



Rep. Gregory Harris

Adopted in House on Nov 14, 2019

10100SB1639ham002

LRB101 11010 RJF 64765 a

1 AMENDMENT TO SENATE BILL 1639

2 AMENDMENT NO. _____. Amend Senate Bill 1639 by replacing
3 everything after the enacting clause with the following:

4 "Section 5. The Illinois Governmental Ethics Act is amended
5 by changing Sections 1-110, 4A-102, 4A-103, and 4A-108 and by
6 adding Sections 1-102.5, 1-104.3, 1-104.4, 1-104.5, 1-105.2,
7 1-105.3, 1-105.5, 1-105.6, 1-105.7, 1-112.5, 1-113.6, and
8 1-113.7 as follows:

9 (5 ILCS 420/1-102.5 new)

10 Sec. 1-102.5. Asset. "Asset" means, for the purposes of
11 Sections 4A-102 and 4A-103, an item that is owned and has
12 monetary value. For the purposes of Sections 4A-102 and 4A-103,
13 assets include, but are not limited to: stocks, bonds, sector
14 mutual funds, sector exchange traded funds, commodity futures,
15 investment real estate, and partnership interests. For the
16 purposes of Sections 4A-102 and 4A-103, assets do not include:

1 personal residences; personal vehicles; savings or checking
2 accounts; bonds, notes, or securities issued by any branch of
3 federal, state, or local government; Medicare benefits;
4 inheritances or bequests; diversified funds; annuities;
5 pensions (including government pensions); retirement accounts;
6 college savings plans that are qualified tuition plans;
7 qualified tax-advantaged savings programs that allow
8 individuals to save for disability-related expenses; or
9 tangible personal property.

10 (5 ILCS 420/1-104.3 new)

11 Sec. 1-104.3. Creditor. "Creditor" means, for the purposes
12 of Sections 4A-102 and 4A-103, an individual, organization, or
13 other business entity to whom money or its equivalent is owed,
14 no matter whether that obligation is secured or unsecured,
15 except that if a filer makes a loan to members of his or her
16 family, or a political committee registered with the Illinois
17 State Board of Election, or a political committee, principal
18 campaign committee, or authorized committee registered with
19 the Federal Election Commission, then that filer does not, by
20 making such a loan, become a creditor of that individual or
21 entity for the purposes of Sections 4A-102 and 4A-103 of this
22 Act.

23 (5 ILCS 420/1-104.4 new)

24 Sec. 1-104.4. Debt. "Debt" means, for the purposes of

1 Sections 4A-102 and 4A-103, any money or monetary obligation
2 owed at any time during the preceding calendar year to an
3 individual, company, or other organization, other than a loan
4 that is from a financial institution, government agency, or
5 business entity and that is granted on terms made available to
6 the general public. For the purposes of Sections 4A-102 and
7 4A-103, "debt" includes, but is not limited to: personal loans
8 from friends or business associates, business loans made
9 outside the lender's regular course of business, and loans made
10 at below market rates. For the purposes of Sections 4A-102 and
11 4A-103, "debt" does not include: (i) debts to or from financial
12 institutions or government entities, such as mortgages,
13 student loans, credit card debts, or loans secured by
14 automobiles, household furniture, or appliances, as long as
15 those loans were made on terms available to the general public
16 and do not exceed the purchase price of the items securing
17 them; or (ii) debts to or from a political committee registered
18 with the Illinois State Board of Elections or political
19 committees, principal campaign committees, or authorized
20 committees registered with the Federal Election Commission.

21 (5 ILCS 420/1-104.5 new)

22 Sec. 1-104.5. Diversified funds. "Diversified funds" means
23 investment products, such as mutual funds, exchange traded
24 funds, or unit investment trusts, that invest in a wide variety
25 of securities. "Diversified funds" does not include sector

1 funds.

2 (5 ILCS 420/1-105.2 new)

3 Sec. 1-105.2. Economic relationship. "Economic
4 relationship" means, for the purposes of Sections 4A-102 and
5 4A-103, any joint or shared ownership interests in businesses
6 and creditor-debtor relationships with third parties, other
7 than commercial lending institutions, where: (a) the filer is
8 entitled to receive (i) more than 7.5% of the total
9 distributable income, or (ii) an amount in excess of the salary
10 of the Governor; or (b) the filer together with his or her
11 spouse or minor children is entitled to receive (i) more than
12 15%, in the aggregate, of the total distributable income, or
13 (ii) an amount in excess of 2 times the salary of the Governor.

14 (5 ILCS 420/1-105.3 new)

15 Sec. 1-105.3. Family. "Family" means, for the purposes of
16 Sections 4A-102 and 4A-103, a filer's spouse, children,
17 step-children, parents, step-parents, siblings, step-siblings,
18 half-siblings, sons-in-law, daughters-in-law, grandfathers,
19 grandmothers, grandsons, and granddaughters, as well as the
20 father, mother, grandfather, and grandmother of the filer's
21 spouse, and any person living with the filer.

