropriate regulatory State agency. The Department, in consultation with the Office, shall cooperate with the Department of Human Services and other State agencies in providing information and training to designated regional long term care ombudsman programs about the appropriate treatment (including information assessment and appropriate supportive services, treatment options, assessment of rehabilitation potential) of the participants they serve.

State Long Term Care Ombudsman and all The other ombudsmen, as defined in paragraph (3.1) of subsection (b) must submit to background checks under the Health Care Worker Background Check Act and receive training, as prescribed by the Illinois Department on Aging, before visiting facilities, private homes, or community-based settings. The training must include information specific assisted to establishments, supportive living facilities, shared housing establishments, private homes, and community-based settings and to the rights of residents and participants quaranteed

- 1 under the corresponding Acts and administrative rules.
- 2 (c-5) Consumer Choice Information Reports. The Office
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- (1) In collaboration with the Attorney General, create a Consumer Choice Information Report form to be completed by all licensed long term care facilities to aid Illinoisans and their families in making informed choices about long term care. The Office shall create a Consumer Choice Information Report for each type of licensed long term care facility. The Office shall collaborate with the Attorney General and the Department of Human Services to create a Consumer Choice Information Report form for facilities licensed under the ID/DD Community Care Act or the MC/DD Act.
  - (2) Develop a database of Consumer Choice Information Reports completed by licensed long term care facilities that includes information in the following consumer categories:
    - (A) Medical Care, Services, and Treatment.
  - (B) Special Services and Amenities.
- (C) Staffing.
- (D) Facility Statistics and Resident Demographics.
- 23 (E) Ownership and Administration.
- 24 (F) Safety and Security.
- 25 (G) Meals and Nutrition.
- 26 (H) Rooms, Furnishings, and Equipment.

- 1 (I) Family, Volunteer, and Visitation Provisions.
  - (3) Make this information accessible to the public, including on the Internet by means of a hyperlink labeled "Resident's Right to Know" on the Office's World Wide Web home page. Information about facilities licensed under the ID/DD Community Care Act or the MC/DD Act shall be made accessible to the public by the Department of Human Services, including on the Internet by means of a hyperlink labeled "Resident's and Families' Right to Know" on the Department of Human Services' "For Customers" website.
    - (4) Have the authority, with the Attorney General, to verify that information provided by a facility is accurate.
    - (5) Request a new report from any licensed facility whenever it deems necessary.
    - (6) Include in the Office's Consumer Choice Information Report for each type of licensed long term care facility additional information on each licensed long term care facility in the State of Illinois, including information regarding each facility's compliance with the relevant State and federal statutes, rules, and standards; customer satisfaction surveys; and information generated from quality measures developed by the Centers for Medicare and Medicaid Services.
    - (d) Access and visitation rights.

- (1) In accordance with subparagraphs (A) and (E) of paragraph (3) of subsection (c) of Section 1819 and subparagraphs (A) and (E) of paragraph (3) of subsection (c) of Section 1919 of the Social Security Act, as now or hereafter amended (42 U.S.C. 1395i-3 (c) (3) (A) and (E) and 42 U.S.C. 1396r (c) (3) (A) and (E)), and Section 712 of the Older Americans Act of 1965, as now or hereafter amended (42 U.S.C. 3058f), a long term care facility, supportive living facility, assisted living establishment, and shared housing establishment must:
  - (i) permit immediate access to any resident, regardless of age, by a designated ombudsman;
  - (ii) permit representatives of the Office, with the permission of the resident's legal representative or legal guardian, to examine a resident's clinical and other records, regardless of the age of the resident, and if a resident is unable to consent to such review, and has no legal guardian, permit representatives of the Office appropriate access, as defined by the Department, in consultation with the Office, in administrative rules, to the resident's records; and
  - (iii) permit a representative of the Program to communicate privately and without restriction with any participant who consents to the communication regardless of the consent of, or withholding of

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consent by, a legal guardian or an agent named in a power of attorney executed by the participant.

