

102ND GENERAL ASSEMBLY State of Illinois 2021 and 2022 HB5186

Introduced 1/31/2022, by Rep. William Davis

SYNOPSIS AS INTRODUCED:

See Index

Amends the Illinois Act on the Aging. Removes a provision concerning a bi-monthly report on the progress of the Community Care Program. Amends the Illinois Coal and Energy Development Bond Act. Repeals specified provisions concerning bonds and investments. Amends the Department of Human Services Act. Removes provisions concerning the reporting of allegations of adult students with disabilities. Amends the State Finance Act. Repeals provisions concerning cash flow borrowing and general funds liquidity. Amends the Illinois Procurement Code. Removes a provision requiring the Department of Central Management Services to report on specified State agency reports. Amends the Community Services Act. Removes provisions concerning the creation and duties of a commission related to the financing for community services. Amends the Unified Code of Corrections. Repeals provisions concerning specified annual reports and a residential and transition treatment program for women. Amends the Workers' Compensation Act. Provides requirements concerning the selection of certified independent arbitrators for claims by former and current employees of the Illinois Workers' Compensation Commission. Repeals provision creating a Commission Review Board. Makes conforming and other changes. Effective immediately.

LRB102 24774 RJF 34017 b

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1 AN ACT concerning State government.

Be it enacted by the People of the State of Illinois, represented in the General Assembly:

Section 5. The Illinois Act on the Aging is amended by changing Section 4.02 as follows:

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6 (20 ILCS 105/4.02) (from Ch. 23, par. 6104.02)
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Sec. 4.02. Community Care Program. The Department shall establish a program of services to prevent unnecessary institutionalization of persons age 60 and older in need of long term care or who are established as persons who suffer from Alzheimer's disease or a related disorder under the Alzheimer's Disease Assistance Act, thereby enabling them to remain in their own homes or in other living arrangements. Such preventive services, which may be coordinated with other programs for the aged and monitored by area agencies on aging in cooperation with the Department, may include, but are not limited to, any or all of the following:

- 18 (a) (blank);
- 19 (b) (blank);
- 20 (c) home care aide services;
- 21 (d) personal assistant services;
- 22 (e) adult day services;
- 23 (f) home-delivered meals;

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such services.

1	(g) education in self-care;
2	(h) personal care services;
3	(i) adult day health services;
4	(j) habilitation services;
5	(k) respite care;
6	(k-5) community reintegration services;
7	(k-6) flexible senior services;
8	(k-7) medication management;
9	(k-8) emergency home response;
10	(1) other nonmedical social services that may enable
11	the person to become self-supporting; or
12	(m) clearinghouse for information provided by senior
13	citizen home owners who want to rent rooms to or share
14	living space with other senior citizens.
15	The Department shall establish eligibility standards for
16	such services. In determining the amount and nature of
17	services for which a person may qualify, consideration shall
18	not be given to the value of cash, property or other assets
19	held in the name of the person's spouse pursuant to a written
20	agreement dividing marital property into equal but separate
21	shares or pursuant to a transfer of the person's interest in a
22	home to his spouse, provided that the spouse's share of the
23	marital property is not made available to the person seeking

Beginning January 1, 2008, the Department shall require as

a condition of eligibility that all new financially eligible

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applicants apply for and enroll in medical assistance under
Article V of the Illinois Public Aid Code in accordance with
rules promulgated by the Department.

The Department shall, in conjunction with the Department of Public Aid (now Department of Healthcare and Family Services), seek appropriate amendments under Sections 1915 and 1924 of the Social Security Act. The purpose of the amendments shall be to extend eligibility for home and community based services under Sections 1915 and 1924 of the Social Security Act to persons who transfer to or for the benefit of a spouse those amounts of income and resources allowed under Section 1924 of the Social Security Act. Subject to the approval of such amendments, the Department shall extend the provisions of Section 5-4 of the Illinois Public Aid Code to persons who, but for the provision of home or community-based services, would require the level of care provided in an institution, as is provided for in federal law. Those persons no longer found to be eligible for receiving noninstitutional services due to changes in the eligibility criteria shall be given 45 days notice prior to actual termination. Those persons receiving notice of termination may contact the Department and request the determination be appealed at any time during the 45 day notice period. The target population identified for the purposes of this Section are persons age 60 and older with an identified service need. Priority shall be given to those who are at imminent risk of institutionalization. The services

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shall be provided to eligible persons age 60 and older to the extent that the cost of the services together with the other personal maintenance expenses of the persons are reasonably related to the standards established for care in a group facility appropriate to the person's condition. non-institutional services, pilot projects or experimental facilities may be provided as part of or in addition to those authorized by federal law or those funded and administered by the Department of Human Services. The Departments of Human Services, Healthcare and Family Services, Public Health, Veterans' Affairs, and Commerce and Economic Opportunity and other appropriate agencies of State, federal and local governments shall cooperate with the Department on Aging in the establishment and development of the non-institutional services. The Department shall require an annual audit from all personal assistant and home care aide vendors contracting with the Department under this Section. The annual audit shall assure that each audited vendor's procedures are in compliance with Department's financial reporting guidelines requiring an administrative and employee wage and benefits cost split as defined in administrative rules. The audit is a public record under the Freedom of Information Act. The Department shall execute, relative to the nursing home prescreening project, written inter-agency agreements with the Department of Human Services and the Department of Healthcare and Family Services, to effect the following: (1) intake procedures and common

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eligibility criteria for those persons who are receiving non-institutional services; and (2) the establishment and development of non-institutional services in areas of the State where they are not currently available or are undeveloped. On and after July 1, 1996, all nursing home prescreenings for individuals 60 years of age or older shall be conducted by the Department.

As part of the Department on Aging's routine training of case managers and case manager supervisors, the Department may include information on family futures planning for persons who are age 60 or older and who are caregivers of their adult children with developmental disabilities. The content of the training shall be at the Department's discretion.

The Department is authorized to establish a system of recipient copayment for services provided under this Section, such copayment to be based upon the recipient's ability to pay but in no case to exceed the actual cost of the services provided. Additionally, any portion of a person's income which is equal to or less than the federal poverty standard shall not be considered by the Department in determining the copayment. The level of such copayment shall be adjusted whenever necessary to reflect any change in the officially designated federal poverty standard.

The Department, or the Department's authorized representative, may recover the amount of moneys expended for services provided to or in behalf of a person under this

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Section by a claim against the person's estate or against the estate of the person's surviving spouse, but no recovery may be had until after the death of the surviving spouse, if any, and then only at such time when there is no surviving child who is under age 21 or blind or who has a permanent and total disability. This paragraph, however, shall not bar recovery, at the death of the person, of moneys for services provided to the person or in behalf of the person under this Section to which the person was not entitled; provided that such recovery shall not be enforced against any real estate while it is occupied as a homestead by the surviving spouse or other dependent, if no claims by other creditors have been filed against the estate, or, if such claims have been filed, they remain dormant for failure of prosecution or failure of the claimant to compel administration of the estate for the purpose of payment. This paragraph shall not bar recovery from the estate of a spouse, under Sections 1915 and 1924 of the Social Security Act and Section 5-4 of the Illinois Public Aid Code, who precedes a person receiving services under this Section in death. All moneys for services paid to or in behalf of the person under this Section shall be claimed for recovery from the deceased spouse's estate. "Homestead", as used in this paragraph, means the dwelling house and contiguous real estate occupied by a surviving spouse or relative, as defined by the rules and regulations of the Department of Healthcare and Family Services, regardless of the value of the property.

