



101ST GENERAL ASSEMBLY

State of Illinois

2019 and 2020

HB3988

Introduced 1/8/2020, by Rep. Allen Skillicorn

SYNOPSIS AS INTRODUCED:

5 ILCS 420/1-102.5 new	
5 ILCS 420/1-104.3 new	
5 ILCS 420/1-104.4 new	
5 ILCS 420/1-104.5 new	
5 ILCS 420/1-105.2 new	
5 ILCS 420/1-105.3 new	
5 ILCS 420/1-105.5 new	
5 ILCS 420/1-105.6 new	
5 ILCS 420/1-105.7 new	
5 ILCS 420/1-110	from Ch. 127, par. 601-110
5 ILCS 420/1-112.5 new	
5 ILCS 420/1-113.6 new	
5 ILCS 420/1-113.7 new	
5 ILCS 420/4A-102	from Ch. 127, par. 604A-102
5 ILCS 420/4A-103	from Ch. 127, par. 604A-103
5 ILCS 420/4A-108	
5 ILCS 420/4A-104 rep.	
25 ILCS 170/2	from Ch. 63, par. 172
25 ILCS 170/5	
25 ILCS 170/7	from Ch. 63, par. 177

Amends the Illinois Governmental Ethics Act. Creates a uniform statement of economic interest form that must be completed by all persons who are required to file that form under the Act. Changes the nature of the required disclosures that must be made. Requires candidates filing for Supreme Court Judge, appellate court judge, circuit court judge, or judicial retention to file his or her statement of economic interests in written or printed form. Repeals a provision that established a separate form for persons required to file a statement of economic interests with county clerks. Amends the Lobbyist Registration Act. Modifies the lobbyist registration and disclosure requirements. Requires the Secretary of State to create a publicly accessible and searchable database bringing together disclosures by registered lobbyists, contributions by registered lobbyists, and statements of economic interests required to be filed by State officials and employees. Defines terms. Adds applicability clause. Makes conforming changes. Effective immediately.

LRB101 15828 RJF 65183 b

1 AN ACT concerning government.

2 **Be it enacted by the People of the State of Illinois,**
3 **represented in the General Assembly:**

4 Section 5. The Illinois Governmental Ethics Act is amended
5 by changing Sections 1-110, 4A-102, 4A-103, and 4A-108 and by
6 adding Sections 1-102.5, 1-104.3, 1-104.4, 1-104.5, 1-105.2,
7 1-105.3, 1-105.5, 1-105.6, 1-105.7, 1-112.5, 1-113.6, and
8 1-113.7 as follows:

9 (5 ILCS 420/1-102.5 new)

10 Sec. 1-102.5. Asset. "Asset" means, for the purposes of
11 Sections 4A-102 and 4A-103, an item that is owned and has
12 monetary value. For the purposes of Sections 4A-102 and 4A-103,
13 assets include, but are not limited to: stocks, bonds, sector
14 mutual funds, sector exchange traded funds, commodity futures,
15 investment real estate, and partnership interests. For the
16 purposes of Sections 4A-102 and 4A-103, assets do not include:
17 personal residences; personal vehicles; savings or checking
18 accounts; bonds, notes, or securities issued by any branch of
19 federal, state, or local government; Medicare benefits;
20 inheritances or bequests; diversified funds; annuities;
21 pensions (including government pensions); retirement accounts;
22 college savings plans that are qualified tuition plans;
23 qualified tax-advantaged savings programs that allow

1 individuals to save for disability-related expenses; or
2 tangible personal property.

3 (5 ILCS 420/1-104.3 new)

4 Sec. 1-104.3. Creditor. "Creditor" means, for the purposes
5 of Sections 4A-102 and 4A-103, an individual, organization, or
6 other business entity to whom money or its equivalent is owed,
7 no matter whether that obligation is secured or unsecured,
8 except that if a filer makes a loan to members of his or her
9 family, or a political committee registered with the Illinois
10 State Board of Election, or a political committee, principal
11 campaign committee, or authorized committee registered with
12 the Federal Election Commission, then that filer does not, by
13 making such a loan, become a creditor of that individual or
14 entity for the purposes of Sections 4A-102 and 4A-103 of this
15 Act.

16 (5 ILCS 420/1-104.4 new)

17 Sec. 1-104.4. Debt. "Debt" means, for the purposes of
18 Sections 4A-102 and 4A-103, any money or monetary obligation
19 owed at any time during the preceding calendar year to an
20 individual, company, or other organization, other than a loan
21 that is from a financial institution, government agency, or
22 business entity and that is granted on terms made available to
23 the general public. For the purposes of Sections 4A-102 and
24 4A-103, "debt" includes, but is not limited to: personal loans

1 from friends or business associates, business loans made
2 outside the lender's regular course of business, and loans made
3 at below market rates. For the purposes of Sections 4A-102 and
4 4A-103, "debt" does not include: (i) debts to or from financial
5 institutions or government entities, such as mortgages,
6 student loans, credit card debts, or loans secured by
7 automobiles, household furniture, or appliances, as long as
8 those loans were made on terms available to the general public
9 and do not exceed the purchase price of the items securing
10 them; or (ii) debts to or from a political committee registered
11 with the Illinois State Board of Elections or political
12 committees, principal campaign committees, or authorized
13 committees registered with the Federal Election Commission.

14 (5 ILCS 420/1-104.5 new)

15 Sec. 1-104.5. Diversified funds. "Diversified funds" means
16 investment products, such as mutual funds, exchange traded
17 funds, or unit investment trusts, that invest in a wide variety
18 of securities. "Diversified funds" does not include sector
19 funds.

