**Section 5701.EXHIBIT D Viatical Settlement Broker Report and Instructions – Illinois Transactions Only (Form VSB-002)**

|  |  |  |
| --- | --- | --- |
|  | **Viatical Settlement Broker Report** | Calendar year |
| Viatical Settlement Broker's Name | Illinois Transactions Only | 20\_\_\_\_ |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| 1 | 2 | 3 | 4 | 5 | 6 |
| Viatical settlement provider's settlement number | Contract date sold to viatical settlement provider | Total net death benefit ($) | Net amount paid to viator ($) | Commission amount($) | Viatical Settlement provider's name |
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| --- | --- |
| Name of Preparer: |  |

**Instructions for Viatical Settlement Broker Report –**

**Illinois Transactions Only**

|  |  |
| --- | --- |
| Column |  |
| 1 | List the settlement number, case number or unique identifying number used by the Viatical Settlement Provider (VSP) to identify the specific viatical settlement transaction. |
| 2 | List the date the viatical settlement contract was sold to the VSP. |
| 3 | List the total net death benefit. |
| 4 | List the net amount (in dollars) paid to the viator. |
| 5 | List the amount of commissions (in dollars) paid to all viatical settlement brokers involved in the transaction. |
| 6 | List the name of the VSP involved in the viatical settlement. |

(Source: Old EXHIBIT D renumbered to EXHIBIT F; new EXHIBIT D added at 39 Ill. Reg. 4975, effective March 23, 2015)