



101ST GENERAL ASSEMBLY

State of Illinois

2019 and 2020

SB4018

Introduced 1/4/2021, by Sen. Dan McConchie - John F. Curran - Dale A. Righter - Jil Tracy - William E. Brady, et al.

SYNOPSIS AS INTRODUCED:

5 ILCS 420/1-102.5 new
5 ILCS 420/1-104.3 new
5 ILCS 420/1-104.4 new
5 ILCS 420/1-104.5 new
5 ILCS 420/1-105.2 new
5 ILCS 420/1-105.3 new
5 ILCS 420/1-105.4 new
5 ILCS 420/1-105.5 new
5 ILCS 420/1-105.6 new
5 ILCS 420/1-105.7 new
5 ILCS 420/1-110 from Ch. 127, par. 601-110
5 ILCS 420/1-112.5 new
5 ILCS 420/1-113.6 new
5 ILCS 420/1-113.7 new
5 ILCS 420/4A-102 from Ch. 127, par. 604A-102
5 ILCS 420/4A-103 from Ch. 127, par. 604A-103
5 ILCS 420/4A-108
5 ILCS 420/4A-104 rep.

Amends the Illinois Governmental Ethics Act. Creates a uniform statement of economic interests form that must be completed by all persons who are required to file that form under the Act. Changes the nature of the required disclosures that must be made. Repeals a provision that established a separate form for persons required to file a statement of economic interests with county clerks. Defines terms. Adds applicability clause. Makes conforming changes. Effective immediately.

LRB101 22344 RJF 73388 b

1 AN ACT concerning government.

2 **Be it enacted by the People of the State of Illinois,**
3 **represented in the General Assembly:**

4 Section 5. The Illinois Governmental Ethics Act is amended
5 by changing Sections 1-110, 4A-102, 4A-103, and 4A-108 and by
6 adding Sections 1-102.5, 1-104.3, 1-104.4, 1-104.5, 1-105.2,
7 1-105.3, 1-105.4, 1-105.5, 1-105.6, 1-105.7, 1-112.5, 1-113.6,
8 and 1-113.7 as follows:

9 (5 ILCS 420/1-102.5 new)

10 Sec. 1-102.5. Asset. "Asset" means, for the purposes of
11 Sections 4A-102 and 4A-103, an item that is owned and has
12 monetary value. For the purposes of Sections 4A-102 and 4A-103,
13 assets include, but are not limited to: stocks, bonds (except
14 those issued by the federal, state, or local governments),
15 sector mutual funds, sector exchange traded funds, commodity
16 futures, investment real estate, and partnership interests.
17 For the purposes of Sections 4A-102 and 4A-103, assets do not
18 include: personal residences; personal vehicles; savings or
19 checking accounts; bonds, notes, or securities issued by any
20 branch of federal, state, or local government; Medicare
21 benefits; inheritances or bequests; diversified mutual funds;
22 annuities; pensions (including government pensions);
23 retirement accounts; college savings plans that are qualified

1 tuition plans; qualified tax-advantaged savings programs that
2 allow individuals to save for disability-related expenses;
3 non-income producing trust holdings; or tangible personal
4 property.

5 (5 ILCS 420/1-104.3 new)

6 Sec. 1-104.3. Creditor. "Creditor" means, for the purposes
7 of Sections 4A-102 and 4A-103, an individual, organization, or
8 other business entity to whom money or its equivalent is owed,
9 no matter whether that obligation is secured or unsecured,
10 except that if a filer makes a loan to members of his or her
11 family, or a political committee registered with the Illinois
12 State Board of Election, or a political committee, principal
13 campaign committee, or authorized committee registered with
14 the Federal Election Commission, then that filer does not, by
15 making such a loan, become a creditor of that individual or
16 entity for the purposes of Sections 4A-102 and 4A-103 of this
17 Act.