20 (5 ILCS 420/1-105.2 new)

21 Sec. 1-105.2. Economic relationship. "Economic
22 relationship" means, for the purposes of Sections 4A-102 and
23 4A-103, any joint or shared ownership interests in businesses
24 and creditor-debtor relationships with third parties, other

1 than commercial lending institutions, where: (a) the filer is
2 entitled to receive (i) more than 7.5% of the total
3 distributable income, or (ii) an amount in excess of the salary
4 of the Governor; or (b) the filer together with his or her
5 spouse or minor children is entitled to receive (i) more than
6 15%, in the aggregate, of the total distributable income, or
7 (ii) an amount in excess of 2 times the salary of the Governor.

8 (5 ILCS 420/1-105.3 new)

9 Sec. 1-105.3. Family. "Family" means, for the purposes of
10 Sections 4A-102 and 4A-103, a filer's spouse, children,
11 step-children, parents, step-parents, siblings, step-siblings,
12 half-siblings, sons-in-law, daughters-in-law, grandfathers,
13 grandmothers, grandsons, and granddaughters, as well as the
14 father, mother, grandfather, and grandmother of the filer's
15 spouse, and any person living with the filer.

16 (5 ILCS 420/1-105.5 new)

17 Sec. 1-105.5. Filer. "Filer" means, for the purposes of
18 Section 4A-102 and 4A-103, a person required to file a
19 statement of economic interests pursuant to this Act.

20 (5 ILCS 420/1-105.6 new)

21 Sec. 1-105.6. Income. "Income" means, for the purposes of
22 Sections 4A-102 and 4A-103, pension income and any income from
23 whatever source derived, required to be reported on the filer's

1 federal income tax return, including, but not limited to:
2 compensation received for services rendered or to be rendered
3 (as required to be reported on any Internal Revenue Service
4 forms, including, but not limited to, W-2, 1099, or K-1);
5 earnings or capital gains from the sale of assets; profit;
6 interest or dividend income from all assets; revenue from
7 leases and rentals, royalties, prizes, awards, or barter;
8 forgiveness of debt; and earnings derived from annuities or
9 trusts other than testamentary trusts. "Income" does not
10 include compensation earned for service in the position that
11 necessitates the filing of the statement of economic interests
12 or income from the sale of a personal residence or personal
13 vehicle.

14 (5 ILCS 420/1-105.7 new)

15 Sec. 1-105.7. Investment real estate. "Investment real
16 estate" means any real property, other than a filer's personal
17 residences, purchased to produce a profit, whether from income
18 or resale. Investment real estate may be described by the city
19 and state where the real estate is located.

20 (5 ILCS 420/1-110) (from Ch. 127, par. 601-110)

21 Sec. 1-110. "Lobbyist" means an individual who is required
22 to be registered to engage in lobbying activities pursuant to
23 any statute, regulation, or ordinance adopted by a unit of
24 government in the State of Illinois ~~any person required to be~~

1 ~~registered under "An Act concerning lobbying and providing a~~
2 ~~penalty for violation thereof", approved July 10, 1957, as~~
3 ~~amended.~~

4 (Source: Laws 1967, p. 3401.)

5 (5 ILCS 420/1-112.5 new)

6 Sec. 1-112.5. Personal residence. "Personal residence"
7 means, for the purposes of Sections 4A-102 and 4A-103, a
8 filer's primary home residence and any residential real
9 property held by the filer and used by the filer for
10 residential rather than commercial or income generating
11 purposes.

12 (5 ILCS 420/1-113.6 new)

13 Sec. 1-113.6. Sector funds. "Sector funds" means mutual
14 funds or exchange traded funds invested in a particular
15 industry or business.

16 (5 ILCS 420/1-113.7 new)

17 Sec. 1-113.7. Spouse. "Spouse" means a party to a marriage,
18 a party to a civil union, or a registered domestic partner.

19 (5 ILCS 420/4A-102) (from Ch. 127, par. 604A-102)

20 Sec. 4A-102. The statement of economic interests required
21 by this Article shall include the economic interests of the
22 person making the statement as provided in this Section. The

1 interest (if constructively controlled by the person making the
2 statement) of a spouse or any other party, shall be considered
3 to be the same as the interest of the person making the
4 statement. Campaign receipts shall not be included in this
5 statement. The following interests shall be listed by all
6 persons required to file:

7 (1) each asset that has a value of more than \$5,000 as
8 of the end of the preceding calendar year and is: (i) held
9 in the filer's name, (ii) held jointly by the filer with
10 his or her spouse, or (iii) held jointly by the filer with
11 his or her minor child or children;

12 (2) excluding the income from the position that
13 requires the filing of a statement of economic interests
14 under this Act, each source of income in excess of \$1,200
15 during the preceding calendar year (as required to be
16 reported on the filer's federal income tax return covering
17 the preceding calendar year) and, if the sale or transfer
18 of an asset produced more than \$5,000 in capital gains
19 during the preceding calendar year, the transaction date on
20 which that asset was sold or transferred;

21 (3) each creditor of a debt in excess of \$5,000 that,
22 during the preceding calendar year, was: (i) owed by the
23 filer, (ii) owed jointly by the filer with his or her
24 spouse or (iii) owed jointly by the filer with his or her
25 minor child or children;

26 (4) each debtor of a debt in excess of \$5,000 that,

1 during the preceding calendar year, was: (i) owed to the
2 filer, (ii) owed jointly to the filer with his or her
3 spouse, or (iii) owed jointly to the filer with his or her
4 minor child or children;

5 (5) the name of each unit of government of which the
6 filer was an employee, contractor, or office holder during
7 the preceding calendar year other than the unit or units of
8 government in relation to which the person is required to
9 file and the title of the position or nature of the
10 contractual services;

11 (6) each person known to the filer to be registered as
12 a lobbyist with any unit of government in the State of
13 Illinois: (i) with whom the filer maintains an economic
14 relationship, or (ii) who is a member of the filer's
15 family; and

16 (7) each source and type of gift or gifts, or
17 honorarium or honoraria, valued singly or in the aggregate
18 in excess of \$500 that was received during the preceding
19 calendar year, excluding any gift or gifts from a member of
20 the filer's family that was not known to the filer to be
21 registered as a lobbyist with any unit of government in the
22 State of Illinois.