22 (5 ILCS 420/1-105.5 new)

23 Sec. 1-105.5. Filer. "Filer" means, for the purposes of

1 Section 4A-102 and 4A-103, a person required to file a
2 statement of economic interests pursuant to this Act.

3 (5 ILCS 420/1-105.6 new)

4 Sec. 1-105.6. Income. "Income" means, for the purposes of
5 Sections 4A-102 and 4A-103, pension income and any income from
6 whatever source derived, required to be reported on the filer's
7 federal income tax return, including, but not limited to:
8 compensation received for services rendered or to be rendered
9 (as required to be reported on any Internal Revenue Service
10 forms, including, but not limited to, W-2, 1099, or K-1);
11 earnings or capital gains from the sale of assets; profit;
12 interest or dividend income from all assets; revenue from
13 leases and rentals, royalties, prizes, awards, or barter;
14 forgiveness of debt; and earnings derived from annuities or
15 trusts other than testamentary trusts. "Income" does not
16 include compensation earned for service in the position that
17 necessitates the filing of the statement of economic interests
18 or income from the sale of a personal residence or personal
19 vehicle.

20 (5 ILCS 420/1-105.7 new)

21 Sec. 1-105.7. Investment real estate. "Investment real
22 estate" means any real property, other than a filer's personal
23 residences, purchased to produce a profit, whether from income
24 or resale. Investment real estate may be described by the city

1 and state where the real estate is located.

2 (5 ILCS 420/1-110) (from Ch. 127, par. 601-110)

3 Sec. 1-110. "Lobbyist" means an individual who is required
4 to be registered to engage in lobbying activities pursuant to
5 any statute, regulation, or ordinance adopted by a unit of
6 government in the State of Illinois ~~any person required to be~~
7 ~~registered under "An Act concerning lobbying and providing a~~
8 ~~penalty for violation thereof", approved July 10, 1957, as~~
9 ~~amended.~~

10 (Source: Laws 1967, p. 3401.)

11 (5 ILCS 420/1-112.5 new)

12 Sec. 1-112.5. Personal residence. "Personal residence"
13 means, for the purposes of Sections 4A-102 and 4A-103, a
14 filer's primary home residence and any residential real
15 property held by the filer and used by the filer for
16 residential rather than commercial or income generating
17 purposes.

18 (5 ILCS 420/1-113.6 new)

19 Sec. 1-113.6. Sector funds. "Sector funds" means mutual
20 funds or exchange traded funds invested in a particular
21 industry or business.

22 (5 ILCS 420/1-113.7 new)

1 Sec. 1-113.7. Spouse. "Spouse" means a party to a marriage,
2 a party to a civil union, or a registered domestic partner.

3 (5 ILCS 420/4A-102) (from Ch. 127, par. 604A-102)

4 Sec. 4A-102. The statement of economic interests required
5 by this Article shall include the economic interests of the
6 person making the statement as provided in this Section. The
7 interest (if constructively controlled by the person making the
8 statement) of a spouse or any other party, shall be considered
9 to be the same as the interest of the person making the
10 statement. Campaign receipts shall not be included in this
11 statement. The following interests shall be listed by all
12 persons required to file:

13 (1) each asset that has a value of more than \$5,000 as
14 of the end of the preceding calendar year and is: (i) held
15 in the filer's name, (ii) held jointly by the filer with
16 his or her spouse, or (iii) held jointly by the filer with
17 his or her minor child or children;

18 (2) excluding the income from the position that
19 requires the filing of a statement of economic interests
20 under this Act, each source of income in excess of \$1,200
21 during the preceding calendar year (as required to be
22 reported on the filer's federal income tax return covering
23 the preceding calendar year) and, if the sale or transfer
24 of an asset produced more than \$5,000 in capital gains
25 during the preceding calendar year, the transaction date on

1 which that asset was sold or transferred;

2 (3) each creditor of a debt in excess of \$5,000 that,
3 during the preceding calendar year, was: (i) owed by the
4 filer, (ii) owed jointly by the filer with his or her
5 spouse or (iii) owed jointly by the filer with his or her
6 minor child or children;

7 (4) each debtor of a debt in excess of \$5,000 that,
8 during the preceding calendar year, was: (i) owed to the
9 filer, (ii) owed jointly to the filer with his or her
10 spouse, or (iii) owed jointly to the filer with his or her
11 minor child or children;

12 (5) the name of each unit of government of which the
13 filer was an employee, contractor, or office holder during
14 the preceding calendar year other than the unit or units of
15 government in relation to which the person is required to
16 file and the title of the position or nature of the
17 contractual services;

18 (6) each person known to the filer to be registered as
19 a lobbyist with any unit of government in the State of
20 Illinois: (i) with whom the filer maintains an economic
21 relationship, or (ii) who is a member of the filer's
22 family; and

23 (7) each source and type of gift or gifts, or
24 honorarium or honoraria, valued singly or in the aggregate
25 in excess of \$500 that was received during the preceding
26 calendar year, excluding any gift or gifts from a member of

1 the filer's family that was not known to the filer to be
2 registered as a lobbyist with any unit of government in the
3 State of Illinois.

4 For the purposes of this Section, the unit of local
5 government in relation to which a person is required to file
6 under item (e) of Section 4A-101.5 shall be the unit of local
7 government that contributes to the pension fund of which such
8 person is a member of the board.

