100TH GENERAL ASSEMBLY

State of Illinois

2017 and 2018

SB0768

Introduced 2/1/2017, by Sen. Iris Y. Martinez

SYNOPSIS AS INTRODUCED:

See Index

Amends the Regulatory Sunset Act. Extends the repeal date of the Clinical Social Work and Social Work Practice Act from January 1, 2018 to January 1, 2028. Amends the Clinical Social Work and Social Work Practice Act. Makes changes in provisions concerning definitions, exemptions, powers and duties of the Department of Financial and Professional Regulation, the Social Work Examining and Disciplinary Board, applications for original license, change of address, qualifications for clinical social worker licenses and licensed social worker licenses, license restrictions and limitations, grounds for disciplinary action, investigations, notices and hearings, findings and recommendations, motions for rehearing, appointment of a hearing officer, restoration of a license, summary suspension of a license, and certification of records. Makes other changes. Effective immediately.

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FISCAL NOTE ACT MAY APPLY

1

AN ACT concerning regulation.

2 Be it enacted by the People of the State of Illinois, 3 represented in the General Assembly:

Section 5. The Regulatory Sunset Act is amended by changing
Section 4.28 and by adding Section 4.38 as follows:

6 (5 ILCS 80/4.28)

- Sec. 4.28. Acts repealed on January 1, 2018. The following
 Acts are repealed on January 1, 2018:
- 9 The Illinois Petroleum Education and Marketing Act.
- 10 The Podiatric Medical Practice Act of 1987.
- 11 The Acupuncture Practice Act.
- 12 The Illinois Speech-Language Pathology and Audiology13 Practice Act.
- 14 The Interpreter for the Deaf Licensure Act of 2007.
- 15 The Nurse Practice Act.

16 The Clinical Social Work and Social Work Practice Act.

17 The Pharmacy Practice Act.

18 The Home Medical Equipment and Services Provider License 19 Act.

20 The Marriage and Family Therapy Licensing Act.

- 21 The Nursing Home Administrators Licensing and Disciplinary 22 Act.
- ZZ ACT
- 23 The Physician Assistant Practice Act of 1987.

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1	(Source: P.A. 95-187, eff. 8-16-07; 95-235, eff. 8-17-07;
2	95-450, eff. 8-27-07; 95-465, eff. 8-27-07; 95-617, eff.
3	9-12-07; 95-639, eff. 10-5-07; 95-687, eff. 10-23-07; 95-689,
4	eff. 10-29-07; 95-703, eff. 12-31-07; 95-876, eff. 8-21-08;
5	96-328, eff. 8-11-09.)
6	(5 ILCS 80/4.38 new)
7	Sec. 4.38. Act repealed on January 1, 2028. The following
8	Act is repealed on January 1, 2028:
9	The Clinical Social Work and Social Work Practice Act.
10	Section 10. The Clinical Social Work and Social Work
11	Practice Act is amended by changing Sections 3, 4, 5, 6, 7,
12	7.3, 9, 9A, 10, 14, 19, 21, 22, 25, 26, 28, 30, 31, 32, 33, 34,
13	36, and 37 as follows:
14	(225 ILCS 20/3) (from Ch. 111, par. 6353)
15	(Section scheduled to be repealed on January 1, 2018)
16	Sec. 3. Definitions The following words and phrases shall
17	have the meanings ascribed to them in this Section unless the
18	context clearly indicates otherwise:
19	1. "Department" means the Department of Financial and
20	Professional Regulation.
21	2. "Secretary" means the Secretary of Financial and
22	Professional Regulation.
23	3. "Board" means the Social Work Examining and Disciplinary

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1 Board.

4. "Licensed Clinical Social Worker" means a person who holds a license authorizing the independent practice of clinical social work in Illinois under the auspices of an employer or in private practice or under the auspices of public human service agencies or private, nonprofit agencies providing publicly sponsored human services.

5. "Clinical social work practice" means the providing of 8 9 mental health services for the evaluation, treatment, and 10 prevention of mental and emotional disorders in individuals, 11 families, and groups based on knowledge and theory of 12 professionally accepted theoretical structures, including, but 13 limited to, psychosocial development, behavior, not 14 psychopathology, unconscious motivation, interpersonal 15 relationships, and environmental stress.

6. "Treatment procedures" means among other things,
 individual, marital, family, and group psychotherapy.

18 7. "Independent practice of clinical social work" means the 19 application of clinical social work knowledge and skills by a 20 licensed clinical social worker who regulates and is 21 responsible for her or his own practice or treatment 22 procedures.

8. "License" means that which is required to practice clinical social work or social work under this Act, the qualifications for which include specific education, acceptable experience, and examination requirements. - 4 - LRB100 05742 SMS 15765 b

9. "Licensed social worker" means a person who holds a 1 2 license authorizing the practice of social work, which includes social services to individuals, groups or communities in any 3 one or more of the fields of social casework, social group 4 5 work, community organization for social welfare, social work social welfare administration, or social 6 research, work 7 education. Social casework and social group work may also 8 include clinical social work, as long as it is not conducted in 9 an independent practice, as defined in this Section.

10 10. "Address of record" means the address recorded by the 11 Department in the applicant's <u>application file</u> or <u>the</u> 12 licensee's application file or license file, as maintained by 13 the Department's licensure maintenance unit.

14 <u>11. "Email address of record" means the designated email</u> 15 <u>address recorded by the Department in the applicant's</u> 16 <u>application file or the licensee's license file, as maintained</u> 17 <u>by the Department's licensure maintenance unit.</u>

18 (Source: P.A. 95-687, eff. 10-23-07; revised 9-14-16.)

19 (225 ILCS 20/4) (from Ch. 111, par. 6354)

20 (Section scheduled to be repealed on January 1, 2018)

21 Sec. 4. Exemptions.

22 1. This Act does not prohibit any of the following:

(a) Any persons legally regulated in this State under
any other Act from engaging in the practice for which they
are authorized, provided that they do not represent

themselves by any title as being engaged in the independent practice of clinical social work or the practice of social work as defined in this Act, nor does it prohibit the practice of nonregulated professions whose practitioners are engaged in the delivery of human services, provided such practitioners do not represent themselves as or use the title of clinical social worker or social worker.