23 For the purposes of this Section, the unit of local
24 government in relation to which a person is required to file
25 under item (e) of Section 4A-101.5 shall be the unit of local
26 government that contributes to the pension fund of which such

1 person is a member of the board.

2 ~~The interest (if constructively controlled by the person making~~
3 ~~the statement) of a spouse or any other party, shall be~~
4 ~~considered to be the same as the interest of the person making~~
5 ~~the statement. Campaign receipts shall not be included in this~~
6 ~~statement.~~

7 ~~(a) The following interests shall be listed by all~~
8 ~~persons required to file:~~

9 ~~(1) The name, address and type of practice of any~~
10 ~~professional organization or individual professional~~
11 ~~practice in which the person making the statement was~~
12 ~~an officer, director, associate, partner or~~
13 ~~proprietor, or served in any advisory capacity, from~~
14 ~~which income in excess of \$1200 was derived during the~~
15 ~~preceding calendar year;~~

16 ~~(2) The nature of professional services (other~~
17 ~~than services rendered to the unit or units of~~
18 ~~government in relation to which the person is required~~
19 ~~to file) and the nature of the entity to which they~~
20 ~~were rendered if fees exceeding \$5,000 were received~~
21 ~~during the preceding calendar year from the entity for~~
22 ~~professional services rendered by the person making~~
23 ~~the statement.~~

24 ~~(3) The identity (including the address or legal~~
25 ~~description of real estate) of any capital asset from~~
26 ~~which a capital gain of \$5,000 or more was realized in~~

1 ~~the preceding calendar year.~~

2 ~~(4) The name of any unit of government which has~~
3 ~~employed the person making the statement during the~~
4 ~~preceding calendar year other than the unit or units of~~
5 ~~government in relation to which the person is required~~
6 ~~to file.~~

7 ~~(5) The name of any entity from which a gift or~~
8 ~~gifts, or honorarium or honoraria, valued singly or in~~
9 ~~the aggregate in excess of \$500, was received during~~
10 ~~the preceding calendar year.~~

11 ~~(b) The following interests shall also be listed by~~
12 ~~persons listed in items (a) through (f), item (l), item~~
13 ~~(n), and item (p) of Section 4A-101:~~

14 ~~(1) The name and instrument of ownership in any~~
15 ~~entity doing business in the State of Illinois, in~~
16 ~~which an ownership interest held by the person at the~~
17 ~~date of filing is in excess of \$5,000 fair market value~~
18 ~~or from which dividends of in excess of \$1,200 were~~
19 ~~derived during the preceding calendar year. (In the~~
20 ~~case of real estate, location thereof shall be listed~~
21 ~~by street address, or if none, then by legal~~
22 ~~description). No time or demand deposit in a financial~~
23 ~~institution, nor any debt instrument need be listed;~~

24 ~~(2) Except for professional service entities, the~~
25 ~~name of any entity and any position held therein from~~
26 ~~which income of in excess of \$1,200 was derived during~~

1 ~~the preceding calendar year, if the entity does~~
2 ~~business in the State of Illinois. No time or demand~~
3 ~~deposit in a financial institution, nor any debt~~
4 ~~instrument need be listed.~~

5 ~~(3) The identity of any compensated lobbyist with~~
6 ~~whom the person making the statement maintains a close~~
7 ~~economic association, including the name of the~~
8 ~~lobbyist and specifying the legislative matter or~~
9 ~~matters which are the object of the lobbying activity,~~
10 ~~and describing the general type of economic activity of~~
11 ~~the client or principal on whose behalf that person is~~
12 ~~lobbying.~~

13 ~~(c) The following interests shall also be listed by~~
14 ~~persons listed in items (a) through (c) and item (c) of~~
15 ~~Section 4A-101.5:~~

16 ~~(1) The name and instrument of ownership in any~~
17 ~~entity doing business with a unit of local government~~
18 ~~in relation to which the person is required to file if~~
19 ~~the ownership interest of the person filing is greater~~
20 ~~than \$5,000 fair market value as of the date of filing~~
21 ~~or if dividends in excess of \$1,200 were received from~~
22 ~~the entity during the preceding calendar year. (In the~~
23 ~~case of real estate, location thereof shall be listed~~
24 ~~by street address, or if none, then by legal~~
25 ~~description). No time or demand deposit in a financial~~
26 ~~institution, nor any debt instrument need be listed.~~

1 ~~(2) Except for professional service entities, the~~
2 ~~name of any entity and any position held therein from~~
3 ~~which income in excess of \$1,200 was derived during the~~
4 ~~preceding calendar year if the entity does business~~
5 ~~with a unit of local government in relation to which~~
6 ~~the person is required to file. No time or demand~~
7 ~~deposit in a financial institution, nor any debt~~
8 ~~instrument need be listed.~~

9 ~~(3) The name of any entity and the nature of the~~
10 ~~governmental action requested by any entity which has~~
11 ~~applied to a unit of local government in relation to~~
12 ~~which the person must file for any license, franchise~~
13 ~~or permit for annexation, zoning or rezoning of real~~
14 ~~estate during the preceding calendar year if the~~
15 ~~ownership interest of the person filing is in excess of~~
16 ~~\$5,000 fair market value at the time of filing or if~~
17 ~~income or dividends in excess of \$1,200 were received~~
18 ~~by the person filing from the entity during the~~
19 ~~preceding calendar year.~~

20 ~~For the purposes of this Section, the unit of local~~
21 ~~government in relation to which a person required to file under~~
22 ~~item (c) of Section 4A-101.5 shall be the unit of local~~
23 ~~government that contributes to the pension fund of which such~~
24 ~~person is a member of the board.~~

25 (Source: P.A. 101-221, eff. 8-9-19.)