9 ~~The interest (if constructively controlled by the person making~~
10 ~~the statement) of a spouse or any other party, shall be~~
11 ~~considered to be the same as the interest of the person making~~
12 ~~the statement. Campaign receipts shall not be included in this~~
13 ~~statement.~~

14 ~~(a) The following interests shall be listed by all~~
15 ~~persons required to file:~~

16 ~~(1) The name, address and type of practice of any~~
17 ~~professional organization or individual professional~~
18 ~~practice in which the person making the statement was~~
19 ~~an officer, director, associate, partner or~~
20 ~~proprietor, or served in any advisory capacity, from~~
21 ~~which income in excess of \$1200 was derived during the~~
22 ~~preceding calendar year;~~

23 ~~(2) The nature of professional services (other~~
24 ~~than services rendered to the unit or units of~~
25 ~~government in relation to which the person is required~~
26 ~~to file) and the nature of the entity to which they~~

1 ~~were rendered if fees exceeding \$5,000 were received~~
2 ~~during the preceding calendar year from the entity for~~
3 ~~professional services rendered by the person making~~
4 ~~the statement.~~

5 ~~(3) The identity (including the address or legal~~
6 ~~description of real estate) of any capital asset from~~
7 ~~which a capital gain of \$5,000 or more was realized in~~
8 ~~the preceding calendar year.~~

9 ~~(4) The name of any unit of government which has~~
10 ~~employed the person making the statement during the~~
11 ~~preceding calendar year other than the unit or units of~~
12 ~~government in relation to which the person is required~~
13 ~~to file.~~

14 ~~(5) The name of any entity from which a gift or~~
15 ~~gifts, or honorarium or honoraria, valued singly or in~~
16 ~~the aggregate in excess of \$500, was received during~~
17 ~~the preceding calendar year.~~

18 ~~(b) The following interests shall also be listed by~~
19 ~~persons listed in items (a) through (f), item (l), item~~
20 ~~(n), and item (p) of Section 4A-101:~~

21 ~~(1) The name and instrument of ownership in any~~
22 ~~entity doing business in the State of Illinois, in~~
23 ~~which an ownership interest held by the person at the~~
24 ~~date of filing is in excess of \$5,000 fair market value~~
25 ~~or from which dividends of in excess of \$1,200 were~~
26 ~~derived during the preceding calendar year. (In the~~

1 ~~case of real estate, location thereof shall be listed~~
2 ~~by street address, or if none, then by legal~~
3 ~~description). No time or demand deposit in a financial~~
4 ~~institution, nor any debt instrument need be listed;~~

5 ~~(2) Except for professional service entities, the~~
6 ~~name of any entity and any position held therein from~~
7 ~~which income of in excess of \$1,200 was derived during~~
8 ~~the preceding calendar year, if the entity does~~
9 ~~business in the State of Illinois. No time or demand~~
10 ~~deposit in a financial institution, nor any debt~~
11 ~~instrument need be listed.~~

12 ~~(3) The identity of any compensated lobbyist with~~
13 ~~whom the person making the statement maintains a close~~
14 ~~economic association, including the name of the~~
15 ~~lobbyist and specifying the legislative matter or~~
16 ~~matters which are the object of the lobbying activity,~~
17 ~~and describing the general type of economic activity of~~
18 ~~the client or principal on whose behalf that person is~~
19 ~~lobbying.~~

20 ~~(c) The following interests shall also be listed by~~
21 ~~persons listed in items (a) through (c) and item (c) of~~
22 ~~Section 4A-101.5:~~

23 ~~(1) The name and instrument of ownership in any~~
24 ~~entity doing business with a unit of local government~~
25 ~~in relation to which the person is required to file if~~
26 ~~the ownership interest of the person filing is greater~~

1 ~~than \$5,000 fair market value as of the date of filing~~
2 ~~or if dividends in excess of \$1,200 were received from~~
3 ~~the entity during the preceding calendar year. (In the~~
4 ~~case of real estate, location thereof shall be listed~~
5 ~~by street address, or if none, then by legal~~
6 ~~description). No time or demand deposit in a financial~~
7 ~~institution, nor any debt instrument need be listed.~~

8 ~~(2) Except for professional service entities, the~~
9 ~~name of any entity and any position held therein from~~
10 ~~which income in excess of \$1,200 was derived during the~~
11 ~~preceding calendar year if the entity does business~~
12 ~~with a unit of local government in relation to which~~
13 ~~the person is required to file. No time or demand~~
14 ~~deposit in a financial institution, nor any debt~~
15 ~~instrument need be listed.~~

16 ~~(3) The name of any entity and the nature of the~~
17 ~~governmental action requested by any entity which has~~
18 ~~applied to a unit of local government in relation to~~
19 ~~which the person must file for any license, franchise~~
20 ~~or permit for annexation, zoning or rezoning of real~~
21 ~~estate during the preceding calendar year if the~~
22 ~~ownership interest of the person filing is in excess of~~
23 ~~\$5,000 fair market value at the time of filing or if~~
24 ~~income or dividends in excess of \$1,200 were received~~
25 ~~by the person filing from the entity during the~~
26 ~~preceding calendar year.~~

1 ~~For the purposes of this Section, the unit of local~~
2 ~~government in relation to which a person required to file under~~
3 ~~item (c) of Section 4A-101.5 shall be the unit of local~~
4 ~~government that contributes to the pension fund of which such~~
5 ~~person is a member of the board.~~

6 (Source: P.A. 101-221, eff. 8-9-19.)

7 (5 ILCS 420/4A-103) (from Ch. 127, par. 604A-103)

8 Sec. 4A-103. The statement of economic interests required
9 by this Article to be filed with the Secretary of State or
10 county clerk shall be ~~filled in by typewriting or hand~~
11 ~~printing, shall be~~ verified, dated, and signed by the person
12 making the statement and shall contain substantially the
13 following:

14 STATEMENT OF ECONOMIC INTERESTS

15 INSTRUCTIONS:

16 You may find the following documents helpful to you in
17 completing this form:

18 (1) federal income tax returns, including any related
19 schedules, attachments, and forms; and

20 (2) investment and brokerage statements.