The Department shall increase the effectiveness of the existing Community Care Program by:

- (1) ensuring that in-home services included in the care plan are available on evenings and weekends;
- (2) ensuring that care plans contain the services that eligible participants need based on the number of days in a month, not limited to specific blocks of time, as identified by the comprehensive assessment tool selected by the Department for use statewide, not to exceed the total monthly service cost maximum allowed for each service; the Department shall develop administrative rules to implement this item (2);
- (3) ensuring that the participants have the right to choose the services contained in their care plan and to direct how those services are provided, based on administrative rules established by the Department;
- (4) ensuring that the determination of need tool is accurate in determining the participants' level of need; to achieve this, the Department, in conjunction with the Older Adult Services Advisory Committee, shall institute a study of the relationship between the Determination of Need scores, level of need, service cost maximums, and the development and utilization of service plans no later than May 1, 2008; findings and recommendations shall be presented to the Governor and the General Assembly no later than January 1, 2009; recommendations shall include

1	all needed changes to the service cost maximums schedule
2	and additional covered services;
3	(5) ensuring that homemakers can provide personal care
4	services that may or may not involve contact with clients,

(A) bathing;

including but not limited to:

- (B) grooming;
- (C) toileting;
- (D) nail care;
- (E) transferring;
- (F) respiratory services;
- (G) exercise; or
- (H) positioning;
- (6) ensuring that homemaker program vendors are not restricted from hiring homemakers who are family members of clients or recommended by clients; the Department may not, by rule or policy, require homemakers who are family members of clients or recommended by clients to accept assignments in homes other than the client;
- (7) ensuring that the State may access maximum federal matching funds by seeking approval for the Centers for Medicare and Medicaid Services for modifications to the State's home and community based services waiver and additional waiver opportunities, including applying for enrollment in the Balance Incentive Payment Program by May 1, 2013, in order to maximize federal matching funds; this

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shall include, but not be limited to, modification that reflects all changes in the Community Care Program services and all increases in the services cost maximum;

- (8) ensuring that the determination of need tool accurately reflects the service needs of individuals with Alzheimer's disease and related dementia disorders;
- (9) ensuring that services are authorized accurately and consistently for the Community Care Program (CCP); the Department shall implement a Service Authorization policy directive; the purpose shall be to ensure that eligibility and services are authorized accurately and consistently in the CCP program; the policy directive shall clarify service authorization guidelines to Care Coordination Units and Community Care Program providers no later than May 1, 2013;
- (10) working in conjunction with Care Coordination Units, the Department of Healthcare and Family Services, the Department of Human Services, Community Care Program providers, and other stakeholders to make improvements to the Medicaid claiming processes and the Medicaid enrollment procedures or requirements as needed, including, but not limited to, specific policy changes or rules to improve the up-front enrollment of participants in the Medicaid program and specific policy changes or rules to insure more prompt submission of bills to the federal government to secure maximum federal matching

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dollars as promptly as possible; the Department on Aging shall have at least 3 meetings with stakeholders by January 1, 2014 in order to address these improvements;

- (11) requiring home care service providers to comply with the rounding of hours worked provisions under the federal Fair Labor Standards Act (FLSA) and as set forth in 29 CFR 785.48(b) by May 1, 2013;
- (12) implementing any necessary policy changes or promulgating any rules, no later than January 1, 2014, to assist the Department of Healthcare and Family Services in moving as many participants as possible, consistent with federal regulations, into coordinated care plans if a care coordination plan that covers long term care is available in the recipient's area; and
- (13) maintaining fiscal year 2014 rates at the same level established on January 1, 2013.

By January 1, 2009 or as soon after the end of the Cash and Counseling Demonstration Project as is practicable, the Department may, based on its evaluation of the demonstration project, promulgate rules concerning personal assistant services, to include, but need not be limited qualifications, employment screening, rights under fair labor standards, training, fiduciary agent, and supervision requirements. All applicants shall be subject to provisions of the Health Care Worker Background Check Act.

The Department shall develop procedures to enhance

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availability of services on evenings, weekends, and on an emergency basis to meet the respite needs of caregivers.

Procedures shall be developed to permit the utilization of services in successive blocks of 24 hours up to the monthly maximum established by the Department. Workers providing these services shall be appropriately trained.

Beginning on the effective date of this amendatory Act of 1991, no person may perform chore/housekeeping and home care aide services under a program authorized by this Section unless that person has been issued a certificate of pre-service to do so by his or her employing agency. Information gathered to effect such certification shall include (i) the person's name, (ii) the date the person was hired by his or her current employer, and (iii) the training, including dates and levels. Persons engaged in the program authorized by this Section before the effective date of this amendatory Act of 1991 shall be issued a certificate of all pre- and in-service training from his or her employer upon submitting the necessary information. The employing agency shall be required to retain records of all staff pre- and in-service training, and shall provide such records to the Department upon request and upon termination of the employer's contract with the Department. In addition, the employing agency is responsible for the issuance of certifications of in-service training completed to their employees.

The Department is required to develop a system to ensure

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that persons working as home care aides and personal assistants receive increases in their wages when the federal minimum wage is increased by requiring vendors to certify that they are meeting the federal minimum wage statute for home care aides and personal assistants. An employer that cannot ensure that the minimum wage increase is being given to home care aides and personal assistants shall be denied any increase in reimbursement costs.

The Community Care Program Advisory Committee is created in the Department on Aging. The Director shall appoint individuals to serve in the Committee, who shall serve at their own expense. Members of the Committee must abide by all applicable ethics laws. The Committee shall advise the Department on issues related to the Department's program of services to prevent unnecessary institutionalization. The Committee shall meet on a bi-monthly basis and shall serve to identify and advise the Department on present and potential issues affecting the service delivery network, the program's clients, and the Department and to recommend solution strategies. Persons appointed to the Committee shall be appointed on, but not limited to, their own and their agency's experience with the program, geographic representation, and willingness to serve. The Director shall appoint members to represent provider, advocacy, Committee to research, and other constituencies committed to the delivery of high quality home and community-based services to older

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appointed to adults. Representatives shall be representation from community care providers including, but not limited to, adult day service providers, homemaker providers, case coordination and case management units, emergency home response providers, statewide trade or labor unions that represent home care aides and direct care staff, area agencies on aging, adults over age 60, membership organizations representing older adults, and other organizational entities, providers of care, or individuals with demonstrated interest and expertise in the field of home and community care as determined by the Director.

Nominations may be presented from any agency or State association with interest in the program. The Director, or his or her designee, shall serve as the permanent co-chair of the advisory committee. One other co-chair shall be nominated and approved by the members of the committee on an annual basis. Committee members' terms of appointment shall be for 4 years with one-quarter of the appointees' terms expiring each year. A member shall continue to serve until his or her replacement is named. The Department shall fill vacancies that have a remaining term of over one year, and this replacement shall occur through the annual replacement of expiring terms. The Director shall designate Department staff to provide technical assistance and staff support to the committee. Department representation shall not constitute membership of committee. All Committee papers, issues, recommendations,

- 1 reports, and meeting memoranda are advisory only. The
- 2 Director, or his or her designee, shall make a written report,
- 3 as requested by the Committee, regarding issues before the
- 4 Committee.
- 5 The Department on Aging and the Department of Human
- 6 Services shall cooperate in the development and submission of
- 7 an annual report on programs and services provided under this
- 8 Section. Such joint report shall be filed with the Governor
- 9 and the General Assembly on or before September 30 each year.
- 10 The requirement for reporting to the General Assembly
- shall be satisfied by filing copies of the report as required
- 12 by Section 3.1 of the General Assembly Organization Act and
- filing such additional copies with the State Government Report
- 14 Distribution Center for the General Assembly as is required
- under paragraph (t) of Section 7 of the State Library Act.
- Those persons previously found eligible for receiving
- 17 non-institutional services whose services were discontinued
- 18 under the Emergency Budget Act of Fiscal Year 1992, and who do
- 19 not meet the eligibility standards in effect on or after July
- 20 1, 1992, shall remain ineligible on and after July 1, 1992.
- 21 Those persons previously not required to cost-share and who
- 22 were required to cost-share effective March 1, 1992, shall
- continue to meet cost-share requirements on and after July 1,
- 24 1992. Beginning July 1, 1992, all clients will be required to
- 25 meet eligibility, cost-share, and other requirements and will
- 26 have services discontinued or altered when they fail to meet

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1 these requirements.