1 (5 ILCS 420/4A-103) (from Ch. 127, par. 604A-103)

2 Sec. 4A-103. The statement of economic interests required
3 by this Article to be filed with the Secretary of State or
4 county clerk shall be ~~filled in by typewriting or hand~~
5 ~~printing, shall be~~ verified, dated, and signed by the person
6 making the statement and shall contain substantially the
7 following:

8 STATEMENT OF ECONOMIC INTERESTS

9 INSTRUCTIONS:

10 You may find the following documents helpful to you in
11 completing this form:

12 (1) federal income tax returns, including any related
13 schedules, attachments, and forms; and

14 (2) investment and brokerage statements.

15 To complete this form, you do not need to disclose specific
16 amounts or values or report interests relating either to
17 political committees registered with the Illinois State Board
18 of Elections or to political committees, principal campaign
19 committees, or authorized committees registered with the
20 Federal Election Commission.

21 The information you disclose will be available to the
22 public.

23 You must answer all 6 questions. Certain questions will ask
24 you to report any applicable assets or debts held in your name;

1 held jointly with your spouse; or held jointly by you with your
 2 minor child. If you have any concerns about whether an interest
 3 should be reported, please consult your department's ethics
 4 officer, if applicable.

5 Please ensure that the information you provide is complete
 6 and accurate. If you need more space than the form allows,
 7 please attach additional pages for your response. If you are
 8 subject to the State Officials and Employees Ethics Act, your
 9 ethics officer must review your statement of economic interests
 10 before you file it. Failure to complete the statement in good
 11 faith and within the prescribed deadline may subject you to
 12 finances, imprisonment, or both.

13 BASIC INFORMATION:

14 Name:.....

15 Job title:

16 Office, department, or agency that requires you to file this
 17 form:.....

18 Other offices, departments, or agencies that require you to
 19 file a Statement of Economic Interests form:.....

20 Full mailing address:

21 Preferred e-mail address (optional)

22 QUESTIONS:

23 1. If you have any single asset that was worth more than
 24 \$5,000 as of the end of the preceding calendar year and is held

1 in your name, held jointly by you with your spouse, or held
 2 jointly by you with your minor child, list such assets below.
 3 In the case of investment real estate, list the city and state
 4 where the investment real estate is located. If you do not have
 5 any such assets, list "none" below.

6
 7
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 9
 10

11 2. Excluding the position for which you are required to
 12 file this form, list the source of any income in excess of
 13 \$1,200 required to be reported during the preceding calendar
 14 year. If you sold an asset that produced more than \$5,000 in
 15 capital gains in the preceding calendar year, list the name of
 16 the asset and the transaction date on which the sale or
 17 transfer took place. If you had no such sources of income or
 18 assets, list "none" below.

<u>Source of Income / Name of</u>	<u>Date Sold (if applicable)</u>
<u>Asset</u>	
.....
.....
.....

24 3. Excluding debts incurred on terms available to the
 25 general public, such as mortgages, student loans, and credit

1 card debts, if you owed any single debt in the preceding
 2 calendar year exceeding \$5,000, list the creditor of the debt
 3 below. If you had no such debts, list "none" below.

4 List the creditor for all applicable debts owed by you,
 5 owed jointly by you with your spouse, or owed jointly by you
 6 with your minor child. In addition to the types of debts listed
 7 above, you do not need to report any debts to or from financial
 8 institutions or government agencies, such as debts secured by
 9 automobiles, household furniture or appliances, as long as the
 10 debt was made on terms available to the general public, debts
 11 to members of your family, or debts to or from a political
 12 committee registered with the Illinois State Board of Elections
 13 or any political committee, principal campaign committee, or
 14 authorized committee registered with the Federal Election
 15 Commission.

16
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 19

20 4. Excluding debts owed to you by members of your family or
 21 by a political committee, if there is any entity or person who
 22 owed any debt to you in the preceding calendar year exceeding
 23 \$5,000, list the debtor below. If no such debts were owed to
 24 you, list "none" below.

25 List the debtor for all applicable debts owed to you, owed
 26 jointly to you with your spouse, or owed jointly to you with

1 your minor child. You do not need to report loans made to
 2 members of your family or to a political committee registered
 3 with the Illinois State Board of Elections or any political
 4 committee, principal campaign committee, or authorized
 5 committee registered with the Federal Election Commission.

6
 7
 8

9 5. List the name of each unit of government of which the
 10 filer was an employee, contractor, or office holder during the
 11 preceding calendar year other than the unit or units of
 12 government in relation to which the person is required to file
 13 and the title of the position or nature of the contractual
 14 services.

<u>Name of Unit of Government</u>	<u>Title or Nature of Services</u>
.....
.....
.....

19 6. If you maintain an economic relationship with a lobbyist
 20 or if a member of your family is known to you to be a lobbyist
 21 registered with any unit of government in the State of
 22 Illinois, list the name of the lobbyist below and identify the
 23 nature of your relationship with the lobbyist. If you do not
 24 have an economic relationship with a lobbyist or a family
 25 member known to you to be a lobbyist registered with any unit

1 of government in the State of Illinois, list "none" below.

2	<u>Name of Lobbyist</u>	<u>Relationship to Filer</u>
3
4
5

6 7. List the name of each person, organization, or entity
7 that was the source of a gift or gifts, or honorarium or
8 honoraria, valued singly or in the aggregate in excess of \$500
9 received during the preceding calendar year, excluding any gift
10 or gifts from a member of your family that was not known to be a
11 lobbyist registered with any unit of government in the State of
12 Illinois. If you had no such gifts, list "none" below.