21 To complete this form, you do not need to disclose specific
22 amounts or values or report interests relating either to
23 political committees registered with the Illinois State Board

1 of Elections or to political committees, principal campaign
2 committees, or authorized committees registered with the
3 Federal Election Commission.

4 The information you disclose will be available to the
5 public.

6 You must answer all 6 questions. Certain questions will ask
7 you to report any applicable assets or debts held in your name;
8 held jointly with your spouse; or held jointly by you with your
9 minor child. If you have any concerns about whether an interest
10 should be reported, please consult your department's ethics
11 officer, if applicable.

12 Please ensure that the information you provide is complete
13 and accurate. If you need more space than the form allows,
14 please attach additional pages for your response. If you are
15 subject to the State Officials and Employees Ethics Act, your
16 ethics officer must review your statement of economic interests
17 before you file it. Failure to complete the statement in good
18 faith and within the prescribed deadline may subject you to
19 finest, imprisonment, or both.

20 BASIC INFORMATION:

21 Name:.....

22 Job title:

23 Office, department, or agency that requires you to file this
24 form:.....

25 Other offices, departments, or agencies that require you to

1 file a Statement of Economic Interests form:.....
 2 Full mailing address:.....
 3 Preferred e-mail address (optional)

4 QUESTIONS:

5 1. If you have any single asset that was worth more than
 6 \$5,000 as of the end of the preceding calendar year and is held
 7 in your name, held jointly by you with your spouse, or held
 8 jointly by you with your minor child, list such assets below.
 9 In the case of investment real estate, list the city and state
 10 where the investment real estate is located. If you do not have
 11 any such assets, list "none" below.

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17 2. Excluding the position for which you are required to
 18 file this form, list the source of any income in excess of
 19 \$1,200 required to be reported during the preceding calendar
 20 year. If you sold an asset that produced more than \$5,000 in
 21 capital gains in the preceding calendar year, list the name of
 22 the asset and the transaction date on which the sale or
 23 transfer took place. If you had no such sources of income or
 24 assets, list "none" below.

1	<u>Source of Income / Name of</u>	<u>Date Sold (if applicable)</u>
2	<u>Asset</u>	
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6 3. Excluding debts incurred on terms available to the
7 general public, such as mortgages, student loans, and credit
8 card debts, if you owed any single debt in the preceding
9 calendar year exceeding \$5,000, list the creditor of the debt
10 below. If you had no such debts, list "none" below.

11 List the creditor for all applicable debts owed by you,
12 owed jointly by you with your spouse, or owed jointly by you
13 with your minor child. In addition to the types of debts listed
14 above, you do not need to report any debts to or from financial
15 institutions or government agencies, such as debts secured by
16 automobiles, household furniture or appliances, as long as the
17 debt was made on terms available to the general public, debts
18 to members of your family, or debts to or from a political
19 committee registered with the Illinois State Board of Elections
20 or any political committee, principal campaign committee, or
21 authorized committee registered with the Federal Election
22 Commission.

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1 4. Excluding debts owed to you by members of your family or
 2 by a political committee, if there is any entity or person who
 3 owed any debt to you in the preceding calendar year exceeding
 4 \$5,000, list the debtor below. If no such debts were owed to
 5 you, list "none" below.

6 List the debtor for all applicable debts owed to you, owed
 7 jointly to you with your spouse, or owed jointly to you with
 8 your minor child. You do not need to report loans made to
 9 members of your family or to a political committee registered
 10 with the Illinois State Board of Elections or any political
 11 committee, principal campaign committee, or authorized
 12 committee registered with the Federal Election Commission.

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16 5. List the name of each unit of government of which the
 17 filer was an employee, contractor, or office holder during the
 18 preceding calendar year other than the unit or units of
 19 government in relation to which the person is required to file
 20 and the title of the position or nature of the contractual
 21 services.

<u>Name of Unit of Government</u>	<u>Title or Nature of Services</u>
.....
.....
.....

1 6. If you maintain an economic relationship with a lobbyist
2 or if a member of your family is known to you to be a lobbyist
3 registered with any unit of government in the State of
4 Illinois, list the name of the lobbyist below and identify the
5 nature of your relationship with the lobbyist. If you do not
6 have an economic relationship with a lobbyist or a family
7 member known to you to be a lobbyist registered with any unit
8 of government in the State of Illinois, list "none" below.

<u>Name of Lobbyist</u>	<u>Relationship to Filer</u>
.....
.....
.....

13 7. List the name of each person, organization, or entity
14 that was the source of a gift or gifts, or honorarium or
15 honoraria, valued singly or in the aggregate in excess of \$500
16 received during the preceding calendar year, excluding any gift
17 or gifts from a member of your family that was not known to be a
18 lobbyist registered with any unit of government in the State of
19 Illinois. If you had no such gifts, list "none" below.

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23 VERIFICATION:

24 "I declare that this statement of economic interests

1 (including any attachments) has been examined by me and to the
 2 best of my knowledge and belief is a true, correct and complete
 3 statement of my economic interests as required by the Illinois
 4 Governmental Ethics Act. I understand that the penalty for
 5 willfully filing a false or incomplete statement is a fine not
 6 to exceed \$2,500 or imprisonment in a penal institution other
 7 than the penitentiary not to exceed one year, or both fine and
 8 imprisonment."

9 Printed Name of Filer

10 Date

11 Signature.....

12 If this statement of economic interests requires ethics officer
 13 review prior to filing, the applicable ethics officer must
 14 complete the following:

15 CERTIFICATION OF ETHICS OFFICER REVIEW:

16 "In accordance with law, as Ethics Officer, I reviewed this
 17 statement of economic interests prior to its filing."