18 (5 ILCS 420/1-104.4 new)

19 Sec. 1-104.4. Debt. "Debt" means, for the purposes of
20 Sections 4A-102 and 4A-103, any money or monetary obligation
21 owed at any time during the preceding calendar year to an
22 individual, company, or other organization, other than a loan
23 that is from a financial institution, government agency, or
24 business entity and that is granted on terms made available to

1 the general public. For the purposes of Sections 4A-102 and
2 4A-103, "debt" includes, but is not limited to: personal loans
3 from friends or business associates, business loans made
4 outside the lender's regular course of business, and loans made
5 at below market rates. For the purposes of Sections 4A-102 and
6 4A-103, "debt" does not include: (i) debts to or from financial
7 institutions or government entities, such as mortgages,
8 student loans, credit card debts, or loans secured by
9 automobiles, household furniture, or appliances, as long as
10 those loans were made on terms available to the general public
11 and do not exceed the purchase price of the items securing
12 them; or (ii) debts to or from a political committee registered
13 with the Illinois State Board of Elections or political
14 committees, principal campaign committees, or authorized
15 committees registered with the Federal Election Commission.

16 (5 ILCS 420/1-104.5 new)

17 Sec. 1-104.5. Diversified funds. "Diversified funds" means
18 investment products, such as mutual funds, exchange traded
19 funds, or unit investment trusts, that invest in a wide variety
20 of securities.

21 (5 ILCS 420/1-105.2 new)

22 Sec. 1-105.2. Economic relationship. "Economic
23 relationship" means, for the purposes of Sections 4A-102 and
24 4A-103, any joint or shared ownership interests in businesses

1 and creditor-debtor relationships with third parties, other
2 than commercial lending institutions, where: (a) the filer is
3 entitled to receive (i) more than 7.5% of the total
4 distributable income, or (ii) an amount in excess of the salary
5 of the Governor; or (b) the filer together with his or her
6 spouse or minor children is entitled to receive (i) more than
7 15%, in the aggregate, of the total distributable income, or
8 (ii) an amount in excess of 2 times the salary of the Governor.

9 (5 ILCS 420/1-105.3 new)

10 Sec. 1-105.3. Family. "Family" means, for the purposes of
11 Sections 4A-102 and 4A-103, a filer's spouse, children,
12 step-children, parents, step-parents, siblings, step-siblings,
13 half-siblings, sons-in-law, daughters-in-law, grandfathers,
14 grandmothers, grandsons, and granddaughters, as well as the
15 father, mother, grandfather, and grandmother of the filer's
16 spouse.

17 (5 ILCS 420/1-105.4 new)

18 Sec. 1-105.4. Immediate family. "Immediate family" means,
19 for the purposes of Sections 4A-102 and 4A-103, family of the
20 filer living in the filer's residence.

21 (5 ILCS 420/1-105.5 new)

22 Sec. 1-105.5. Filer. "Filer" means, for the purposes of
23 Section 4A-102 and 4A-103, a person required to file a

1 statement of economic interests pursuant to this Act.

2 (5 ILCS 420/1-105.6 new)

3 Sec. 1-105.6. Income. "Income" means, for the purposes of
4 Sections 4A-102 and 4A-103, income from whatever source
5 derived, required to be reported on the filer's federal income
6 tax return, including, but not limited to: compensation
7 received for services rendered or to be rendered (as reported
8 on any Internal Revenue Service forms, including, but not
9 limited to, W-2, 1099, or K-1); earnings or capital gains from
10 the sale of assets; profit; interest or dividend income from
11 all assets; revenue from leases and rentals, royalties, prizes,
12 awards, or barter; forgiveness of debt; and earnings derived
13 from annuities or trusts other than testamentary trusts.
14 "Income" does not include compensation earned for service in
15 the position that necessitates the filing of the statement of
16 economic interests or income from the sale of a personal
17 residence or personal vehicle.

18 (5 ILCS 420/1-105.7 new)

19 Sec. 1-105.7. Investment real estate. "Investment real
20 estate" means any real property, other than a filer's personal
21 residences, purchased to produce a profit, whether from income
22 or resale. Investment real estate may be described by the city
23 and state where the real estate is located.

1 (5 ILCS 420/1-110) (from Ch. 127, par. 601-110)

2 Sec. 1-110. "Lobbyist" means an individual who is required
3 to be registered to engage in lobbying activities pursuant to
4 any statute, regulation, or ordinance adopted by a unit of
5 government in the State of Illinois ~~any person required to be~~
6 ~~registered under "An Act concerning lobbying and providing a~~
7 ~~penalty for violation thereof", approved July 10, 1957, as~~
8 ~~amended.~~

9 (Source: Laws 1967, p. 3401.)