For the purposes of this Section, "flexible senior services" refers to services that require one-time or periodic expenditures including, but not limited to, respite care, home modification, assistive technology, housing assistance, and transportation.

The Department shall implement an electronic service verification based on global positioning systems or other cost-effective technology for the Community Care Program no later than January 1, 2014.

The Department shall require, as а condition of eligibility, enrollment in the medical assistance program under Article V of the Illinois Public Aid Code (i) beginning August 1, 2013, if the Auditor General has reported that the Department has failed to comply with the requirements of Section 2-27 of the Illinois State Auditing Act; or (ii) beginning June 1, 2014, if the Auditor General has reported that the Department has not undertaken the required actions listed in the report required by subsection (a) of Section 2-27 of the Illinois State Auditing Act.

The Department shall delay Community Care Program services until an applicant is determined eligible for medical assistance under Article V of the Illinois Public Aid Code (i) beginning August 1, 2013, if the Auditor General has reported that the Department has failed to comply with the reporting requirements of Section 2-27 of the Illinois State Auditing

Act; or (ii) beginning June 1, 2014, if the Auditor General has reported that the Department has not undertaken the required actions listed in the report required by subsection (a) of Section 2-27 of the Illinois State Auditing Act.

The Department shall implement co-payments for the Community Care Program at the federally allowable maximum level (i) beginning August 1, 2013, if the Auditor General has reported that the Department has failed to comply with the reporting requirements of Section 2-27 of the Illinois State Auditing Act; or (ii) beginning June 1, 2014, if the Auditor General has reported that the Department has not undertaken the required actions listed in the report required by subsection (a) of Section 2-27 of the Illinois State Auditing Act.

The Department shall provide a bi-monthly report on the progress of the Community Care Program reforms set forth in this amendatory Act of the 98th General Assembly to the Governor, the Speaker of the House of Representatives, the Minority Leader of the House of Representatives, the President of the Senate, and the Minority Leader of the Senate.

The Department shall conduct a quarterly review of Care Coordination Unit performance and adherence to service guidelines. The quarterly review shall be reported to the Speaker of the House of Representatives, the Minority Leader of the House of Representatives, the President of the Senate, and the Minority Leader of the Senate. The Department shall

1 collect and report longitudinal data on the performance of

2 each care coordination unit. Nothing in this paragraph shall

be construed to require the Department to identify specific

care coordination units.

In regard to community care providers, failure to comply with Department on Aging policies shall be cause for disciplinary action, including, but not limited to, disqualification from serving Community Care Program clients. Each provider, upon submission of any bill or invoice to the Department for payment for services rendered, shall include a notarized statement, under penalty of perjury pursuant to Section 1-109 of the Code of Civil Procedure, that the

The Director of the Department on Aging shall make information available to the State Board of Elections as may be required by an agreement the State Board of Elections has entered into with a multi-state voter registration list maintenance system.

provider has complied with all Department policies.

Within 30 days after July 6, 2017 (the effective date of Public Act 100-23), rates shall be increased to \$18.29 per hour, for the purpose of increasing, by at least \$.72 per hour, the wages paid by those vendors to their employees who provide homemaker services. The Department shall pay an enhanced rate under the Community Care Program to those in-home service provider agencies that offer health insurance coverage as a benefit to their direct service worker employees consistent

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with the mandates of Public Act 95-713. For State fiscal years 2018 and 2019, the enhanced rate shall be \$1.77 per hour. The rate shall be adjusted using actuarial analysis based on the cost of care, but shall not be set below \$1.77 per hour. The Department shall adopt rules, including emergency rules under subsections (y) and (bb) of Section 5-45 of the Illinois Administrative Procedure Act, to implement the provisions of this paragraph.

The General Assembly finds it necessary to authorize an aggressive Medicaid enrollment initiative designed to maximize federal Medicaid funding for the Community Care Program which produces significant savings for the State of Illinois. The Department on Aging shall establish and implement a Community Care Program Medicaid Initiative. Under the Initiative, the Department on Aging shall, at a minimum: (i) provide an enhanced rate to adequately compensate care coordination units to enroll eligible Community Care Program clients recommendations from a stakeholder Medicaid: (ii) use committee on how best to implement the Initiative; and (iii) establish requirements for State agencies to make enrollment in the State's Medical Assistance program easier for seniors.

The Community Care Program Medicaid Enrollment Oversight Subcommittee is created as a subcommittee of the Older Adult Services Advisory Committee established in Section 35 of the Older Adult Services Act to make recommendations on how best to increase the number of medical assistance recipients who

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- are enrolled in the Community Care Program. The Subcommittee shall consist of all of the following persons who must be appointed within 30 days after the effective date of this amendatory Act of the 100th General Assembly:
 - (1) The Director of Aging, or his or her designee, who shall serve as the chairperson of the Subcommittee.
 - (2) One representative of the Department of Healthcare and Family Services, appointed by the Director of Healthcare and Family Services.
 - (3) One representative of the Department of Human Services, appointed by the Secretary of Human Services.
 - (4) One individual representing a care coordination unit, appointed by the Director of Aging.
 - (5) One individual from a non-governmental statewide organization that advocates for seniors, appointed by the Director of Aging.
 - (6) One individual representing Area Agencies on Aging, appointed by the Director of Aging.
 - (7) One individual from a statewide association dedicated to Alzheimer's care, support, and research, appointed by the Director of Aging.
 - (8) One individual from an organization that employs persons who provide services under the Community Care Program, appointed by the Director of Aging.
 - (9) One member of a trade or labor union representing persons who provide services under the Community Care

- 1 Program, appointed by the Director of Aging.
- 2 (10) One member of the Senate, who shall serve as 3 co-chairperson, appointed by the President of the Senate.
 - (11) One member of the Senate, who shall serve as co-chairperson, appointed by the Minority Leader of the Senate.
 - (12) One member of the House of Representatives, who shall serve as co-chairperson, appointed by the Speaker of the House of Representatives.
 - (13) One member of the House of Representatives, who shall serve as co-chairperson, appointed by the Minority Leader of the House of Representatives.
 - (14) One individual appointed by a labor organization representing frontline employees at the Department of Human Services.

The Subcommittee shall provide oversight to the Community Care Program Medicaid Initiative and shall meet quarterly. At each Subcommittee meeting the Department on Aging shall provide the following data sets to the Subcommittee: (A) the number of Illinois residents, categorized by planning and service area, who are receiving services under the Community Care Program and are enrolled in the State's Medical Assistance Program; (B) the number of Illinois residents, categorized by planning and service area, who are receiving services under the Community Care Program, but are not enrolled in the State's Medical Assistance Program; and (C)

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the number of Illinois residents, categorized by planning and service area, who are receiving services under the Community Care Program and are eligible for benefits under the State's Medical Assistance Program, but are not enrolled in the State's Medical Assistance Program. In addition to this data, the Department on Aging shall provide the Subcommittee with plans on how the Department on Aging will reduce the number of Illinois residents who are not enrolled in the State's Medical Assistance Program but who are eligible for medical assistance benefits. The Department on Aging shall enroll in the State's Medical Assistance Program those Illinois residents who receive services under the Community Care Program and are eligible for medical assistance benefits but are not enrolled in the State's Medicaid Assistance Program. The data provided to the Subcommittee shall be made available to the public via the Department on Aging's website.

The Department on Aging, with the involvement of the Subcommittee, shall collaborate with the Department of Human Services and the Department of Healthcare and Family Services on how best to achieve the responsibilities of the Community Care Program Medicaid Initiative.

The Department on Aging, the Department of Human Services, and the Department of Healthcare and Family Services shall coordinate and implement a streamlined process for seniors to access benefits under the State's Medical Assistance Program.

