**Section 3701.100 Reporting Requirements**

a) On or before March 1 of each year, viatical settlement providers must file the following reports listed as Exhibits to this Part:

1) Viatical Settlement Provider Report − All States and Territories (Form VSP-001) (Exhibit A);

2) Viatical Settlement Provider Report − Illinois Transactions Only (Form VSP-002) (Exhibit C);

3) Individual Mortality Report − Illinois Transactions Only (Form VSP-003) (Exhibit E); and

4) Viatical Settlement Provider Certification (Form VSP-004) (Exhibit F).

b) All filings required pursuant to subsection (a) are to be submitted to the Corporate Regulation Section of the Department.

c) On or before March 1 of each year, viatical settlement brokers must file the following reports listed as Exhibits to this Part:

1) Viatical Settlement Broker Report − All States and Territories (Form VSB-001) (Exhibit B);

2) Viatical Settlement Broker Report − Illinois Transactions Only (Form VSB-002) (Exhibit D); and

3) Viatical Settlement Broker Certification (Form VSB-004) (Exhibit G).

d) All filings required pursuant to subsection (c) are to be submitted to the Producer Licensing Section of the Department.

e) The information required to be reported under this Section shall not include individual transaction data regarding the business of viatical settlements or data that compromises the privacy of personal, financial, and health information of the viator or insured.

(Source: Amended at 39 Ill. Reg. 4975, effective March 23, 2015)