10 (5 ILCS 420/1-112.5 new)

11 Sec. 1-112.5. Personal residence. "Personal residence"
12 means, for the purposes of Sections 4A-102 and 4A-103, a
13 filer's primary home residence and any residential real
14 property held by the filer and used by the filer for
15 residential rather than commercial or income generating
16 purposes.

17 (5 ILCS 420/1-113.6 new)

18 Sec. 1-113.6. Sector funds. "Sector funds" means mutual
19 funds or exchange traded funds invested in a particular
20 industry or business.

21 (5 ILCS 420/1-113.7 new)

22 Sec. 1-113.7. Spouse. "Spouse" means a party to a marriage,
23 a party to a civil union, or a registered domestic partner.

1 (5 ILCS 420/4A-102) (from Ch. 127, par. 604A-102)

2 Sec. 4A-102. The statement of economic interests required
3 by this Article shall include the economic interests of the
4 person making the statement as provided in this Section. The
5 following interests shall be listed by all persons required to
6 file:

7 (1) each asset that has a value of more than \$25,000 as
8 of the end of the preceding calendar year and is: (i) held
9 in the filer's name, (ii) held jointly by the filer with
10 his or her spouse, or (iii) held jointly by the filer with
11 his or her minor child or children;

12 (2) excluding the income from the position that
13 requires the filing of a statement of economic interests
14 under this Act, each source of income that generated in
15 excess of \$10,000 in income during the preceding calendar
16 year (as reported on the filer's federal income tax return
17 covering the preceding calendar year), including a general
18 description of the type of services provided in that source
19 of income, and, if the sale or transfer of an asset
20 produced more than \$10,000 in capital gains during the
21 preceding calendar year, the transaction date on which that
22 asset was sold or transferred;

23 (3) each creditor of a debt in excess of \$25,000 that,
24 during the preceding calendar year, was: (i) owed by the
25 filer, (ii) owed jointly by the filer with his or her

1 spouse, or (iii) owed jointly by the filer with his or her
2 minor child or children;

3 (4) each debtor of a debt in excess of \$25,000 that,
4 during the preceding calendar year, was: (i) owed to the
5 filer, (ii) owed jointly to the filer with his or her
6 spouse, or (iii) owed jointly to the filer with his or her
7 minor child or children;

8 (5) each lobbyist known to the filer to be registered
9 with any unit of government in the State of Illinois: (i)
10 with whom the filer maintains an economic relationship, or
11 (ii) who is a member of the filer's immediate family; and

12 (6) each source and type of gift or gifts, or
13 honorarium or honoraria, valued singly or in the aggregate
14 in excess of \$1,000 that was received during the preceding
15 calendar year.

16 For the purposes of this Section, the unit of local
17 government in relation to which a person is required to file
18 under item (o) of Section 4A-101 shall be the unit of local
19 government that contributes to the pension fund of which such
20 person is a member of the board.

21 ~~The interest (if constructively controlled by the person making~~
22 ~~the statement) of a spouse or any other party, shall be~~
23 ~~considered to be the same as the interest of the person making~~
24 ~~the statement. Campaign receipts shall not be included in this~~
25 ~~statement.~~

26 ~~(a) The following interests shall be listed by all~~

1 ~~persons required to file:~~

2 ~~(1) The name, address and type of practice of any~~
3 ~~professional organization or individual professional~~
4 ~~practice in which the person making the statement was~~
5 ~~an officer, director, associate, partner or~~
6 ~~proprietor, or served in any advisory capacity, from~~
7 ~~which income in excess of \$1200 was derived during the~~
8 ~~preceding calendar year;~~

9 ~~(2) The nature of professional services (other~~
10 ~~than services rendered to the unit or units of~~
11 ~~government in relation to which the person is required~~
12 ~~to file) and the nature of the entity to which they~~
13 ~~were rendered if fees exceeding \$5,000 were received~~
14 ~~during the preceding calendar year from the entity for~~
15 ~~professional services rendered by the person making~~
16 ~~the statement.~~

17 ~~(3) The identity (including the address or legal~~
18 ~~description of real estate) of any capital asset from~~
19 ~~which a capital gain of \$5,000 or more was realized in~~
20 ~~the preceding calendar year.~~

