



## 100TH GENERAL ASSEMBLY

### State of Illinois

2017 and 2018

SB1289

Introduced 2/9/2017, by Sen. Dan McConchie

#### SYNOPSIS AS INTRODUCED:

5 ILCS 420/1-102.5 new	
5 ILCS 420/1-104.3 new	
5 ILCS 420/1-104.4 new	
5 ILCS 420/1-104.5 new	
5 ILCS 420/1-105	from Ch. 127, par. 601-105
5 ILCS 420/1-105.2 new	
5 ILCS 420/1-105.3 new	
5 ILCS 420/1-105.4 new	
5 ILCS 420/1-105.6 new	
5 ILCS 420/1-105.7 new	
5 ILCS 420/1-110	from Ch. 127, par. 601-110
5 ILCS 420/1-112.5 new	
5 ILCS 420/1-113.6 new	
5 ILCS 420/1-113.7 new	
5 ILCS 420/4A-102	from Ch. 127, par. 604A-102
5 ILCS 420/4A-103	from Ch. 127, par. 604A-103
5 ILCS 420/4A-108	
5 ILCS 420/4A-104 rep.	
25 ILCS 170/6	from Ch. 63, par. 176

Amends the Illinois Governmental Ethics Act. Creates a uniform statement of economic interest form that must be completed by all persons who are required to file that form under the Act. Changes the nature of the required disclosures that must be made. Authorizes the Secretary of State to institute an electronic statement of economic interest filing system. Repeals a provision that established a separate form for persons required to file a statement of economic interests with county clerks. Effective January 1, 2018.

LRB100 06761 RJF 19077 b

1 AN ACT concerning State government.

2 **Be it enacted by the People of the State of Illinois,**  
3 **represented in the General Assembly:**

4 Section 5. The Illinois Governmental Ethics Act is amended  
5 by changing Sections 1-105, 1-110, 4A-102, 4A-103, and 4A-108  
6 and by adding Sections 1-102.5, 1-104.3, 1-104.4, 1-104.5,  
7 1-105.2, 1-105.3, 1-105.4, 1-105.6, 1-105.7, 1-112.5, 1-113.6,  
8 and 1-113.7 as follows:

9 (5 ILCS 420/1-102.5 new)

10 Sec. 1-102.5. Asset. "Asset" means, for the purposes of  
11 Sections 4A-102 and 4A-103, an item that is owned and has  
12 monetary value. For the purposes of Sections 4A-102 and 4A-103,  
13 assets include, but are not limited to: stocks, bonds (except  
14 those issued by the federal, state, or local governments),  
15 sector mutual funds, sector exchange traded funds, commodity  
16 futures, investment real estate, and partnership interests.  
17 For the purposes of Sections 4A-102 and 4A-103, assets do not  
18 include: personal residences; personal vehicles; savings or  
19 checking accounts; bonds, notes, or securities issued by any  
20 branch of federal, state, or local government; Medicare  
21 benefits; inheritances or bequests; diversified mutual funds;  
22 annuities; pensions (including government pensions);  
23 retirement accounts; college savings plans that are qualified

1 tuition plans; non-income producing trust holdings; or  
2 tangible personal property.

3 (5 ILCS 420/1-104.3 new)

4 Sec. 1-104.3. Creditor. "Creditor" means, for the purposes  
5 of Sections 4A-102 and 4A-103, an individual, organization, or  
6 other business entity to whom money or its equivalent is owed,  
7 no matter whether that obligation is secured or unsecured,  
8 except that if a filer makes a loan to members of his or her  
9 family, a political committee registered with the Illinois  
10 State Board of Elections, or a political committee, principal  
11 campaign committee, or authorized committee registered with  
12 the Federal Election Commission, then that filer does not, by  
13 making such a loan, become a creditor of that individual or  
14 entity for the purposes of Sections 4A-102 and 4A-103 of this  
15 Act.

16 (5 ILCS 420/1-104.4 new)

17 Sec. 1-104.4. Debt. "Debt" means, for the purposes of  
18 Sections 4A-102 and 4A-103, any money or monetary obligation  
19 owed at any time during the preceding calendar year to an  
20 individual, company, or other organization, other than a loan  
21 that is from a financial institution, government agency, or  
22 business entity and that is granted on terms made available to  
23 the general public. For the purposes of Sections 4A-102 and  
24 4A-103, "debt" includes, but is not limited to: personal loans

1 from friends or business associates, business loans made  
2 outside the lender's regular course of business, and loans made  
3 at below market rates. For the purposes of Sections 4A-102 and  
4 4A-103, "debt" does not include: (i) debts to or from financial  
5 institutions or government entities, such as mortgages,  
6 student loans, credit card debts, or loans secured by  
7 automobiles, household furniture, or appliances, as long as  
8 those loans were made on terms available to the general public  
9 and do not exceed the purchase price of the items securing  
10 them; or (ii) debts to or from a political committee registered  
11 with the Illinois State Board of Elections or political  
12 committees, principal campaign committees, or authorized  
13 committees registered with the Federal Election Commission.

14 (5 ILCS 420/1-104.5 new)

15 Sec. 1-104.5. Diversified funds. "Diversified funds" means  
16 investment products, such as mutual funds, exchange traded  
17 funds, or unit investment trusts, that invest in a wide variety  
18 of securities.

19 (5 ILCS 420/1-105) (from Ch. 127, par. 601-105)

20 Sec. 1-105. "Economic opportunity" means any purchase,  
21 sale, lease, contract, option, or other transaction or  
22 arrangement involving property or services wherein a  
23 legislator or, in the case of Sections 4A-102 and 4A-103, the  
24 filer may gain an economic benefit. The term shall not include

1 gifts.

2 (Source: Laws 1967, p. 3401.)