18 Printed Name of Ethics Officer

19 Date

20 Signature.....

21 Preferred e-mail address (optional)

22 ~~STATEMENT OF ECONOMIC INTEREST~~

23 ~~(TYPE OR HAND PRINT)~~

1
.....

2 ~~(name)~~

3
.....

4 ~~(each office or position of employment for which this statement~~
5 ~~is filed)~~

6
.....

7 ~~(full mailing address)~~

8 ~~GENERAL DIRECTIONS:~~

9 ~~The interest (if constructively controlled by the person~~
10 ~~making the statement) of a spouse or any other party, shall be~~
11 ~~considered to be the same as the interest of the person making~~
12 ~~the statement.~~

13 ~~Campaign receipts shall not be included in this statement.~~

14 ~~If additional space is needed, please attach supplemental~~
15 ~~listing.~~

16 ~~1. List the name and instrument of ownership in any entity~~
17 ~~doing business in the State of Illinois, in which the ownership~~
18 ~~interest held by the person at the date of filing is in excess~~
19 ~~of \$5,000 fair market value or from which dividends in excess~~
20 ~~of \$1,200 were derived during the preceding calendar year. (In~~
21 ~~the case of real estate, location thereof shall be listed by~~
22 ~~street address, or if none, then by legal description.) No time~~
23 ~~or demand deposit in a financial institution, nor any debt~~
24 ~~instrument need be listed.~~

25 ~~Business Entity~~

~~Instrument of Ownership~~

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.....

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4 ~~2. List the name, address and type of practice of any~~
 5 ~~professional organization in which the person making the~~
 6 ~~statement was an officer, director, associate, partner or~~
 7 ~~proprietor or served in any advisory capacity, from which~~
 8 ~~income in excess of \$1,200 was derived during the preceding~~
 9 ~~calendar year.~~

10	Name	Address	Type of Practice
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14 ~~3. List the nature of professional services rendered (other~~
 15 ~~than to the State of Illinois) to each entity from which income~~
 16 ~~exceeding \$5,000 was received for professional services~~
 17 ~~rendered during the preceding calendar year by the person~~
 18 ~~making the statement.~~

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21 ~~4. List the identity (including the address or legal~~
 22 ~~description of real estate) of any capital asset from which a~~
 23 ~~capital gain of \$5,000 or more was realized during the~~
 24 ~~preceding calendar year.~~

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1 ~~5. List the identity of any compensated lobbyist with whom~~
2 ~~the person making the statement maintains a close economic~~
3 ~~association, including the name of the lobbyist and specifying~~
4 ~~the legislative matter or matters which are the object of the~~
5 ~~lobbying activity, and describing the general type of economic~~
6 ~~activity of the client or principal on whose behalf that person~~
7 ~~is lobbying.~~

Lobbyist	Legislative Matter	Client or Principal
.....
.....

11 ~~6. List the name of any entity doing business in the State~~
12 ~~of Illinois from which income in excess of \$1,200 was derived~~
13 ~~during the preceding calendar year other than for professional~~
14 ~~services and the title or description of any position held in~~
15 ~~that entity. (In the case of real estate, location thereof~~
16 ~~shall be listed by street address, or if none, then by legal~~
17 ~~description). No time or demand deposit in a financial~~
18 ~~institution nor any debt instrument need be listed.~~

Entity	Position Held
.....
.....
.....

23 ~~7. List the name of any unit of government which employed~~
24 ~~the person making the statement during the preceding calendar~~
25 ~~year other than the unit or units of government in relation to~~
26 ~~which the person is required to file.~~

1
 2

3 ~~8. List the name of any entity from which a gift or gifts,~~
 4 ~~or honorarium or honoraria, valued singly or in the aggregate~~
 5 ~~in excess of \$500, was received during the preceding calendar~~
 6 ~~year.~~

7

8 ~~VERIFICATION:~~

9 ~~"I declare that this statement of economic interests~~
 10 ~~(including any accompanying schedules and statements) has been~~
 11 ~~examined by me and to the best of my knowledge and belief is a~~
 12 ~~true, correct and complete statement of my economic interests~~
 13 ~~as required by the Illinois Governmental Ethics Act. I~~
 14 ~~understand that the penalty for willfully filing a false or~~
 15 ~~incomplete statement shall be a fine not to exceed \$1,000 or~~
 16 ~~imprisonment in a penal institution other than the penitentiary~~
 17 ~~not to exceed one year, or both fine and imprisonment."~~

18
 19 ~~(date of filing) (signature of person making the statement)~~

20 (Source: P.A. 95-173, eff. 1-1-08.)

21 (5 ILCS 420/4A-108)

22 Sec. 4A-108. Internet-based systems of filing.

23 (a) Notwithstanding any other provision of this Act or any
 24 other law, the Secretary of State and county clerks are
 25 authorized to institute an Internet-based system for the filing

1 of statements of economic interests in their offices. With
2 respect to county clerk systems, the determination to institute
3 such a system shall be in the sole discretion of the county
4 clerk and shall meet the requirements set out in this Section.
5 With respect to a Secretary of State system, the determination
6 to institute such a system shall be in the sole discretion of
7 the Secretary of State and shall meet the requirements set out
8 in this Section and those Sections of the State Officials and
9 Employees Ethics Act requiring ethics officer review prior to
10 filing. The system shall be capable of allowing an ethics
11 officer to approve a statement of economic interests and shall
12 include a means to amend a statement of economic interests.
13 When this Section does not modify or remove the requirements
14 set forth elsewhere in this Article, those requirements shall
15 apply to any system of Internet-based filing authorized by this
16 Section. When this Section does modify or remove the
17 requirements set forth elsewhere in this Article, the
18 provisions of this Section shall apply to any system of
19 Internet-based filing authorized by this Section.