8 (b) The practice of clinical social work or social work 9 by a person who is employed by the United States government 10 or by the State of Illinois, unit of local government or 11 any bureau, division or agency thereof while in the 12 discharge of the employee's official duties. Clinical social workers employed by the State of Illinois who are 13 hired after the effective date of this amendatory Act of 14 15 1994 shall hold a valid license, issued by this State, to 16 practice as a licensed clinical social worker, except for 17 those clinical social workers employed by the State who obtain their positions through promotion. 18

19 (c) The practice of a student pursuing a course of 20 professional education under the terms of this Act, if 21 these activities and services constitute a part of such 22 student's supervised course of study.

23 (d) A person from practicing social work if the person
 24 is obtaining experience for licensure as a clinical social
 25 worker or social worker, provided the person is designated
 26 by a title that clearly indicates training status.

2. Nothing in this Act shall be construed to apply to any person engaged in the bona fide practice of religious ministry provided the person does not hold himself out to be engaged in the independent practice of clinical social work or the practice of social work.

3. This Act does not prohibit a person serving as a
volunteer so long as no representation prohibited by this
8 Section is made.

9 4. Nothing contained in this Act shall be construed to 10 require any hospital, clinic, home health agency, hospice, or 11 other entity which provides health care to employ or to 12 contract with a licensed clinical social worker to provide 13 clinical social work practice or the independent practice of 14 clinical social work as described in this Act.

15 (Source: P.A. 88-620, eff. 1-1-95.)

16 (225 ILCS 20/5) (from Ch. 111, par. 6355)
17 (Section scheduled to be repealed on January 1, 2018)
18 Sec. 5. Powers and duties of the Department. Subject to the
19 provisions of this Act, the 1. The Department shall exercise
20 the following functions, powers, and duties: as set forth in
21 this Act.
22 (1) Conduct or authorize examinations to ascertain the

23 <u>qualifications and fitness of candidates for a license to</u> 24 <u>engage in the independent practice of clinical social work</u> 25 <u>and in the practice of social work, pass upon the</u>

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1	qualifications of applicants for licenses, and issue
2	licenses to those who are found to be fit and qualified.
3	(2) Adopt rules required for the administration and
4	enforcement of this Act.
5	(3) Adopt rules for determining approved undergraduate
6	and graduate social work degree programs and prepare and
7	maintain a list of colleges and universities offering such
8	approved programs whose graduates, if they otherwise meet
9	the requirements of this Act, are eligible to apply for a
10	license.
11	(4) Prescribe forms to be issued for the administration
12	and enforcement of this Act.
13	(5) Conduct investigations related to possible
14	violations of this Act.
15	(6) Maintain rosters of the names and addresses of all
16	persons who hold valid licenses under this Act. These
17	rosters shall be available upon written request and payment
18	of the required fee.
19	2. The Secretary shall promulgate rules consistent with the
20	provisions of this Act for the administration and enforcement
21	thereof, and shall prescribe forms which shall be issued in
22	connection therewith.
23	3. In addition, the Department shall:
24	(a) Establish rules for determining approved
25	undergraduate and graduate social work degree programs and
26	prepare and maintain a list of colleges and universities

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offering such approved programs whose graduates, if they otherwise meet the requirements of this Act, are eligible to apply for a license.

4 (b) Promulgate rules, as may be necessary, for the 5 administration of this Act and to carry out the purposes 6 thereof and to adopt the methods of examination of 7 candidates and to provide for the issuance of licenses 8 authorizing the independent practice of clinical social 9 work or the practice of social work.

10 (c) Authorize examinations to ascertain the 11 qualifications and fitness of candidates for a license to 12 engage in the independent practice of clinical social work 13 and in the practice of social work, and to determine the 14 qualifications of applicants from other jurisdictions to 15 practice in Illinois.

16 (d) Maintain rosters of the names and addresses of all 17 licensees, and all persons whose licenses have been 18 suspended, revoked or denied renewal for cause within the 19 previous calendar year. These rosters shall be available 20 upon written request and payment of the required fee.

21 (Source: P.A. 95-687, eff. 10-23-07.)

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(225 ILCS 20/6) (from Ch. 111, par. 6356)
(Section scheduled to be repealed on January 1, 2018)
Sec. 6. Social Work Examining and Disciplinary Board.
(1) The Secretary shall appoint a Social Work Examining and
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Disciplinary Board consisting of 9 persons who shall serve in 1 2 an advisory capacity to the Secretary. The Board shall be composed of 6 licensed clinical social workers, one of whom 3 shall be employed in a public human service agency, one of whom 4 5 shall be a certified school social worker, one of whom shall be employed in the private not-for-profit sector and one of whom 6 shall serve as the chairperson, 2 two licensed social workers, 7 8 and one member of the public who is not regulated under this 9 Act or a similar Act and who clearly represents consumer 10 interests.

11 (2) Members shall serve for a term of 4 years each, except 12 that any person chosen to fill a vacancy shall be appointed 13 only for the unexpired term of the Board member whom he or she 14 shall succeed. Upon the expiration of this term of office, a 15 Board member shall continue to serve until a successor is and 16 until their successors are appointed and qualified. No member 17 shall serve more than 2 consecutive 4-year terms be reappointed if such reappointment would cause that person's service on the 18 19 Board to be longer than 8 successive years. Appointments to fill vacancies for the unexpired portion of a vacated term 20 21 shall be made in the same manner as original appointments.

(3) The membership of the Board should represent racial and
 cultural diversity and reasonably reflect representation from
 different geographic areas of Illinois.

(4) The Secretary may terminate the appointment of anymember for cause.