13
14
15

16 VERIFICATION:

17 "I declare that this statement of economic interests
18 (including any attachments) has been examined by me and to the
19 best of my knowledge and belief is a true, correct and complete
20 statement of my economic interests as required by the Illinois
21 Governmental Ethics Act. I understand that the penalty for
22 willfully filing a false or incomplete statement is a fine not
23 to exceed \$2,500 or imprisonment in a penal institution other
24 than the penitentiary not to exceed one year, or both fine and

1 imprisonment."

2 Printed Name of Filer

3 Date

4 Signature.....

5 If this statement of economic interests requires ethics officer
6 review prior to filing, the applicable ethics officer must
7 complete the following:

8 CERTIFICATION OF ETHICS OFFICER REVIEW:

9 "In accordance with law, as Ethics Officer, I reviewed this
10 statement of economic interests prior to its filing."

11 Printed Name of Ethics Officer

12 Date

13 Signature.....

14 Preferred e-mail address (optional)

15 ~~STATEMENT OF ECONOMIC INTEREST~~

16 ~~(TYPE OR HAND PRINT)~~

17 ~~.....~~

18 ~~(name)~~

19 ~~.....~~

20 ~~(each office or position of employment for which this statement~~
21 ~~is filed)~~

22 ~~.....~~

23 ~~(full mailing address)~~

1 ~~GENERAL DIRECTIONS:~~

2 ~~The interest (if constructively controlled by the person~~
3 ~~making the statement) of a spouse or any other party, shall be~~
4 ~~considered to be the same as the interest of the person making~~
5 ~~the statement.~~

6 ~~Campaign receipts shall not be included in this statement.~~

7 ~~If additional space is needed, please attach supplemental~~
8 ~~listing.~~

9 ~~1. List the name and instrument of ownership in any entity~~
10 ~~doing business in the State of Illinois, in which the ownership~~
11 ~~interest held by the person at the date of filing is in excess~~
12 ~~of \$5,000 fair market value or from which dividends in excess~~
13 ~~of \$1,200 were derived during the preceding calendar year. (In~~
14 ~~the case of real estate, location thereof shall be listed by~~
15 ~~street address, or if none, then by legal description.) No time~~
16 ~~or demand deposit in a financial institution, nor any debt~~
17 ~~instrument need be listed.~~

18 ~~Business Entity~~

~~Instrument of Ownership~~

19 ~~.....~~ ~~.....~~

20 ~~.....~~ ~~.....~~

21 ~~.....~~ ~~.....~~

22 ~~.....~~ ~~.....~~

23 ~~2. List the name, address and type of practice of any~~
24 ~~professional organization in which the person making the~~
25 ~~statement was an officer, director, associate, partner or~~
26 ~~proprietor or served in any advisory capacity, from which~~

1 ~~income in excess of \$1,200 was derived during the preceding~~
2 ~~calendar year.~~

3 Name	Address	Type of Practice
4
5
6

7 ~~3. List the nature of professional services rendered (other~~
8 ~~than to the State of Illinois) to each entity from which income~~
9 ~~exceeding \$5,000 was received for professional services~~
10 ~~rendered during the preceding calendar year by the person~~
11 ~~making the statement.~~

12

13

14 ~~4. List the identity (including the address or legal~~
15 ~~description of real estate) of any capital asset from which a~~
16 ~~capital gain of \$5,000 or more was realized during the~~
17 ~~preceding calendar year.~~

18

19

20 ~~5. List the identity of any compensated lobbyist with whom~~
21 ~~the person making the statement maintains a close economic~~
22 ~~association, including the name of the lobbyist and specifying~~
23 ~~the legislative matter or matters which are the object of the~~
24 ~~lobbying activity, and describing the general type of economic~~
25 ~~activity of the client or principal on whose behalf that person~~
26 ~~is lobbying.~~

1 ~~Lobbyist~~ ~~Legislative Matter~~ ~~Client or Principal~~

2 ~~.....~~ ~~.....~~ ~~.....~~

3 ~~.....~~ ~~.....~~ ~~.....~~

4 ~~6. List the name of any entity doing business in the State~~
5 ~~of Illinois from which income in excess of \$1,200 was derived~~
6 ~~during the preceding calendar year other than for professional~~
7 ~~services and the title or description of any position held in~~
8 ~~that entity. (In the case of real estate, location thereof~~
9 ~~shall be listed by street address, or if none, then by legal~~
10 ~~description). No time or demand deposit in a financial~~
11 ~~institution nor any debt instrument need be listed.~~

12 ~~Entity~~ ~~Position Held~~

13 ~~.....~~ ~~.....~~

14 ~~.....~~ ~~.....~~

15 ~~.....~~ ~~.....~~

16 ~~7. List the name of any unit of government which employed~~
17 ~~the person making the statement during the preceding calendar~~
18 ~~year other than the unit or units of government in relation to~~
19 ~~which the person is required to file.~~

20 ~~.....~~

21 ~~.....~~

22 ~~8. List the name of any entity from which a gift or gifts,~~
23 ~~or honorarium or honoraria, valued singly or in the aggregate~~
24 ~~in excess of \$500, was received during the preceding calendar~~
25 ~~year.~~

26 ~~.....~~

1 ~~VERIFICATION:~~

2 ~~"I declare that this statement of economic interests~~
3 ~~(including any accompanying schedules and statements) has been~~
4 ~~examined by me and to the best of my knowledge and belief is a~~
5 ~~true, correct and complete statement of my economic interests~~
6 ~~as required by the Illinois Governmental Ethics Act. I~~
7 ~~understand that the penalty for willfully filing a false or~~
8 ~~incomplete statement shall be a fine not to exceed \$1,000 or~~
9 ~~imprisonment in a penal institution other than the penitentiary~~
10 ~~not to exceed one year, or both fine and imprisonment."~~

11 ~~.....~~ ~~.....~~

12 ~~(date of filing)~~ ~~(signature of person making the statement)~~

13 (Source: P.A. 95-173, eff. 1-1-08.)