20 (b) In any system of Internet-based filing of statements of
21 economic interests instituted by the Secretary of State or a
22 county clerk:

23 (1) Any filing of an Internet-based statement of
24 economic interests shall be the equivalent of the filing of
25 a verified, written statement of economic interests as
26 required by Section 4A-101 or 4A-101.5 and the equivalent

1 of the filing of a verified, dated, and signed statement of
2 economic interests as required by Section 4A-103 ~~4A-104~~.

3 (2) The Secretary of State and county clerks who
4 institute a system of Internet-based filing of statements
5 of economic interests shall establish a password-protected
6 website to receive the filings of such statements. A
7 website established under this Section shall set forth and
8 provide a means of responding to the items set forth in
9 Section 4A-103 ~~4A-102~~ that are required of a person who
10 files a statement of economic interests with that officer.
11 A website established under this Section shall set forth
12 and provide a means of generating a printable receipt page
13 acknowledging filing.

14 (3) The times for the filing of statements of economic
15 interests set forth in Section 4A-105 shall be followed in
16 any system of Internet-based filing of statements of
17 economic interests; provided that a candidate for elective
18 office who is required to file a statement of economic
19 interests in relation to his or her candidacy pursuant to
20 Section 4A-105(a) shall receive a written or printed
21 receipt for his or her filing.

22 A candidate filing for Governor, Lieutenant Governor,
23 Attorney General, Secretary of State, Treasurer,
24 Comptroller, State Senate, ~~or~~ State House of
25 Representatives, Supreme Court Judge, appellate court
26 judge, circuit court judge, or judicial retention shall not

1 use the Internet to file his or her statement of economic
2 interests, but shall file his or her statement of economic
3 interests in a written or printed form and shall receive a
4 written or printed receipt for his or her filing. Annually,
5 the duly appointed ethics officer for each legislative
6 caucus shall certify to the Secretary of State whether his
7 or her caucus members will file their statements of
8 economic interests electronically or in a written or
9 printed format for that year. If the ethics officer for a
10 caucus certifies that the statements of economic interests
11 shall be written or printed, then members of the General
12 Assembly of that caucus shall not use the Internet to file
13 his or her statement of economic interests, but shall file
14 his or her statement of economic interests in a written or
15 printed form and shall receive a written or printed receipt
16 for his or her filing. If no certification is made by an
17 ethics officer for a legislative caucus, or if a member of
18 the General Assembly is not affiliated with a legislative
19 caucus, then the affected member or members of the General
20 Assembly may file their statements of economic interests
21 using the Internet.

22 (4) In the first year of the implementation of a system
23 of Internet-based filing of statements of economic
24 interests, each person required to file such a statement is
25 to be notified in writing of his or her obligation to file
26 his or her statement of economic interests by way of the

1 Internet-based system. If access to the website ~~web-site~~
2 requires a code or password, this information shall be
3 included in the notice prescribed by this paragraph.

4 (5) When a person required to file a statement of
5 economic interests has supplied the Secretary of State or a
6 county clerk, as applicable, with an email address for the
7 purpose of receiving notices under this Article by email, a
8 notice sent by email to the supplied email address shall be
9 the equivalent of a notice sent by first class mail, as set
10 forth in Section 4A-106 or 4A-106.5. A person who has
11 supplied such an email address shall notify the Secretary
12 of State or county clerk, as applicable, when his or her
13 email address changes or if he or she no longer wishes to
14 receive notices by email.

15 (6) If any person who is required to file a statement
16 of economic interests and who has chosen to receive notices
17 by email fails to file his or her statement by May 10, then
18 the Secretary of State or county clerk, as applicable,
19 shall send an additional email notice on that date,
20 informing the person that he or she has not filed and
21 describing the penalties for late filing and failing to
22 file. This notice shall be in addition to other notices
23 provided for in this Article.

24 (7) The Secretary of State and each county clerk who
25 institutes a system of Internet-based filing of statements
26 of economic interests may also institute an Internet-based

1 process for the filing of the list of names and addresses
2 of persons required to file statements of economic
3 interests by the chief administrative officers that must
4 file such information with the Secretary of State or county
5 clerk, as applicable, pursuant to Section 4A-106 or
6 4A-106.5. Whenever the Secretary of State or a county clerk
7 institutes such a system under this paragraph, every chief
8 administrative officer must use the system to file this
9 information.

10 (8) The Secretary of State and any county clerk who
11 institutes a system of Internet-based filing of statements
12 of economic interests shall post the contents of such
13 statements filed with him or her available for inspection
14 and copying on a publicly accessible website. Such postings
15 shall not include the addresses or signatures of the
16 filers.

17 (Source: P.A. 100-1041, eff. 1-1-19; 101-221, eff. 8-9-19;
18 revised 9-12-19.)

19 (5 ILCS 420/4A-104 rep.)

20 Section 10. The Illinois Governmental Ethics Act is amended
21 by repealing Section 4A-104.

22 Section 15. The Lobbyist Registration Act is amended by
23 changing Sections 2, 5, and 7 as follows:

1 (25 ILCS 170/2) (from Ch. 63, par. 172)

2 Sec. 2. Definitions. As used in this Act, unless the
3 context otherwise requires:

4 (a) "Person" means any individual, firm, partnership,
5 committee, association, corporation, or any other organization
6 or group of persons.