21 ~~(4) The name of any unit of government which has~~
22 ~~employed the person making the statement during the~~
23 ~~preceding calendar year other than the unit or units of~~
24 ~~government in relation to which the person is required~~
25 ~~to file.~~

26 ~~(5) The name of any entity from which a gift or~~

1 ~~gifts, or honorarium or honoraria, valued singly or in~~
2 ~~the aggregate in excess of \$500, was received during~~
3 ~~the preceding calendar year.~~

4 ~~(b) The following interests shall also be listed by~~
5 ~~persons listed in items (a) through (f), item (l), item~~
6 ~~(n), and item (p) of Section 4A-101:~~

7 ~~(1) The name and instrument of ownership in any~~
8 ~~entity doing business in the State of Illinois, in~~
9 ~~which an ownership interest held by the person at the~~
10 ~~date of filing is in excess of \$5,000 fair market value~~
11 ~~or from which dividends of in excess of \$1,200 were~~
12 ~~derived during the preceding calendar year. (In the~~
13 ~~case of real estate, location thereof shall be listed~~
14 ~~by street address, or if none, then by legal~~
15 ~~description). No time or demand deposit in a financial~~
16 ~~institution, nor any debt instrument need be listed;~~

17 ~~(2) Except for professional service entities, the~~
18 ~~name of any entity and any position held therein from~~
19 ~~which income of in excess of \$1,200 was derived during~~
20 ~~the preceding calendar year, if the entity does~~
21 ~~business in the State of Illinois. No time or demand~~
22 ~~deposit in a financial institution, nor any debt~~
23 ~~instrument need be listed.~~

24 ~~(3) The identity of any compensated lobbyist with~~
25 ~~whom the person making the statement maintains a close~~
26 ~~economic association, including the name of the~~

1 ~~lobbyist and specifying the legislative matter or~~
2 ~~matters which are the object of the lobbying activity,~~
3 ~~and describing the general type of economic activity of~~
4 ~~the client or principal on whose behalf that person is~~
5 ~~lobbying.~~

6 ~~(c) The following interests shall also be listed by~~
7 ~~persons listed in items (a) through (c) and item (c) of~~
8 ~~Section 4A-101.5:~~

9 ~~(1) The name and instrument of ownership in any~~
10 ~~entity doing business with a unit of local government~~
11 ~~in relation to which the person is required to file if~~
12 ~~the ownership interest of the person filing is greater~~
13 ~~than \$5,000 fair market value as of the date of filing~~
14 ~~or if dividends in excess of \$1,200 were received from~~
15 ~~the entity during the preceding calendar year. (In the~~
16 ~~case of real estate, location thereof shall be listed~~
17 ~~by street address, or if none, then by legal~~
18 ~~description). No time or demand deposit in a financial~~
19 ~~institution, nor any debt instrument need be listed.~~

20 ~~(2) Except for professional service entities, the~~
21 ~~name of any entity and any position held therein from~~
22 ~~which income in excess of \$1,200 was derived during the~~
23 ~~preceding calendar year if the entity does business~~
24 ~~with a unit of local government in relation to which~~
25 ~~the person is required to file. No time or demand~~
26 ~~deposit in a financial institution, nor any debt~~

1 ~~instrument need be listed.~~

2 ~~(3) The name of any entity and the nature of the~~
3 ~~governmental action requested by any entity which has~~
4 ~~applied to a unit of local government in relation to~~
5 ~~which the person must file for any license, franchise~~
6 ~~or permit for annexation, zoning or rezoning of real~~
7 ~~estate during the preceding calendar year if the~~
8 ~~ownership interest of the person filing is in excess of~~
9 ~~\$5,000 fair market value at the time of filing or if~~
10 ~~income or dividends in excess of \$1,200 were received~~
11 ~~by the person filing from the entity during the~~
12 ~~preceding calendar year.~~

13 ~~For the purposes of this Section, the unit of local~~
14 ~~government in relation to which a person required to file under~~
15 ~~item (c) of Section 4A-101.5 shall be the unit of local~~
16 ~~government that contributes to the pension fund of which such~~
17 ~~person is a member of the board.~~

18 (Source: P.A. 101-221, eff. 8-9-19.)