14 (5 ILCS 420/4A-108)

15 Sec. 4A-108. Internet-based systems of filing.

16 (a) Notwithstanding any other provision of this Act or any
17 other law, the Secretary of State and county clerks are
18 authorized to institute an Internet-based system for the filing
19 of statements of economic interests in their offices. With
20 respect to county clerk systems, the determination to institute
21 such a system shall be in the sole discretion of the county
22 clerk and shall meet the requirements set out in this Section.
23 With respect to a Secretary of State system, the determination
24 to institute such a system shall be in the sole discretion of
25 the Secretary of State and shall meet the requirements set out

1 in this Section and those Sections of the State Officials and
2 Employees Ethics Act requiring ethics officer review prior to
3 filing. The system shall be capable of allowing an ethics
4 officer to approve a statement of economic interests and shall
5 include a means to amend a statement of economic interests.
6 When this Section does not modify or remove the requirements
7 set forth elsewhere in this Article, those requirements shall
8 apply to any system of Internet-based filing authorized by this
9 Section. When this Section does modify or remove the
10 requirements set forth elsewhere in this Article, the
11 provisions of this Section shall apply to any system of
12 Internet-based filing authorized by this Section.

13 (b) In any system of Internet-based filing of statements of
14 economic interests instituted by the Secretary of State or a
15 county clerk:

16 (1) Any filing of an Internet-based statement of
17 economic interests shall be the equivalent of the filing of
18 a verified, written statement of economic interests as
19 required by Section 4A-101 or 4A-101.5 and the equivalent
20 of the filing of a verified, dated, and signed statement of
21 economic interests as required by Section 4A-103 ~~4A-104~~.

22 (2) The Secretary of State and county clerks who
23 institute a system of Internet-based filing of statements
24 of economic interests shall establish a password-protected
25 website to receive the filings of such statements. A
26 website established under this Section shall set forth and

1 provide a means of responding to the items set forth in
2 Section 4A-103 ~~4A-102~~ that are required of a person who
3 files a statement of economic interests with that officer.
4 A website established under this Section shall set forth
5 and provide a means of generating a printable receipt page
6 acknowledging filing.

7 (3) The times for the filing of statements of economic
8 interests set forth in Section 4A-105 shall be followed in
9 any system of Internet-based filing of statements of
10 economic interests; provided that a candidate for elective
11 office who is required to file a statement of economic
12 interests in relation to his or her candidacy pursuant to
13 Section 4A-105(a) shall receive a written or printed
14 receipt for his or her filing.

15 A candidate filing for Governor, Lieutenant Governor,
16 Attorney General, Secretary of State, Treasurer,
17 Comptroller, State Senate, ~~or~~ State House of
18 Representatives, Supreme Court Judge, appellate court
19 judge, circuit court judge, or judicial retention shall not
20 use the Internet to file his or her statement of economic
21 interests, but shall file his or her statement of economic
22 interests in a written or printed form and shall receive a
23 written or printed receipt for his or her filing. Annually,
24 the duly appointed ethics officer for each legislative
25 caucus shall certify to the Secretary of State whether his
26 or her caucus members will file their statements of

1 economic interests electronically or in a written or
2 printed format for that year. If the ethics officer for a
3 caucus certifies that the statements of economic interests
4 shall be written or printed, then members of the General
5 Assembly of that caucus shall not use the Internet to file
6 his or her statement of economic interests, but shall file
7 his or her statement of economic interests in a written or
8 printed form and shall receive a written or printed receipt
9 for his or her filing. If no certification is made by an
10 ethics officer for a legislative caucus, or if a member of
11 the General Assembly is not affiliated with a legislative
12 caucus, then the affected member or members of the General
13 Assembly may file their statements of economic interests
14 using the Internet.

15 (4) In the first year of the implementation of a system
16 of Internet-based filing of statements of economic
17 interests, each person required to file such a statement is
18 to be notified in writing of his or her obligation to file
19 his or her statement of economic interests by way of the
20 Internet-based system. If access to the website ~~web-site~~
21 requires a code or password, this information shall be
22 included in the notice prescribed by this paragraph.

23 (5) When a person required to file a statement of
24 economic interests has supplied the Secretary of State or a
25 county clerk, as applicable, with an email address for the
26 purpose of receiving notices under this Article by email, a

1 notice sent by email to the supplied email address shall be
2 the equivalent of a notice sent by first class mail, as set
3 forth in Section 4A-106 or 4A-106.5. A person who has
4 supplied such an email address shall notify the Secretary
5 of State or county clerk, as applicable, when his or her
6 email address changes or if he or she no longer wishes to
7 receive notices by email.

8 (6) If any person who is required to file a statement
9 of economic interests and who has chosen to receive notices
10 by email fails to file his or her statement by May 10, then
11 the Secretary of State or county clerk, as applicable,
12 shall send an additional email notice on that date,
13 informing the person that he or she has not filed and
14 describing the penalties for late filing and failing to
15 file. This notice shall be in addition to other notices
16 provided for in this Article.

17 (7) The Secretary of State and each county clerk who
18 institutes a system of Internet-based filing of statements
19 of economic interests may also institute an Internet-based
20 process for the filing of the list of names and addresses
21 of persons required to file statements of economic
22 interests by the chief administrative officers that must
23 file such information with the Secretary of State or county
24 clerk, as applicable, pursuant to Section 4A-106 or
25 4A-106.5. Whenever the Secretary of State or a county clerk
26 institutes such a system under this paragraph, every chief

1 administrative officer must use the system to file this
2 information.

3 (8) The Secretary of State and any county clerk who
4 institutes a system of Internet-based filing of statements
5 of economic interests shall post the contents of such
6 statements filed with him or her available for inspection
7 and copying on a publicly accessible website. Such postings
8 shall not include the addresses or signatures of the
9 filers.