7 (b) "Expenditure" means a payment, distribution, loan,
8 advance, deposit, or gift of money or anything of value, and
9 includes a contract, promise, or agreement, whether or not
10 legally enforceable, to make an expenditure, for the ultimate
11 purpose of influencing executive, legislative, or
12 administrative action, other than compensation as defined in
13 subsection (d).

14 (c) "Official" means:

15 (1) the Governor, Lieutenant Governor, Secretary of
16 State, Attorney General, State Treasurer, and State
17 Comptroller;

18 (2) Chiefs of Staff for officials described in item
19 (1);

20 (3) Cabinet members of any elected constitutional
21 officer, including Directors, Assistant Directors and
22 Chief Legal Counsel or General Counsel;

23 (4) Members of the General Assembly; and

24 (5) Members of any board, commission, authority, or
25 task force of the State authorized or created by State law
26 or by executive order of the Governor.

1 (d) "Compensation" means any money, thing of value or
2 financial benefits received or to be received in return for
3 services rendered or to be rendered, for lobbying as defined in
4 subsection (e).

5 Monies paid to members of the General Assembly by the State
6 as remuneration for performance of their Constitutional and
7 statutory duties as members of the General Assembly shall not
8 constitute compensation as defined by this Act.

9 (e) "Lobby" and "lobbying" means any communication with an
10 official of the executive or legislative branch of State
11 government as defined in subsection (c) for the ultimate
12 purpose of influencing any executive, legislative, or
13 administrative action.

14 (f) "Influencing" means any communication, action,
15 reportable expenditure as prescribed in Section 6 or other
16 means used to promote, support, affect, modify, oppose or delay
17 any executive, legislative or administrative action or to
18 promote goodwill with officials as defined in subsection (c).

19 (g) "Executive action" means the proposal, drafting,
20 development, consideration, amendment, adoption, approval,
21 promulgation, issuance, modification, rejection or
22 postponement by a State entity of a rule, regulation, order,
23 decision, determination, contractual arrangement, purchasing
24 agreement or other quasi-legislative or quasi-judicial action
25 or proceeding.

26 (h) "Legislative action" means the development, drafting,

1 introduction, consideration, modification, adoption,
2 rejection, review, enactment, or passage or defeat of any bill,
3 amendment, resolution, report, nomination, administrative rule
4 or other matter by either house of the General Assembly or a
5 committee thereof, or by a legislator. Legislative action also
6 means the action of the Governor in approving or vetoing any
7 bill or portion thereof, and the action of the Governor or any
8 agency in the development of a proposal for introduction in the
9 legislature.

10 (i) "Administrative action" means the execution or
11 rejection of any rule, regulation, legislative rule, standard,
12 fee, rate, contractual arrangement, purchasing agreement or
13 other delegated legislative or quasi-legislative action to be
14 taken or withheld by any executive agency, department, board or
15 commission of the State.

16 (j) "Lobbyist" means any natural person who undertakes to
17 lobby State government as provided in subsection (e).

18 (k) "Lobbying entity" means any entity that hires, retains,
19 employs, or compensates a natural person to lobby State
20 government as provided in subsection (e).

21 (l) "Authorized agent" means the person designated by an
22 entity or lobbyist registered under this Act as the person
23 responsible for submission and retention of reports required
24 under this Act.

25 (m) "Client" means any person or entity that provides
26 compensation to a lobbyist to lobby State government as

1 provided in subsection (e) of this Section.

2 (n) "Client registrant" means a client who is required to
3 register under this Act.

4 (o) "Unit of local government" has the meaning ascribed to
5 it in Section 1 of Article VII of the Illinois Constitution and
6 also includes school districts and community college
7 districts.

8 (Source: P.A. 98-459, eff. 1-1-14.)

9 (25 ILCS 170/5)

10 Sec. 5. Lobbyist registration and disclosure. Every
11 natural person and every entity required to register under this
12 Act shall before any service is performed which requires the
13 natural person or entity to register, but in any event not
14 later than 2 business days after being employed or retained,
15 file in the Office of the Secretary of State a statement in a
16 format prescribed by the Secretary of State containing the
17 following information with respect to each person or entity
18 employing, retaining, or benefitting from the services of the
19 natural person or entity required to register:

20 (a) The registrant's name, permanent address, e-mail
21 address, if any, fax number, if any, business telephone
22 number, and temporary address, if the registrant has a
23 temporary address while lobbying.

24 (a-5) If the registrant is an entity, the information
25 required under subsection (a) for each natural person

1 associated with the registrant who will be lobbying,
2 regardless of whether lobbying is a significant part of his
3 or her duties.

4 (b) The name and address of the client or clients
5 employing or retaining the registrant to perform such
6 services or on whose behalf the registrant appears. If the
7 client employing or retaining the registrant is a client
8 registrant, the statement shall also include the name and
9 address of the client or clients of the client registrant
10 on whose behalf the registrant will be or anticipates
11 performing services.

12 (b-5) If the registrant employs or retains a
13 sub-registrant, the statement shall include the name and
14 address of the sub-registrant and identify the client or
15 clients of the registrant on whose behalf the
16 sub-registrant will be or is anticipated to be performing
17 services.

18 (c) A brief description of the executive, legislative,
19 or administrative action in reference to which such service
20 is to be rendered.

21 (c-5) Each executive and legislative branch agency the
22 registrant expects to lobby during the registration
23 period.