19 (5 ILCS 420/4A-103) (from Ch. 127, par. 604A-103)

20 Sec. 4A-103. The statement of economic interests required
21 by this Article to be filed with the Secretary of State or
22 county clerk shall be ~~filled in by typewriting or hand~~
23 ~~printing,~~ shall be verified, dated, and signed by the person
24 making the statement and shall contain substantially the
25 following:

1 STATEMENT OF ECONOMIC INTERESTS

2 INSTRUCTIONS:

3 You may find the following documents helpful to you in
4 completing this form:

5 (1) federal income tax returns, including any related
6 schedules, attachments, and forms; and

7 (2) investment and brokerage statements.

8 To complete this form, you do not need to disclose specific
9 amounts or values or report interests relating either to
10 political committees registered with the Illinois State Board
11 of Elections or to political committees, principal campaign
12 committees, or authorized committees registered with the
13 Federal Election Commission.

14 The information you disclose will be available to the
15 public.

16 You must answer all 6 questions. Certain questions will ask
17 you to report any applicable assets or debts held in your name;
18 held jointly with your spouse; or held jointly by you with your
19 minor child. If you have any concerns about whether an interest
20 should be reported, please consult your department's ethics
21 officer, if applicable.

22 Please ensure that the information you provide is complete
23 and accurate. If you need more space than the form allows,
24 please attach additional pages for your response. If you are

1 subject to the State Officials and Employees Ethics Act, your
 2 ethics officer must review your statement of economic interests
 3 before you file it. Failure to complete the statement in good
 4 faith and within the prescribed deadline may subject you to
 5 finest, imprisonment, or both.

6 BASIC INFORMATION:

7 Name:.....

8 Job title:

9 Office, department, or agency that requires you to file this
 10 form:.....

11 Other offices, departments, or agencies that require you to
 12 file a Statement of Economic Interests form:.....

13 Full mailing address:

14 Preferred e-mail address (optional)

15 QUESTIONS:

16 1. If you have any single asset that was worth more than
 17 \$25,000 as of the end of the preceding calendar year and is
 18 held in your name, held jointly by you with your spouse, or
 19 held jointly by you with your minor child, list such assets
 20 below. In the case of investment real estate, list the city and
 21 state where the investment real estate is located. If you do
 22 not have any such assets, list "none" below.

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4 2. Excluding the position for which you are required to
 5 file this form, list the source of any income in excess of
 6 \$10,000 in income as reported on your federal income tax return
 7 covering the preceding calendar year, including a general
 8 description of the type of services provided in that source of
 9 income. If you sold an asset that produced more than \$10,000 in
 10 capital gains in the preceding calendar year, list the name of
 11 the asset and the transaction date on which the sale or
 12 transfer took place. If you had no other sources of income
 13 exceeding \$10,000, list "none" below.

<u>Source of Income / Name of</u>	<u>Date Sold (if applicable)</u>
<u>Asset</u>	
.....
.....
.....

19 3. Excluding debts incurred on terms available to the
 20 general public, such as mortgages, student loans, and credit
 21 card debts, if you owed any single debt in the preceding
 22 calendar year exceeding \$25,000, list the creditor of the debt
 23 below. If you had no such debts, list "none" below.

24 List the creditor for all applicable debts owed by you,
 25 owed jointly by you with your spouse, or owed jointly by you

1 with your minor child. In addition to the types of debts listed
 2 above, you do not need to report any debts to or from financial
 3 institutions or government agencies, such as debts secured by
 4 automobiles, household furniture or appliances, as long as the
 5 debt was made on terms available to the general public, debts
 6 to members of your family, or debts to or from a political
 7 committee registered with the Illinois State Board of Elections
 8 or any political committee, principal campaign committee, or
 9 authorized committee registered with the Federal Election
 10 Commission.

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15 4. Excluding debts owed to you by members of your family or
 16 by a political committee, if there is any entity or person who
 17 owed any debt to you in the preceding calendar year exceeding
 18 \$25,000, list the debtor below. If no such debts were owed to
 19 you, list "none" below.

20 List the debtor for all applicable debts owed to you, owed
 21 jointly to you with your spouse, or owed jointly to you with
 22 your minor child. You do not need to report loans made to
 23 members of your family or to a political committee registered
 24 with the Illinois State Board of Elections or any political
 25 committee, principal campaign committee, or authorized
 26 committee registered with the Federal Election Commission.