1 (5) The Secretary <u>may</u> shall consider the recommendation of 2 the Board on all matters and questions relating to this Act<u></u> 3 <u>such as matters relating to continuing education, including the</u> 4 <u>number of hours necessary for license renewal, waivers for</u> 5 <u>those unable to meet such requirements, and acceptable course</u> 6 content.

7 (6) <u>(Blank).</u> The Board is charged with the duties and 8 responsibilities of recommending to the Secretary the adoption 9 of all policies, procedures and rules which may be required or 10 deemed advisable in order to perform the duties and functions 11 conferred on the Board, the Secretary and the Department to 12 carry out the provisions of this Act.

13 (7) <u>(Blank).</u> The Board may make recommendations on all 14 matters relating to continuing education including the number 15 of hours necessary for license renewal, waivers for those 16 unable to meet such requirements and acceptable course content. 17 Such recommendations shall not impose an undue burden on the 18 Department or an unreasonable restriction on those seeking 19 license renewal.

20 (8) The Board shall annually elect one of its members as21 chairperson and one as vice chairperson.

(9) Members of the Board shall be reimbursed for all
 authorized legitimate, and necessary, and authorized expenses
 incurred in attending the meetings of the Board.

(10) A majority of the Board members currently appointedshall constitute a quorum. A vacancy in the membership of the

Board shall not impair the right of a quorum to perform all of the duties of the Board.

3 (11) Members of the Board shall have no liability in an
4 action based upon a disciplinary proceeding or other activity
5 performed in good faith as a member of the Board.

6 (Source: P.A. 95-687, eff. 10-23-07.)

7 (225 ILCS 20/7) (from Ch. 111, par. 6357)

8 (Section scheduled to be repealed on January 1, 2018)

9 Sec. 7. Applications for original license. Applications 10 for original licenses shall be made to the Department on forms 11 or electronically as prescribed by the Department and 12 accompanied by the required fee which shall not be refundable. 13 All applications shall contain such information which, in the 14 judgment of the Department, will enable the Department to pass 15 on the qualifications of the applicant for a license as a 16 licensed clinical social worker or as a licensed social worker.

A license to practice shall not be denied an applicant because of the applicant's race, religion, creed, national origin, political beliefs or activities, age, sex, sexual orientation, or physical <u>disability that does not affect a</u> <u>person's ability to practice with reasonable judgment, skill,</u> <u>or safety impairment</u>.

Applicants have 3 years from the date of application to complete the application process. If the process has not been completed in 3 years, the application shall be denied, the fee

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1	shall be forfeited, and the app	licant	must reapply and meet the
2	requirements in effect at the t	requirements in effect at the time of reapplication.	
3	(Source: P.A. 90-150, eff. 12-30-97.)		
4	(225 ILCS 20/7.3)		
5	(Section scheduled to be re	epealed	on January 1, 2018)
6	Sec. 7.3. <u>Address of record</u>	d; email	<u>address of record</u> Change
7	of address. All applicants and	licens	ees shall:
8	(1) provide a valid a	ddress	and email address to the
9	Department, which shall se	rve as	the address of record and
10	email address of record,	respec	ctively, at the time of
11	application for licensure of	or renew	al of a license; and
12	<u>(2)</u> An applicant or lie	ensee m	wst inform the Department
13	of any change of address	<u>of rec</u>	ord or email address of
14	record within 14 days afte	er, and	such <u>change</u> changes must
15	be made either through t	he Dep	artment's website or by
16	contacting the Department's	s licens	sure maintenance unit.
17	(Source: P.A. 95-687, eff. 10-2	23-07.)	
18	(225 ILCS 20/9) (from Ch. 1	11, par	. 6359)
19	(Section scheduled to be re	epealed	on January 1, 2018)
20	Sec. 9. <u>Qualifications</u> Qu	alifica	tion for clinical social
21	worker license. A person shall	be qual	ified to be licensed as a
22	clinical social worker <u>if that</u>	person	and the Department shall
23	issue a license authorizing	the	independent practice of

24 clinical social work to an applicant who:

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(1) has applied in writing on the prescribed form;

(2) is of good moral character. In determining good 2 3 moral character, the Department may take into consideration whether the applicant was engaged in conduct 4 5 or actions that would constitute grounds for discipline 6 under this Act;

7 (3) (a) (A) demonstrates to the satisfaction of the 8 Department that subsequent to securing a master's degree in 9 social work from an approved program the applicant has 10 successfully completed at least 3,000 hours of 11 satisfactory, supervised clinical professional experience; 12 or

13 <u>(b)</u> (B) demonstrates to the satisfaction of the 14 Department that such applicant has received a doctor's 15 degree in social work from an approved program and has 16 completed at least 2,000 hours of satisfactory, supervised 17 clinical professional experience subsequent to the degree;

18 (4) has passed the examination for the practice of
19 clinical social work as authorized by the Department; and
20 (5) has paid the required fees.

21 (Source: P.A. 95-687, eff. 10-23-07.)

(225 ILCS 20/9A) (from Ch. 111, par. 6359A)
(Section scheduled to be repealed on January 1, 2018)
Sec. 9A. Qualifications for license as licensed social
worker. A person shall be qualified to be licensed as a

1 licensed social worker <u>if that person</u> and the Department shall
2 issue a license authorizing the practice of social work to an
3 applicant who:

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(1) has applied in writing on the prescribed form;

5 (2) is of good moral character, as defined in
6 subsection (2) of Section 9;

7 (3) (a) has a degree from a graduate program of social
8 work approved by the Department; or

9 (b) has a degree in social work from an undergraduate 10 program approved by the Department and has successfully 11 completed at least 3 years of supervised professional 12 experience subsequent to obtaining the degree as established by rule. If no supervision by a licensed social 13 worker or a licensed clinical social worker is available, 14 then supervised professional experience may include 15 16 supervision by other appropriate disciplines as defined by 17 rule;

18 (4) has passed the examination for the practice of
19 social work as a licensed social worker as authorized by
20 the Department; and

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(5) has paid the required fees.

