**Section 664.430 Financial Assistance Application and Approval**

a) In order to receive a loan commitment letter for financial assistance under the ARRA, the following documentation shall be submitted by the loan applicant and approved by the Agency:

1) Planning Report that meets the requirements of Section 664.510 (Loan Applicant's Responsibilities During Project Planning) and 664.520 (State Environmental Review);

2) Design documents, including plans and specifications, with a construction permit, if applicable;

3) An enacted ordinance or other legally binding instrument authorizing the bonds, notes, security agreements or other evidence of indebtedness and an approved operation, maintenance and replacement revenue system in accordance with 664.910 (Operation, Maintenance and Replacement Revenue System) and documentation to support the loan applicant's ability to repay the loan in accordance with Section 664.920 (Financial Capability) and Section 664.930 (Dedicated Source of Revenue for Local Government Units) or Section 664.940 (Source of Revenue and Security for Privately Owned Community Water Supplies) of this Part;

4) A loan application form (Appendix B);

5) An EPA Form 4700-4, Compliance Report; and

6) An executed contract for design and construction related work in accordance with Section 664.630 (Contracts for Personal and Professional Services) of this Part if financing is being requested for these specific costs.

b) In addition to the items identified in subsection (a), the Agency must have received the following bid documentation prior to the issuance of the Loan Agreement:

1) A certified copy of the published bid advertisement or advertisements;

2) Any addenda issued by the loan applicant, if applicable;

3) A summary of the evidence that the contractor and engineer have met the disadvantaged business enterprise requirements pursuant to 40 CFR 33;

4) A copy of the bid tabulations;

5) An analysis of the bids and recommendations for the award of the bids;

6) A copy of the successful bid proposal or proposals;

7) The notice of the applicant's intent to award; and

8) A certification from the loan applicant that all other bid requirements have been satisfied, including bid bond requirements and other certifications as required by State and federal law.