The Subcommittee shall collaborate with the Department of

- Human Services on the adoption of a uniform application submission process. The Department of Human Services and any other State agency involved with processing the medical assistance application of any person enrolled in the Community Care Program shall include the appropriate care coordination unit in all communications related to the determination or status of the application.
- 8 The Community Care Program Medicaid Initiative shall 9 provide targeted funding to care coordination units to help 10 seniors complete their applications for medical assistance 11 benefits. On and after July 1, 2019, care coordination units 12 shall receive no less than \$200 per completed application, 13 which rate may be included in a bundled rate for initial intake services when Medicaid application assistance is provided in 14 15 conjunction with the initial intake process for new program 16 participants.
- The Community Care Program Medicaid Initiative shall cease operation 5 years after the effective date of this amendatory

 Act of the 100th General Assembly, after which the Subcommittee shall dissolve.
- 21 (Source: P.A. 100-23, eff. 7-6-17; 100-587, eff. 6-4-18; 100-1148, eff. 12-10-18; 101-10, eff. 6-5-19.)
- 23 (20 ILCS 1110/7 rep.)
- 24 (20 ILCS 1110/8 rep.)
- 25 (20 ILCS 1110/9 rep.)

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1 (20 ILCS 1110/10 rep.)
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- 2 (20 ILCS 1110/11 rep.)
- 3 (20 ILCS 1110/12 rep.)
- 4 (20 ILCS 1110/13 rep.)
- 5 (20 ILCS 1110/14 rep.)
- 6 (20 ILCS 1110/15 rep.)
- 7 (20 ILCS 1110/16 rep.)
- 8 (20 ILCS 1110/17 rep.)
- 9 Section 10. The Illinois Coal and Energy Development Bond
- 10 Act is amended by repealing Sections 7, 8, 9, 10, 11, 12, 13,
- 11 14, 15, 16, and 17.
- 12 Section 15. The Department of Human Services Act is
- amended by changing Section 1-17 as follows:
- 14 (20 ILCS 1305/1-17)
- 15 Sec. 1-17. Inspector General.
- 16 (a) Nature and purpose. It is the express intent of the
- 17 General Assembly to ensure the health, safety, and financial
- 18 condition of individuals receiving services in this State due
- 19 to mental illness, developmental disability, or both by
- 20 protecting those persons from acts of abuse, neglect, or both
- 21 by service providers. To that end, the Office of the Inspector
- 22 General for the Department of Human Services is created to
- 23 investigate and report upon allegations of the abuse, neglect,
- 24 or financial exploitation of individuals receiving services

- 1 within mental health facilities, developmental disabilities
- 2 facilities, and community agencies operated, licensed, funded,
- 3 or certified by the Department of Human Services, but not
- 4 licensed or certified by any other State agency.
- 5 (b) Definitions. The following definitions apply to this
- 6 Section:
- 7 "Adult student with a disability" means an adult student,
- 8 age 18 through 21, inclusive, with an Individual Education
- 9 Program, other than a resident of a facility licensed by the
- 10 Department of Children and Family Services in accordance with
- 11 the Child Care Act of 1969. For purposes of this definition,
- 12 "through age 21, inclusive", means through the day before the
- 13 student's 22nd birthday.
- "Agency" or "community agency" means (i) a community
- 15 agency licensed, funded, or certified by the Department, but
- not licensed or certified by any other human services agency
- 17 of the State, to provide mental health service or
- 18 developmental disabilities service, or (ii) a program
- 19 licensed, funded, or certified by the Department, but not
- 20 licensed or certified by any other human services agency of
- 21 the State, to provide mental health service or developmental
- 22 disabilities service.
- 23 "Aggravating circumstance" means a factor that is
- 24 attendant to a finding and that tends to compound or increase
- 25 the culpability of the accused.
- 26 "Allegation" means an assertion, complaint, suspicion, or

- 1 incident involving any of the following conduct by an
- 2 employee, facility, or agency against an individual or
- 3 individuals: mental abuse, physical abuse, sexual abuse,
- 4 neglect, or financial exploitation.
- 5 "Day" means working day, unless otherwise specified.
- 6 "Deflection" means a situation in which an individual is
- 7 presented for admission to a facility or agency, and the
- 8 facility staff or agency staff do not admit the individual.
- 9 "Deflection" includes triage, redirection, and denial of
- 10 admission.
- "Department" means the Department of Human Services.
- "Developmental disability" means "developmental
- disability" as defined in the Mental Health and Developmental
- 14 Disabilities Code.
- "Egregious neglect" means a finding of neglect as
- determined by the Inspector General that (i) represents a
- 17 gross failure to adequately provide for, or a callused
- 18 indifference to, the health, safety, or medical needs of an
- individual and (ii) results in an individual's death or other
- 20 serious deterioration of an individual's physical condition or
- 21 mental condition.
- "Employee" means any person who provides services at the
- 23 facility or agency on-site or off-site. The service
- 24 relationship can be with the individual or with the facility
- or agency. Also, "employee" includes any employee or
- 26 contractual agent of the Department of Human Services or the

- 1 community agency involved in providing or monitoring or
- 2 administering mental health or developmental disability
- 3 services. This includes but is not limited to: owners,
- 4 operators, payroll personnel, contractors, subcontractors, and
- 5 volunteers.
- 6 "Facility" or "State-operated facility" means a mental
- 7 health facility or developmental disabilities facility
- 8 operated by the Department.
- 9 "Financial exploitation" means taking unjust advantage of
- 10 an individual's assets, property, or financial resources
- 11 through deception, intimidation, or conversion for the
- 12 employee's, facility's, or agency's own advantage or benefit.
- "Finding" means the Office of Inspector General's
- 14 determination regarding whether an allegation is
- substantiated, unsubstantiated, or unfounded.
- 16 "Health Care Worker Registry" or "Registry" means the
- 17 Health Care Worker Registry under the Health Care Worker
- 18 Background Check Act.
- "Individual" means any person receiving mental health
- 20 service, developmental disabilities service, or both from a
- 21 facility or agency, while either on-site or off-site.
- "Mental abuse" means the use of demeaning, intimidating,
- or threatening words, signs, gestures, or other actions by an
- 24 employee about an individual and in the presence of an
- 25 individual or individuals that results in emotional distress
- or maladaptive behavior, or could have resulted in emotional

- distress or maladaptive behavior, for any individual present.
- 2 "Mental illness" means "mental illness" as defined in the
- 3 Mental Health and Developmental Disabilities Code.
- 4 "Mentally ill" means having a mental illness.
- 5 "Mitigating circumstance" means a condition that (i) is
- 6 attendant to a finding, (ii) does not excuse or justify the
- 7 conduct in question, but (iii) may be considered in evaluating
- 8 the severity of the conduct, the culpability of the accused,
- 9 or both the severity of the conduct and the culpability of the
- 10 accused.
- "Neglect" means an employee's, agency's, or facility's
- 12 failure to provide adequate medical care, personal care, or
- 13 maintenance and that, as a consequence, (i) causes an
- 14 individual pain, injury, or emotional distress, (ii) results
- 15 in either an individual's maladaptive behavior or the
- deterioration of an individual's physical condition or mental
- 17 condition, or (iii) places the individual's health or safety
- 18 at substantial risk.
- 19 "Person with a developmental disability" means a person
- 20 having a developmental disability.
- "Physical abuse" means an employee's non-accidental and
- 22 inappropriate contact with an individual that causes bodily
- harm. "Physical abuse" includes actions that cause bodily harm
- 24 as a result of an employee directing an individual or person to
- 25 physically abuse another individual.
- 26 "Recommendation" means an admonition, separate from a

- 1 finding, that requires action by the facility, agency, or
- 2 Department to correct a systemic issue, problem, or deficiency
- 3 identified during an investigation.
- 4 "Required reporter" means any employee who suspects,
- 5 witnesses, or is informed of an allegation of any one or more
- of the following: mental abuse, physical abuse, sexual abuse,
- 7 neglect, or financial exploitation.
- 8 "Secretary" means the Chief Administrative Officer of the
- 9 Department.
- "Sexual abuse" means any sexual contact or intimate
- 11 physical contact between an employee and an individual,
- including an employee's coercion or encouragement of an
- individual to engage in sexual behavior that results in sexual
- 14 contact, intimate physical contact, sexual behavior, or
- 15 intimate physical behavior. Sexual abuse also includes (i) an
- 16 employee's actions that result in the sending or showing of
- 17 sexually explicit images to an individual via computer,
- 18 cellular phone, electronic mail, portable electronic device,
- or other media with or without contact with the individual or
- 20 (ii) an employee's posting of sexually explicit images of an
- 21 individual online or elsewhere whether or not there is contact
- 22 with the individual.
- "Sexually explicit images" includes, but is not limited
- 24 to, any material which depicts nudity, sexual conduct, or
- 25 sado-masochistic abuse, or which contains explicit and
- 26 detailed verbal descriptions or narrative accounts of sexual