- (2) Each long term care facility, supportive living facility, assisted living establishment, and shared housing establishment shall display, in an easily readable format and in a manner prescribed by the Office, the address and phone number of the Office of the Long Term Care Ombudsman in a conspicuous place next to the facility's main entrances for exterior viewing and in multiple, conspicuous public places within the facility accessible to both visitors and residents. Each long term care facility, supportive living facility, assisted living establishment, and shared housing establishment shall display, in multiple, conspicuous public places within the facility accessible to both visitors and residents and in an easily readable format, the address and phone number of the Office of the Long Term Care Ombudsman, in a manner prescribed by the Office.
- (e) Immunity. An ombudsman or any representative of the Office participating in the good faith performance of his or her official duties shall have immunity from any liability (civil, criminal or otherwise) in any proceedings (civil, criminal or otherwise) brought as a consequence of the performance of his official duties.
  - (f) Business offenses.
    - (1) No person shall:

- 1 (i) Intentionally prevent, interfere with, or 2 attempt to impede in any way any representative of the 3 Office in the performance of his official duties under 4 this Act and the Older Americans Act of 1965; or
  - (ii) Intentionally retaliate, discriminate against, or effect reprisals against any long term care facility resident or employee for contacting or providing information to any representative of the Office.
  - (2) A violation of this Section is a business offense, punishable by a fine not to exceed \$501.
  - (3) The State Long Term Care Ombudsman shall notify the State's Attorney of the county in which the long term care facility, supportive living facility, or assisted living or shared housing establishment is located, or the Attorney General, of any violations of this Section.
  - (g) Confidentiality of records and identities. The Department shall establish procedures for the disclosure by the State Ombudsman or the regional ombudsmen entities of files maintained by the program. The procedures shall provide that the files and records may be disclosed only at the discretion of the State Long Term Care Ombudsman or the person designated by the State Ombudsman to disclose the files and records, and the procedures shall prohibit the disclosure of the identity of any complainant, resident, participant, witness, or employee of a long term care provider unless:

- 1 (1) the complainant, resident, participant, witness,
  2 or employee of a long term care provider or his or her
  3 legal representative consents to the disclosure and the
  4 consent is in writing;
  - (2) the complainant, resident, participant, witness, or employee of a long term care provider gives consent orally; and the consent is documented contemporaneously in writing in accordance with such requirements as the Department shall establish; or
  - (3) the disclosure is required by court order.
    - (h) Legal representation. The Attorney General shall provide legal representation to any representative of the Office against whom suit or other legal action is brought in connection with the performance of the representative's official duties, in accordance with the State Employee Indemnification Act.
      - (i) Treatment by prayer and spiritual means. Nothing in this Act shall be construed to authorize or require the medical supervision, regulation or control of remedial care or treatment of any resident in a long term care facility operated exclusively by and for members or adherents of any church or religious denomination the tenets and practices of which include reliance solely upon spiritual means through prayer for healing.
  - (j) The Long Term Care Ombudsman Fund is created as a special fund in the State treasury to receive moneys for the

- express purposes of this Section. All interest earned on moneys in the fund shall be credited to the fund. Moneys contained in the fund shall be used to support the purposes of
- 4 this Section.
- (k) Each Regional Ombudsman may, in accordance with rules promulgated by the Office, establish a multi-disciplinary team 6 to act in an advisory role for the purpose of providing 7 8 professional knowledge and expertise in handling complex 9 abuse, neglect, and advocacy issues involving participants. 10 Each multi-disciplinary team may consist of one or more 11 volunteer representatives from any combination of at least 7 12 members from the following professions: banking or finance; 13 disability care; health care; pharmacology; law; 14 enforcement; emergency responder; mental health care; clergy; coroner or medical examiner; substance abuse; domestic 15 16 violence; sexual assault; or other related fields. To support 17 multi-disciplinary teams in this role, law enforcement agencies and coroners or medical examiners shall supply 18 19 records as may be requested in particular cases. The Regional Ombudsman, or his or her designee, of the area in which the 20 21 multi-disciplinary team is created shall be the facilitator of 22 the multi-disciplinary team.
- 23 (Source: P.A. 98-380, eff. 8-16-13; 98-989, eff. 1-1-15;
- 24 99-180, eff. 7-29-15; 99-712, eff. 8-5-16.)