3 (5 ILCS 420/1-105.2 new)

4 Sec. 1-105.2. Economic relationship. "Economic  
5 relationship" means, for the purposes of Sections 4A-102 and  
6 4A-103, any relationship that provides the filer with income or  
7 an economic opportunity. For the purposes of Sections 4A-102  
8 and 4A-103, economic relationships include, but are not limited  
9 to, ownership interests in family-owned businesses and  
10 creditor-debtor relationships with third parties other than  
11 commercial lending institutions.

12 (5 ILCS 420/1-105.3 new)

13 Sec. 1-105.3. Family. "Family" means, for the purposes of  
14 Sections 4A-102 and 4A-103, a filer's spouse (including a party  
15 to a civil union or a registered domestic partner), children,  
16 step-children, parents, step-parents, siblings, step-siblings,  
17 half-siblings, brothers-in-law, sisters-in-law, sons-in-law,  
18 daughters-in-law, aunts, uncles, nieces, nephews, great-aunts,  
19 great-uncles, first cousins, grandfathers, grandmothers,  
20 grandsons, and granddaughters, as well as the father, mother,  
21 grandfather, and grandmother of the filer's spouse (including a  
22 party to a civil union or a registered domestic partner).

23 (5 ILCS 420/1-105.4 new)

1       Sec. 1-105.4. Filer. "Filer" means, for the purposes of  
2       Section 4A-102 and 4A-103, a person required to file a  
3       statement of economic interests pursuant to this Act.

4           (5 ILCS 420/1-105.6 new)

5       Sec. 1-105.6. Income. "Income" means, for the purposes of  
6       Sections 4A-102 and 4A-103, income from whatever source  
7       derived, required to be reported on the filer's federal income  
8       tax return, including, but not limited to: compensation  
9       received for services rendered or to be rendered (as reported  
10       on any Internal Revenue Service forms, including, but not  
11       limited to, W-2, 1099, or K-1); earnings or capital gains from  
12       the sale of assets; profit; interest or dividend income from  
13       all assets; revenue from leases and rentals, royalties, prizes,  
14       awards, or barter; forgiveness of debt; and earnings derived  
15       from annuities or trusts other than testamentary trusts.  
16       "Income" does not include compensation earned for service in  
17       the position that necessitates the filing of the statement of  
18       economic interests or income from the sale of a personal  
19       residence or personal vehicle.

20           (5 ILCS 420/1-105.7 new)

21       Sec. 1-105.7. Investment real estate. "Investment real  
22       estate" means any real property, other than a filer's personal  
23       residences, purchased to produce a profit, whether from income  
24       or resale. Investment real estate may be described by the city

1 and state where the real estate is located.

2 (5 ILCS 420/1-110) (from Ch. 127, par. 601-110)

3 Sec. 1-110. "Lobbyist" means an individual who is required  
4 to be registered to engage in lobbying activities pursuant to  
5 any statute, regulation, or ordinance adopted by a unit of  
6 government in the State of Illinois ~~any person required to be~~  
7 ~~registered under "An Act concerning lobbying and providing a~~  
8 ~~penalty for violation thereof", approved July 10, 1957, as~~  
9 ~~amended.~~

10 (Source: Laws 1967, p. 3401.)

11 (5 ILCS 420/1-112.5 new)

12 Sec. 1-112.5. Personal residence. "Personal residence"  
13 means, for the purposes of Sections 4A-102 and 4A-103, a  
14 filer's primary home residence and any residential real  
15 property held by the filer and used by the filer for  
16 residential rather than commercial or income generating  
17 purposes.

18 (5 ILCS 420/1-113.6 new)

19 Sec. 1-113.6. Sector funds. "Sector funds" means mutual  
20 funds or exchange traded funds invested in a particular  
21 industry or business.

22 (5 ILCS 420/1-113.7 new)

1       Sec. 1-113.7. Spouse. "Spouse" means a party to a marriage,  
2       a party to a civil union, or a registered domestic partner.

3           (5 ILCS 420/4A-102) (from Ch. 127, par. 604A-102)

4       Sec. 4A-102. The statement of economic interests required  
5       by this Article shall include the economic interests of the  
6       person making the statement as provided in this Section. The  
7       following interests shall be listed by all persons required to  
8       file:

9           (1) each asset that has a value of more than \$25,000 as  
10       of the end of the preceding calendar year and is: (i) held  
11       in the filer's name, (ii) held jointly by the filer with  
12       his or her spouse, or (iii) held jointly by the filer with  
13       his or her minor child or children;

14           (2) excluding the income from the position that  
15       requires the filing of a statement of economic interests  
16       under this Act, each source of income that generated in  
17       excess of \$10,000 in income during the preceding calendar  
18       year (as reported on the filer's federal income tax return  
19       covering the preceding calendar year) and, if the sale or  
20       transfer of an asset produced more than \$10,000 in capital  
21       gains during the preceding calendar year, the transaction  
22       date on which that asset was sold or transferred;

23           (3) each creditor of a debt in excess of \$25,000 that,  
24       during the preceding calendar year, was: (i) owed by the  
25       filer, (ii) owed jointly by the filer with his or her



1 spouse or (iii) owed jointly by the filer with his or her  
2 minor child or children;

3 (4) each debtor of a debt in excess of \$25,000 that,  
4 during the preceding calendar year, was: (i) owed to the  
5 filer, (ii) owed jointly to the filer with his or her  
6 spouse or (iii) owed jointly to the filer with his or her  
7 minor child or children;

8 (5) each lobbyist who is registered with any unit of  
9 government in the State of Illinois and with whom the filer  
10 maintains an economic relationship and each lobbyist  
11 registered with any unit of government in the State of  
12 Illinois who is a member of the filer's family; and

13 (6) each source and type of gift or gifts, or  
14 honorarium or honoraria, valued singly or in the aggregate  
15 in excess of \$1,000 that was received during the preceding  
16 calendar year.

17 For the purposes of this Section, the unit of local  
18 government in relation to which a person is required to file  
19 under item (o) of Section 4A-101 shall be the unit of local  
20 government that contributes to the pension fund of which such  
21 person is a member of the board.