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4 5. If you maintain an economic relationship with a lobbyist
 5 or if a member of your immediate family is known to you to be a
 6 lobbyist registered with any unit of government in the State of
 7 Illinois, list the name of the lobbyist below and identify the
 8 nature of your relationship with the lobbyist. If you do not
 9 have an economic relationship with a lobbyist, list "none"
 10 below.

<u>Name of Lobbyist</u>	<u>Relationship to Filer</u>
12 13 14

15 6. List the name of any person, organization, or entity
 16 that was the source of a gift or gifts, or honorarium or
 17 honoraria, valued singly or in the aggregate in excess of
 18 \$1,000 received during the preceding calendar year.

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22 VERIFICATION:

23 "I declare that this statement of economic interests
 24 (including any attachments) has been examined by me and to the

1 best of my knowledge and belief is a true, correct and complete
 2 statement of my economic interests as required by the Illinois
 3 Governmental Ethics Act. I understand that the penalty for
 4 willfully filing a false or incomplete statement is a fine not
 5 to exceed \$2,500 or imprisonment in a penal institution other
 6 than the penitentiary not to exceed one year, or both fine and
 7 imprisonment."

8 Printed Name of Filer

9 Date

10 Signature.....

11 If this statement of economic interests requires ethics officer
 12 review prior to filing, the applicable ethics officer must
 13 complete the following:

14 CERTIFICATION OF ETHICS OFFICER REVIEW:

15 "In accordance with law, as Ethics Officer, I reviewed this
 16 statement of economic interests prior to its filing."

17 Printed Name of Ethics Officer

18 Date

19 Signature.....

20 Preferred e-mail address (optional)

21 ~~STATEMENT OF ECONOMIC INTEREST~~

22 ~~(TYPE OR HAND PRINT)~~

23

1 ~~(name)~~

2 ~~.....~~

3 ~~(each office or position of employment for which this statement~~
4 ~~is filed)~~

5 ~~.....~~

6 ~~(full mailing address)~~

7 ~~GENERAL DIRECTIONS:~~

8 ~~The interest (if constructively controlled by the person~~
9 ~~making the statement) of a spouse or any other party, shall be~~
10 ~~considered to be the same as the interest of the person making~~
11 ~~the statement.~~

12 ~~Campaign receipts shall not be included in this statement.~~

13 ~~If additional space is needed, please attach supplemental~~
14 ~~listing.~~

15 ~~1. List the name and instrument of ownership in any entity~~
16 ~~doing business in the State of Illinois, in which the ownership~~
17 ~~interest held by the person at the date of filing is in excess~~
18 ~~of \$5,000 fair market value or from which dividends in excess~~
19 ~~of \$1,200 were derived during the preceding calendar year. (In~~
20 ~~the case of real estate, location thereof shall be listed by~~
21 ~~street address, or if none, then by legal description.) No time~~
22 ~~or demand deposit in a financial institution, nor any debt~~
23 ~~instrument need be listed.~~

24 ~~Business Entity~~

~~Instrument of Ownership~~

25 ~~.....~~

~~.....~~

26 ~~.....~~

~~.....~~

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3 ~~2. List the name, address and type of practice of any~~
4 ~~professional organization in which the person making the~~
5 ~~statement was an officer, director, associate, partner or~~
6 ~~proprietor or served in any advisory capacity, from which~~
7 ~~income in excess of \$1,200 was derived during the preceding~~
8 ~~calendar year.~~

9	Name	Address	Type of Practice
10
11
12

13 ~~3. List the nature of professional services rendered (other~~
14 ~~than to the State of Illinois) to each entity from which income~~
15 ~~exceeding \$5,000 was received for professional services~~
16 ~~rendered during the preceding calendar year by the person~~
17 ~~making the statement.~~

18
19

20 ~~4. List the identity (including the address or legal~~
21 ~~description of real estate) of any capital asset from which a~~
22 ~~capital gain of \$5,000 or more was realized during the~~
23 ~~preceding calendar year.~~

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26 ~~5. List the identity of any compensated lobbyist with whom~~