22 (Source: P.A. 90-150, eff. 12-30-97; 91-357, eff. 7-29-99.)

23 (225 ILCS 20/10) (from Ch. 111, par. 6360)

24 (Section scheduled to be repealed on January 1, 2018)

25 Sec. 10. License restrictions and limitations.

(a) No person shall, without a currently valid license as a 1 2 social worker issued by the Department: (i) in any manner hold himself or herself out to the public as a social worker under 3 this Act; (ii) use the title "social worker" or "licensed 4 5 social worker"; or (iii) offer to render to individuals, corporations, or the public social work services if the words 6 7 "social work" or "licensed social worker" are used to describe the person offering to render or rendering the services or to 8 9 describe the services rendered or offered to be rendered.

10 (b) No person shall, without a currently valid license as a 11 clinical social worker issued by the Department: (i) in any 12 manner hold himself or herself out to the public as a clinical 13 social worker or licensed clinical social worker under this Act; (ii) use the title "clinical social worker" or "licensed 14 clinical social worker"; or (iii) offer to render to 15 16 individuals, corporations, or the public clinical social work services if the words "licensed clinical social worker" or 17 "clinical social work" are used to describe the person to 18 render or rendering the services or to describe the services 19 20 rendered or offered to be rendered.

(c) Licensed social workers may not engage in independent practice of clinical social work without a clinical social worker license. In independent practice, a licensed social worker shall practice at all times under the order, control, and full professional responsibility of a licensed clinical social worker, a licensed clinical psychologist, or a psychiatrist, as defined in Section 1-121 of the Mental Health
 and Developmental Disabilities Code.

3 (d) No business organization association, partnership, or professional limited liability company shall provide, attempt 4 5 to provide, or offer to provide social work or clinical social work services unless every member, shareholder, partner, 6 director, officer, holder of any other ownership interest, and 7 8 employee of the association, partnership, or professional 9 limited liability company who practices social work or clinical 10 social work or who renders social work or clinical social work 11 services holds a currently valid current license issued under 12 this Act. No business shall be created that (1) has a stated 13 purpose that includes social work or clinical social work, or 14 (2) provides provide, attempts attempt to provide, or offers offer to provide social work or clinical social work services 15 16 unless it is organized under the Professional Service 17 Corporation Act, the Medical Corporation Act, or the Professional Limited Liability Company Act. 18

(e) Nothing in this Act shall preclude individuals licensed under this Act from practicing directly or indirectly for a physician licensed to practice medicine in all its branches under the Medical Practice Act of 1987 or for any legal entity as provided under subsection (c) of Section 22.2 of the Medical Practice Act of 1987.

25 <u>(f)</u> Nothing in this Act shall preclude individuals licensed 26 under this Act from practicing directly or indirectly for any hospital licensed under the Hospital Licensing Act or any
 hospital affiliate as defined in Section 10.8 of the Hospital
 Licensing Act and any hospital authorized under the University
 of Illinois Hospital Act.

5 (Source: P.A. 99-227, eff. 8-3-15.)

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6 (225 ILCS 20/14) (from Ch. 111, par. 6364)

7 (Section scheduled to be repealed on January 1, 2018)

8 Sec. 14. Checks or order to Department dishonored because 9 of insufficient funds. Any person who delivers a check or other 10 payment to the Department that is returned to the Department 11 unpaid by the financial institution upon which it is drawn 12 shall pay to the Department, in addition to the amount already owed to the Department, a fine of \$50. The fines imposed by 13 14 this Section are in addition to any other discipline provided 15 under this Act for unlicensed practice or practice on a 16 nonrenewed license. The Department shall notify the person that payment of fees and fines shall be paid to the Department by 17 certified check or money order within 30 calendar days of the 18 notification. If, after the expiration of 30 days from the date 19 20 of the notification, the person has failed to submit the 21 necessary remittance, the Department shall automatically 22 terminate the license or certificate or deny the application, without hearing. If, after termination or denial, the person 23 24 seeks a license or certificate, he or she shall apply to the 25 Department for restoration or issuance of the license or

1 certificate and pay all fees and fines due to the Department.
2 The Department may establish a fee for the processing of an
3 application for restoration of a license or certificate to pay
4 all expenses of processing this application. The Secretary may
5 waive the fines due under this Section in individual cases
6 where the Secretary finds that the fines would be unreasonable
7 or unnecessarily burdensome.

8 (Source: P.A. 95-687, eff. 10-23-07.)

9 (225 ILCS 20/19) (from Ch. 111, par. 6369)

10 (Section scheduled to be repealed on January 1, 2018)

11 Sec. 19. Grounds for disciplinary action.

(1) The Department may refuse to issue or - refuse to renew 12 13 a license, or may suspend, or revoke any license, or may place 14 on probation, censure, reprimand, or take any other 15 disciplinary or non-disciplinary action deemed appropriate by 16 the Department, including the imposition of fines not to exceed \$10,000 for each violation, with regard to any license issued 17 under the provisions of this Act for any one or a combination 18 19 of the following grounds reasons:

(a) material misstatements of fact in furnishing
information to the Department or to any other State agency
or in furnishing information to any insurance company with
respect to a claim on behalf of a licensee or a patient;

(b) violations or negligent or intentional disregard
 of this Act, or any of the rules promulgated hereunder;

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(c) conviction of or entry of a plea of guilty or nolo 1 2 contendere, finding of guilt, jury verdict, or entry of judgment or sentencing, including, but not limited to, 3 convictions, preceding sentences of supervision, 4 5 conditional discharge, or first offender probation, to any crime that is a felony under the laws of any jurisdiction 6 7 of the United States or any state or territory thereof or that is (i) a felony or (ii) a misdemeanor, of which an 8 9 essential element of which is dishonesty, or any crime that 10 is directly related to the practice of the clinical social 11 work or social work professions;