22 ~~The interest (if constructively controlled by the person~~  
23 ~~making the statement) of a spouse or any other party, shall be~~  
24 ~~considered to be the same as the interest of the person making~~  
25 ~~the statement. Campaign receipts shall not be included in this~~  
26 ~~statement.~~

1           ~~(a) The following interests shall be listed by all~~  
2 ~~persons required to file.~~

3           ~~(1) The name, address and type of practice of any~~  
4 ~~professional organization or individual professional~~  
5 ~~practice in which the person making the statement was~~  
6 ~~an officer, director, associate, partner or~~  
7 ~~proprietor, or served in any advisory capacity, from~~  
8 ~~which income in excess of \$1200 was derived during the~~  
9 ~~preceding calendar year.~~

10          ~~(2) The nature of professional services (other~~  
11 ~~than services rendered to the unit or units of~~  
12 ~~government in relation to which the person is required~~  
13 ~~to file) and the nature of the entity to which they~~  
14 ~~were rendered if fees exceeding \$5,000 were received~~  
15 ~~during the preceding calendar year from the entity for~~  
16 ~~professional services rendered by the person making~~  
17 ~~the statement.~~

18          ~~(3) The identity (including the address or legal~~  
19 ~~description of real estate) of any capital asset from~~  
20 ~~which a capital gain of \$5,000 or more was realized in~~  
21 ~~the preceding calendar year.~~

22          ~~(4) The name of any unit of government which has~~  
23 ~~employed the person making the statement during the~~  
24 ~~preceding calendar year other than the unit or units of~~  
25 ~~government in relation to which the person is required~~  
26 ~~to file.~~

1           ~~(5) The name of any entity from which a gift or~~  
2           ~~gifts, or honorarium or honoraria, valued singly or in~~  
3           ~~the aggregate in excess of \$500, was received during~~  
4           ~~the preceding calendar year.~~

5           ~~(b) The following interests shall also be listed by~~  
6           ~~persons listed in items (a) through (f), item (l), item~~  
7           ~~(n), and item (p) of Section 4A-101.~~

8           ~~(1) The name and instrument of ownership in any~~  
9           ~~entity doing business in the State of Illinois, in~~  
10           ~~which an ownership interest held by the person at the~~  
11           ~~date of filing is in excess of \$5,000 fair market value~~  
12           ~~or from which dividends of in excess of \$1,200 were~~  
13           ~~derived during the preceding calendar year. (In the~~  
14           ~~case of real estate, location thereof shall be listed~~  
15           ~~by street address, or if none, then by legal~~  
16           ~~description). No time or demand deposit in a financial~~  
17           ~~institution, nor any debt instrument need be listed.~~

18           ~~(2) Except for professional service entities, the~~  
19           ~~name of any entity and any position held therein from~~  
20           ~~which income of in excess of \$1,200 was derived during~~  
21           ~~the preceding calendar year, if the entity does~~  
22           ~~business in the State of Illinois. No time or demand~~  
23           ~~deposit in a financial institution, nor any debt~~  
24           ~~instrument need be listed.~~

25           ~~(3) The identity of any compensated lobbyist with~~  
26           ~~whom the person making the statement maintains a close~~

1 ~~economic association, including the name of the~~  
2 ~~lobbyist and specifying the legislative matter or~~  
3 ~~matters which are the object of the lobbying activity,~~  
4 ~~and describing the general type of economic activity of~~  
5 ~~the client or principal on whose behalf that person is~~  
6 ~~lobbying.~~

7 ~~(c) The following interests shall also be listed by~~  
8 ~~persons listed in items (g), (h), (i), and (o) of Section~~  
9 ~~4A 101:~~

10 ~~(1) The name and instrument of ownership in any~~  
11 ~~entity doing business with a unit of local government~~  
12 ~~in relation to which the person is required to file if~~  
13 ~~the ownership interest of the person filing is greater~~  
14 ~~than \$5,000 fair market value as of the date of filing~~  
15 ~~or if dividends in excess of \$1,200 were received from~~  
16 ~~the entity during the preceding calendar year. (In the~~  
17 ~~case of real estate, location thereof shall be listed~~  
18 ~~by street address, or if none, then by legal~~  
19 ~~description). No time or demand deposit in a financial~~  
20 ~~institution, nor any debt instrument need be listed.~~

21 ~~(2) Except for professional service entities, the~~  
22 ~~name of any entity and any position held therein from~~  
23 ~~which income in excess of \$1,200 was derived during the~~  
24 ~~preceding calendar year if the entity does business~~  
25 ~~with a unit of local government in relation to which~~  
26 ~~the person is required to file. No time or demand~~

1 ~~deposit in a financial institution, nor any debt~~  
2 ~~instrument need be listed.~~

3 ~~(3) The name of any entity and the nature of the~~  
4 ~~governmental action requested by any entity which has~~  
5 ~~applied to a unit of local government in relation to~~  
6 ~~which the person must file for any license, franchise~~  
7 ~~or permit for annexation, zoning or rezoning of real~~  
8 ~~estate during the preceding calendar year if the~~  
9 ~~ownership interest of the person filing is in excess of~~  
10 ~~\$5,000 fair market value at the time of filing or if~~  
11 ~~income or dividends in excess of \$1,200 were received~~  
12 ~~by the person filing from the entity during the~~  
13 ~~preceding calendar year.~~

14 ~~For the purposes of this Section, the unit of local~~  
15 ~~government in relation to which a person required to file under~~  
16 ~~item (e) of Section 4A-101 shall be the unit of local~~  
17 ~~government that contributes to the pension fund of which such~~  
18 ~~person is a member of the board.~~

19 (Source: P.A. 96-6, eff. 4-3-09; 97-754, eff. 7-6-12.)