10 (Source: P.A. 100-1041, eff. 1-1-19; 101-221, eff. 8-9-19;
11 revised 9-12-19.)

12 (5 ILCS 420/4A-104 rep.)

13 Section 10. The Illinois Governmental Ethics Act is amended
14 by repealing Section 4A-104.

15 Section 15. The Lobbyist Registration Act is amended by
16 changing Sections 2, 5, and 7 as follows:

17 (25 ILCS 170/2) (from Ch. 63, par. 172)

18 Sec. 2. Definitions. As used in this Act, unless the
19 context otherwise requires:

20 (a) "Person" means any individual, firm, partnership,
21 committee, association, corporation, or any other organization
22 or group of persons.

23 (b) "Expenditure" means a payment, distribution, loan,

1 advance, deposit, or gift of money or anything of value, and
2 includes a contract, promise, or agreement, whether or not
3 legally enforceable, to make an expenditure, for the ultimate
4 purpose of influencing executive, legislative, or
5 administrative action, other than compensation as defined in
6 subsection (d).

7 (c) "Official" means:

8 (1) the Governor, Lieutenant Governor, Secretary of
9 State, Attorney General, State Treasurer, and State
10 Comptroller;

11 (2) Chiefs of Staff for officials described in item
12 (1);

13 (3) Cabinet members of any elected constitutional
14 officer, including Directors, Assistant Directors and
15 Chief Legal Counsel or General Counsel;

16 (4) Members of the General Assembly; and

17 (5) Members of any board, commission, authority, or
18 task force of the State authorized or created by State law
19 or by executive order of the Governor.

20 (d) "Compensation" means any money, thing of value or
21 financial benefits received or to be received in return for
22 services rendered or to be rendered, for lobbying as defined in
23 subsection (e).

24 Monies paid to members of the General Assembly by the State
25 as remuneration for performance of their Constitutional and
26 statutory duties as members of the General Assembly shall not

1 constitute compensation as defined by this Act.

2 (e) "Lobby" and "lobbying" means any communication with an
3 official of the executive or legislative branch of State
4 government as defined in subsection (c) for the ultimate
5 purpose of influencing any executive, legislative, or
6 administrative action.

7 (f) "Influencing" means any communication, action,
8 reportable expenditure as prescribed in Section 6 or other
9 means used to promote, support, affect, modify, oppose or delay
10 any executive, legislative or administrative action or to
11 promote goodwill with officials as defined in subsection (c).

12 (g) "Executive action" means the proposal, drafting,
13 development, consideration, amendment, adoption, approval,
14 promulgation, issuance, modification, rejection or
15 postponement by a State entity of a rule, regulation, order,
16 decision, determination, contractual arrangement, purchasing
17 agreement or other quasi-legislative or quasi-judicial action
18 or proceeding.

19 (h) "Legislative action" means the development, drafting,
20 introduction, consideration, modification, adoption,
21 rejection, review, enactment, or passage or defeat of any bill,
22 amendment, resolution, report, nomination, administrative rule
23 or other matter by either house of the General Assembly or a
24 committee thereof, or by a legislator. Legislative action also
25 means the action of the Governor in approving or vetoing any
26 bill or portion thereof, and the action of the Governor or any

1 agency in the development of a proposal for introduction in the
2 legislature.

3 (i) "Administrative action" means the execution or
4 rejection of any rule, regulation, legislative rule, standard,
5 fee, rate, contractual arrangement, purchasing agreement or
6 other delegated legislative or quasi-legislative action to be
7 taken or withheld by any executive agency, department, board or
8 commission of the State.

9 (j) "Lobbyist" means any natural person who undertakes to
10 lobby State government as provided in subsection (e).

11 (k) "Lobbying entity" means any entity that hires, retains,
12 employs, or compensates a natural person to lobby State
13 government as provided in subsection (e).

14 (l) "Authorized agent" means the person designated by an
15 entity or lobbyist registered under this Act as the person
16 responsible for submission and retention of reports required
17 under this Act.

18 (m) "Client" means any person or entity that provides
19 compensation to a lobbyist to lobby State government as
20 provided in subsection (e) of this Section.

21 (n) "Client registrant" means a client who is required to
22 register under this Act.

23 (o) "Unit of local government" has the meaning ascribed to
24 it in Section 1 of Article VII of the Illinois Constitution and
25 also includes school districts and community college
26 districts.

1 (Source: P.A. 98-459, eff. 1-1-14.)

2 (25 ILCS 170/5)

3 Sec. 5. Lobbyist registration and disclosure. Every
4 natural person and every entity required to register under this
5 Act shall before any service is performed which requires the
6 natural person or entity to register, but in any event not
7 later than 2 business days after being employed or retained,
8 file in the Office of the Secretary of State a statement in a
9 format prescribed by the Secretary of State containing the
10 following information with respect to each person or entity
11 employing, retaining, or benefitting from the services of the
12 natural person or entity required to register:

13 (a) The registrant's name, permanent address, e-mail
14 address, if any, fax number, if any, business telephone
15 number, and temporary address, if the registrant has a
16 temporary address while lobbying.

17 (a-5) If the registrant is an entity, the information
18 required under subsection (a) for each natural person
19 associated with the registrant who will be lobbying,
20 regardless of whether lobbying is a significant part of his
21 or her duties.

22 (b) The name and address of the client or clients
23 employing or retaining the registrant to perform such
24 services or on whose behalf the registrant appears. If the
25 client employing or retaining the registrant is a client

1 registrant, the statement shall also include the name and
2 address of the client or clients of the client registrant
3 on whose behalf the registrant will be or anticipates
4 performing services.

5 (b-5) If the registrant employs or retains a
6 sub-registrant, the statement shall include the name and
7 address of the sub-registrant and identify the client or
8 clients of the registrant on whose behalf the
9 sub-registrant will be or is anticipated to be performing
10 services.