24 (c-6) The nature of the client's business, by
25 indicating all of the following categories that apply: (1)
26 banking and financial services, (2) manufacturing, (3)

1 education, (4) environment, (5) healthcare, (6) insurance,
2 (7) community interests, (8) labor, (9) public relations or
3 advertising, (10) marketing or sales, (11) hospitality,
4 (12) engineering, (13) information or technology products
5 or services, (14) social services, (15) public utilities,
6 (16) racing or wagering, (17) real estate or construction,
7 (18) telecommunications, (19) trade or professional
8 association, (20) travel or tourism, (21) transportation,
9 (22) agriculture, and (23) other (setting forth the nature
10 of that other business).

11 (d) A confirmation that the registrant has a sexual
12 harassment policy as required by Section 4.7, that such
13 policy shall be made available to any individual within 2
14 business days upon written request (including electronic
15 requests), that any person may contact the authorized agent
16 of the registrant to report allegations of sexual
17 harassment, and that the registrant recognizes the
18 Inspector General has jurisdiction to review any
19 allegations of sexual harassment alleged against the
20 registrant or lobbyists hired by the registrant.

21 (e) Each unit of local government in this State for
22 which the registrant is or expects to be required to
23 register to lobby the local government during the
24 registration period. "Lobby" shall have the meaning
25 ascribed to it by the relevant unit of local government.

26 (f) Each elected or appointed public office in this

1 State to be held by the registrant at any time during the
2 registration period.

3 Every natural person and every entity required to register
4 under this Act shall annually submit the registration required
5 by this Section on or before each January 31. The registrant
6 has a continuing duty to report any substantial change or
7 addition to the information contained in the registration.
8 Registrants registered as of the effective date of this
9 amendatory Act of the 101st General Assembly shall update their
10 registration to add the information required under subsections
11 (b-5), (e), and (f), if applicable, within 30 days after the
12 effective date of this amendatory Act of the 101st General
13 Assembly.

14 The Secretary of State shall make all filed statements and
15 amendments to statements publicly available by means of a
16 searchable database that is accessible through the World Wide
17 Web. The Secretary of State shall provide all software
18 necessary to comply with this provision to all natural persons
19 and entities required to file. The Secretary of State shall
20 implement a plan to provide computer access and assistance to
21 natural persons and entities required to file electronically.

22 All natural persons and entities required to register under
23 this Act shall remit a single, annual, and nonrefundable \$300
24 registration fee. Each natural person required to register
25 under this Act shall submit, on an annual basis, a picture of
26 the registrant. A registrant may, in lieu of submitting a

1 picture on an annual basis, authorize the Secretary of State to
2 use any photo identification available in any database
3 maintained by the Secretary of State for other purposes. Each
4 registration fee collected for registrations on or after
5 January 1, 2010 shall be deposited into the Lobbyist
6 Registration Administration Fund for administration and
7 enforcement of this Act.

8 (Source: P.A. 100-554, eff. 11-16-17.)

9 (25 ILCS 170/7) (from Ch. 63, par. 177)

10 Sec. 7. Duties of the Secretary of State.

11 (a) It shall be the duty of the Secretary of State to
12 provide appropriate forms for the registration and reporting of
13 information required by this Act and to keep such registrations
14 and reports on file in his office for 3 years from the date of
15 filing. He shall also provide and maintain a register with
16 appropriate blanks and indexes so that the information required
17 in Sections 5 and 6 of this Act may be accordingly entered.
18 Such records shall be considered public information and open to
19 public inspection.

20 (b) Within 5 business days after a filing deadline, the
21 Secretary of State shall notify persons he determines are
22 required to file but have failed to do so.

23 (c) The Secretary of State shall provide adequate software
24 to the persons required to file under this Act, and all
25 registrations, reports, statements, and amendments required to

1 be filed shall be filed electronically. The Secretary of State
2 shall promptly make all filed reports publicly available by
3 means of a searchable database that is accessible through the
4 World Wide Web. The Secretary of State shall provide all
5 software necessary to comply with this provision to all persons
6 required to file. The Secretary of State shall implement a plan
7 to provide computer access and assistance to persons required
8 to file electronically.

9 (d) The Secretary of State shall include registrants'
10 pictures when publishing or posting on his or her website the
11 information required in Section 5.

12 (d-5) Within 90 days after the effective date of this
13 amendatory Act of the 101st General Assembly, the Secretary of
14 State shall create a publicly accessible and searchable
15 database bringing together disclosures by registered lobbyists
16 under this Act, contributions by registered lobbyists required
17 to be disclosed under the Election Code, and statements of
18 economic interests required to be filed by State officials and
19 employees under the Illinois Governmental Ethics Act.

20 (e) The Secretary of State shall receive and investigate
21 allegations of violations of this Act. Any employee of the
22 Secretary of State who receives an allegation shall immediately
23 transmit it to the Secretary of State Inspector General.

24 (Source: P.A. 96-555, eff. 1-1-10; 96-1358, eff. 7-28-10.)

25 Section 98. Applicability. The provisions of this

1 amendatory Act of the 101st General Assembly concerning
2 statements of economic interests shall apply to statements of
3 economic interests filed in 2020 and for each year thereafter.
4 Any statement of economic interests filed prior to 2020 shall
5 apply the law in effect before the effective date of this
6 amendatory Act of the 101st General Assembly.

7 Section 99. Effective date. This Act takes effect upon
8 becoming law, except that Sections 5 and 10 take effect January
9 1, 2020."