12 (d) fraud or making any misrepresentation in applying 13 for or procuring a license under for the purpose of 14 obtaining licenses, or violating any provision of this Act or in connection with applying for renewal or restoration 15 16 of a license under this Act any of the rules promulgated 17 hereunder;

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(e) professional incompetence;

gross negligence in practice under this Act (f) malpractice;

21 (g) aiding or assisting another person in violating any 22

provision of this Act or its any rules;

23 (h) failing to provide information within 60 30 days in 24 response to a written request made by the Department;

25 (i) in dishonorable, unethical engaging or 26 unprofessional conduct of a character likely to deceive,

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defraud or harm the public as defined by the rules of the Department, or violating the rules of professional conduct adopted by the Board and published by the Department;

(j) habitual or excessive use or <u>abuse of drugs defined</u>
<u>in law as controlled substances, of</u> addiction to alcohol,
narcotics, stimulants, or <u>of</u> any other <u>substances</u> chemical
agent or drug that results in <u>the</u> a clinical social
worker's or social worker's inability to practice with
reasonable judgment, skill, or safety;

10 (k) <u>adverse action taken</u> discipline by another <u>state or</u> 11 jurisdiction, if at least one of the grounds for the 12 discipline is the same or substantially equivalent to those 13 set forth in this Section;

14 (1) directly or indirectly giving to or receiving from 15 any person, firm, corporation, partnership, or association 16 any fee, commission, rebate or other form of compensation 17 for any professional service not actually rendered. Nothing in this paragraph (1) affects any bona fide 18 19 independent contractor or employment arrangements among 20 health care professionals, health facilities, health care 21 providers, or other entities, except as otherwise 22 prohibited by law. Any employment arrangements may include 23 provisions for compensation, health insurance, pension, or 24 other employment benefits for the provision of services 25 within the scope of the licensee's practice under this Act. 26 Nothing in this paragraph (1) shall be construed to require

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- an employment arrangement to receive professional fees for
 services rendered;

3 (m) a finding by the <u>Department</u> Board that the 4 licensee, after having the license placed on probationary 5 status, has violated the terms of probation <u>or failed to</u> 6 <u>comply with such terms</u>;

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(n) abandonment, without cause, of a client;

8 (o) <u>willfully making or</u> wilfully filing false <u>records</u> 9 <u>or</u> reports relating to a licensee's practice, including<u></u> 10 but not limited to<u></u>, false records filed with Federal or 11 State agencies or departments;

(p) <u>willfully</u> wilfully failing to report an instance of suspected child abuse or neglect as required by the Abused and Neglected Child Reporting Act;

(q) being named as a perpetrator in an indicated report by the Department of Children and Family Services under the Abused and Neglected Child Reporting Act, and upon proof by clear and convincing evidence that the licensee has caused a child to be or failed to take reasonable steps to prevent a child from being an abused child or neglected child as defined in the Abused and Neglected Child Reporting Act;

(r) physical illness, mental illness, or any other impairment or disability, including, but not limited to, deterioration through the aging process, or loss of motor skills that results in the inability to practice the profession with reasonable judgment, skill or safety;

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(s) solicitation of professional services by using
 false or misleading advertising; or

3 (t) violation of the Health Care Worker Self-Referral 4 Act; -

5 <u>(u) willfully failing to report an instance of</u> 6 <u>suspected abuse, neglect, financial exploitation, or</u> 7 <u>self-neglect of an eligible adult as defined in and</u> 8 <u>required by the Adult Protective Services Act; or</u>

9 <u>(v) being named as an abuser in a verified report by</u> 10 <u>the Department on Aging under the Adult Protective Services</u> 11 <u>Act, and upon proof by clear and convincing evidence that</u> 12 <u>the licensee abused, neglected, or financially exploited</u> 13 <u>an eligible adult as defined in the Adult Protective</u> 14 Services Act.

15 (2) (Blank).

16 (3) The determination by a court that a licensee is subject 17 to involuntary admission or judicial admission as provided in the Mental Health and Developmental Disabilities Code, will 18 19 result in an automatic suspension of his license. Such 20 suspension will end upon a finding by a court that the licensee is no longer subject to involuntary admission or judicial 21 22 admission and issues an order so finding and discharging the 23 patient, and upon the recommendation of the Board to the 24 Secretary that the licensee be allowed to resume professional 25 practice.

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(4) The Department <u>shall</u> may refuse to issue or renew or

may suspend the license of a person who (i) fails to file a 1 2 return, pay the tax, penalty, or interest shown in a filed 3 return, or pay any final assessment of tax, penalty, or interest, as required by any tax Act administered by the 4 5 Department of Revenue, until the requirements of the tax Act 6 are satisfied or (ii) has failed to pay any court-ordered child support as determined by a court order or by referral from the 7 8 Department of Healthcare and Family Services.

9 (5)(a) In enforcing this Section, the <u>Department or</u> Board, 10 upon a showing of a possible violation, may compel a person 11 licensed to practice under this Act, or who has applied for 12 licensure <u>under</u> or certification pursuant to this Act, to 13 submit to a mental or physical examination, or both, <u>which may</u> 14 <u>include a substance abuse or sexual offender evaluation</u>, as 15 required by and at the expense of the Department.

16 The Department shall specifically designate the (b) 17 examining physician licensed to practice medicine in all of its branches or, if applicable, the multidisciplinary team 18 19 involved in providing the mental or physical examination or both. The multidisciplinary team shall be led by a physician 20 21 licensed to practice medicine in all of its branches and may 22 consist of one or more or a combination of physicians licensed 23 to practice medicine in all of its branches, licensed clinical 24 psychologists, licensed clinical social workers, licensed 25 clinical professional counselors, and other professional and administrative staff. Any examining physician or member of the 26

1 multidisciplinary team may require any person ordered to submit 2 to an examination pursuant to this Section to submit to any 3 additional supplemental testing deemed necessary to complete 4 any examination or evaluation process, including, but not 5 limited to, blood testing, urinalysis, psychological testing, 6 or neuropsychological testing physicians shall be those 7 specifically designated by the Board.

