

## 101ST GENERAL ASSEMBLY State of Illinois 2019 and 2020 HB3035

by Rep. Michael D. Unes

## SYNOPSIS AS INTRODUCED:

210 ILCS 45/3-305

from Ch. 111 1/2, par. 4153-305

Amends the Nursing Home Care Act. Provides that if a licensee has a civil monetary penalty imposed (rather than having paid a civil monetary penalty that has been imposed) pursuant to the Medicare and Medicaid Certification Program for the equivalent federal violation giving rise to a fine under specified provisions of the Act, the Department of Public Health shall offset the fine by the amount of the civil monetary penalty.

LRB101 08053 CPF 53115 b

FISCAL NOTE ACT MAY APPLY

1 AN ACT concerning regulation.

## Be it enacted by the People of the State of Illinois, represented in the General Assembly:

- Section 5. The Nursing Home Care Act is amended by changing

  Section 3-305 as follows:
- 6 (210 ILCS 45/3-305) (from Ch. 111 1/2, par. 4153-305)
- Sec. 3-305. The license of a facility which is in violation of this Act or any rule adopted thereunder may be subject to the penalties or fines levied by the Department as specified in
- 10 this Section.
- 11 (1) A licensee who commits a Type "AA" violation as defined
- 12 in Section 1-128.5 is automatically issued a conditional
- license for a period of 6 months to coincide with an acceptable
- 14 plan of correction and assessed a fine up to \$25,000 per
- 15 violation.
- 16 (1.5) A licensee who commits a Type "A" violation as
- defined in Section 1-129 is automatically issued a conditional
- 18 license for a period of 6 months to coincide with an acceptable
- 19 plan of correction and assessed a fine of up to \$12,500 per
- 20 violation.
- 21 (2) A licensee who commits a Type "B" violation as defined
- in Section 1-130 shall be assessed a fine of up to \$1,100 per
- 23 violation.

- 1 (2.5) A licensee who commits 10 or more Type "C"
  2 violations, as defined in Section 1-132, in a single survey
  3 shall be assessed a fine of up to \$250 per violation. A
  4 licensee who commits one or more Type "C" violations with a
  5 high risk designation, as defined by rule, shall be assessed a
  6 fine of up to \$500 per violation.
  - (3) A licensee who commits a Type "AA" or Type "A" violation as defined in Section 1-128.5 or 1-129 which continues beyond the time specified in paragraph (a) of Section 3-303 which is cited as a repeat violation shall have its license revoked and shall be assessed a fine of 3 times the fine computed per resident per day under subsection (1).
  - (4) A licensee who fails to satisfactorily comply with an accepted plan of correction for a Type "B" violation or an administrative warning issued pursuant to Sections 3-401 through 3-413 or the rules promulgated thereunder shall be automatically issued a conditional license for a period of not less than 6 months. A second or subsequent acceptable plan of correction shall be filed. A fine shall be assessed in accordance with subsection (2) when cited for the repeat violation. This fine shall be computed for all days of the violation, including the duration of the first plan of correction compliance time.
  - (5) For the purpose of computing a penalty under subsections (2) through (4), the number of residents per day shall be based on the average number of residents in the

- facility during the 30 days preceding the discovery of the violation.
  - (6) When the Department finds that a provision of Article II has been violated with regard to a particular resident, the Department shall issue an order requiring the facility to reimburse the resident for injuries incurred, or \$100, whichever is greater. In the case of a violation involving any action other than theft of money belonging to a resident, reimbursement shall be ordered only if a provision of Article II has been violated with regard to that or any other resident of the facility within the 2 years immediately preceding the violation in question.
    - (7) For purposes of assessing fines under this Section, a repeat violation shall be a violation which has been cited during one inspection of the facility for which an accepted plan of correction was not complied with or a new citation of the same rule if the licensee is not substantially addressing the issue routinely throughout the facility.
  - (7.5) If an occurrence results in more than one type of violation as defined in this Act (that is, a Type "AA", Type "A", Type "B", or Type "C" violation), the Department shall assess only one fine, which shall not exceed the maximum fine that may be assessed for the most serious type of violation charged. For purposes of the preceding sentence, a Type "AA" violation is the most serious type of violation that may be charged, followed by a Type "A", Type "B", or Type "C"

- 1 violation, in that order.
  - (8) The minimum and maximum fines that may be assessed pursuant to this Section shall be twice those otherwise specified for any facility that willfully makes a misstatement of fact to the Department, or willfully fails to make a required notification to the Department, if that misstatement or failure delays the start of a surveyor or impedes a survey.
    - (9) High risk designation. If the Department finds that a facility has violated a provision of the Illinois Administrative Code that has a high risk designation, or that a facility has violated the same provision of the Illinois Administrative Code 3 or more times in the previous 12 months, the Department may assess a fine of up to 2 times the maximum fine otherwise allowed.
    - (10) If a licensee has paid a civil monetary penalty imposed pursuant to the Medicare and Medicaid Certification Program for the equivalent federal violation giving rise to a fine under this Section, the Department shall offset the fine by the amount of the civil monetary penalty. The offset may not reduce the fine by more than 75% of the original fine, however.
- 21 (Source: P.A. 98-104, eff. 7-22-13.)