20 (5 ILCS 420/4A-103) (from Ch. 127, par. 604A-103)

21 Sec. 4A-103. The statement of economic interests required  
22 by this Article to be filed with the Secretary of State or  
23 county clerk shall be ~~filled in by typewriting or hand~~  
24 ~~printing, shall be~~ verified, dated, and signed by the person  
25 making the statement and shall contain substantially the

1 following:

2 STATEMENT OF ECONOMIC INTERESTS

3 INSTRUCTIONS:

4 You may find the following documents helpful to you in  
5 completing this form:

6 (1) federal income tax returns, including any related  
7 schedules, attachments, and forms; and

8 (2) investment and brokerage statements.

9 To complete this form, you do not need to disclose specific  
10 amounts or values or report interests relating either to  
11 political committees registered with the Illinois State Board  
12 of Elections or to political committees, principal campaign  
13 committees, or authorized committees registered with the  
14 Federal Election Commission.

15 The information you disclose will be available to the  
16 public.

17 You must answer all 6 questions. Certain questions will ask  
18 you to report any applicable assets or debts held in your name;  
19 held jointly with your spouse; or held jointly by you with your  
20 minor child. If you have any concerns about whether an interest  
21 should be reported, please consult your department's ethics  
22 officer, if applicable.

23 Please ensure that the information you provide is complete  
24 and accurate. If you need more space than the form allows,

1 please attach additional pages for your response. If you are  
2 subject to the State Officials and Employees Ethics Act, your  
3 ethics officer must review your statement of economic interests  
4 before you file it. Failure to complete the statement in good  
5 faith and within the prescribed deadline may subject you to  
6 fines, imprisonment, or both.

7 DEFINITIONS, EXAMPLES AND FURTHER INSTRUCTIONS:

8 As used in this form:

9 "Asset" means an item that is owned and has monetary value.

10 Assets include, but are not limited to: stocks, bonds (except  
11 those issued by the federal, state or local governments),  
12 sector mutual funds, sector exchange traded funds, commodity  
13 futures, investment real estate and partnership interests.

14 "Asset" does not include: personal residences; personal  
15 vehicles; savings or checking accounts; bonds, notes or  
16 securities issued by any branch of federal, state or local  
17 government; Medicare benefits; inheritances or bequests;  
18 diversified mutual funds; diversified exchange traded funds;  
19 annuities; pensions (including government pensions);  
20 retirement accounts; college savings plans that are qualified  
21 tuition plans; non-income producing trust holdings; or  
22 tangible personal property.

23 "Creditor" means an individual, organization, or other  
24 business entity to whom money or its equivalent is owed, no  
25 matter whether that obligation is secured or unsecured, except

1 that if a filer makes a loan to members of his or her family, a  
2 political committee registered with the Illinois State Board of  
3 Elections, or a political committee, principal campaign  
4 committee, or authorized committee registered with the Federal  
5 Election Commission, then that filer does not, for the purposes  
6 of this form, become a creditor of that individual or entity by  
7 making such a loan.

8 "Debt" means any money or monetary obligation owed at any  
9 time during the preceding calendar year to an individual,  
10 company, or other organization, other than a loan that is from  
11 a financial institution, government agency, or business entity  
12 and that is granted on terms made available to the general  
13 public. "Debt" includes, but is not limited to: personal loans  
14 from friends or business associates, business loans made  
15 outside the lender's regular course of business, and loans made  
16 at below market rates. "Debt" does not include: (i) debts to or  
17 from financial institutions or government entities, such as  
18 mortgages, student loans, credit card debts, or loans secured  
19 by automobiles, household furniture, or appliances, as long as  
20 those loans were made on terms available to the general public  
21 and do not exceed the purchase price of the items securing  
22 them; or (ii) debts to or from political committees registered  
23 with the Illinois State Board of Elections or political  
24 committees, principal campaign committees, or authorized  
25 committees registered with the Federal Election Commission.

26 "Diversified funds" are investment products, such as



1 mutual funds, exchange traded funds, or unit investment trusts,  
2 that invest in a wide variety of securities.

3 "Economic opportunity" means any purchase, sale, lease,  
4 contract, option or other transaction or arrangement involving  
5 property or services wherein the filer may gain an economic  
6 benefit. The term shall not include gifts.

7 "Economic relationship" means any relationship that  
8 provides the filer with income or an economic opportunity.  
9 Economic relationships include, but are not limited to,  
10 ownership interests in family-owned businesses and  
11 creditor-debtor relationships with third parties other than  
12 commercial lending institutions.

13 "Family" means a filer's spouse, children, step-children,  
14 parents, step-parents, siblings, step-siblings, half-siblings,  
15 brothers-in-law, sisters-in-law, sons-in-law,  
16 daughters-in-law, aunts, uncles, nieces, nephews, great-aunts,  
17 great-uncles, first cousins, grandfathers, grandmothers,  
18 grandsons, and granddaughters, as well as the father, mother,  
19 grandfather, and grandmother of the filer's spouse.

20 "Income" means, for the purposes of Sections 4A-102 and  
21 4A-103, income, from whatever source derived, required to be  
22 reported on the filer's federal income tax return, including,  
23 but not limited to: compensation received for services rendered  
24 or to be rendered (as reported on any Internal Revenue Service  
25 forms, including, but not limited to, W-2, 1099, or K-1);  
26 earnings or capital gains from the sale of assets; profit;

1 interest or dividend income from all assets; revenue from  
 2 leases and rentals, royalties, prizes, awards or barter;  
 3 forgiveness of debt; and earnings annuities or trusts other  
 4 than testamentary trusts. "Income" does not include  
 5 compensation earned from service in the position that  
 6 necessitates the filing of the statement of economic interests  
 7 or income from the sale of a personal residence or personal  
 8 vehicle.

9 "Investment real estate" means any real property, other  
 10 than a filer's personal residences, purchased to produce a  
 11 profit, whether from income or resale.