8 (c) The Board or the Department may order the examining 9 physician or any member of the multidisciplinary team to 10 present testimony concerning this mental or physical 11 examination of the licensee or applicant. No information, 12 report, record, or other documents in any way related to the 13 examination shall be excluded by reason of any common law or 14 statutory privilege relating to communications between the 15 licensee or applicant and the examining physician or any member 16 of the multidisciplinary team. No authorization is necessary 17 from the licensee or applicant ordered to undergo an examination for the examining physician or any member of the 18 multidisciplinary team to provide information, reports, 19 records, or other documents or to provide any testimony 20 regarding the examination and evaluation. 21

22 (d) The person to be examined may have, at his or her own 23 expense, another physician of his or her choice present during 24 all aspects of the examination. <u>However, that physician shall</u> 25 <u>be present only to observe and may not interfere in any way</u> 26 <u>with the examination.</u> 1 <u>(e)</u> Failure of any person to submit to a mental or physical 2 examination, when <u>ordered</u> directed, shall <u>result in an</u> 3 <u>automatic</u> be grounds for suspension of <u>his or her</u> a license 4 until the person submits to the examination if the Board finds, 5 after notice and hearing, that the refusal to submit to the 6 examination was without reasonable cause.

7 (f) If the Department or Board finds a person unable to 8 practice because of the reasons set forth in this Section, the 9 Department or Board may require that person to submit to care, 10 counseling, or treatment by physicians approved or designated 11 by the Department or Board, as a condition, term, or 12 restriction for continued, reinstated, or renewed licensure to practice; or, in lieu of care, counseling or treatment, the 13 14 Department may file, or the Board may recommend to the 15 Department to file, a complaint to immediately suspend, revoke, 16 or otherwise discipline the license of the person. Any person 17 whose license was granted, continued, reinstated, renewed, disciplined or supervised subject to such terms, conditions or 18 restrictions, and who fails to comply with such terms, 19 20 conditions, or restrictions, shall be referred to the Secretary for a determination as to whether the person shall have his or 21 22 her license suspended immediately, pending a hearing by the Department Board. 23

24 (g) All fines imposed shall be paid within 60 days after
25 the effective date of the order imposing the fine or in
26 accordance with the terms set forth in the order imposing the

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1 <u>fine.</u>

2 In instances in which the Secretary immediately suspends a 3 person's license under this Section, a hearing on that person's license must be convened by the Department Board within 30 days 4 5 after the suspension and completed without appreciable delay. 6 The Department and Board shall have the authority to review the 7 subject person's record of treatment and counseling regarding 8 the impairment, to the extent permitted by applicable federal 9 statutes and regulations safeguarding the confidentiality of 10 medical records.

11 A person licensed under this Act and affected under this 12 Section shall be afforded an opportunity to demonstrate to the 13 <u>Department or</u> Board that he or she can resume practice in 14 compliance with acceptable and prevailing standards under the 15 provisions of his or her license.

16 (Source: P.A. 98-756, eff. 7-16-14.)

17 (225 ILCS 20/21) (from Ch. 111, par. 6371)

18 (Section scheduled to be repealed on January 1, 2018)

19 Sec. 21. Investigations; notice and hearing.

20 <u>(a)</u> The Department may investigate the actions of any 21 applicant or of any person holding or claiming to hold a 22 license <u>under this Act</u>.

23 (b) The Department shall, before disciplining an applicant 24 or licensee refusing to issue or renew a license, at least 30 25 days prior to the date set for the hearing: (i) $_{\tau}$ notify, in

writing, the accused applicant for, or holder of, a license of 1 2 the nature of the charges made and the time and place for the that a hearing on the charges, (ii) will be held on the date 3 designated. The Department shall direct him or her the 4 5 applicant or licensee to file a written answer to the charges Board under oath within 20 days after the service of the 6 7 notice, and (iii) inform the applicant or licensee that failure 8 to file an answer will result in a default being entered taken 9 against the applicant or licensee and that the license or 10 certificate may be suspended, revoked, placed on probationary 11 status, or other disciplinary action may be taken, including 12 limiting the scope, nature or extent of practice, as the 13 Secretary may deem proper.

14 (c) Written or electronic notice, and any notice in the 15 subsequent proceeding, may be served by personal delivery, by 16 email, or by certified or registered mail to the applicant or 17 licensee at his or her the applicant's last address of record or email address of record. In case the person fails to file an 18 19 answer after receiving notice, his or her license or 20 certificate may, in the discretion of the Department, be 21 suspended, revoked, or placed on probationary status, or the 22 Department may take whatever disciplinary action deemed 23 proper, including limiting the scope, nature, or extent of the person's practice or the imposition of a fine, without a 24 25 hearing, if the act or acts charged constitute sufficient 26 grounds for such action under this Act.

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(d) At the time and place fixed in the notice, the Board or 1 2 hearing officer appointed by the Secretary shall proceed to 3 hear the charges and the parties or their counsel shall be ample opportunity to present any statements, 4 accorded 5 testimony, evidence and argument as may be pertinent to the 6 charges or to their defense. The Board or hearing officer may 7 continue the a hearing from time to time.

8 (e) In case the person, after receiving the notice, fails 9 to file an answer, his or her license may, in the discretion of 10 the Secretary, having first received the recommendation of the 11 Board, be suspended, revoked, or placed on probationary status, 12 or be subject to whatever disciplinary action the Secretary considers proper, including limiting the scope, nature, or 13 14 extent of the person's practice or the imposition of a fine, without hearing, if the act or acts charged constitute 15 16 sufficient grounds for that action under this Act.

17 (Source: P.A. 95-687, eff. 10-23-07.)