- 1 excitement, sexual conduct, or sado-masochistic abuse.
- 2 "Substantiated" means there is a preponderance of the
- 3 evidence to support the allegation.
- 4 "Unfounded" means there is no credible evidence to support
- 5 the allegation.
- 6 "Unsubstantiated" means there is credible evidence, but
- 7 less than a preponderance of evidence to support the
- 8 allegation.
- 9 (c) Appointment. The Governor shall appoint, and the
- 10 Senate shall confirm, an Inspector General. The Inspector
- 11 General shall be appointed for a term of 4 years and shall
- 12 function within the Department of Human Services and report to
- 13 the Secretary and the Governor.
- 14 (d) Operation and appropriation. The Inspector General
- 15 shall function independently within the Department with
- 16 respect to the operations of the Office, including the
- 17 performance of investigations and issuance of findings and
- 18 recommendations. The appropriation for the Office of Inspector
- 19 General shall be separate from the overall appropriation for
- the Department.
- 21 (e) Powers and duties. The Inspector General shall
- 22 investigate reports of suspected mental abuse, physical abuse,
- 23 sexual abuse, neglect, or financial exploitation of
- 24 individuals in any mental health or developmental disabilities
- 25 facility or agency and shall have authority to take immediate
- 26 action to prevent any one or more of the following from

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happening to individuals under its jurisdiction: mental abuse, physical abuse, sexual abuse, neglect, or financial exploitation. Upon written request of an agency of this State, the Inspector General may assist another agency of the State in investigating reports of the abuse, neglect, or abuse and of persons with mental illness, persons developmental disabilities, or persons with both. To comply with the requirements of subsection (k) of this Section, the Inspector General shall also review all reportable deaths for which there is no allegation of abuse or neglect. Nothing in this Section shall preempt any duties of the Medical Review Board set forth in the Mental Health and Developmental The Inspector General shall Disabilities Code. authority to investigate alleged violations of the State Officials and Employees Ethics Act. Allegations of misconduct under the State Officials and Employees Ethics Act shall be referred to the Office of the Governor's Executive Inspector General for investigation.

(f) Limitations. The Inspector General shall not conduct an investigation within an agency or facility if that investigation would be redundant to or interfere with an investigation conducted by another State agency. The Inspector General shall have no supervision over, or involvement in, the routine programmatic, licensing, funding, or certification operations of the Department. Nothing in this subsection limits investigations by the Department that may otherwise be

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- required by law or that may be necessary in the Department's capacity as central administrative authority responsible for the operation of the State's mental health and developmental disabilities facilities.
 - (g) Rulemaking authority. The Inspector General shall rules establishing minimum requirements reporting allegations as well as for initiating, conducting, and completing investigations based upon the nature of the allegation or allegations. The rules shall clearly establish that if 2 or more State agencies could investigate an allegation, the Inspector General shall not conduct investigation that would be redundant to, or interfere with, an investigation conducted by another State agency. The rules shall further clarify the method and circumstances under which the Office of Inspector General may interact with the licensing, funding, or certification units of the Department in preventing further occurrences of mental abuse, physical abuse, sexual abuse, neglect, egregious neglect, and financial exploitation.
 - (h) Training programs. The Inspector General shall (i) establish a comprehensive program to ensure that every person authorized to conduct investigations receives ongoing training relative to investigation techniques, communication skills, and the appropriate means of interacting with persons receiving treatment for mental illness, developmental disability, or both mental illness and developmental

disability, and (ii) establish and conduct periodic training programs for facility and agency employees concerning the prevention and reporting of any one or more of the following: mental abuse, physical abuse, sexual abuse, neglect, egregious neglect, or financial exploitation. The Inspector General shall further ensure (i) every person authorized to conduct investigations at community agencies receives ongoing training in Title 59, Parts 115, 116, and 119 of the Illinois Administrative Code, and (ii) every person authorized to conduct investigations shall receive ongoing training in Title 59, Part 50 of the Illinois Administrative Code. Nothing in this Section shall be deemed to prevent the Office of Inspector General from conducting any other training as determined by the Inspector General to be necessary or helpful.

- (i) Duty to cooperate.
- (1) The Inspector General shall at all times be granted access to any facility or agency for the purpose of investigating any allegation, conducting unannounced site visits, monitoring compliance with a written response, or completing any other statutorily assigned duty. The Inspector General shall conduct unannounced site visits to each facility at least annually for the purpose of reviewing and making recommendations on systemic issues relative to preventing, reporting, investigating, and responding to all of the following: mental abuse, physical

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abuse, sexual abuse, neglect, egregious neglect, or financial exploitation.

- (2) Any employee who fails to cooperate with an Office of the Inspector General investigation is in violation of this Act. Failure to cooperate with an investigation includes, but is not limited to, any one or more of the following: (i) creating and transmitting a false report to Office of the Inspector General hotline, the providing false information to an Office of the Inspector General Investigator during an investigation, (iii) colluding with other employees to cover up evidence, (iv) colluding with other employees to provide false Office of the Inspector General information to an investigator, (v) destroying evidence, (vi) withholding evidence, or (vii) otherwise obstructing an Office of the Inspector General investigation. Additionally, employee who, during an unannounced site visit or written response compliance check, fails to cooperate with requests from the Office of the Inspector General is in violation of this Act.
- (j) Subpoena powers. The Inspector General shall have the power to subpoena witnesses and compel the production of all documents and physical evidence relating to his or her investigations and any hearings authorized by this Act. This subpoena power shall not extend to persons or documents of a labor organization or its representatives insofar as the

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persons are acting in a representative capacity to an employee whose conduct is the subject of an investigation or the documents relate to that representation. Any person who otherwise fails to respond to a subpoena or who knowingly provides false information to the Office of the Inspector General by subpoena during an investigation is guilty of a Class A misdemeanor.

- (k) Reporting allegations and deaths.
- (1) Allegations. If an employee witnesses, is told of, or has reason to believe an incident of mental abuse, physical abuse, sexual abuse, neglect, or financial exploitation has occurred, the employee, agency, facility shall report the allegation by phone to the Office of the Inspector General hotline according to the agency's or facility's procedures, but in no event later than 4 hours after the initial discovery of the incident, allegation, or suspicion of any one or more of the following: mental abuse, physical abuse, sexual abuse, neglect, or financial exploitation. A required reporter as defined in subsection (b) of this Section who knowingly or intentionally fails to comply with these reporting requirements is quilty of a Class A misdemeanor.
- (2) Deaths. Absent an allegation, a required reporter shall, within 24 hours after initial discovery, report by phone to the Office of the Inspector General hotline each of the following:

_	(i) Any death of an individual occurring within 14
2	calendar days after discharge or transfer of the
3	individual from a residential program or facility.

- (ii) Any death of an individual occurring within 24 hours after deflection from a residential program or facility.
- (iii) Any other death of an individual occurring at an agency or facility or at any Department-funded site.
- (3) Retaliation. It is a violation of this Act for any employee or administrator of an agency or facility to take retaliatory action against an employee who acts in good faith in conformance with his or her duties as a required reporter.
- (1) Reporting to law enforcement. (1) Reporting criminal acts. Within 24 hours after determining that there is credible evidence indicating that a criminal act may have been committed or that special expertise may be required in an investigation, the Inspector General shall notify the Illinois State Police or other appropriate law enforcement authority, or ensure that such notification is made. The Illinois State Police shall investigate any report from a State-operated facility indicating a possible murder, sexual assault, or other felony by an employee. All investigations conducted by the Inspector General shall be conducted in a manner designed to ensure the preservation of evidence for possible use in a

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criminal prosecution.