12 "Lobbyist" means an individual who is required to be  
 13 registered to engage in lobbying activities pursuant to any  
 14 statute, regulation, or ordinance adopted by a unit of  
 15 government in the State of Illinois.

16 "Personal residence" means a filer's primary home  
 17 residence and any residential real property held by the filer  
 18 and used by the filer for residential rather than commercial or  
 19 income generating purposes.

20 "Sector funds" means mutual funds or exchange traded funds  
 21 invested in a particular industry or business.

22 "Spouse" means a party to a marriage, a party to a civil  
 23 union, or a registered domestic partner.

24 BASIC INFORMATION:

25 Name: .....

1 Job title: .....  
 2 Office, department, or agency that requires you to file this  
 3 form:.....  
 4 Other offices, departments, or agencies that require you to  
 5 file a Statement of Economic Interests form:.....  
 6 Full mailing address: .....  
 7 Preferred e-mail address (optional) .....

8 QUESTIONS:

9 1. If you have any single asset that was worth more than  
 10 \$25,000 as of the end of the preceding calendar year and is  
 11 held in your name, held jointly by you with your spouse, or  
 12 held jointly by you with your minor child, list such assets  
 13 below. In the case of investment real estate, list the city and  
 14 state where the investment real estate is located. If you do  
 15 not have any such assets, list "none" below.

16 .....  
 17 .....  
 18 .....  
 19 .....  
 20 .....

21 2. Excluding the position for which you are required to  
 22 file this form, list the source of any income in excess of  
 23 \$10,000 in income as reported on your federal income tax return  
 24 covering the preceding calendar year. If you sold an asset that  
 25 produced more than \$10,000 in capital gains in the preceding

1 calendar year, list the name of the asset and the transaction  
 2 date on which the sale or transfer took place. If you had no  
 3 other sources of income exceeding \$10,000, list "none" below.

4	<u>Source of Income / Name of</u>	<u>Date Sold (if applicable)</u>
5	<u>Asset</u>	
6	.....	.....
7	.....	.....
8	.....	.....

9 3. Excluding debts incurred on terms available to the  
 10 general public, such as mortgages, student loans, and credit  
 11 card debts, if you owed any single debt in the preceding  
 12 calendar year exceeding \$25,000, list the creditor of the debt  
 13 below. If you had no such debts, list "none" below.

14 List the creditor for all applicable debts owed by you,  
 15 owed jointly by you with your spouse, or owed jointly by you  
 16 with your minor child. In addition to the types of debts listed  
 17 above, you do not need to report any debts to or from financial  
 18 institutions or government agencies, such as debts secured by  
 19 automobiles, household furniture or appliances, as long as the  
 20 debt was made on terms available to the general public and does  
 21 not exceed the purchase price of the item securing the loan,  
 22 debts to members of your family, or debts to or from a  
 23 political committee registered with the Illinois State Board of  
 24 Elections or any political committee, principal campaign  
 25 committee, or authorized committee registered with the Federal

1 Election Commission.

2 .....

3 .....

4 .....

5 .....

6 4. Excluding debts owed to you by members of your family or  
7 by a political committee, if there is any entity or person who  
8 owed any debt to you in the preceding calendar year exceeding  
9 \$25,000, list the debtor below. If no such debts were owed to  
10 you, list "none" below.

11 List the debtor for all applicable debts owed to you, owed  
12 jointly to you with your spouse, or owed jointly to you with  
13 your minor child. You do not need to report loans made to  
14 members of your family or to a political committee registered  
15 with the Illinois State Board of Elections or any political  
16 committee, principal campaign committee, or authorized  
17 committee registered with the Federal Election Commission.

18 .....

19 .....

20 .....

21 5. If you maintain an economic relationship with a lobbyist  
22 or if a member of your family is a lobbyist registered with any  
23 unit of government in the State of Illinois, list the name of  
24 the lobbyist below and identify the nature of your relationship  
25 with the lobbyist. If you do not have an economic association  
26 with a lobbyist, list "none" below.

<u>Name of Lobbyist</u>	<u>Relationship to Filer</u>
.....	.....
.....	.....
.....	.....

6. List the name of any person, organization, or entity that was the source of a gift or gifts, or honorarium or honoraria, valued singly or in the aggregate in excess of \$1,000 received during the preceding calendar year.

.....

.....

.....

VERIFICATION:

"I declare that this statement of economic interests (including any attachments) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of my economic interests as required by the Illinois Governmental Ethics Act. I understand that the penalty for willfully filing a false or incomplete statement is a fine not to exceed \$2,500 or imprisonment in a penal institution other than the penitentiary not to exceed one year, or both fine and imprisonment."

Printed Name of Filer .....

Date .....

Signature.....

1 If this statement of economic interests requires ethics officer  
2 review prior to filing, the applicable ethics officer must  
3 complete the following:

4 CERTIFICATION OF ETHICS OFFICER REVIEW:

5 "In accordance with law, as Ethics Officer, I reviewed this  
6 statement of economic interests prior to its filing."

7 Printed Name of Ethics Officer .....

8 Date .....

9 Signature.....

10 ~~STATEMENT OF ECONOMIC INTEREST~~

11 ~~(TYPE OR HAND PRINT)~~

12 ~~.....~~

13 ~~(name)~~

14 ~~.....~~

15 ~~(each office or position of employment for which this statement~~  
16 ~~is filed)~~

17 ~~.....~~

18 ~~(full mailing address)~~

19 ~~GENERAL DIRECTIONS:~~

20 ~~The interest (if constructively controlled by the person~~  
21 ~~making the statement) of a spouse or any other party, shall be~~  
22 ~~considered to be the same as the interest of the person making~~  
23 ~~the statement.~~

1 ~~Campaign receipts shall not be included in this statement.~~

2 ~~If additional space is needed, please attach supplemental~~  
3 ~~listing.~~

4 ~~1. List the name and instrument of ownership in any entity~~  
5 ~~doing business in the State of Illinois, in which the ownership~~  
6 ~~interest held by the person at the date of filing is in excess~~  
7 ~~of \$5,000 fair market value or from which dividends in excess~~  
8 ~~of \$1,200 were derived during the preceding calendar year. (In~~  
9 ~~the case of real estate, location thereof shall be listed by~~  
10 ~~street address, or if none, then by legal description.) No time~~  
11 ~~or demand deposit in a financial institution, nor any debt~~  
12 ~~instrument need be listed.~~

13 <del>Business Entity</del>	<del>Instrument of Ownership</del>
14 .....	.....
15 .....	.....
16 .....	.....
17 .....	.....