11 (c) A brief description of the executive, legislative,
12 or administrative action in reference to which such service
13 is to be rendered.

14 (c-5) Each executive and legislative branch agency the
15 registrant expects to lobby during the registration
16 period.

17 (c-6) The nature of the client's business, by
18 indicating all of the following categories that apply: (1)
19 banking and financial services, (2) manufacturing, (3)
20 education, (4) environment, (5) healthcare, (6) insurance,
21 (7) community interests, (8) labor, (9) public relations or
22 advertising, (10) marketing or sales, (11) hospitality,
23 (12) engineering, (13) information or technology products
24 or services, (14) social services, (15) public utilities,
25 (16) racing or wagering, (17) real estate or construction,
26 (18) telecommunications, (19) trade or professional

1 association, (20) travel or tourism, (21) transportation,
2 (22) agriculture, and (23) other (setting forth the nature
3 of that other business).

4 (d) A confirmation that the registrant has a sexual
5 harassment policy as required by Section 4.7, that such
6 policy shall be made available to any individual within 2
7 business days upon written request (including electronic
8 requests), that any person may contact the authorized agent
9 of the registrant to report allegations of sexual
10 harassment, and that the registrant recognizes the
11 Inspector General has jurisdiction to review any
12 allegations of sexual harassment alleged against the
13 registrant or lobbyists hired by the registrant.

14 (e) Each unit of local government in this State for
15 which the registrant is or expects to be required to
16 register to lobby the local government during the
17 registration period. "Lobby" shall have the meaning
18 ascribed to it by the relevant unit of local government.

19 (f) Each elected or appointed public office in this
20 State to be held by the registrant at any time during the
21 registration period.

22 Every natural person and every entity required to register
23 under this Act shall annually submit the registration required
24 by this Section on or before each January 31. The registrant
25 has a continuing duty to report any substantial change or
26 addition to the information contained in the registration.

1 Registrants registered as of the effective date of this
2 amendatory Act of the 101st General Assembly shall update their
3 registration to add the information required under subsections
4 (b-5), (e), and (f), if applicable, within 30 days after the
5 effective date of this amendatory Act of the 101st General
6 Assembly.

7 The Secretary of State shall make all filed statements and
8 amendments to statements publicly available by means of a
9 searchable database that is accessible through the World Wide
10 Web. The Secretary of State shall provide all software
11 necessary to comply with this provision to all natural persons
12 and entities required to file. The Secretary of State shall
13 implement a plan to provide computer access and assistance to
14 natural persons and entities required to file electronically.

15 All natural persons and entities required to register under
16 this Act shall remit a single, annual, and nonrefundable \$300
17 registration fee. Each natural person required to register
18 under this Act shall submit, on an annual basis, a picture of
19 the registrant. A registrant may, in lieu of submitting a
20 picture on an annual basis, authorize the Secretary of State to
21 use any photo identification available in any database
22 maintained by the Secretary of State for other purposes. Each
23 registration fee collected for registrations on or after
24 January 1, 2010 shall be deposited into the Lobbyist
25 Registration Administration Fund for administration and
26 enforcement of this Act.

1 (Source: P.A. 100-554, eff. 11-16-17.)

2 (25 ILCS 170/7) (from Ch. 63, par. 177)

3 Sec. 7. Duties of the Secretary of State.

4 (a) It shall be the duty of the Secretary of State to
5 provide appropriate forms for the registration and reporting of
6 information required by this Act and to keep such registrations
7 and reports on file in his office for 3 years from the date of
8 filing. He shall also provide and maintain a register with
9 appropriate blanks and indexes so that the information required
10 in Sections 5 and 6 of this Act may be accordingly entered.
11 Such records shall be considered public information and open to
12 public inspection.

13 (b) Within 5 business days after a filing deadline, the
14 Secretary of State shall notify persons he determines are
15 required to file but have failed to do so.

16 (c) The Secretary of State shall provide adequate software
17 to the persons required to file under this Act, and all
18 registrations, reports, statements, and amendments required to
19 be filed shall be filed electronically. The Secretary of State
20 shall promptly make all filed reports publicly available by
21 means of a searchable database that is accessible through the
22 World Wide Web. The Secretary of State shall provide all
23 software necessary to comply with this provision to all persons
24 required to file. The Secretary of State shall implement a plan
25 to provide computer access and assistance to persons required

1 to file electronically.

2 (d) The Secretary of State shall include registrants'
3 pictures when publishing or posting on his or her website the
4 information required in Section 5.

5 (d-5) Within 90 days after the effective date of this
6 amendatory Act of the 101st General Assembly, the Secretary of
7 State shall create a publicly accessible and searchable
8 database bringing together disclosures by registered lobbyists
9 under this Act, contributions by registered lobbyists required
10 to be disclosed under the Election Code, and statements of
11 economic interests required to be filed by State officials and
12 employees under the Illinois Governmental Ethics Act.

13 (e) The Secretary of State shall receive and investigate
14 allegations of violations of this Act. Any employee of the
15 Secretary of State who receives an allegation shall immediately
16 transmit it to the Secretary of State Inspector General.

17 (Source: P.A. 96-555, eff. 1-1-10; 96-1358, eff. 7-28-10.)

18 Section 98. Applicability. The provisions of this
19 amendatory Act of the 101st General Assembly concerning
20 statements of economic interests shall apply to statements of
21 economic interests filed on and after the effective date of
22 this amendatory Act of the 101st General Assembly. Any
23 statement of economic interests filed prior to the effective
24 date of this amendatory Act of the 101st General Assembly shall
25 apply the law in effect before the effective date of this

1 amendatory Act of the 101st General Assembly.

2 Section 99. Effective date. This Act takes effect upon
3 becoming law.