1 ~~the person making the statement maintains a close economic~~
 2 ~~association, including the name of the lobbyist and specifying~~
 3 ~~the legislative matter or matters which are the object of the~~
 4 ~~lobbying activity, and describing the general type of economic~~
 5 ~~activity of the client or principal on whose behalf that person~~
 6 ~~is lobbying.~~

7	Lobbyist	Legislative Matter	Client or Principal
8
9

10 ~~6. List the name of any entity doing business in the State~~
 11 ~~of Illinois from which income in excess of \$1,200 was derived~~
 12 ~~during the preceding calendar year other than for professional~~
 13 ~~services and the title or description of any position held in~~
 14 ~~that entity. (In the case of real estate, location thereof~~
 15 ~~shall be listed by street address, or if none, then by legal~~
 16 ~~description). No time or demand deposit in a financial~~
 17 ~~institution nor any debt instrument need be listed.~~

18	Entity	Position Held
19
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21

22 ~~7. List the name of any unit of government which employed~~
 23 ~~the person making the statement during the preceding calendar~~
 24 ~~year other than the unit or units of government in relation to~~
 25 ~~which the person is required to file.~~

26 ~~.....~~

1
 2 ~~8. List the name of any entity from which a gift or gifts,~~
 3 ~~or honorarium or honoraria, valued singly or in the aggregate~~
 4 ~~in excess of \$500, was received during the preceding calendar~~
 5 ~~year.~~

6
 7 VERIFICATION:

8 ~~"I declare that this statement of economic interests~~
 9 ~~(including any accompanying schedules and statements) has been~~
 10 ~~examined by me and to the best of my knowledge and belief is a~~
 11 ~~true, correct and complete statement of my economic interests~~
 12 ~~as required by the Illinois Governmental Ethics Act. I~~
 13 ~~understand that the penalty for willfully filing a false or~~
 14 ~~incomplete statement shall be a fine not to exceed \$1,000 or~~
 15 ~~imprisonment in a penal institution other than the penitentiary~~
 16 ~~not to exceed one year, or both fine and imprisonment."~~

17
 18 ~~(date of filing) (signature of person making the statement)~~
 19 (Source: P.A. 95-173, eff. 1-1-08.)

20 (5 ILCS 420/4A-108)
 21 Sec. 4A-108. Internet-based systems of filing.
 22 (a) Notwithstanding any other provision of this Act or any
 23 other law, the Secretary of State and county clerks are
 24 authorized to institute an Internet-based system for the filing
 25 of statements of economic interests in their offices. With

1 respect to county clerk systems, the determination to institute
2 such a system shall be in the sole discretion of the county
3 clerk and shall meet the requirements set out in this Section.
4 With respect to a Secretary of State system, the determination
5 to institute such a system shall be in the sole discretion of
6 the Secretary of State and shall meet the requirements set out
7 in this Section and those Sections of the State Officials and
8 Employees Ethics Act requiring ethics officer review prior to
9 filing. The system shall be capable of allowing an ethics
10 officer to approve a statement of economic interests and shall
11 include a means to amend a statement of economic interests.
12 When this Section does not modify or remove the requirements
13 set forth elsewhere in this Article, those requirements shall
14 apply to any system of Internet-based filing authorized by this
15 Section. When this Section does modify or remove the
16 requirements set forth elsewhere in this Article, the
17 provisions of this Section shall apply to any system of
18 Internet-based filing authorized by this Section.

19 (b) In any system of Internet-based filing of statements of
20 economic interests instituted by the Secretary of State or a
21 county clerk:

22 (1) Any filing of an Internet-based statement of
23 economic interests shall be the equivalent of the filing of
24 a verified, written statement of economic interests as
25 required by Section 4A-101 or 4A-101.5 and the equivalent
26 of the filing of a verified, dated, and signed statement of

1 economic interests as required by Section 4A-103 ~~4A-104~~.

2 (2) The Secretary of State and county clerks who
3 institute a system of Internet-based filing of statements
4 of economic interests shall establish a password-protected
5 website to receive the filings of such statements. A
6 website established under this Section shall set forth and
7 provide a means of responding to the items set forth in
8 Section 4A-103 ~~4A-102~~ that are required of a person who
9 files a statement of economic interests with that officer.
10 A website established under this Section shall set forth
11 and provide a means of generating a printable receipt page
12 acknowledging filing.