18 ~~2. List the name, address and type of practice of any~~  
19 ~~professional organization in which the person making the~~  
20 ~~statement was an officer, director, associate, partner or~~  
21 ~~proprietor or served in any advisory capacity, from which~~  
22 ~~income in excess of \$1,200 was derived during the preceding~~  
23 ~~calendar year.~~

24 Name	Address	<del>Type of Practice</del>
25 .....	.....	.....
26 .....	.....	.....



1 .....  
.....

2 ~~3. List the nature of professional services rendered (other~~  
3 ~~than to the State of Illinois) to each entity from which income~~  
4 ~~exceeding \$5,000 was received for professional services~~  
5 ~~rendered during the preceding calendar year by the person~~  
6 ~~making the statement.~~

7 .....  
8 .....

9 ~~4. List the identity (including the address or legal~~  
10 ~~description of real estate) of any capital asset from which a~~  
11 ~~capital gain of \$5,000 or more was realized during the~~  
12 ~~preceding calendar year.~~

13 .....  
14 .....

15 ~~5. List the identity of any compensated lobbyist with whom~~  
16 ~~the person making the statement maintains a close economic~~  
17 ~~association, including the name of the lobbyist and specifying~~  
18 ~~the legislative matter or matters which are the object of the~~  
19 ~~lobbying activity, and describing the general type of economic~~  
20 ~~activity of the client or principal on whose behalf that person~~  
21 ~~is lobbying.~~

22	<del>Lobbyist</del>	<del>Legislative Matter</del>	<del>Client or Principal</del>
23	.....	.....	.....
24	.....	.....	.....

25 ~~6. List the name of any entity doing business in the State~~  
26 ~~of Illinois from which income in excess of \$1,200 was derived~~

1 ~~during the preceding calendar year other than for professional~~  
 2 ~~services and the title or description of any position held in~~  
 3 ~~that entity. (In the case of real estate, location thereof~~  
 4 ~~shall be listed by street address, or if none, then by legal~~  
 5 ~~description). No time or demand deposit in a financial~~  
 6 ~~institution nor any debt instrument need be listed.~~

7 Entity	Position Held
8 .....	.....
9 .....	.....
10 .....	.....

11 ~~7. List the name of any unit of government which employed~~  
 12 ~~the person making the statement during the preceding calendar~~  
 13 ~~year other than the unit or units of government in relation to~~  
 14 ~~which the person is required to file.~~

15 .....

16 .....

17 ~~8. List the name of any entity from which a gift or gifts,~~  
 18 ~~or honorarium or honoraria, valued singly or in the aggregate~~  
 19 ~~in excess of \$500, was received during the preceding calendar~~  
 20 ~~year.~~

21 .....

22 VERIFICATION:

23 ~~"I declare that this statement of economic interests~~  
 24 ~~(including any accompanying schedules and statements) has been~~  
 25 ~~examined by me and to the best of my knowledge and belief is a~~  
 26 ~~true, correct and complete statement of my economic interests~~

1 ~~as required by the Illinois Governmental Ethics Act. I~~  
 2 ~~understand that the penalty for willfully filing a false or~~  
 3 ~~incomplete statement shall be a fine not to exceed \$1,000 or~~  
 4 ~~imprisonment in a penal institution other than the penitentiary~~  
 5 ~~not to exceed one year, or both fine and imprisonment."~~

6 ~~.....~~      ~~.....~~  
 7 ~~(date of filing)~~      ~~(signature of person making the statement)~~  
 8 (Source: P.A. 95-173, eff. 1-1-08.)

9 (5 ILCS 420/4A-108)

10 Sec. 4A-108. Internet-based systems of filing; required  
 11 training for ethics officers.

12 (a) Notwithstanding any other provision of this Act or any  
 13 other law, the Secretary of State and county clerks are  
 14 authorized to institute an Internet-based system for the filing  
 15 of statements of economic interests in their offices. With  
 16 respect to county clerk systems, the determination to institute  
 17 such a system shall be in the sole discretion of the county  
 18 clerk and shall meet the requirements set out in this Section.  
 19 With respect to a Secretary of State system, the determination  
 20 to institute such a system shall be in the sole discretion of  
 21 the Secretary of State and shall meet the requirements set out  
 22 in this Section and those Sections of the State Officials and  
 23 Employees Ethics Act requiring ethics officer review prior to  
 24 filing. The system shall be capable of allowing an ethics  
 25 officer to approve a statement of economic interests and shall

1 include a means to amend a statement of economic interests.  
2 When this Section does not modify or remove the requirements  
3 set forth elsewhere in this Article, those requirements shall  
4 apply to any system of Internet-based filing authorized by this  
5 Section. When this Section does modify or remove the  
6 requirements set forth elsewhere in this Article, the  
7 provisions of this Section shall apply to any system of  
8 Internet-based filing authorized by this Section.

9 (b) In any system of Internet-based filing of statements of  
10 economic interests instituted by the Secretary of State or a  
11 county clerk:

12 (1) Any filing of an Internet-based statement of  
13 economic interests shall be the equivalent of the filing of  
14 a verified, written statement of economic interests as  
15 required by Section 4A-101 and the equivalent of the filing  
16 of a verified, dated, and signed statement of economic  
17 interests as required by Section 4A-103 ~~4A-104~~.