(2) Reporting allegations of adult students with disabilities. Upon receipt of a reportable allegation regarding an adult student with a disability, the Department's Office of the Inspector General shall determine whether the allegation meets the criteria for the Domestic Abuse Program under the Abuse of Adults with Disabilities Intervention Act. If the allegation reportable to that program, the Office of the Inspector General shall initiate an investigation. If the allegation is not reportable to the Domestic Abuse Program, the Office of the Inspector General shall make an expeditious referral to the respective law enforcement entity. If alleged victim is already receiving services from the Department, the Office of the Inspector General shall also make a referral to the respective Department of Human Services' Division or Bureau.

(m) Investigative reports. Upon completion of an investigation, the Office of Inspector General shall issue an investigative report identifying whether the allegations are substantiated, unsubstantiated, or unfounded. Within 10 business days after the transmittal of a completed investigative report substantiating an allegation, finding an allegation is unsubstantiated, or if a recommendation is made, the Inspector General shall provide the investigative report on the case to the Secretary and to the director of the

facility or agency where any one or more of the following 1 occurred: mental abuse, physical abuse, sexual abuse, neglect, 2 egregious neglect, or financial exploitation. The director of 3 the facility or agency shall be responsible for maintaining 5 the confidentiality of the investigative report consistent with State and federal law. In a substantiated case, the 6 7 report shall include any investigative mitigating 8 aggravating circumstances that were identified during the 9 investigation. If the case involves substantiated neglect, the 10 investigative report shall also state whether egregious 11 neglect was found. An investigative report may also set forth 12 recommendations. All investigative reports prepared by the 13 Office Inspector General shall considered of the be 14 confidential and shall not be released except as provided by 15 the law of this State or as required under applicable federal 16 law. Unsubstantiated and unfounded reports shall not be 17 disclosed except as allowed under Section 6 of the Abused and Neglected Long Term Care Facility Residents Reporting Act. Raw 18 19 data used to compile the investigative report shall not be 20 subject to release unless required by law or a court order. "Raw data used to compile the investigative report" includes, 21 22 but is not limited to, any one or more of the following: the 23 initial complaint, witness statements, photographs, investigator's notes, police reports, or incident reports. If 24 25 the allegations are substantiated, the victim, the victim's 26 quardian, and the accused shall be provided with a redacted

- copy of the investigative report. Death reports where there was no allegation of abuse or neglect shall only be released pursuant to applicable State or federal law or a valid court order. Unredacted investigative reports, as well as raw data, may be shared with a local law enforcement entity, a State's Attorney's office, or a county coroner's office upon written request.
- (n) Written responses, clarification requests, and reconsideration requests.
 - (1) Written responses. Within 30 calendar days from receipt of a substantiated investigative report or an investigative report which contains recommendations, absent a reconsideration request, the facility or agency shall file a written response that addresses, in a concise and reasoned manner, the actions taken to: (i) protect the individual; (ii) prevent recurrences; and (iii) eliminate the problems identified. The response shall include the implementation and completion dates of such actions. If the written response is not filed within the allotted 30 calendar day period, the Secretary shall determine the appropriate corrective action to be taken.
 - (2) Requests for clarification. The facility, agency, victim or guardian, or the subject employee may request that the Office of Inspector General clarify the finding or findings for which clarification is sought.
 - (3) Requests for reconsideration. The facility,

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agency, victim or quardian, or the subject employee may request that Office of the the Inspector General reconsider the finding or findings or the recommendations. A request for reconsideration shall be subject to a multi-layer review and shall include at least one reviewer who did not participate in the investigation or approval of the original investigative After report. the multi-layer review process has been completed, the Inspector General shall make the final determination on the reconsideration request. The investigation shall be reopened if the reconsideration determination finds that additional information is needed to complete the investigative record.

- (o) Disclosure of the finding by the Inspector General. The Inspector General shall disclose the finding of an investigation to the following persons: (i) the Governor, (ii) the Secretary, (iii) the director of the facility or agency, (iv) the alleged victims and their guardians, (v) the complainant, and (vi) the accused. This information shall include whether the allegations were deemed substantiated, unsubstantiated, or unfounded.
- (p) Secretary review. Upon review of the Inspector General's investigative report and any agency's or facility's written response, the Secretary shall accept or reject the written response and notify the Inspector General of that determination. The Secretary may further direct that other

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- administrative action be taken, including, but not limited to, any one or more of the following: (i) additional site visits, (ii) training, (iii) provision of technical assistance relative to administrative needs, licensure, or certification,
- or (iv) the imposition of appropriate sanctions.
 - (q) Action by facility or agency. Within 30 days of the date the Secretary approves the written response or directs that further administrative action be taken, the facility or agency shall provide an implementation report to the Inspector General that provides the status of the action taken. The facility or agency shall be allowed an additional 30 days to send notice of completion of the action or to send an updated implementation report. If the action has not been completed within the additional 30-day period, the facility or agency shall send updated implementation reports every 60 days until completion. The Inspector General shall conduct a review of any implementation plan that takes more than 120 days after approval to complete, and shall monitor compliance through a random review of approved written responses, which may include, but are not limited to: (i) site visits, (ii) telephone contact, and (iii) requests for additional documentation evidencing compliance.
 - (r) Sanctions. Sanctions, if imposed by the Secretary under Subdivision (p)(iv) of this Section, shall be designed to prevent further acts of mental abuse, physical abuse, sexual abuse, neglect, egregious neglect, or financial

- 1 exploitation or some combination of one or more of those acts
- 2 at a facility or agency, and may include any one or more of the
- 3 following:

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- (1) Appointment of on-site monitors.
- 5 (2) Transfer or relocation of an individual or 6 individuals.
- 7 (3) Closure of units.
 - (4) Termination of any one or more of the following:
- 9 (i) Department licensing, (ii) funding, or (iii)
 10 certification.
- 11 The Inspector General may seek the assistance of the 12 Illinois Attorney General or the office of any State's
- 13 Attorney in implementing sanctions.
- 14 (s) Health Care Worker Registry.
 - (1) Reporting to the Registry. The Inspector General shall report to the Department of Public Health's Health Care Worker Registry, a public registry, the identity and finding of each employee of a facility or agency against whom there is a final investigative report containing a substantiated allegation of physical or sexual abuse, financial exploitation, or egregious neglect of an individual.
 - (2) Notice to employee. Prior to reporting the name of an employee, the employee shall be notified of the Department's obligation to report and shall be granted an opportunity to request an administrative hearing, the sole

purpose of which is to determine if the substantiated finding warrants reporting to the Registry. Notice to the employee shall contain a clear and concise statement of the grounds on which the report to the Registry is based, offer the employee an opportunity for a hearing, and identify the process for requesting such a hearing. Notice is sufficient if provided by certified mail to the employee's last known address. If the employee fails to request a hearing within 30 days from the date of the notice, the Inspector General shall report the name of the employee to the Registry. Nothing in this subdivision (s) (2) shall diminish or impair the rights of a person who is a member of a collective bargaining unit under the Illinois Public Labor Relations Act or under any other federal labor statute.

(3) Registry hearings. If the employee requests an administrative hearing, the employee shall be granted an opportunity to appear before an administrative law judge to present reasons why the employee's name should not be reported to the Registry. The Department shall bear the burden of presenting evidence that establishes, by a preponderance of the evidence, that the substantiated finding warrants reporting to the Registry. After considering all the evidence presented, the administrative law judge shall make a recommendation to the Secretary as to whether the substantiated finding warrants reporting

the name of the employee to the Registry. The Secretary shall render the final decision. The Department and the employee shall have the right to request that the administrative law judge consider a stipulated disposition of these proceedings.