13 (3) The times for the filing of statements of economic
14 interests set forth in Section 4A-105 shall be followed in
15 any system of Internet-based filing of statements of
16 economic interests; provided that a candidate for elective
17 office who is required to file a statement of economic
18 interests in relation to his or her candidacy pursuant to
19 Section 4A-105(a) shall receive a written or printed
20 receipt for his or her filing.

21 A candidate filing for Governor, Lieutenant Governor,
22 Attorney General, Secretary of State, Treasurer,
23 Comptroller, State Senate, or State House of
24 Representatives shall not use the Internet to file his or
25 her statement of economic interests, but shall file his or
26 her statement of economic interests in a written or printed

1 form and shall receive a written or printed receipt for his
2 or her filing. Annually, the duly appointed ethics officer
3 for each legislative caucus shall certify to the Secretary
4 of State whether his or her caucus members will file their
5 statements of economic interests electronically or in a
6 written or printed format for that year. If the ethics
7 officer for a caucus certifies that the statements of
8 economic interests shall be written or printed, then
9 members of the General Assembly of that caucus shall not
10 use the Internet to file his or her statement of economic
11 interests, but shall file his or her statement of economic
12 interests in a written or printed form and shall receive a
13 written or printed receipt for his or her filing. If no
14 certification is made by an ethics officer for a
15 legislative caucus, or if a member of the General Assembly
16 is not affiliated with a legislative caucus, then the
17 affected member or members of the General Assembly may file
18 their statements of economic interests using the Internet.

19 (4) In the first year of the implementation of a system
20 of Internet-based filing of statements of economic
21 interests, each person required to file such a statement is
22 to be notified in writing of his or her obligation to file
23 his or her statement of economic interests by way of the
24 Internet-based system. If access to the website ~~web-site~~
25 requires a code or password, this information shall be
26 included in the notice prescribed by this paragraph.

1 (5) When a person required to file a statement of
2 economic interests has supplied the Secretary of State or a
3 county clerk, as applicable, with an email address for the
4 purpose of receiving notices under this Article by email, a
5 notice sent by email to the supplied email address shall be
6 the equivalent of a notice sent by first class mail, as set
7 forth in Section 4A-106 or 4A-106.5. A person who has
8 supplied such an email address shall notify the Secretary
9 of State or county clerk, as applicable, when his or her
10 email address changes or if he or she no longer wishes to
11 receive notices by email.

12 (6) If any person who is required to file a statement
13 of economic interests and who has chosen to receive notices
14 by email fails to file his or her statement by May 10, then
15 the Secretary of State or county clerk, as applicable,
16 shall send an additional email notice on that date,
17 informing the person that he or she has not filed and
18 describing the penalties for late filing and failing to
19 file. This notice shall be in addition to other notices
20 provided for in this Article.

21 (7) The Secretary of State and each county clerk who
22 institutes a system of Internet-based filing of statements
23 of economic interests may also institute an Internet-based
24 process for the filing of the list of names and addresses
25 of persons required to file statements of economic
26 interests by the chief administrative officers that must

1 file such information with the Secretary of State or county
2 clerk, as applicable, pursuant to Section 4A-106 or
3 4A-106.5. Whenever the Secretary of State or a county clerk
4 institutes such a system under this paragraph, every chief
5 administrative officer must use the system to file this
6 information.

7 (8) The Secretary of State and any county clerk who
8 institutes a system of Internet-based filing of statements
9 of economic interests shall post the contents of such
10 statements filed with him or her available for inspection
11 and copying on a publicly accessible website. Such postings
12 shall not include the addresses or signatures of the
13 filers.

14 (Source: P.A. 100-1041, eff. 1-1-19; 101-221, eff. 8-9-19;
15 revised 9-12-19.)

16 (5 ILCS 420/4A-104 rep.)

17 Section 10. The Illinois Governmental Ethics Act is amended
18 by repealing Section 4A-104.

19 Section 98. Applicability. The provisions of this
20 amendatory Act of the 101st General Assembly concerning
21 statements of economic interests shall apply to statements of
22 economic interests filed in 2021 and for each year thereafter.
23 Any statement of economic interests filed prior to 2021 shall
24 apply the law in effect before the effective date of this

1 amendatory Act of the 101st General Assembly.

2 Section 99. Effective date. This Act takes effect upon
3 becoming law.