18 (2) The Secretary of State and county clerks who  
19 institute a system of Internet-based filing of statements  
20 of economic interests shall establish a password-protected  
21 website to receive the filings of such statements. A  
22 website established under this Section shall set forth and  
23 provide a means of responding to the items set forth in  
24 Section 4A-103 ~~4A-102~~ that are required of a person who  
25 files a statement of economic interests with that officer.  
26 A website established under this Section shall set forth

1 and provide a means of generating a printable receipt page  
2 acknowledging filing.

3 (3) The times for the filing of statements of economic  
4 interests set forth in Section 4A-105 shall be followed in  
5 any system of Internet-based filing of statements of  
6 economic interests; provided that a candidate for elective  
7 office who is required to file a statement of economic  
8 interests in relation to his or her candidacy pursuant to  
9 Section 4A-105(a) ~~shall not use the Internet to file his or~~  
10 ~~her statement of economic interests but shall file his or~~  
11 ~~her statement of economic interests in a written or printed~~  
12 ~~form and~~ shall receive a written or printed receipt for his  
13 or her filing.

14 (4) In the first year of the implementation of a system  
15 of Internet-based filing of statements of economic  
16 interests, each person required to file such a statement is  
17 to be notified in writing of his or her obligation to file  
18 his or her statement of economic interests by way of the  
19 Internet-based system. If access to the web site requires a  
20 code or password, this information shall be included in the  
21 notice prescribed by this paragraph.

22 (5) When a person required to file a statement of  
23 economic interests has supplied the Secretary of State or a  
24 county clerk, as applicable, with an email address for the  
25 purpose of receiving notices under this Article by email, a  
26 notice sent by email to the supplied email address shall be

1 the equivalent of a notice sent by first class mail, as set  
2 forth in Section 4A-106. A person who has supplied such an  
3 email address shall notify the Secretary of State or county  
4 clerk, as applicable, when his or her email address changes  
5 or if he or she no longer wishes to receive notices by  
6 email.

7 (6) If any person who is required to file a statement  
8 of economic interests and who has chosen to receive notices  
9 by email fails to file his or her statement by May 10, then  
10 the Secretary of State or county clerk, as applicable,  
11 shall send an additional email notice on that date,  
12 informing the person that he or she has not filed and  
13 describing the penalties for late filing and failing to  
14 file. This notice shall be in addition to other notices  
15 provided for in this Article.

16 (7) The Secretary of State and each county clerk who  
17 institutes a system of Internet-based filing of statements  
18 of economic interests may also institute an Internet-based  
19 process for the filing of the list of names and addresses  
20 of persons required to file statements of economic  
21 interests by the chief administrative officers that must  
22 file such information with the Secretary of State or county  
23 clerk, as applicable, pursuant to Section 4A-106. Whenever  
24 the Secretary of State or a county clerk institutes such a  
25 system under this paragraph, every chief administrative  
26 officer must use the system to file this information.

1           (8) The Secretary of State and any county clerk who  
2           institutes a system of Internet-based filing of statements  
3           of economic interests shall post the contents of such  
4           statements filed with him or her available for inspection  
5           and copying on a publicly accessible website. Such postings  
6           shall not include the addresses or signatures of the  
7           filers.

8           (c) Each person serving as an ethics officer under the  
9           State Officials and Employees Ethics Act shall, within 6 months  
10           after the effective date of this amendatory Act of the 100th  
11           General Assembly, successfully complete an electronic training  
12           curriculum to be developed by the Secretary of State and  
13           thereafter successfully complete an annual training program.  
14           Thereafter, whenever a new ethics officer is designated under  
15           the State Officials and Employees Ethics Act, that person shall  
16           successfully complete the electronic training curriculum  
17           within 30 days after assuming the position. Successful  
18           completion of the required training curriculum within the  
19           periods provided shall be a prerequisite to continue serving as  
20           an ethics officer.

21           (Source: P.A. 99-108, eff. 7-22-15.)

22           (5 ILCS 420/4A-104 rep.)

23           Section 10. The Illinois Governmental Ethics Act is amended  
24           by repealing Sections 4A-104.

1           Section 15. The Lobbyist Registration Act is amended by  
2 changing Section 6 as follows:

3           (25 ILCS 170/6) (from Ch. 63, par. 176)

4           Sec. 6. Reports.

5           (a) Lobbyist reports. Except as otherwise provided in this  
6 Section, every lobbyist registered under this Act who is solely  
7 employed by a lobbying entity shall file an affirmation,  
8 verified under oath pursuant to Section 1-109 of the Code of  
9 Civil Procedure, with the Secretary of State attesting to the  
10 accuracy of any reports filed pursuant to subsection (b) as  
11 those reports pertain to work performed by the lobbyist. Any  
12 lobbyist registered under this Act who is not solely employed  
13 by a lobbying entity shall personally file reports required of  
14 lobbying entities pursuant to subsection (b). A lobbyist may,  
15 if authorized so to do by a lobbying entity by whom he or she is  
16 employed or retained, file lobbying entity reports pursuant to  
17 subsection (b) provided that the lobbying entity may delegate  
18 the filing of the lobbying entity report to only one lobbyist  
19 in any reporting period.

20           (b) Lobbying entity reports. Every lobbying entity  
21 registered under this Act shall report expenditures related to  
22 lobbying. The report shall itemize each individual expenditure  
23 or transaction and shall include the name of the official on  
24 whose behalf the expenditure was made, the name of the client  
25 if the expenditure was made on behalf of a client, the total



1 amount of the expenditure, a description of the expenditure,  
2 the vendor or purveyor to whom the expenditure was made  
3 (including the address or location of the expenditure), the  
4 date on which the expenditure occurred and the subject matter  
5 of the lobbying activity, if any. For those expenditures made  
6 on behalf of a client, if the client is a client registrant,  
7 the report shall also include the name and address of the  
8 client or clients of the client registrant or the official or  
9 officials on whose behalf the expenditure ultimately was made.  
10 Each expenditure required to be reported shall include all  
11 expenses made for or on behalf of an official or his or her  
12 immediate family member living with the official.