- (4) Testimony at Registry hearings. A person who makes a report or who investigates a report under this Act shall testify fully in any judicial proceeding resulting from such a report, as to any evidence of abuse or neglect, or the cause thereof. No evidence shall be excluded by reason of any common law or statutory privilege relating to communications between the alleged perpetrator of abuse or neglect, or the individual alleged as the victim in the report, and the person making or investigating the report. Testimony at hearings is exempt from the confidentiality requirements of subsection (f) of Section 10 of the Mental Health and Developmental Disabilities Confidentiality Act.
- (5) Employee's rights to collateral action. No reporting to the Registry shall occur and no hearing shall be set or proceed if an employee notifies the Inspector General in writing, including any supporting documentation, that he or she is formally contesting an adverse employment action resulting from a substantiated finding by complaint filed with the Illinois Civil Service Commission, or which otherwise seeks to enforce the employee's rights pursuant to any applicable collective

bargaining agreement. If an action taken by an employer against an employee as a result of a finding of physical abuse, sexual abuse, or egregious neglect is overturned through an action filed with the Illinois Civil Service Commission or under any applicable collective bargaining agreement and if that employee's name has already been sent to the Registry, the employee's name shall be removed from the Registry.

- (6) Removal from Registry. At any time after the report to the Registry, but no more than once in any 12-month period, an employee may petition the Department in writing to remove his or her name from the Registry. Upon receiving notice of such request, the Inspector General shall conduct an investigation into the petition. Upon receipt of such request, an administrative hearing will be set by the Department. At the hearing, the employee shall bear the burden of presenting evidence that establishes, by a preponderance of the evidence, that removal of the name from the Registry is in the public interest. The parties may jointly request that the administrative law judge consider a stipulated disposition of these proceedings.
- (t) Review of Administrative Decisions. The Department shall preserve a record of all proceedings at any formal hearing conducted by the Department involving Health Care Worker Registry hearings. Final administrative decisions of

- the Department are subject to judicial review pursuant to provisions of the Administrative Review Law.
 - (u) Quality Care Board. There is created, within the Office of the Inspector General, a Quality Care Board to be composed of 7 members appointed by the Governor with the advice and consent of the Senate. One of the members shall be designated as chairman by the Governor. Of the initial appointments made by the Governor, 4 Board members shall each be appointed for a term of 4 years and 3 members shall each be appointed for a term of 2 years. Upon the expiration of each member's term, a successor shall be appointed for a term of 4 years. In the case of a vacancy in the office of any member, the Governor shall appoint a successor for the remainder of the unexpired term.

Members appointed by the Governor shall be qualified by professional knowledge or experience in the area of law, investigatory techniques, or in the area of care of the mentally ill or care of persons with developmental disabilities. Two members appointed by the Governor shall be persons with a disability or parents of persons with a disability. Members shall serve without compensation, but shall be reimbursed for expenses incurred in connection with the performance of their duties as members.

The Board shall meet quarterly, and may hold other meetings on the call of the chairman. Four members shall constitute a quorum allowing the Board to conduct its

- business. The Board may adopt rules and regulations it deems
 necessary to govern its own procedures.
- The Board shall monitor and oversee the operations, policies, and procedures of the Inspector General to ensure the prompt and thorough investigation of allegations of neglect and abuse. In fulfilling these responsibilities, the Board may do the following:
 - (1) Provide independent, expert consultation to the Inspector General on policies and protocols for investigations of alleged abuse, neglect, or both abuse and neglect.
 - (2) Review existing regulations relating to the operation of facilities.
 - (3) Advise the Inspector General as to the content of training activities authorized under this Section.
 - (4) Recommend policies concerning methods for improving the intergovernmental relationships between the Office of the Inspector General and other State or federal offices.
 - (v) Annual report. The Inspector General shall provide to the General Assembly and the Governor, no later than January 1 of each year, a summary of reports and investigations made under this Act for the prior fiscal year with respect to individuals receiving mental health or developmental disabilities services. The report shall detail the imposition of sanctions, if any, and the final disposition of any

- 1 corrective or administrative action directed by the Secretary.
- 2 The summaries shall not contain any confidential or
- 3 identifying information of any individual, but shall include
- 4 objective data identifying any trends in the number of
- 5 reported allegations, the timeliness of the Office of the
- 6 Inspector General's investigations, and their disposition, for
- 7 each facility and Department-wide, for the most recent 3-year
- 8 time period. The report shall also identify, by facility, the
- 9 staff-to-patient ratios taking account of direct care staff
- 10 only. The report shall also include detailed recommended
- 11 administrative actions and matters for consideration by the
- 12 General Assembly.
- 13 (w) Program audit. The Auditor General shall conduct a
- 14 program audit of the Office of the Inspector General on an
- as-needed basis, as determined by the Auditor General. The
- 16 audit shall specifically include the Inspector General's
- 17 compliance with the Act and effectiveness in investigating
- 18 reports of allegations occurring in any facility or agency.
- 19 The Auditor General shall conduct the program audit according
- 20 to the provisions of the Illinois State Auditing Act and shall
- 21 report its findings to the General Assembly no later than
- January 1 following the audit period.
- 23 (x) Nothing in this Section shall be construed to mean
- that an individual is a victim of abuse or neglect because of
- 25 health care services appropriately provided or not provided by
- health care professionals.

(y) Nothing in this Section shall require a facility, 1 2 including its employees, agents, medical staff members, and 3 health care professionals, to provide a service to an individual in contravention of that individual's stated or 5 implied objection to the provision of that service on the 6 ground that that service conflicts with the individual's religious beliefs or practices, nor shall the failure to 7 provide a service to an individual be considered abuse under 8 9 this Section if the individual has objected to the provision

of that service based on his or her religious beliefs or

- 12 (Source: P.A. 101-81, eff. 7-12-19; 102-538, eff. 8-20-21.)
- 13 (20 ILCS 2712/Act rep.)

practices.

- 14 Section 20. The Broadband Access on Passenger Rail Law is
- 15 repealed.

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- 16 (20 ILCS 3930/7.6 rep.)
- 17 Section 25. The Illinois Criminal Justice Information Act
- is amended by repealing Section 7.6.
- 19 (20 ILCS 5035/Act rep.)
- 20 Section 30. The Illinois Human Services Commission Act is
- 21 repealed.
- 22 (30 ILCS 105/5h rep.)

- 1 Section 35. The State Finance Act is amended by repealing
- 2 Section 5h.
- 3 Section 40. The Illinois Procurement Code is amended by
- 4 changing Section 25-55 as follows:
- 5 (30 ILCS 500/25-55)
- 6 Sec. 25-55. Annual reports. Every printed annual report
- 7 produced by a State agency shall bear a statement indicating
- 8 whether it was printed by the State of Illinois or by contract
- 9 and indicating the printing cost per copy and the number of
- 10 copies printed. The Department of Central Management Services
- 11 shall prepare and submit to the General Assembly on the fourth
- 12 Wednesday of January in each year a report setting forth with
- 13 respect to each State agency for the calendar year immediately
- 14 preceding the calendar year in which the report is filed the
- 15 total quantity of annual reports printed, the total cost, and
- the cost per copy and the cost per page of the annual report of
- 17 the State agency printed during the calendar year covered by
- 18 the report.
- 19 (Source: P.A. 90-572, eff. date See Sec. 99-5.)
- 20 (205 ILCS 405/3.2 rep.)
- 21 Section 45. The Currency Exchange Act is amended by
- repealing Section 3.2.