18 (225 ILCS 20/22) (from Ch. 111, par. 6372)

19 (Section scheduled to be repealed on January 1, 2018)

20 Sec. 22. Record of <u>proceedings</u> Proceedings; transcript. 21 The Department, at its expense, shall preserve a record of all 22 proceedings at the formal hearing of any case involving the 23 refusal to issue or to renew a license. The notice of hearing, 24 complaint, all other documents in the nature of pleadings, 25 written motions filed in the proceedings, the transcript of

testimony, the report of the Board and orders of the Department 1 2 shall be in the record of such proceeding. The Department shall furnish a copy transcript of the record to any person upon 3 payment of the fee required under Section 2105-115 of the 4 5 Department of Professional Regulation Law (20 ILCS 6 2105/2105-115).

7 (Source: P.A. 90-150, eff. 12-30-97; 91-239, eff. 1-1-00.)

8 (225 ILCS 20/25) (from Ch. 111, par. 6375)

9 (Section scheduled to be repealed on January 1, 2018)

10 Sec. 25. Findings and recommendations. At the conclusion of 11 the hearing the Board shall present to the Secretary a written 12 report of its findings of fact, conclusions of law and recommendations. The report shall contain a finding whether or 13 14 not the licensee violated this act or failed to comply with the 15 conditions required in this Act. The Board shall specify the 16 nature of the violation or failure to comply, and shall make 17 its recommendations to the Secretary.

The report of findings of fact, conclusions of law, and 18 19 recommendation of the Board shall be the basis for the 20 Department's order or refusal or for the granting of the 21 license. If the Secretary disagrees with the recommendations of Board, the Secretary may issue an order 22 -in contravention the thereof. The Secretary shall provide a written report to the 23 24 Board on any disagreement and shall specify the reasons for said action in the final order. The finding is not admissible 25

1	in evidence against the person in a criminal prosecution
2	brought for the violation of this Act, but the hearing and
3	findings are not a bar to a criminal prosecution brought for
4	the violation of this Act.
5	(Source: P.A. 95-687, eff. 10-23-07.)
6	(225 ILCS 20/26) (from Ch. 111, par. 6376)
7	(Section scheduled to be repealed on January 1, 2018)
8	Sec. 26. <u>Hearing; motion for rehearing</u> Board Rehearing.
9	(a) The Board or hearing officer appointed by the Secretary
10	shall hear evidence in support of the formal charges and
11	evidence produced by the licensee. At the conclusion of the
12	hearing, the Board shall present to the Secretary a written
13	report of its findings of fact, conclusions of law, and
14	recommendations. If the Board fails to present its report, the
15	applicant or licensee may request in writing a direct appeal to
16	the Secretary, in which case the Secretary may issue an order
17	based upon the report of the hearing officer and the record of
18	the proceedings or issue an order remanding the matter back to
19	the hearing officer for additional proceedings in accordance
20	with the order.
21	(b) At the conclusion of the hearing In any case involving

21 (b) At the conclusion of the hearing in any case involving 22 the refusal to issue or to renew a license or to discipline a 23 licensee, a copy of the <u>hearing officer's or</u> Board's report 24 shall be served upon the applicant or licensee by the 25 Department, either personally or by registered or certified

mail or as provided in this Act for the service of the notice 1 2 of hearing. Within 20 calendar days after such service, the 3 applicant or licensee may present to the Department a motion in writing for a rehearing which shall specify the particular 4 5 grounds for rehearing therefor. The Department may respond to 6 the motion for rehearing within 20 calendar days after its 7 service on the Department. If no motion for a rehearing is filed, then upon the expiration of the time specified for 8 9 filing such a motion, or if a motion for rehearing is denied, 10 then upon such denial of a motion for rehearing, the Secretary 11 may enter an order in accordance with recommendations of the 12 Board or hearing officer, except as provided in Section 25 of 13 this Act. If the applicant or licensee orders from the 14 reporting service and pays for a transcript of the record within the time for filing a motion for rehearing, the 20-day 15 16 period within which a motion may be filed shall commence upon 17 the delivery of the transcript to the applicant or licensee. (c) If the Secretary disagrees in any regard with the 18 19 report of the Board, the Secretary may issue an order contrary 20 to the report. Whenever the Secretary is not satisfied that 21 (d) 22 substantial justice has been done, the Secretary may order a 23 rehearing by the same or another hearing officer. 24 (e) At any point in any investigation or disciplinary 25 proceeding provided for in this Act, both parties may agree to

26 <u>a negotiated consent order. The consent order shall be final</u>

- 1 upon signature of the Secretary.
- 2 (Source: P.A. 95-687, eff. 10-23-07.)

3 (225 ILCS 20/28) (from Ch. 111, par. 6378)

4 (Section scheduled to be repealed on January 1, 2018)

5 Sec. 28. Appointment of a hearing officer. Notwithstanding any other provision of this Act, the The Secretary has shall 6 have the authority to appoint any attorney duly licensed to 7 practice law in the State of Illinois to serve as the hearing 8 9 officer in any action for refusal to issue or renew a license 10 or permit or to discipline a licensee. The Secretary shall 11 promptly notify the Board of any such appointment. The hearing 12 officer shall have full authority to conduct the hearing. The hearing officer shall report his or her findings of fact, 13 conclusions of law and recommendations to the Board and to the 14 15 Secretary. Upon receipt of the report, the Board shall have at 16 least 60 days after receipt of the report to review it and present its findings of fact, conclusions of law and 17 18 recommendation to the Secretary. If the Board does not present its report within the 60 days period, the respondent may 19 20 request in writing a direct appeal to the Secretary, in which 21 case the Secretary shall, within 7 calendar days after the 22 request, issue an order directing the Board to issue its findings of fact, conclusions of law, and recommendations to 23 24 the Secretary within 30 calendar days after such order. If the 25 Board fails to issue its findings of fact, conclusions of law,