13 (b-1) The report shall include any change or addition to  
14 the client list information, required in Section 5 for  
15 registration, since the last report, including the names and  
16 addresses of all clients who retained the lobbying entity  
17 together with an itemized description for each client of the  
18 following: (1) lobbying regarding executive action, including  
19 the name of any executive agency lobbied and the subject  
20 matter; (2) lobbying regarding legislative action, including  
21 the General Assembly and any other agencies lobbied and the  
22 subject matter; and (3) lobbying regarding administrative  
23 action, including the agency lobbied and the subject matter.  
24 Registrants who made no reportable expenditures during a  
25 reporting period shall file a report stating that no  
26 expenditures were incurred.

1 (b-2) Expenditures attributable to lobbying officials  
2 shall be listed and reported according to the following  
3 categories:

4 (1) Travel and lodging on behalf of others, including,  
5 but not limited to, all travel and living accommodations  
6 made for or on behalf of State officials during sessions of  
7 the General Assembly.

8 (2) Meals, beverages and other entertainment.

9 (3) Gifts (indicating which, if any, are on the basis  
10 of personal friendship).

11 (4) Honoraria.

12 (5) Any other thing or service of value not listed  
13 under categories (1) through (4), setting forth a  
14 description of the expenditure. The category travel and  
15 lodging includes, but is not limited to, all travel and  
16 living accommodations made for or on behalf of State  
17 officials in the State capital during sessions of the  
18 General Assembly.

19 (b-3) If expenditures are ~~Expenditures~~ incurred for  
20 hosting receptions, benefits, and other large gatherings held  
21 for purposes of goodwill or otherwise to influence executive,  
22 legislative, or administrative action and if the total number  
23 of State officials invited to such an event is equal to or  
24 greater than the number of State legislators in the smaller  
25 minority caucus of either the House of Representatives or the  
26 Senate, as defined in the rules of those chambers, then ~~to~~

1 ~~which there are 25 or more State officials invited shall be~~  
2 ~~reported listing~~ only the total amount of the expenditure, the  
3 date of the event, and the estimated number of officials in  
4 attendance shall be reported.

5 (b-5) The report must include a description of any business  
6 or familial relationship, or both, that a registrant has with  
7 an official who he or she is registered to lobby under this  
8 Act. For the purposes of this subsection, "familial  
9 relationship" includes those people related to the registrant  
10 as spouse, as defined in the Illinois Governmental Ethics Act,  
11 child, step-child, parent, step-parent, sibling, step-sibling,  
12 half-sibling, parent-in-law, brother-in-law, sister-in-law,  
13 son-in-law, daughter-in-law, aunt, uncle, niece, nephew,  
14 great-aunt, great-uncle, first cousin, grandfather,  
15 grandmother, grandson and granddaughter, as well as the father,  
16 mother, grandfather and grandmother of the filer's spouse.

17 (b-7) Matters excluded from reports. The following items  
18 need not be included in the report:

19 (1) Reasonable and bona fide expenditures made by the  
20 registrant who is a member of a legislative or State study  
21 commission or committee while attending and participating  
22 in meetings and hearings of such commission or committee.

23 (2) Reasonable and bona fide expenditures made by the  
24 registrant for personal sustenance, lodging, travel,  
25 office expenses and clerical or support staff.

26 (3) Salaries, fees, and other compensation paid to the

1 registrant for the purposes of lobbying.

2 (4) Any contributions required to be reported under  
3 Article 9 of the Election Code.

4 (5) Expenditures made by a registrant on behalf of an  
5 official that are returned or reimbursed prior to the  
6 deadline for submission of the report.

7 (c) A registrant who terminates employment or duties which  
8 required him or her to register under this Act shall give the  
9 Secretary of State, within 30 days after the date of such  
10 termination, written notice of such termination and shall  
11 include therewith a report of the expenditures described  
12 herein, covering the period of time since the filing of his or  
13 her last report to the date of termination of employment. Such  
14 notice and report shall be final and relieve such registrant of  
15 further reporting under this Act, unless and until he or she  
16 later takes employment or assumes duties requiring him or her  
17 to again register under this Act.

18 (d) Failure to file any such report within the time  
19 designated or the reporting of incomplete information shall  
20 constitute a violation of this Act.

21 A registrant shall preserve for a period of 2 years all  
22 receipts and records used in preparing reports under this Act.

23 (e) Within 30 days after a filing deadline or as provided  
24 by rule, the lobbyist shall notify each official on whose  
25 behalf an expenditure has been reported. Notification shall  
26 include the name of the registrant, the total amount of the

1 expenditure, a description of the expenditure, the date on  
2 which the expenditure occurred, and the subject matter of the  
3 lobbying activity.

4 (f) A report for the period beginning January 1, 2010 and  
5 ending on June 30, 2010 shall be filed no later than July 15,  
6 2010, and a report for the period beginning July 1, 2010 and  
7 ending on December 31, 2010 shall be filed no later than  
8 January 15, 2011. Beginning January 1, 2011, reports shall be  
9 filed semi-monthly as follows: (i) for the period beginning the  
10 first day of the month through the 15th day of the month, the  
11 report shall be filed no later than the 20th day of the month  
12 and (ii) for the period beginning on the 16th day of the month  
13 through the last day of the month, the report shall be filed no  
14 later than the 5th day of the following month. A report filed  
15 under this Act is due in the Office of the Secretary of State  
16 no later than the close of business on the date on which it is  
17 required to be filed.

18 (g) All reports filed under this Act shall be filed in a  
19 format or on forms prescribed by the Secretary of State.

20 (Source: P.A. 98-459, eff. 1-1-14.)

21 Section 99. Effective date. This Act takes effect January  
22 1, 2018.