- 1 Section 50. The Grain Code is amended by changing Section
- 2 30-25 as follows:
- 3 (240 ILCS 40/30-25)
- 4 Sec. 30-25. Grain Insurance Reserve Fund. Upon payment in
- 5 full of all money that has been transferred to the Fund prior
- 6 to June 30, 2003 from the General Revenue Fund as provided for
- 7 under subsection (h) of Section 25-20, the State of Illinois
- 8 shall, subject to appropriation, remit \$2,000,000 to the
- 9 Corporation to be held in a separate and discrete account to be
- 10 used to the extent the assets in the Fund are insufficient to
- 11 satisfy claimants as payment of their claims become due as set
- forth in subsection (h) of Section 25-20. The remittance of
- the \$2,000,000 reserve shall be made to the Corporation within
- 14 60 days of payment in full of all money transferred to the Fund
- as set forth above in this Section 30-25. All income received
- by the Reserve Fund shall be deposited in the Fund within 35
- days of the end of each calendar quarter.
- 18 (Source: P.A. 93-225, eff. 7-21-03.)
- 19 Section 55. The Community Services Act is amended by
- 20 changing Section 4 as follows:
- 21 (405 ILCS 30/4) (from Ch. 91 1/2, par. 904)
- 22 Sec. 4. Financing for community services.
- 23 (a) The Department of Human Services is authorized to

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provide financial reimbursement to eligible private service 1 2 providers, corporations, local government entities 3 voluntary associations for the provision of services to persons with mental illness, persons with a developmental 5 disability, and persons with substance use disorders who are 6 living in the community for the purpose of achieving the goals 7 of this Act.

The Department shall utilize the following funding mechanisms for community services:

- (1) Purchase of Care Contracts: services purchased on a predetermined fee per unit of service basis from private providers or governmental entities. Fee per service rates are set by an established formula which covers some portion of personnel, supplies, and other allowable costs, and which makes some allowance for geographic variations in costs as well as for additional program components.
- (2) Grants: sums of money which the Department grants to private providers or governmental entities pursuant to the grant recipient's agreement to provide certain services, as defined by departmental grant guidelines, to an approximate number of service recipients. Grant levels are set through consideration of personnel, supply and other allowable costs, as well as other funds available to the program.
- (3) Other Funding Arrangements: funding mechanisms may be established on a pilot basis in order to examine the

feasibility of alternative financing arrangements for the provision of community services.

The Department shall establish and maintain an equitable system of payment which allows providers to improve persons with disabilities' capabilities for independence and reduces their reliance on State-operated services.

For services classified as entitlement services under federal law or guidelines, caps may not be placed on the total amount of payment a provider may receive in a fiscal year and the Department shall not require that a portion of the payments due be made in a subsequent fiscal year based on a yearly payment cap.

- (b) (Blank). The Governor shall create a commission by September 1, 2009, or as soon thereafter as possible, to review funding methodologies, identify gaps in funding, identify revenue, and prioritize use of that revenue for community developmental disability services, mental health services, alcohol and substance abuse services, rehabilitation services, and early intervention services. The Office of the Governor shall provide staff support for the commission.
- (c) (Blank). The first meeting of the commission shall be held within the first month after the creation and appointment of the commission, and a final report summarizing the commission's recommendations must be issued within 12 months after the first meeting, and no later than September 1, 2010, to the Governor and the General Assembly.

1	(d) (Blank). The commission shall have the following 13
2	voting members:
3	(A) one member of the House of Representatives,
4	appointed by the Speaker of the House of Representatives;
5	(B) one member of the House of Representatives,
6	appointed by the House Minority Leader;
7	(C) one member of the Senate, appointed by the
8	President of the Senate;
9	(D) one member of the Senate, appointed by the Senate
10	Minority Leader;
11	(E) one person with a developmental disability, or a
12	family member or guardian of such a person, appointed by
13	the Governor;
14	(F) one person with a mental illness, or a family
15	member or guardian of such a person, appointed by the
16	Governor;
17	(G) two persons from unions that represent employees
18	of community providers that serve people with
19	developmental disabilities, mental illness, and alcohol
20	and substance abuse disorders, appointed by the Governor;
21	and
22	(H) five persons from statewide associations that
23	represent community providers that provide residential,
24	day training, and other developmental disability services,
25	mental health services, alcohol and substance abuse
26	services, rehabilitation services, or early intervention

1	services, or any combination of those, appointed by the
2	Governor.
3	The commission shall also have the following ex-officio,
4	nonvoting members:
5	(I) the Director of the Governor's Office of
6	Management and Budget or his or her designee;
7	(J) the Chief Financial Officer of the Department of
8	Human Services or his or her designee;
9	(K) the Administrator of the Department of Healthcare
10	and Family Services Division of Finance or his or her
11	designee;
12	(L) the Director of the Department of Human Services
13	Division of Developmental Disabilities or his or her
14	designee;
15	(M) the Director of the Department of Human Services
16	Division of Mental Health or his or her designee; and
17	(N) the Director of the Department of Human Services
18	Division of Alcoholism and Substance Abuse or his or her
19	designee.
20	(e) The funding methodologies must reflect economic
21	factors inherent in providing services and supports, recognize
22	individual disability needs, and consider geographic
23	differences, transportation costs, required staffing ratios,
24	and mandates not currently funded.
25	(f) In accepting Department funds, providers shall
26	recognize their responsibility to be accountable to the

- 1 Department and the State for the delivery of services which
- 2 are consistent with the philosophies and goals of this Act and
- 3 the rules and regulations promulgated under it.
- 4 (Source: P.A. 100-759, eff. 1-1-19.)
- 5 (730 ILCS 5/3-5-3 rep.)
- 6 (730 ILCS 5/5-8-1.3 rep.)
- 7 Section 60. The Unified Code of Corrections is amended by
- 8 repealing Sections 3-5-3 and 5-8-1.3.
- 9 Section 65. The Workers' Compensation Act is amended by
- 10 changing Section 18.1 as follows:
- 11 (820 ILCS 305/18.1)
- 12 Sec. 18.1. Claims by former and current employees of the
- 13 Commission. All claims by current and former employees and
- 14 appointees of the Commission shall be assigned to a certified
- 15 independent arbitrator not employed by the Commission
- 16 designated by the Chairman. In preparing the roster of
- approved certified independent arbitrators, the Chairman shall
- 18 seek the advice and recommendation of the Commission or the
- Workers' Compensation Advisory Board at his or her discretion.
- 20 The Chairman shall designate an arbitrator from a list of
- 21 approved certified arbitrators provided by the Commission
- 22 Review Board. If the Chairman is the claimant, then the
- 23 independent arbitrator from the approved list shall be

- designated by the longest serving Commissioner. The designated
- 2 independent arbitrator shall have the authority of arbitrators
- 3 of the Commission regarding settlement and adjudication of the
- 4 claim of the current and former employees and appointees of
- 5 the Commission. The decision of the independent arbitrator
- 6 shall become the decision of the Commission. An appeal of the
- 7 independent arbitrator's decision shall be subject to judicial
- 8 review in accordance with subsection (f) of Section 19.
- 9 (Source: P.A. 97-18, eff. 6-28-11.)
- 10 (820 ILCS 305/14.1 rep.)
- 11 Section 70. The Workers' Compensation Act is amended by
- 12 repealing Section 14.1.
- 13 Section 99. Effective date. This Act takes effect upon
- 14 becoming law.

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                  Statutes amended in order of appearance
                                  from Ch. 23, par. 6104.02
      20 ILCS 105/4.02
 3
 4
      20 ILCS 1110/7 rep.
 5
      20 ILCS 1110/8 rep.
      20 ILCS 1110/9 rep.
 6
 7
      20 ILCS 1110/10 rep.
 8
      20 ILCS 1110/11 rep.
 9
      20 ILCS 1110/12 rep.
10
      20 ILCS 1110/13 rep.
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      20 ILCS 1110/14 rep.
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      20 ILCS 1110/15 rep.
      20 ILCS 1110/16 rep.
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      20 ILCS 1110/17 rep.
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      20 ILCS 1305/1-17
16
      20 ILCS 2712/Act rep.
17
      20 ILCS 3930/7.6 rep.
      20 ILCS 5035/Act rep.
18
      30 ILCS 105/5h rep.
19
20
      30 ILCS 500/25-55
21
      205 ILCS 405/3.2 rep.
      240 ILCS 40/30-25
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23
      405 ILCS 30/4
                             from Ch. 91 1/2, par. 904
24
      730 ILCS 5/3-5-3 rep.
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730 ILCS 5/5-8-1.3 rep.

- 1 820 ILCS 305/18.1
- 2 820 ILCS 305/14.1 rep.