and recommendations within that time frame to the Secretary 1 2 after the entry of such order, the Secretary shall, within 30 calendar days thereafter, issue an order based upon the report 3 of the hearing officer and the record of the proceedings or 4 5 issue an order remanding the matter back to the hearing officer for additional proceedings in accordance with the order. If (i) 6 a direct appeal is requested, (ii) the Board fails to issue its 7 findings of fact, conclusions of law, and recommendations 8 9 within the 30 day mandate from the Secretary or the Secretary 10 fails to order the Board to do so, and (iii) the Secretary 11 fails to issue an order within 30 calendar days thereafter, 12 then the hearing officer's report is deemed accepted and a final decision of the Secretary. Notwithstanding any other 13 provision of this Section, if the Secretary, upon review, 14 determines that substantial justice has not been done in the 15 16 revocation, suspension, or refusal to issue or renew a license or other disciplinary action taken as the result of the entry 17 of the hearing officer's report, the Secretary may order a 18 19 rehearing by the same or other examiners. If the Secretary 20 disagrees with the recommendation of the Board or of the 21 hearing officer, the Secretary may issue an order in 22 contravention of the Board's report. The Secretary shall 23 promptly provide a written explanation to the Board on any such disagreement, and shall specify the reasons for such action in 24 25 the final order.

26 (Source: P.A. 95-687, eff. 10-23-07.)

(225 ILCS 20/30) (from Ch. 111, par. 6380) 1 2 (Section scheduled to be repealed on January 1, 2018) 3 Sec. 30. Restoration of suspended or revoked license. At 4 any time after the successful completion of a term of 5 probation, suspension, or revocation of any license, the Department may restore the license it to the licensee upon the 6 Board 7 written recommendation of the unless after an 8 investigation and hearing the Board or Department determines 9 that restoration is not in the public interest. Where 10 circumstances of suspension or revocation so indicate, the 11 Department may require an examination of the licensee prior to 12 restoring his or her license. No person whose license has been 13 revoked as authorized in this Act may apply for restoration of that license or permit until such time as provided for in the 14 15 Civil Administrative Code of Illinois.

16 (Source: P.A. 85-967.)

17 (225 ILCS 20/31) (from Ch. 111, par. 6381)

18 (Section scheduled to be repealed on January 1, 2018)

Sec. 31. Surrender of license. Upon the revocation <u>or</u> and suspension of <u>any</u> the license, the licensee shall immediately surrender his or her license to the Department. If the licensee fails to do so, the Department shall have the right to seize the license.

24 (Source: P.A. 90-150, eff. 12-30-97.)

(225 ILCS 20/32) (from Ch. 111, par. 6382) 1 2 (Section scheduled to be repealed on January 1, 2018) 3 Sec. 32. Summary Temporary suspension of a license. The 4 Secretary may summarily temporarily suspend the license of a 5 licensed clinical social worker or licensed social worker without a hearing simultaneously with the institution of 6 7 proceedings for a hearing provided for in Section 21 of this 8 Act if the Secretary finds that conclusive evidence in his or 9 her possession indicates indicating that а licensee's 10 continuation in practice would constitute an imminent danger to 11 the public. In the event the Secretary summarily temporarily 12 suspends such license without a hearing, a hearing by the Board or Department shall be held within 30 calendar days after the 13 14 such suspension has occurred. 15 (Source: P.A. 95-687, eff. 10-23-07.)

16 (225 ILCS 20/33) (from Ch. 111, par. 6383)

17 (Section scheduled to be repealed on January 1, 2018)

18 Sec. 33. Administrative <u>review</u> review - venue.

All final administrative decisions of the Department are
 subject to judicial review pursuant to the Administrative
 Review Law and all rules adopted pursuant thereto. The term
 "Administrative decision" is defined as in Section 3-101 of the
 Code of Civil Procedure.

24 2. Proceedings for judicial review shall be commenced in

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the circuit court of the county in which the party applying for review resides, but if the party is not a resident of Illinois, the venue shall be in Sangamon County.

4 (Source: P.A. 85-967.)

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5 (225 ILCS 20/34) (from Ch. 111, par. 6384)

6 (Section scheduled to be repealed on January 1, 2018)

7 Sec. 34. Certification of record; costs. The Department 8 shall not be required to certify any record to the court, to 9 file an answer in court or to otherwise appear in any court in 10 a judicial review proceeding, unless and until the Department 11 has received from the plaintiff there is filed in the court, 12 with the complaint, a receipt from the Department acknowledging payment of the costs of furnishing and certifying the record, 13 14 which costs shall be determined by the Department. Failure on 15 the part of the plaintiff to file a receipt in court shall be 16 grounds for dismissal of the action.

17 (Source: P.A. 87-1031.)

18 (225 ILCS 20/36) (from Ch. 111, par. 6386)

19 (Section scheduled to be repealed on January 1, 2018)

20 36. Illinois Administrative Procedure Act. Sec. The 21 Illinois Administrative Procedure Act is hereby expressly adopted and incorporated herein as if all of the provisions of 22 23 that Act were included in this Act, except that the provision Section 10-65 of 24 of subsection (d) of the Illinois

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Administrative Procedure Act that provides that at hearings the licensee has the right to show compliance with all lawful requirements for retention, continuation or renewal of the license is specifically excluded. For the purpose of this Act the notice required under Section 10 25 of the Illinois Administrative Procedure Act is deemed sufficient when mailed to the last known address of a party.

8 (Source: P.A. 88-45.)

9 (225 ILCS 20/37) (from Ch. 111, par. 6387)

10 (Section scheduled to be repealed on January 1, 2018)

11 Sec. 37. Home rule Public policy. It is declared to be the 12 public policy of this State, pursuant to paragraphs (h) and (i) of Section 6 of Article VII of the Illinois Constitution of 13 14 1970, that any power or function set forth in this Act to be 15 exercised by the State is an exclusive State power or function. 16 Such power or function shall not be exercised concurrently, either directly or indirectly, by any unit of local government, 17 18 including home rule units, except as otherwise provided in this 19 Act.

20 (Source: P.A. 85-967.)

21 (225 ILCS 20/27 rep.)

Section 15. The Clinical Social Work and Social WorkPractice Act is amended by repealing Section 27.

24 Section 99. Effective date. This Act takes effect upon

1 becoming law.

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